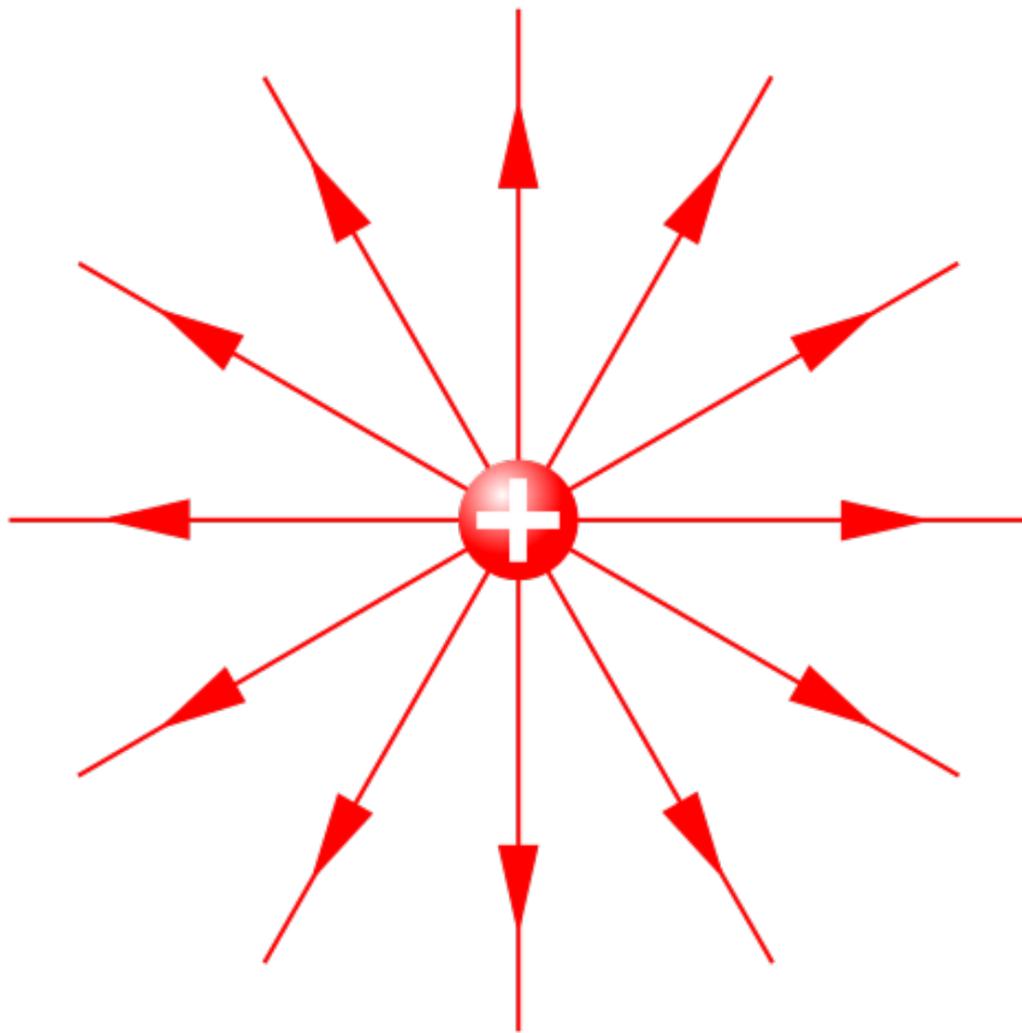


# Lecture 2: Beyond Fixed Order - Showers & Merging

To start with, consider what a charged particle really looks like

If it is **charged**, it has a **Coulomb field**



**Weizsäcker (1934) & Williams (1935)** noted that the EM fields of an electron **in uniform relativistic motion** are predominantly **transverse**, with  $|E| \approx |B|$

Just like (a superposition of) **plane waves!**

➤ **Fast electrically charged particles** carry with them **clouds of virtual photons**

a.k.a. "the method of virtual quanta" (e.g., Jackson, *Classical Electrodynamics*) or "the equivalent photon approximation" (EPA)

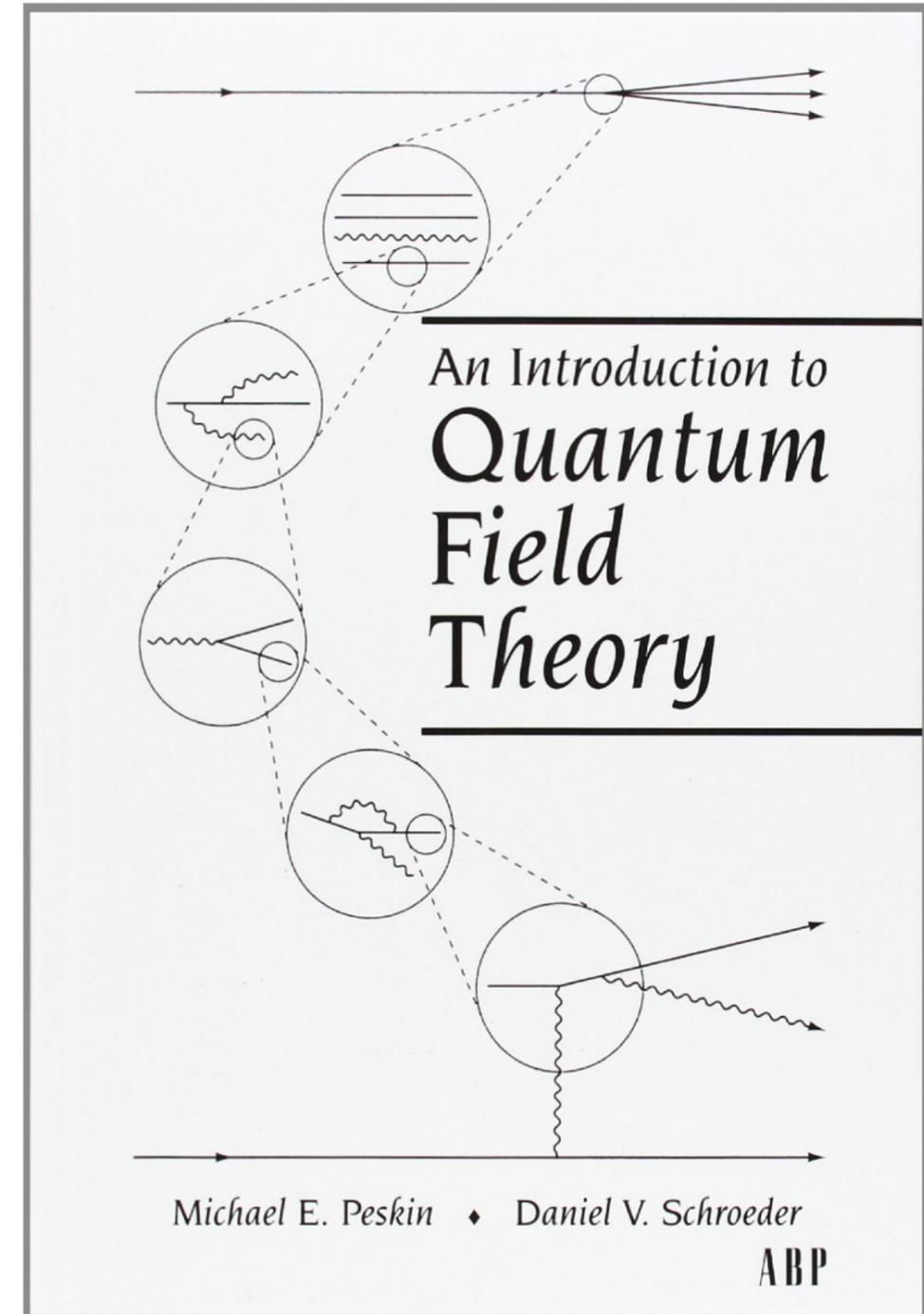
# The Structure of (Charged) Quantum Fields

What does a charged particle look like **in Quantum Field Theory?** (in the interaction picture)

If it has a (conserved) **gauge charge**, it has a **Coulomb field**; made of massless gauge bosons.

→ An ever-repeating self-similar pattern of quantum fluctuations inside fluctuations inside fluctuations

At **increasingly smaller distances** : **scaling**  
(modulo running couplings)



# The Structure of (Charged) Quantum Fields

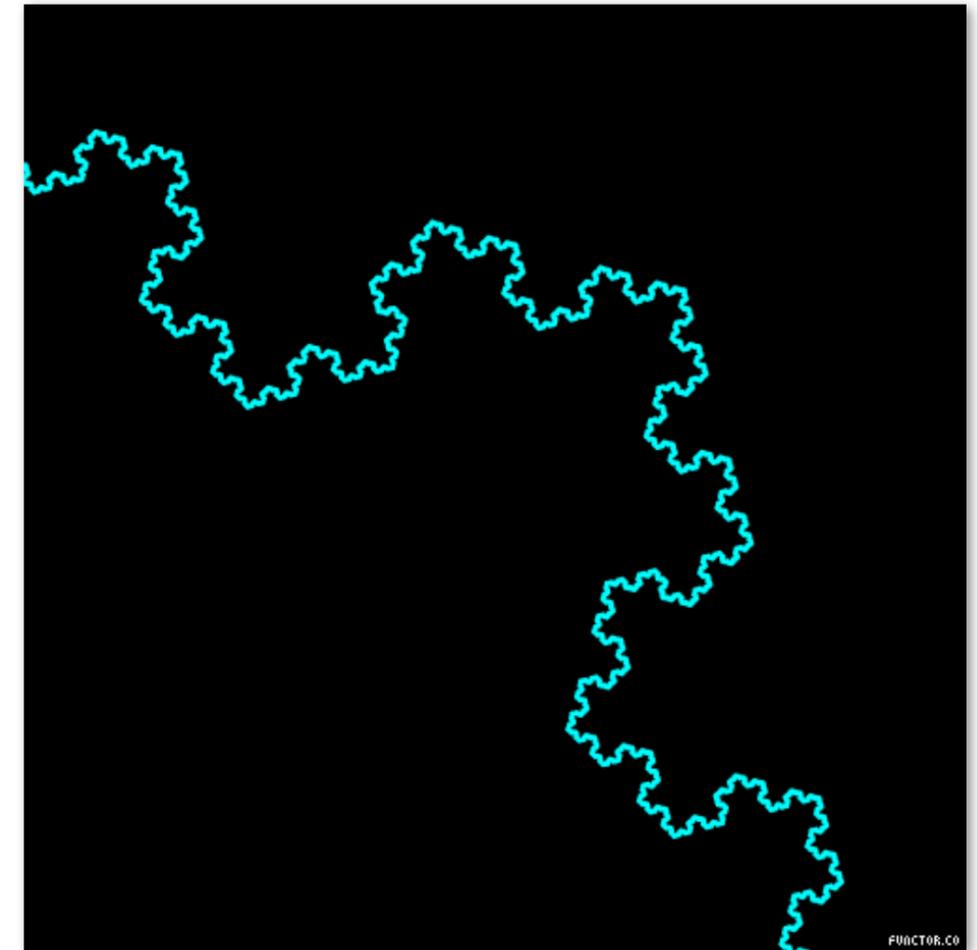
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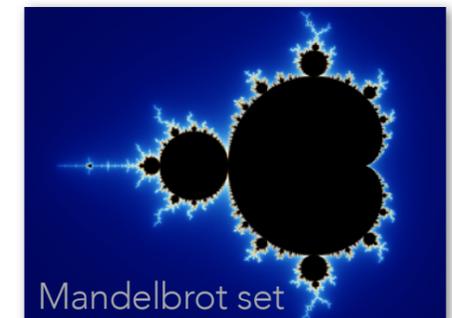
→ An ever-repeating self-similar pattern of quantum fluctuations inside fluctuations inside fluctuations

At **increasingly smaller distances** : **scaling**  
(modulo running couplings)

Nature makes copious use of such structures  
— **Fractals**



Mathematicians also like them  
Infinitely complex self-similar patterns



# OK, that's pretty ... but so what?

Naively, QCD radiation suppressed by  $\alpha_s \approx 0.1$

→ Truncate at fixed order = LO, NLO, ...

But beware the jet-within-a-jet-within-a-jet ...

⇒ 100 GeV can be "soft" at the LHC

## Example: SUSY pair production at LHC<sub>14</sub>, with $M_{\text{SUSY}} \approx 600$ GeV

LHC - sps1a -  $m \sim 600$  GeV

Plehn, Rainwater, PS PLB645(2007)217

FIXED ORDER pQCD	$\sigma_{\text{tot}}$ [pb]	$\tilde{g}\tilde{g}$	$\tilde{u}_L\tilde{g}$	$\tilde{u}_L\tilde{u}_L^*$	$\tilde{u}_L\tilde{u}_L$	$TT$
$p_{T,j} > 100$ GeV	$\sigma_{0j}$	4.83	5.65	0.286	0.502	1.30
	inclusive $X + 1$ "jet" → $\sigma_{1j}$	2.89	2.74	0.136	0.145	0.73
	inclusive $X + 2$ "jets" → $\sigma_{2j}$	1.09	0.85	0.049	0.039	0.26
$p_{T,j} > 50$ GeV	$\sigma_{0j}$	4.83	5.65	0.286	0.502	1.30
	$\sigma_{1j}$	5.90	5.37	0.283	0.285	1.50
	$\sigma_{2j}$	4.17	3.18	0.179	0.117	1.21

(Computed with SUSY-MadGraph)

$\sigma$  for  $X + \text{jets}$  much larger than naive factor- $\alpha_s$  estimate

$\sigma$  for 50 GeV jets  $\approx$  larger than total cross section  
→ what is going on?

All the scales are high,  $Q \gg 1$  GeV, so perturbation theory **should** be OK

# Why is fixed-order QCD not enough?

**F.O. QCD** requires **Large scales** ( $\alpha_s$  small enough to be perturbative  
→ high-scale processes)

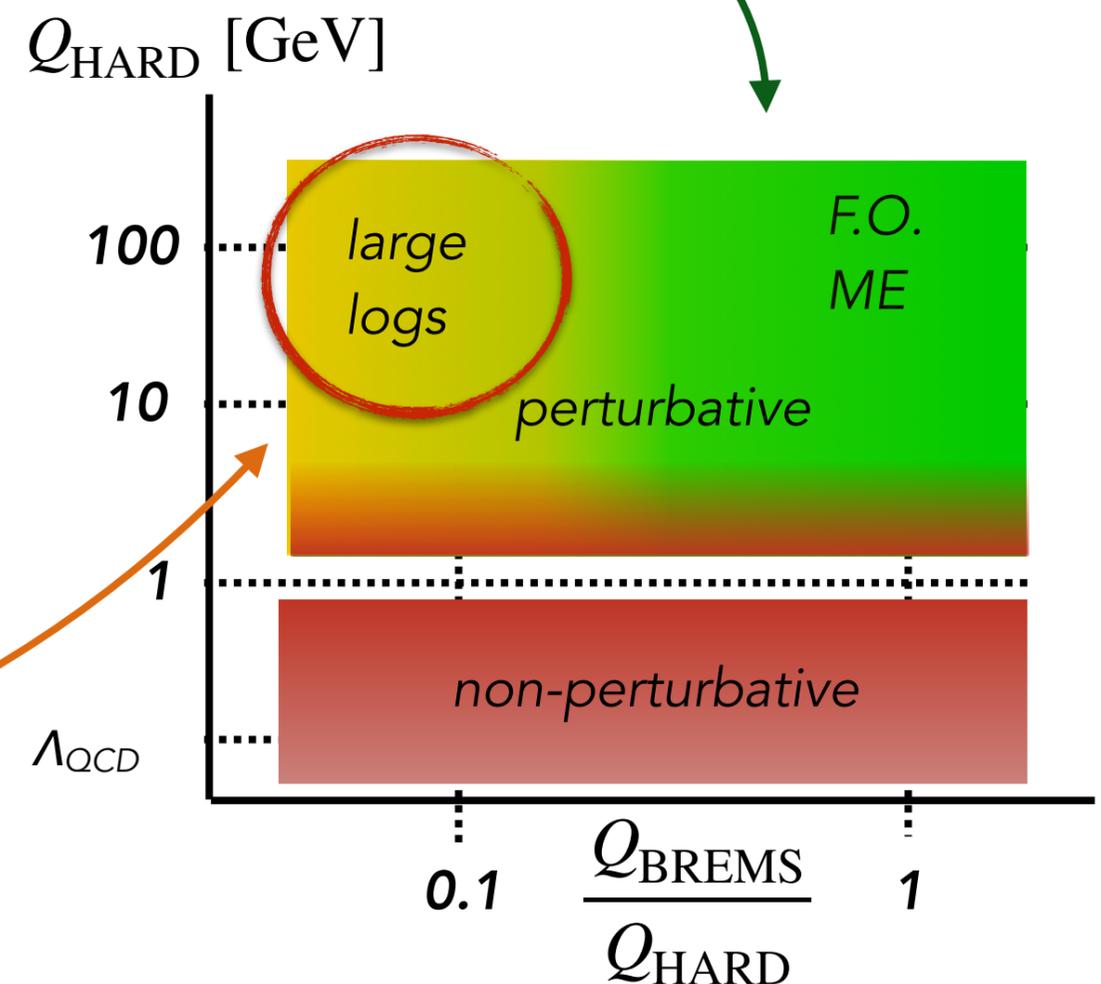
**F.O. QCD** also requires **No hierarchies**

**Bremsstrahlung propagators**  $\propto 1/Q^2$   
integrated over phase space  $\propto dQ^2$

→ **logarithms**

$$\alpha_s^n \ln^m \left( Q_{\text{Hard}}^2 / Q_{\text{Brems}}^2 \right) \quad ; \quad m \leq 2n$$

→ cannot truncate at any fixed order  $n$  if  
upper and lower integration limits are  
**hierarchically different**



# Harder Processes are accompanied by Harder Jets

The hard process “kicks off” a **shower** of successively softer **radiation**

Fractal structure: if you look at  $Q_{\text{JET}}/Q_{\text{HARD}} \ll 1$ , you **will** resolve substructure.

So it’s **not** like you can put a cut at  $X$  (e.g., 50, or even 100) GeV and say: “Ok, now fixed-order matrix elements will be OK”

## Extra radiation:

Will generate **corrections to your kinematics**

**Extra jets** from bremsstrahlung can be important **combinatorial background** especially if you are looking for decay jets of similar  $p_{\text{T}}$  scales (often,  $\Delta M \ll M$ )

Is an unavoidable aspect of the **quantum description of quarks and gluons** (no such thing as a “bare” quark or gluon; they depend on how you look at them)

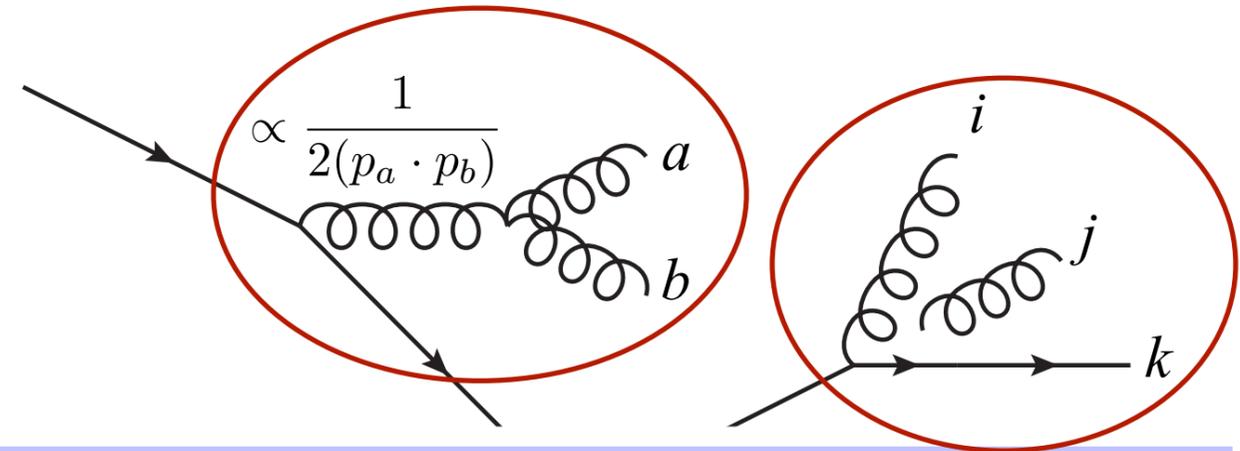
**This is what parton showers are for**

# The QCD Fractal

Most bremsstrahlung is driven by **divergent propagators** → simple universal structure, independent of process details

**Amplitudes factorise in singular limits**

Bremsstrahlung



**Partons ab**

$P(z) =$  **DGLAP** splitting kernels, with  $z =$  energy fraction  $= E_a/(E_a + E_b)$

→  
"collinear"

$$|\mathcal{M}_{F+1}(\dots, a, b, \dots)|^2 \xrightarrow{a||b} g_s^2 \mathcal{C} \frac{P(z)}{2(p_a \cdot p_b)} |\mathcal{M}_F(\dots, a + b, \dots)|^2$$

**Gluon j**

**Coherence** → Parton  $j$  really emitted by  $(i, k)$  colour dipole: **eikonal**

→  
"soft":

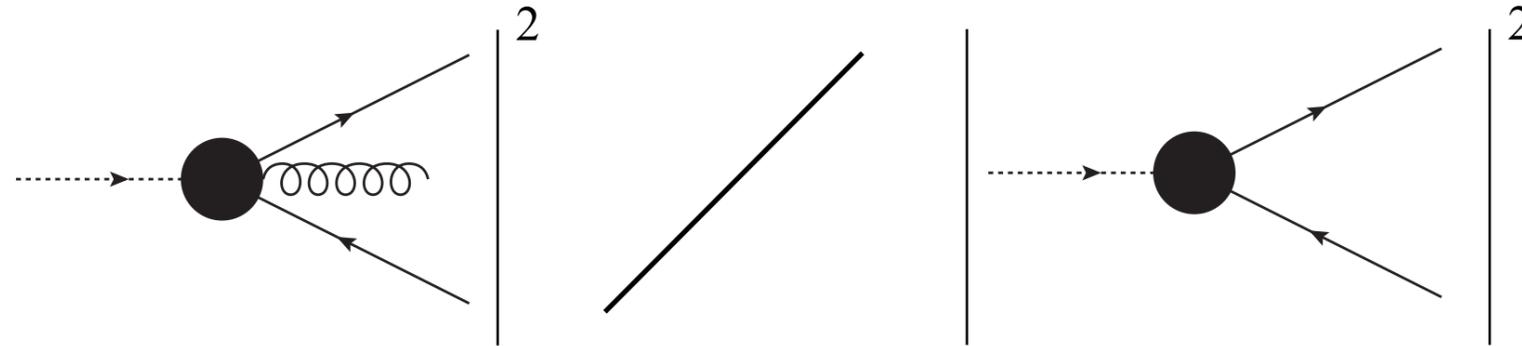
$$|\mathcal{M}_{F+1}(\dots, i, j, k, \dots)|^2 \xrightarrow{j_g \rightarrow 0} g_s^2 \mathcal{C} \frac{(p_i \cdot p_k)}{(p_i \cdot p_j)(p_j \cdot p_k)} |\mathcal{M}_F(\dots, i, k, \dots)|^2$$

Apply this **many times** for successively softer / more collinear emissions → **QCD fractal**

+ scaling **violation**:  $g_s^2 \rightarrow 4\pi\alpha_s(Q^2)$

# Types of Showers

Factorisation of  
(squared) amplitudes  
in IR singular limits  
(leading colour)



Full ME (modulo nonsingular terms)

**DGLAP**

*ij-collinear limit*  
*jk-collinear limit*

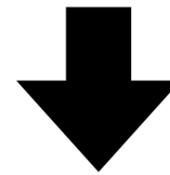
$$\frac{P_{q \rightarrow qg}(z_i)}{S_{qg}} + \frac{P_{q \rightarrow qg}(z_k)}{S_{g\bar{q}}}$$

One term for each **parton**

**Not** a priori coherent.

+ **Angular ordering** restores azimuthally averaged eikonal

**Antenna**



**Dipole (CS/Partitioned)**

$$\frac{2s_{q\bar{q}}}{s_{qg}s_{g\bar{q}}} + \frac{1}{s} \left( \frac{s_{g\bar{q}}}{s_{qg}} + \frac{s_{qg}}{s_{g\bar{q}}} \right) \frac{\mathcal{K}_{qg,\bar{q}}(z_q)}{s_{qg}} + \frac{\mathcal{K}_{\bar{q}g,q}(z_{\bar{q}})}{s_{g\bar{q}}}$$

eikonal term      collinear terms      partitioning of eikonal

**One** term for each colour connection

**Coherent** by construction

**Two** terms for each colour connection

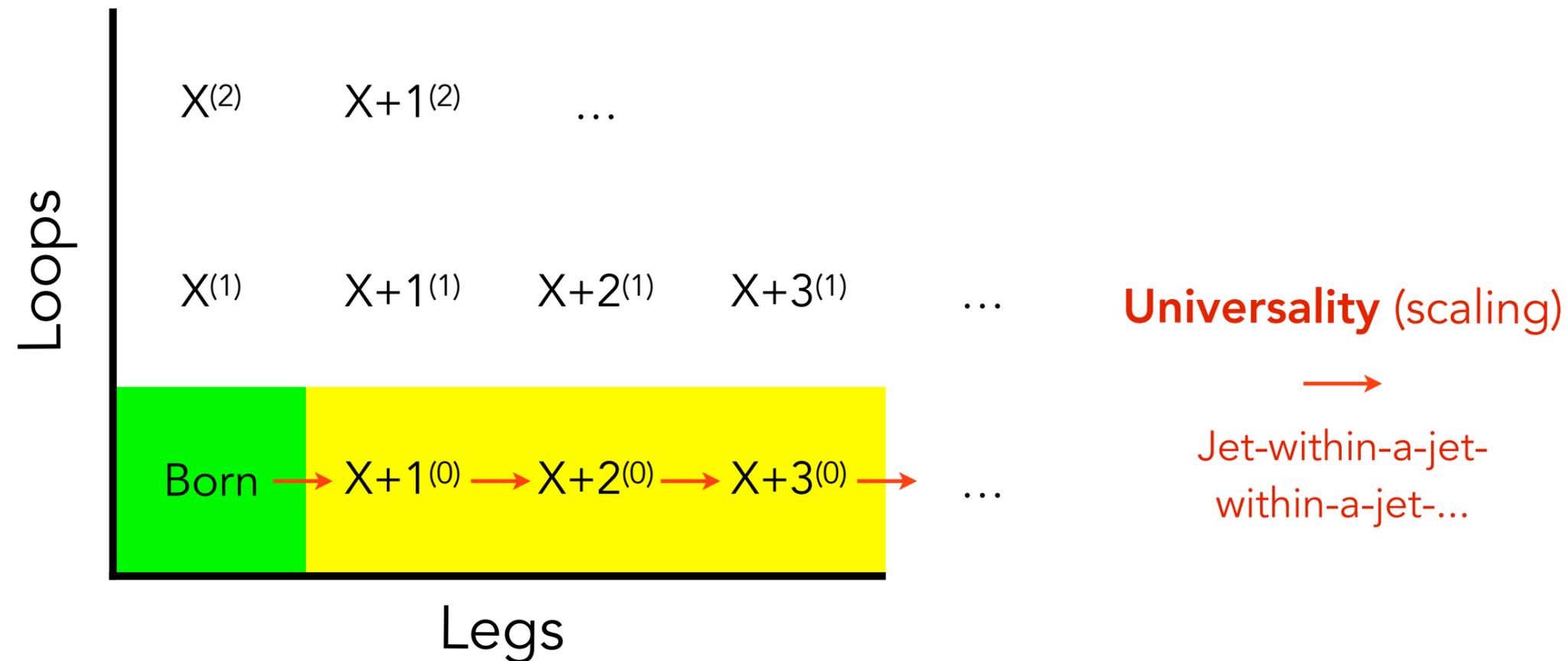
**Coherent** by construction

**Note:** this is (intentionally) oversimplified. Many subtleties (recoil strategies, gluon parents, initial-state partons, and mass terms) not shown.

# Is that "All Orders" ?

Great, starting from an arbitrary Born ME, we can now:

Obtain tree-level ME with **any number of legs** (in soft/collinear approximation)

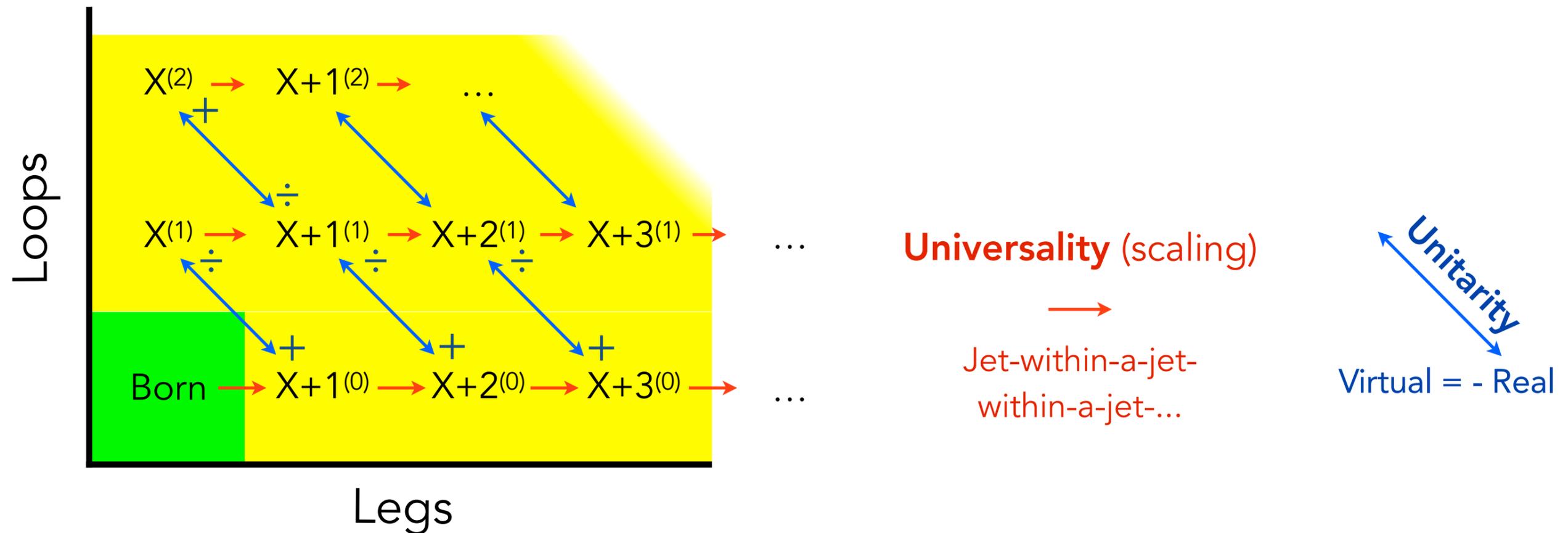


Doesn't look very "all-orders" though, does it? **What about the loops?**

# Detailed Balance

Showers impose **Detailed Balance** (a.k.a. Probability Conservation  $\leftrightarrow$  **Unitarity**)

When  $X$  branches to  $X+1$  : **Gain** one  $X+1$ , **Lose** one  $X \rightarrow$  **Virtual Corrections**



$\rightarrow$  Showers do **"Bootstrapped Perturbation Theory"**

Imposed via differential **event evolution**

# On Probability Conservation a.k.a. Unitarity

Probability Conservation:  $P(\text{something happens}) + P(\text{nothing happens}) = 1$

**In Showers:** Imposed by Event evolution: "detailed balance"

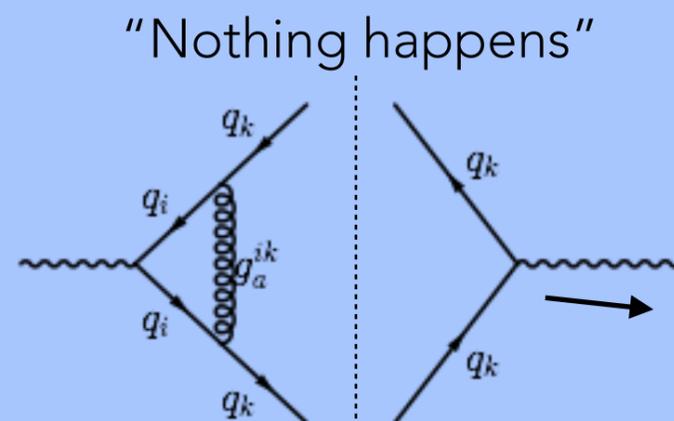
When (X) branches to (X+1): **Gain** one (X+1). **Lose** one (X). → A "gain-loss" differential equation.  
Cast as **iterative** (Markov-Chain Monte-Carlo) evolution algorithm, based on universality and unitarity.

With evolution kernel  $\sim \frac{|M_{n+1}|^2}{|M_n|^2}$  (typically a soft/collinear approx thereof)

Typical choices  
 $p_{\perp}, Q^2, E\theta, \dots$

Evolve in some measure of **resolution**  $\sim$  hardness,  $1/\text{time} \dots \sim$  **fractal scale**

**Compare with NLO** (e.g., in previous lecture)

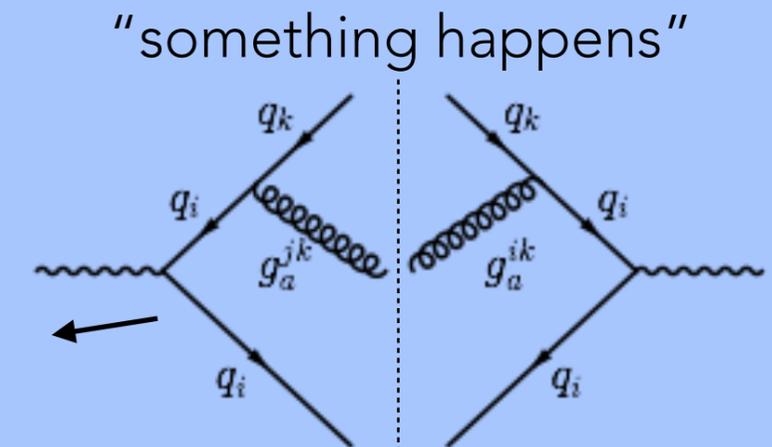


$$2\text{Re} \left[ \mathcal{M}^{(1)} \mathcal{M}^{(0)*} \right]$$

**KLN:** sum over degenerate quantum states = finite; infinities must cancel)

$$\text{Loop} = - \int \text{Tree} + F$$

$F$  for "finite"



$$|\mathcal{M}_{+1}^{(0)}|^2$$

Showers neglect  $F \rightarrow$  "Leading-Logarithmic" (**LL**) Approximation

# Evolution ~ Fine-Graining the Description of the Event

(E.g., starting from QCD  $2 \rightarrow 2$  hard process)

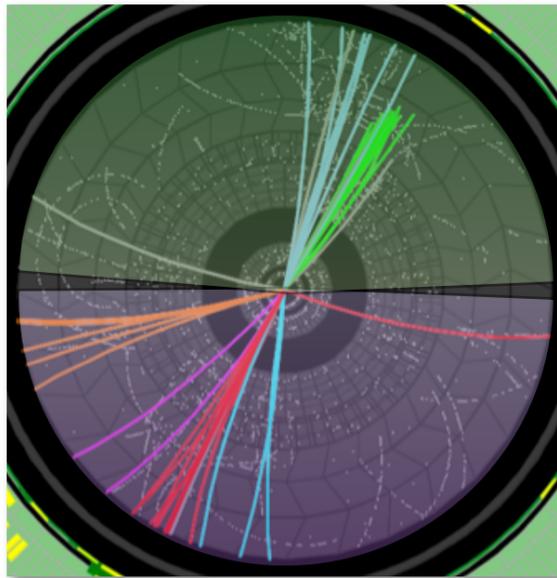
Resolution  
Scale

$$Q \sim Q_{\text{HARD}}$$

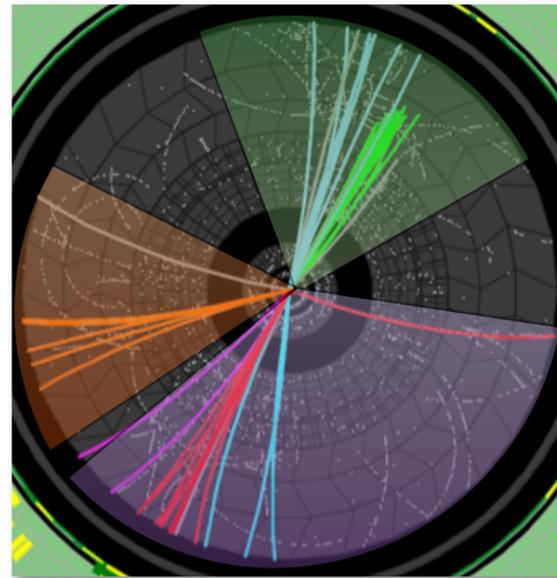
$$Q_{\text{HARD}}/Q < \text{“A few”}$$

$$Q \ll Q_{\text{HARD}}$$

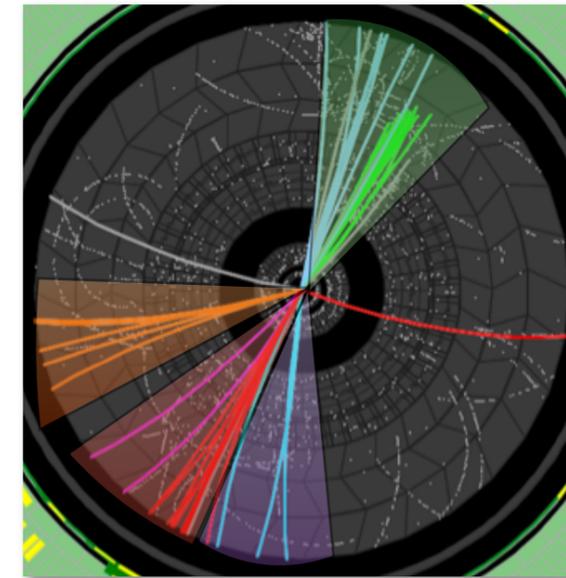
Scale Hierarchy!



At most inclusive level  
“Everything is 2 jets”



At (slightly) finer resolutions,  
some events have 3, or 4 jets



At high resolution, **most** events  
have  $>2$  jets

Cross  
sections

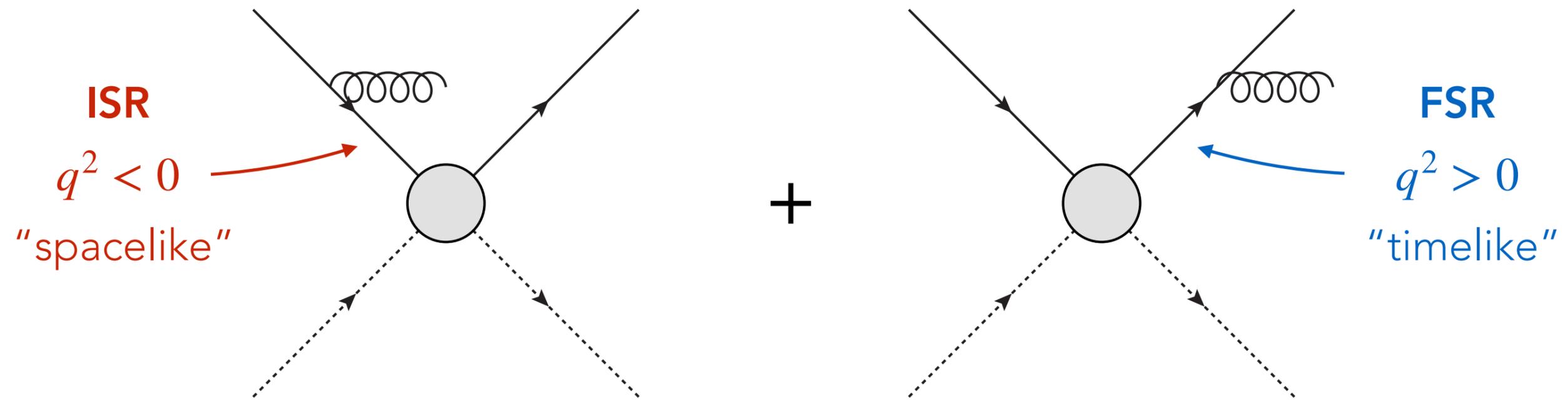
Fixed order:  
 $\sigma_{\text{inclusive}}$

Fixed order:  
 $\sigma_{X+n} \sim \alpha_s^n \sigma_X$

Fixed order **diverges**:  
 $\sigma_{X+n} \sim \alpha_s^n \ln^{2n}(Q/Q_{\text{HARD}}) \sigma_X$

**Unitarity**  $\rightarrow$  *number of splittings diverges*  
while cross section remains  $\sigma_{\text{inclusive}}$

# A Subtlety: Initial vs Final State Showers



Separation meaningful for **collinear** radiation, but not for **soft** ...

## Who emitted that gluon?

QFT = sum over amplitudes, then square  $\rightarrow$  interference quantum  $\neq$  classical (IF coherence)  
Respected by **antenna** and **dipole** languages (and by angular ordering, azimuthally averaged),  
but **not** by collinear **DGLAP** (e.g., PDF evolution but also PYTHIA without MECs.)

# Perturbative Ambiguities

The final states generated by a shower algorithm will depend on

1. The choice of perturbative evolution variable(s)  $t^{[i]}$ . ← Ordering & Evolution-scale choices
2. The choice of phase-space mapping  $d\Phi_{n+1}^{[i]}/d\Phi_n$ . ← Recoils, kinematics
3. The choice of radiation functions  $a_i$ , as a function of the phase-space variables.
4. The choice of renormalization scale function  $\mu_R$ . ← Non-singular terms, Coherence, Subleading Colour
5. Choices of starting and ending scales. ← Phase-space limits / suppressions for hard radiation and choice of hadronization scale

→ gives us additional handles for **uncertainty estimates**, beyond just  $\mu_R$   
(+ ambiguities can be reduced by including more pQCD → **merging!**)

# Fixed Order ~~vs~~ Showers

plus

**Fixed Order Paradigm:** consider a single physical process

Explicit solutions, process-by-process (to some extent automated)

Standard-Model: typically NLO or NNLO

Beyond-SM: typically LO or NLO

Accurate for hard process, to given perturbative order

Limited generality

**Multi-scale problems** → logs of scale hierarchies, not resummed → loss of accuracy.

**Event Generators (Showers):** consider all physical processes

Universal solutions, applicable to any/all processes

Accurate in strongly ordered (soft/collinear) limits (=bulk of radiation)

Note: most showers only formally accurate to (N)LL = LL + important corrections

Maximum generality

**Process-dependence** = subleading corrections, large for hard resolved jets. → **merging**

Note: can also be cured via (non-shower) resummation methods. Not covered here.

# How **Not** to Do it ...

A (complete idiot's) solution

Run generator for  $X$  + shower

Run generator for  $X+1$  + shower

Run generator for ... + shower

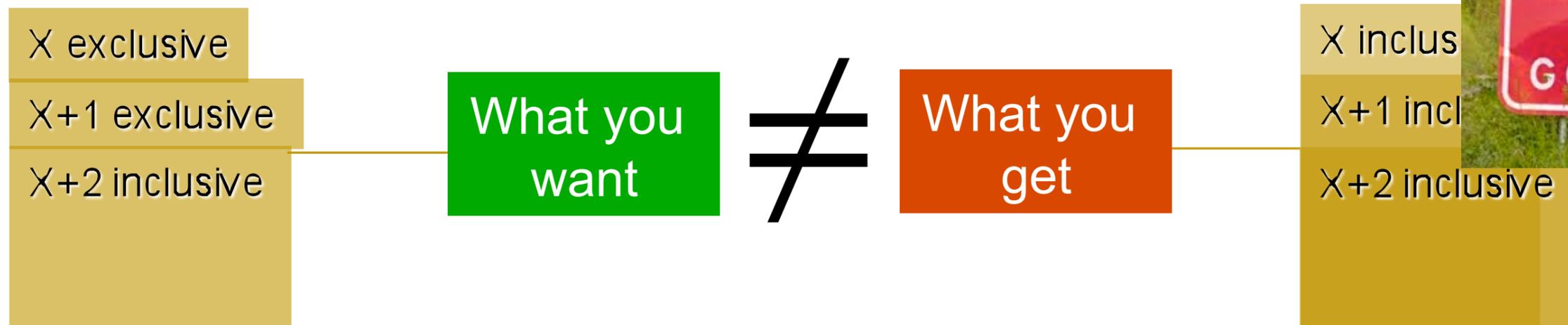


... and just add all these samples together

Problem: "**double counting**" (of terms present in both expansions)

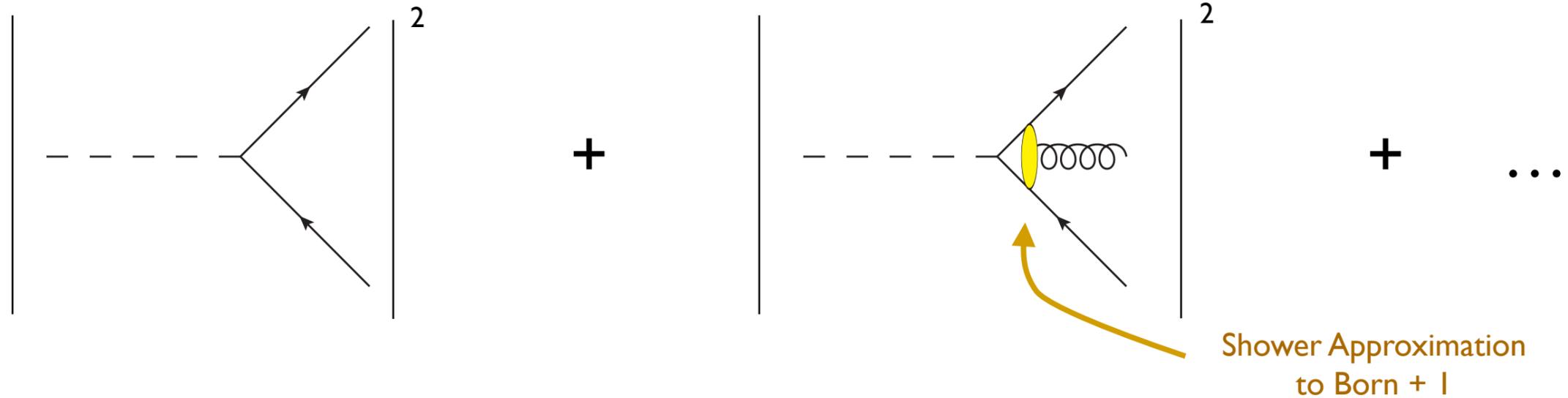
$X$  + shower is **inclusive**:  $X$  + anything **already produces** some  $X+n$  events

Adding additional ME  $X+n$  events → **double counting**



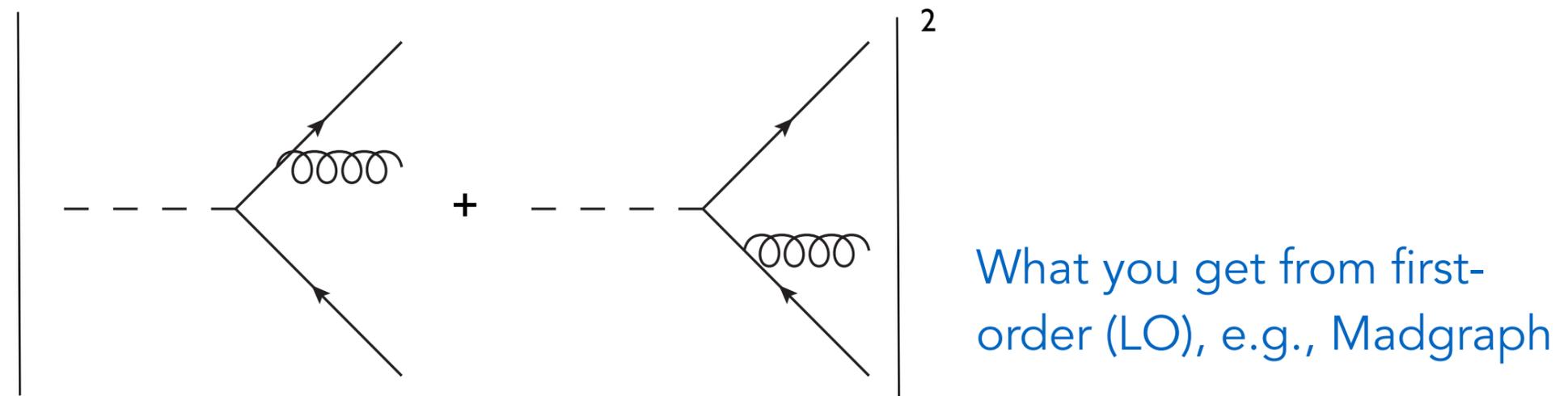
# Example: $H^0 \rightarrow b\bar{b}$

## Born + Shower



What the first-order shower expansion gives you

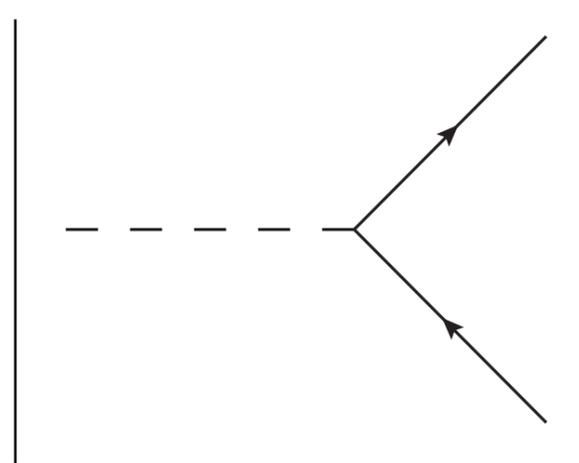
## Born + 1 @ LO



What you get from first-order (LO), e.g., Madgraph

# Rewrite that as Born x [ ... ]

Born + Shower (tree-level expansion)

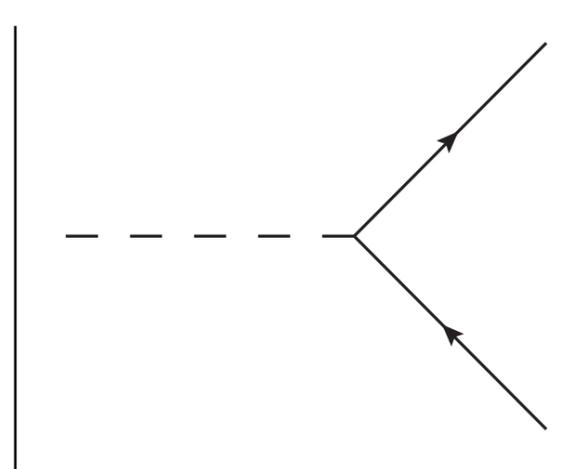


$$\left( \mathbf{1} + g_s^2 2C_F \left[ \frac{2s_{ik}}{s_{ij}s_{jk}} + \frac{1}{s_{IK}} \left( \frac{s_{ij}}{s_{jk}} + \frac{s_{ij}}{s_{jk}} \right) \right] \Theta_{\text{PS}} + \dots \right)^2$$

Example of shower kernel  
(here, used "antenna function" for coherent gluon emission from a massless quark pair)

Phase-space region covered by shower

Born + 1 @ LO



$$\left( g_s^2 2C_F \left[ \frac{2s_{ik}}{s_{ij}s_{jk}} + \frac{1}{s_{IK}} \left( \frac{s_{ij}}{s_{jk}} + \frac{s_{ij}}{s_{jk}} + 2 \right) \right] \Theta_{\text{ME}} \right)^2$$

Example of matrix element;  
(what MadGraph would give you)

Phase-space region covered by ME

**Total Overkill to add these two.** All we need is just that **+2** (& cover any difference between  $\Theta_{\text{PS}}$  and  $\Theta_{\text{ME}}$ )

# 1. Matrix-Element Corrections

Exploit freedom to choose non-singular terms [Bengtsson, Sjöstrand, PLB 185 \(1987\) 435](#)

**Modify parton shower** to use radiation functions  $\propto$  full matrix element for 1<sup>st</sup> emission:

$$\text{Parton Shower } \frac{P(z)}{Q^2} \rightarrow \frac{P'(z)}{Q^2} = \frac{P(z)}{Q^2} \underbrace{\frac{|M_{n+1}|^2}{\sum_i P_i(z)/Q_i^2 |M_n|^2}}_{\text{MEC}} \quad \leftarrow \begin{array}{l} \text{(suppressing } \alpha_s \\ \text{and Jacobian} \\ \text{factors)} \end{array}$$

Process-dependent MEC  $\rightarrow$   $P'$  different for each process

Done in **PYTHIA** for all SM decays and many BSM ones [Norrbin, Sjöstrand, NPB 603 \(2001\) 297](#)

Based on systematic classification of spin/colour structures

(Also used to account for mass effects, and for a few simple hard processes like Drell-Yan.)

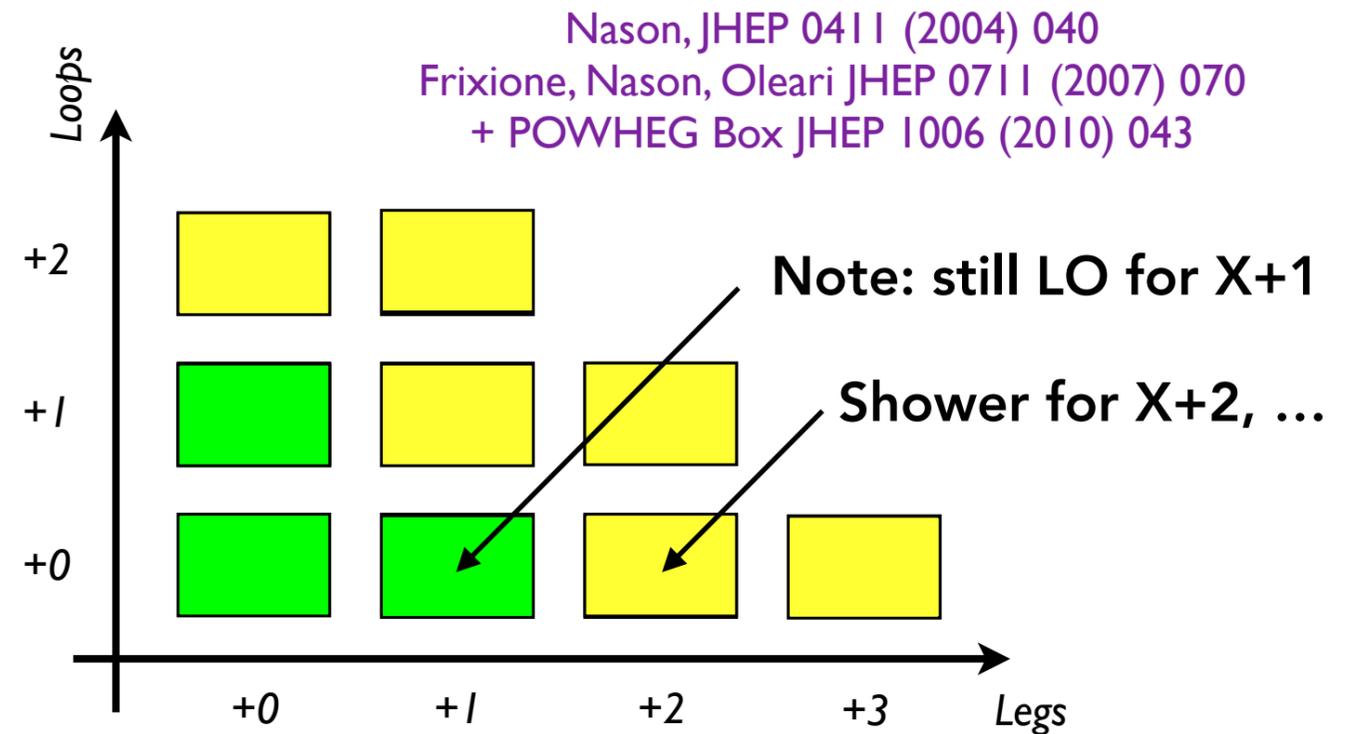
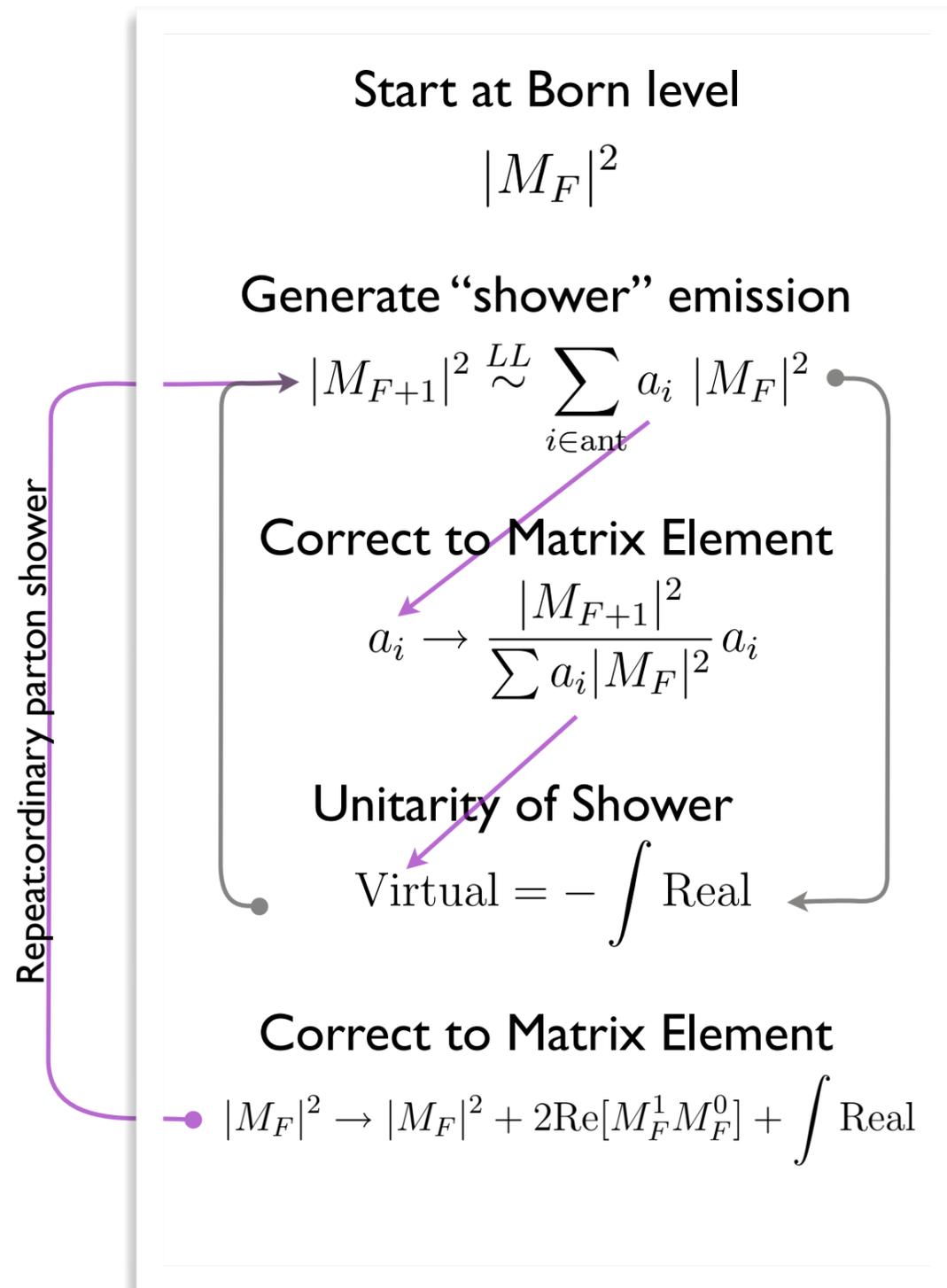
**Difficult** to generalise beyond one emission

Parton-shower expansions complicated & can have "dead zones"

Achieved in VINCIA (by devising showers that have simple expansions) [Giele, Kosower, Skands, PRD 84 \(2011\) 054003](#)  
[Fischer et al, arXiv:1605.06142](#)

# MECs with Loops: POWHEG

Acronym stands for: **P**ositive **W**eight **H**ardest **E**mission **G**enerator.



Method is widely applied/available, can be used with  
PYTHIA, HERWIG, SHERPA

**Subtlety 1: Connecting with parton shower**

*Truncated Showers & Vetoed Showers*

**Subtlety 2: Avoiding (over)exponentiation of hard radiation**

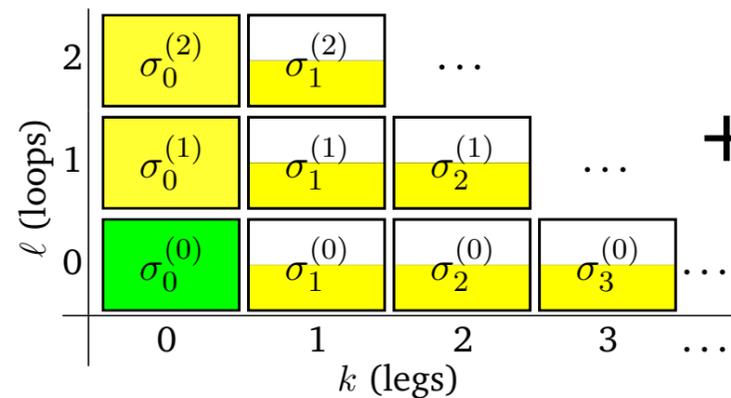
Controlled by "hFact" parameter (POWHEG)

# 2: Slicing (MLM & CKKW-L)

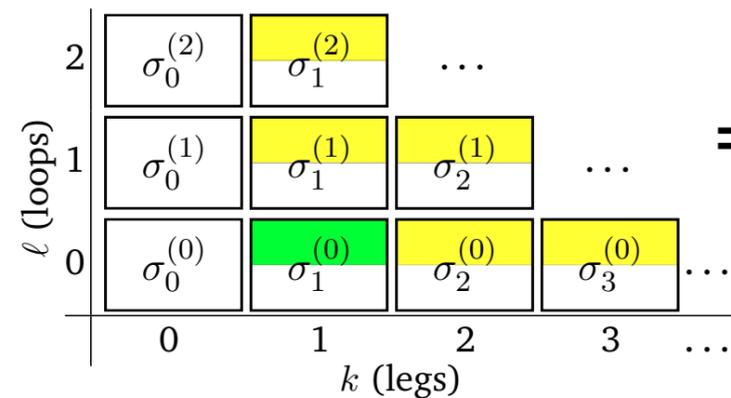
## First emission: “the HERWIG correction”

Use the fact that the angular-ordered HERWIG parton shower has a “dead zone” for hard wide-angle radiation (Seymour, 1995)

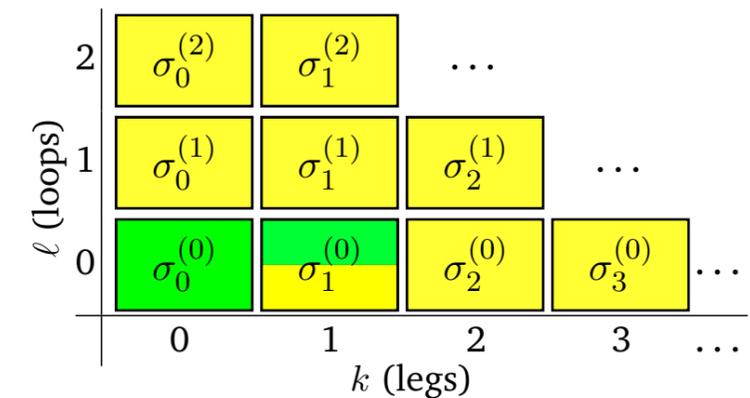
**F @ LO×LL-Soft** (HERWIG Shower)



**F+1 @ LO×LL** (HERWIG Corrections)

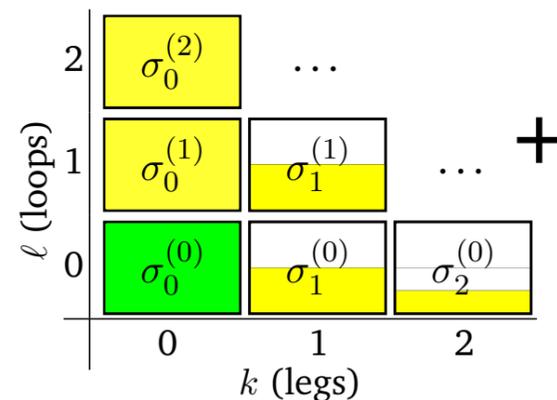


**F @ LO<sub>1</sub>×LL** (HERWIG Matched)



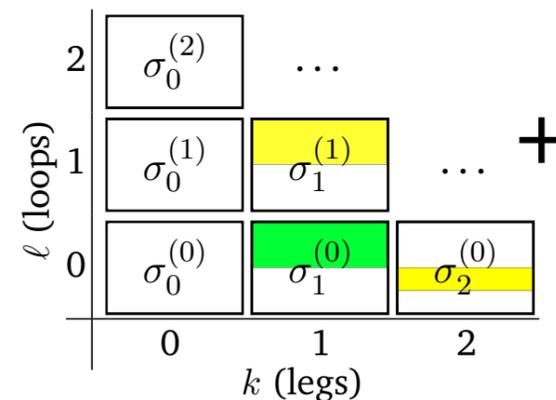
## Many emissions: the MLM & CKKW-L prescriptions

**F @ LO×LL-Soft** (excl)



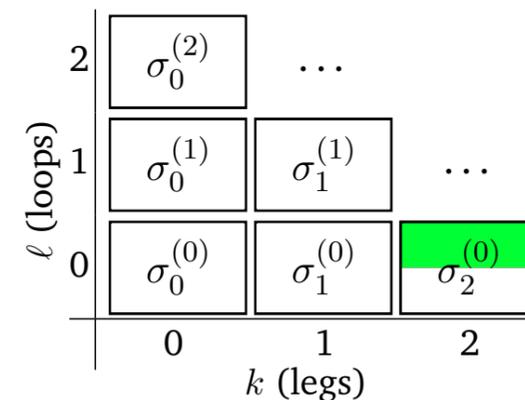
(CKKW & Lönnblad, 2001)

**F+1 @ LO×LL-Soft** (excl)



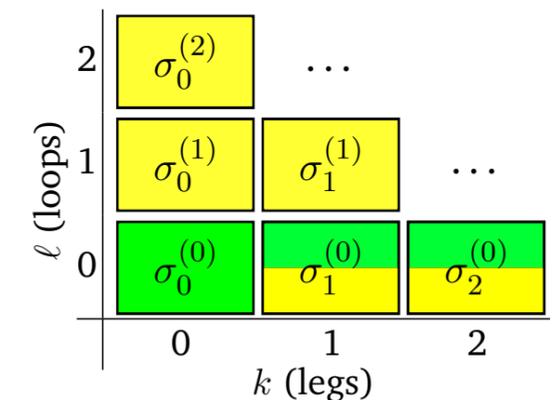
(Mangano, 2002)

**F+2 @ LO×LL** (incl)



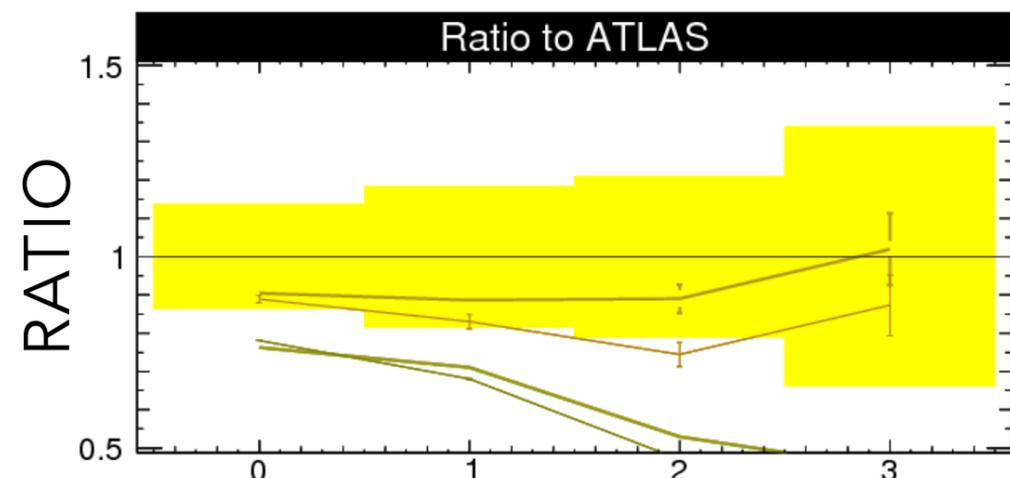
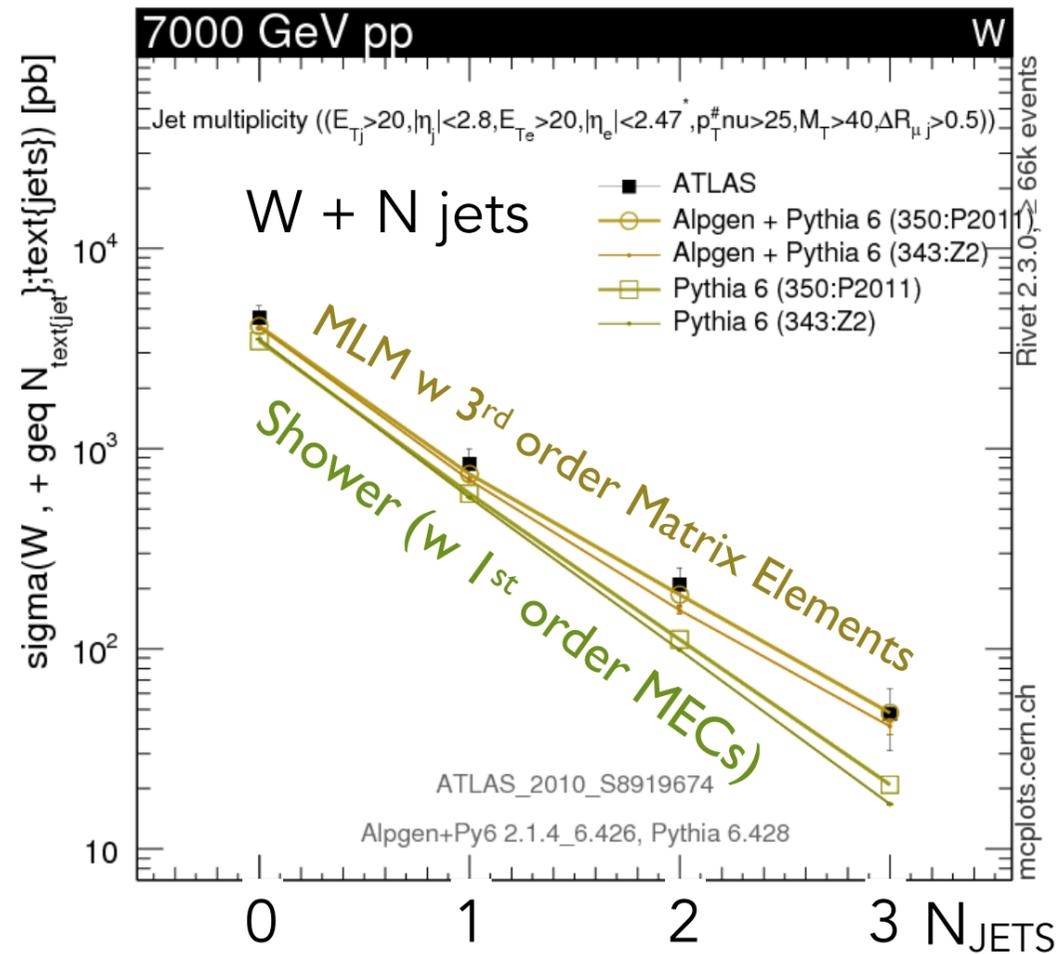
(+many more recent; see Alwall et al., EPJC53(2008)473)

**F @ LO<sub>2</sub>×LL** (MLM & (L)-CKKW)



# The Gain

## Example: LHC<sub>7</sub> : W + 20-GeV Jets



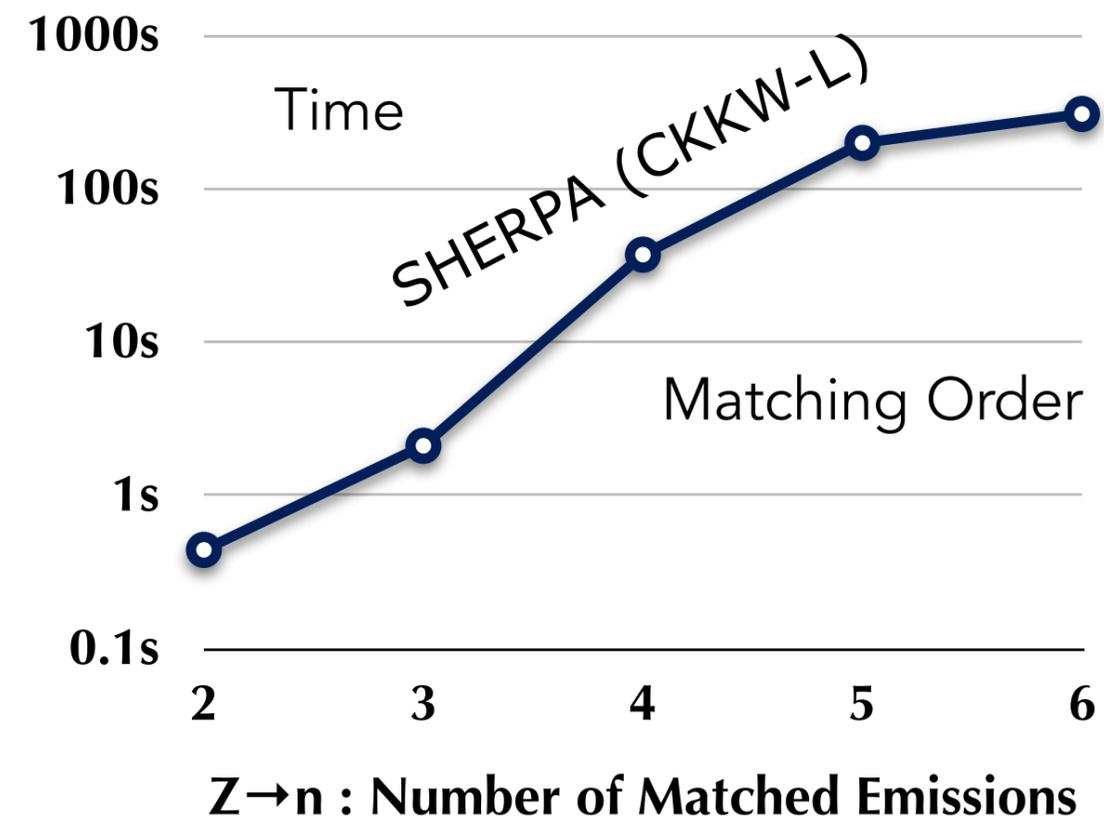
Plot from [mcplots.cern.ch](http://mcplots.cern.ch); see arXiv:1306.3436

# The Cost

## Example: $e^+e^- \rightarrow Z \rightarrow$ Jets

2. Time to generate 1000 events  
(Z  $\rightarrow$  partons, fully showered & matched. No hadronization.)

### 1000 SHOWERS

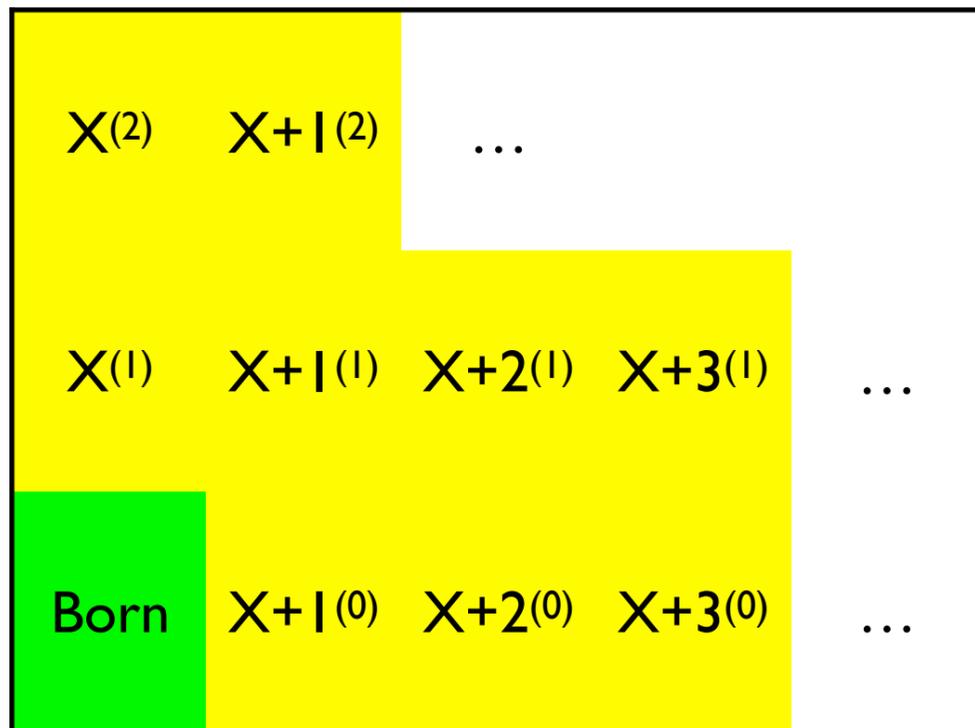


See e.g. Lopez-Villarejo & Skands, arXiv:1109.3608

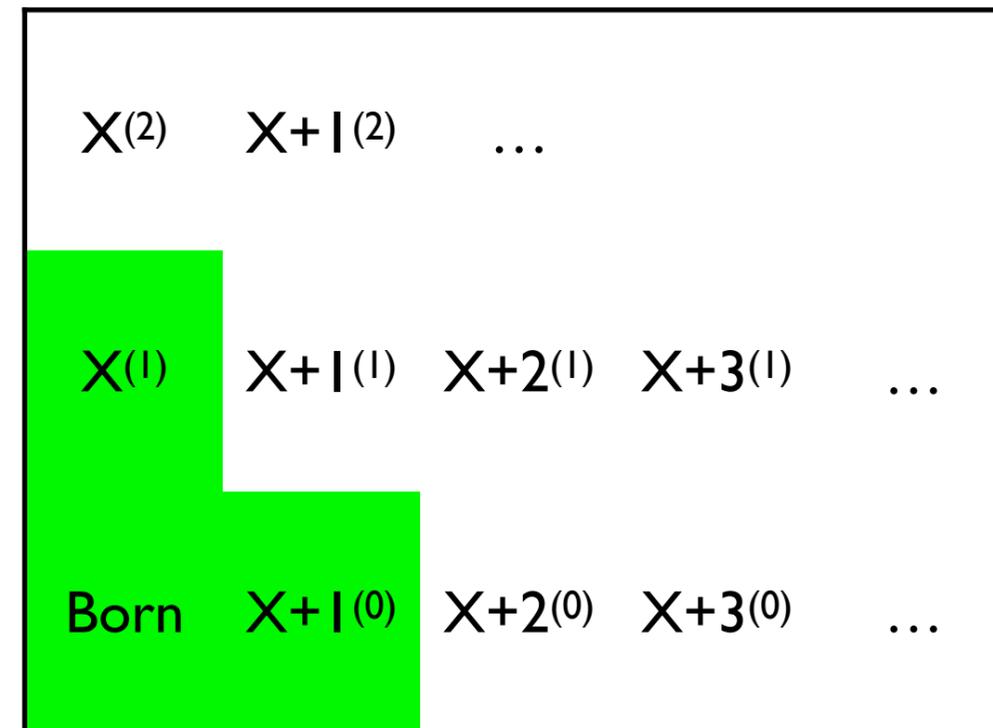
# 3: Subtraction

Examples: MC@NLO, aMC@NLO

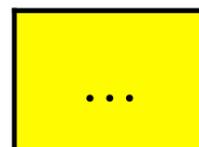
LO × Shower



NLO



Fixed-Order Matrix Element

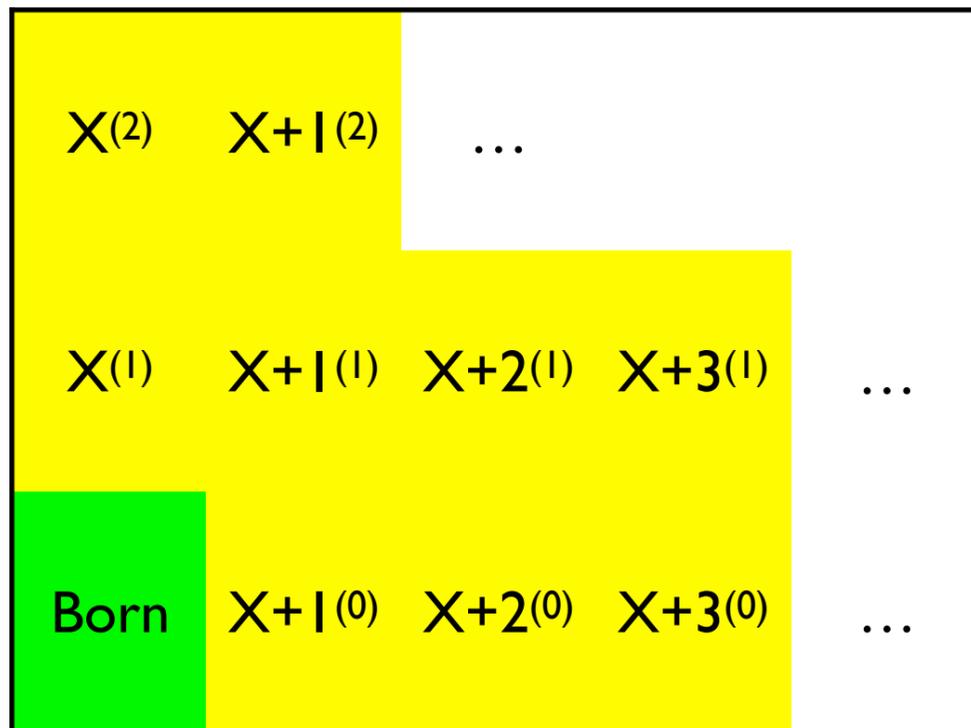


Shower Approximation

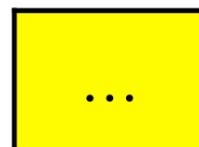
# Matching 3: Subtraction

Examples: MC@NLO, aMC@NLO

LO  $\times$  Shower

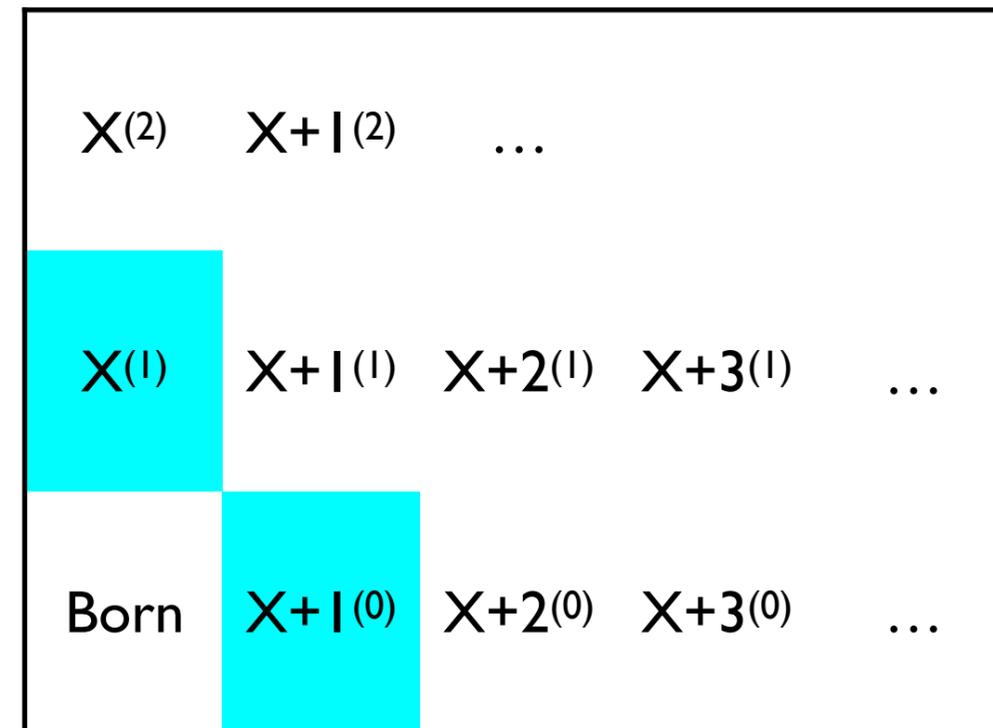


Fixed-Order Matrix Element

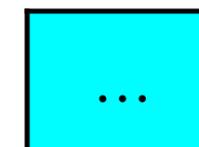


Shower Approximation

NLO - Shower<sub>NLO</sub>



Expand shower approximation to NLO analytically, then subtract:

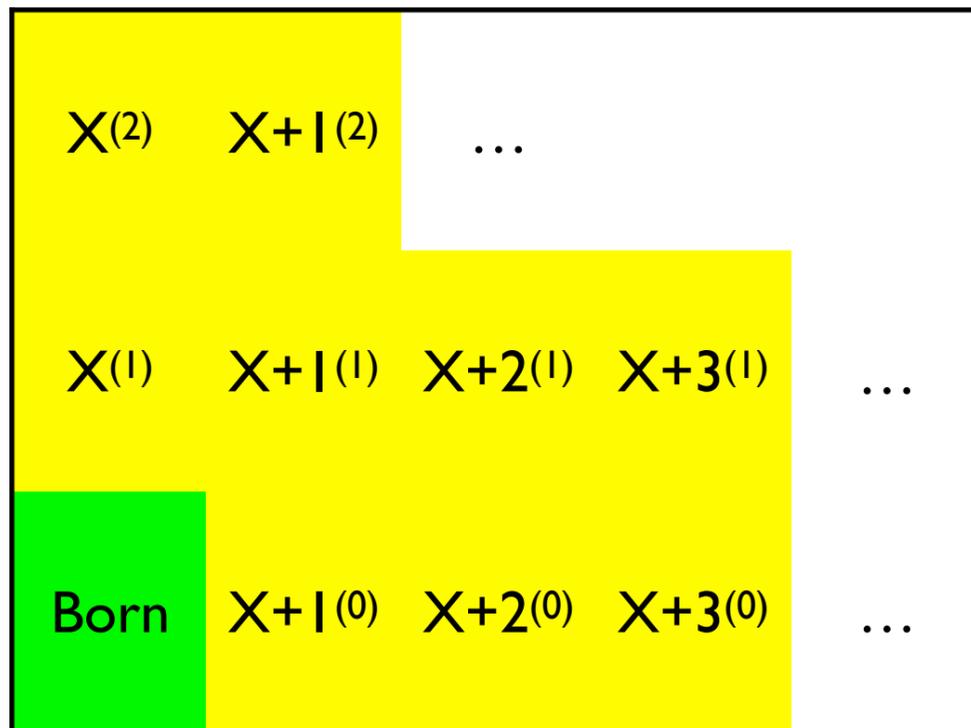


Fixed-Order ME minus Shower Approximation (NOTE: can be < 0!)

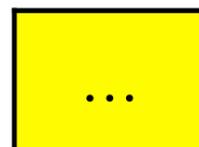
# Matching 3: Subtraction

Examples: MC@NLO, aMC@NLO

LO  $\times$  Shower

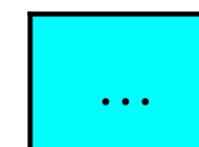
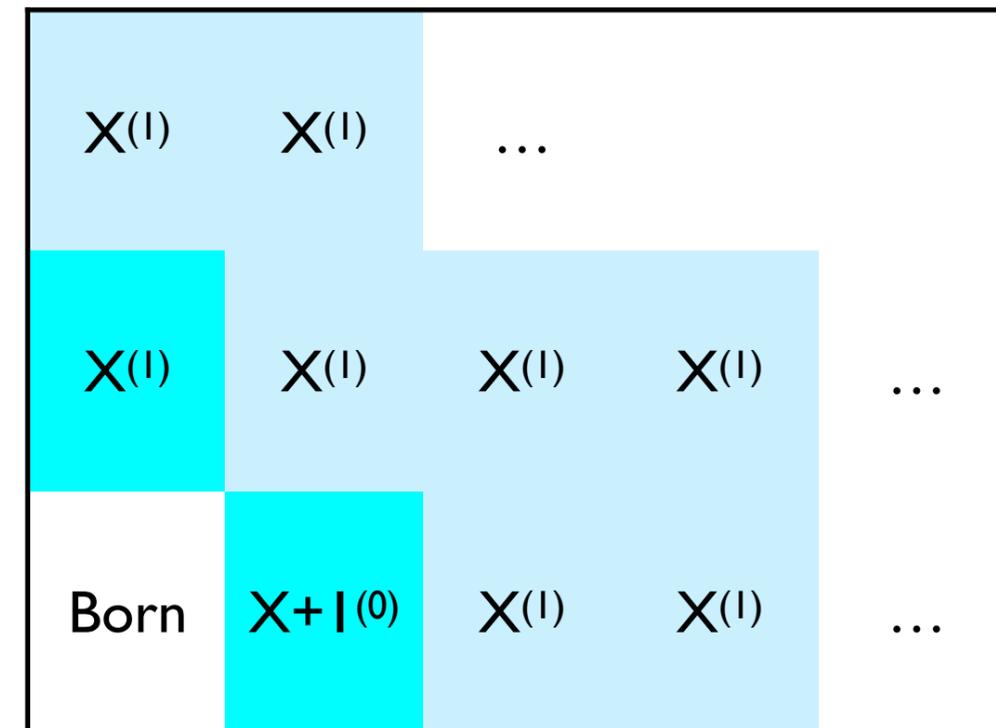


Fixed-Order Matrix Element



Shower Approximation

(NLO - Shower<sub>NLO</sub>)  $\times$  Shower



Fixed-Order ME minus Shower Approximation (NOTE: can be < 0!)



Subleading corrections generated by shower off subtracted ME

# Matching 3: Subtraction

Examples: MC@NLO, aMC@NLO

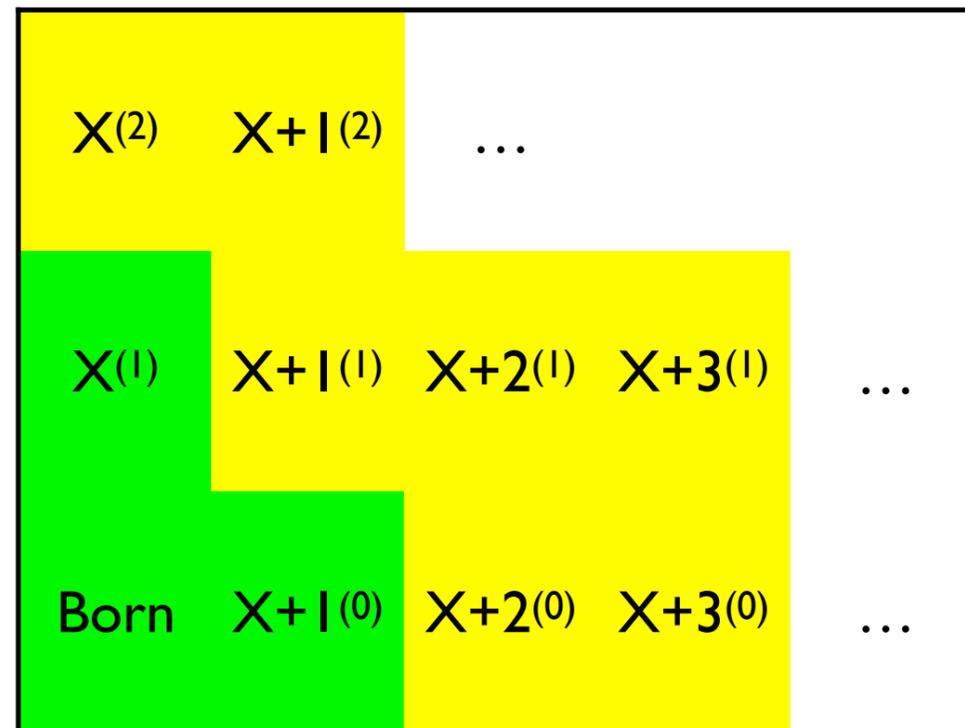
Combine ➤ MC@NLO

Frixione, Webber, JHEP 0206 (2002) 029

Consistent NLO + parton shower (though correction events can have  $w < 0$ )

Recently, has been fully automated in aMC@NLO

Frederix, Frixione, Hirschi, Maltoni, Pittau, Torrielli, JHEP 1202 (2012) 048



**Note: negative weights  $w < 0$  are a problem because they kill efficiency:**

Extreme example: 1000  $w(+1)$  ÷ 999  $w(-1)$  events → **statistical precision of 1 event, for 2000 generated.** [For comparison, standard MC@NLO typically has  $O(10\%)$   $w = -1$  events.]

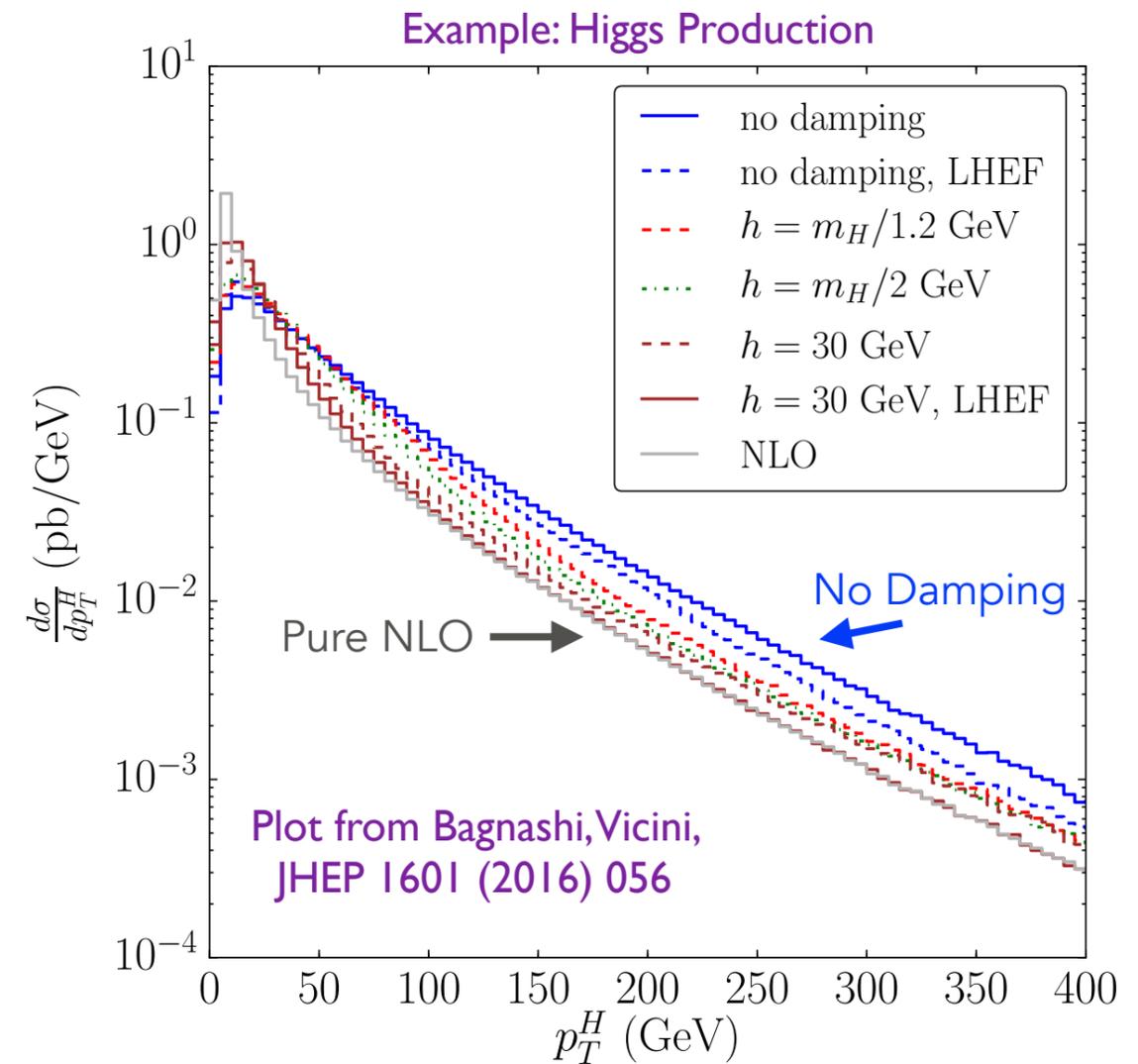
# POWHEG vs MC@NLO

Both methods include the complete first-order (NLO) matrix elements.

Difference is in whether **only** the shower kernels are exponentiated (MC@NLO) or whether part of the matrix-element corrections are too (POWHEG)

In POWHEG, how much of the MEC you exponentiate can be controlled by the "hFact" parameter

Variations basically span range between MC@NLO-like case, and original (hFact=1) POWHEG case (~ PYTHIA-style MECs)



$$D_h = \frac{h^2}{h^2 + (p_{\perp}^H)^2}$$

$$R^s = D_h R_{\text{div}} \quad R^f = (1 - D_h) R_{\text{div}}$$

exponentiated                      not exponentiated

# Merging — Summary

## The Problem:

Showers generate singular parts of (all) higher-order matrix elements

Those terms are of course also present in  $X + \text{jet(s)}$  matrix elements

To combine, must be careful not to count them twice! (double counting)

## 3 Main Methods

### 1. Matrix-Element Corrections (MECs): **multiplicative correction factors**

Pioneered in PYTHIA (mainly for real radiation  $\Rightarrow$  LO MECs)

Similar method used in POWHEG (with virtual corrections  $\Rightarrow$  NLO)

Generalised to multiple branchings: VINCIA

### 2. Slicing: **separate phase space** into two regions: ME populates high- $Q$ region, shower populates low- $Q$ region (and calculates Sudakov factors)

**CKKW-L** (pioneered by SHERPA) & **MLM** (pioneered by ALPGEN)

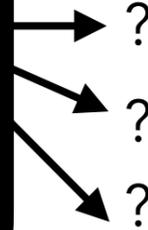
### 3. **Subtraction**: MC@NLO, now automated: aMC@NLO

**State-of-the-art**  $\blacktriangleright$  **Multi-Leg NLO** (UNLOPS, MiNLO, FxFx)

# Quiz: Connect the Boxes

1

Ambiguity about how much of the nonsingular parts of the ME that get exponentiated; controlled by:  
hFact



A

POWHEG

2

Procedure can lead to a fraction of events having:  
Negative Weights

B

CKKW-L & MLM

3

Ambiguity about definition of which events "count" as hard N-jet events; controlled by:  
Merging Scale

C

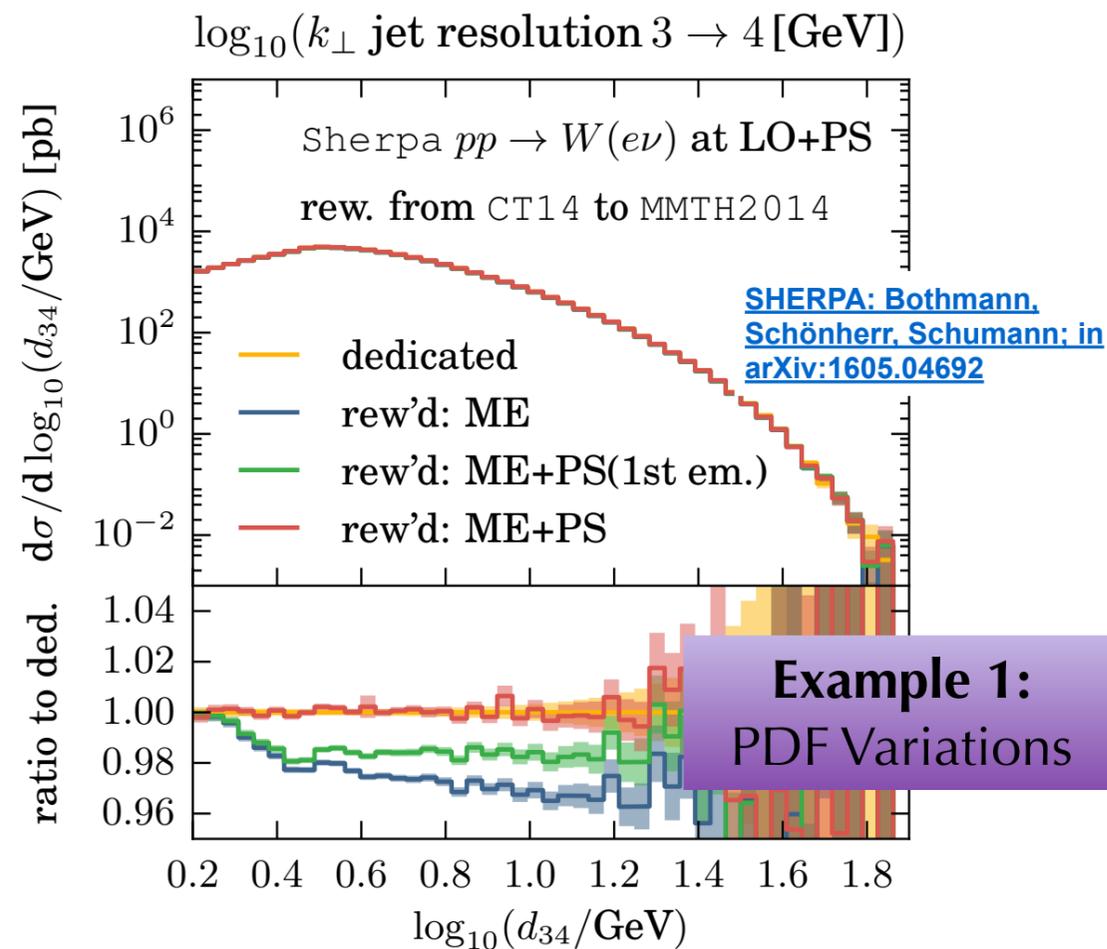
MC@NLO

Extra Slides

# (Advertisement: Uncertainties in Parton Showers)

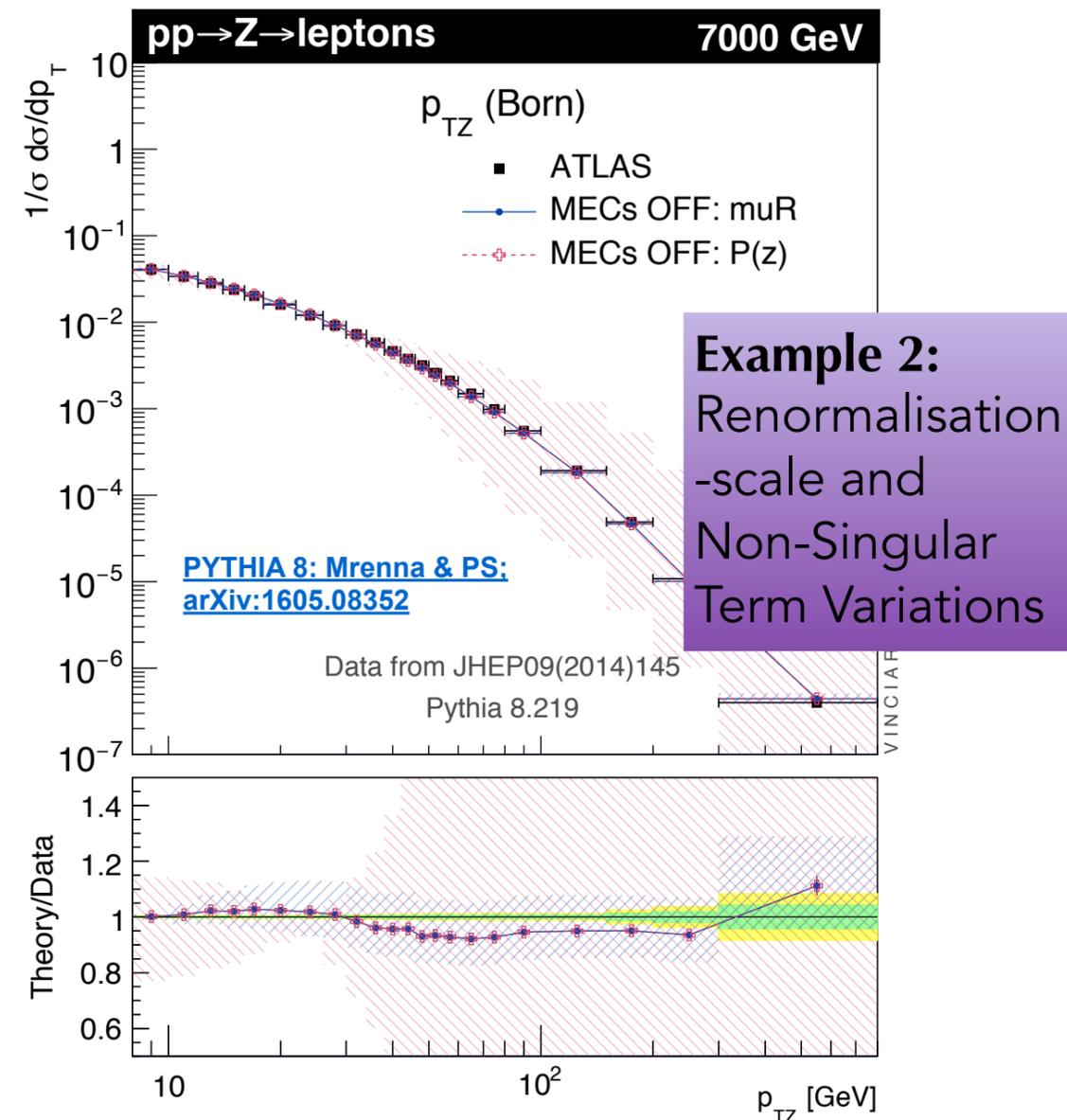
Recently, HERWIG, PYTHIA & SHERPA all published papers on automated calculations of shower uncertainties (based on tricks with the Sudakov algorithm)

Weight of event = { 1 , 0.7, 1.2, ... }



See also HERWIG++ :  
Bellm et al., arXiv:1605.08256

VINCIA:  
Giele, Kosower PS: arXiv:1102.2126



PYTHIA 8: Mrenna & PS:  
arXiv:1605.08352

Encouraged to start using those, and provide feedback

# Evolution Equations

What we need is a **differential equation**

Boundary condition: a few partons defined at a high scale ( $Q_F$ )

Then evolves (or "runs") that parton system down to a low scale (the hadronization cutoff  $\sim 1$  GeV)  $\rightarrow$  It's an evolution equation in  $Q_F$

Close analogue: **nuclear decay**

Evolve an unstable nucleus. Check if it decays + follow chains of decays.

Decay constant

$$\frac{dP(t)}{dt} = c_N$$

Probability to remain undecayed in the time interval  $[t_1, t_2]$

$$\begin{aligned}\Delta(t_1, t_2) &= \exp\left(-\int_{t_1}^{t_2} c_N dt\right) = \exp(-c_N \Delta t) \\ &= 1 - c_N \Delta t + \mathcal{O}(c_N^2)\end{aligned}$$

Decay probability per unit time

$$\frac{dP_{\text{res}}(t)}{dt} = \frac{-d\Delta}{dt} = c_N \Delta(t_1, t)$$

(respects that each of the original nuclei can only decay if not decayed already)

$\Delta(t_1, t_2)$  : "Sudakov Factor"

# The Sudakov Factor

In nuclear decay, the Sudakov factor counts:

How many nuclei remain undecayed after a time  $t$

Probability to remain undecayed in the time interval  $[t_1, t_2]$

$$\Delta(t_1, t_2) = \exp\left(-\int_{t_1}^{t_2} c_N dt\right) = \exp(-c_N \Delta t)$$

The Sudakov factor for a parton system “counts”:

The probability that the parton system doesn't evolve (branch) when we run the factorization scale ( $\sim 1/\text{time}$ ) from a high to a low scale

(i.e., that there is no state change)

Evolution probability per unit “time”

$$\frac{dP_{\text{res}}(t)}{dt} = \frac{-d\Delta}{dt} = c_N \Delta(t_1, t)$$

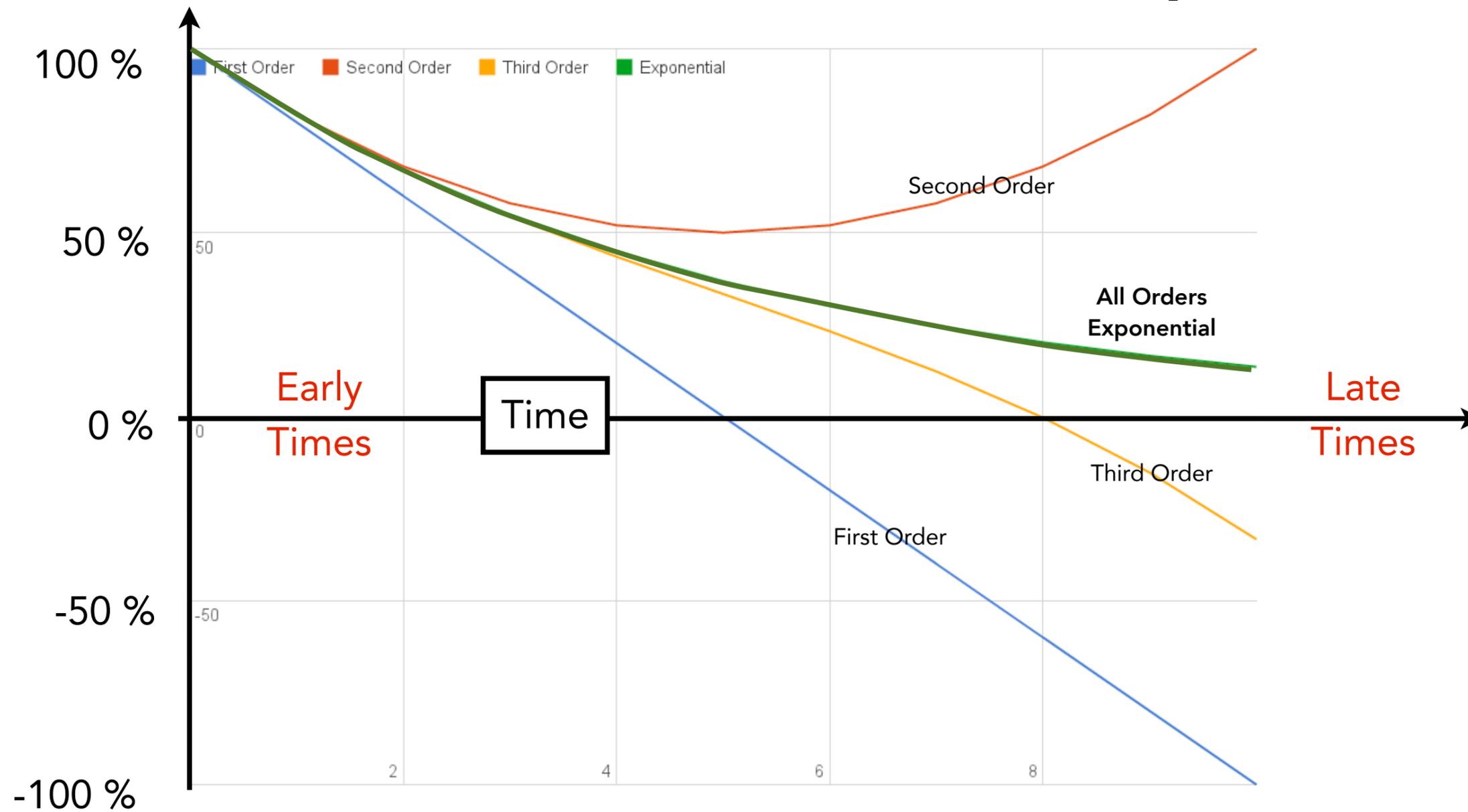
(replace  $t$  by shower evolution scale)

(replace  $c_N$  by proper shower evolution kernels)

# Nuclear Decay

Nuclei remaining undecayed  
after time t

$$= \Delta(t_1, t_2) = \exp\left(-\int_{t_1}^{t_2} dt \frac{d\mathcal{P}}{dt}\right)$$

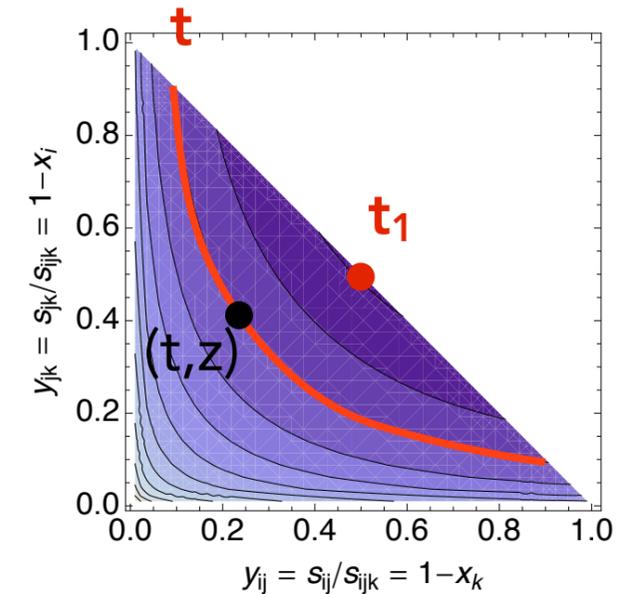


# A Shower Algorithm

1. For each evolver, generate a random number  $R \in [0,1]$

Solve equation  $R = \Delta(t_1, t)$  for  $t$  (with starting scale  $t_1$ )

Analytically for simple splitting kernels,  
else numerically and/or by trial+veto  
→  $t$  scale for next (trial) branching



2. Generate another Random Number,  $R_z \in [0,1]$

To find second (linearly independent) phase-space invariant

Solve equation  $R_z = \frac{I_z(z, t)}{I_z(z_{\max}(t), t)}$  for  $z$  (at scale  $t$ )

With the "primitive function"

$$I_z(z, t) = \int_{z_{\min}(t)}^z dz \frac{d\Delta(t')}{dt'} \Big|_{t'=t}$$

3. Generate a third Random Number,  $R_\phi \in [0,1]$

Solve equation  $R_\phi = \varphi/2\pi$  for  $\phi$  → Can now do 3D branching

Accept/Reject based on full kinematics. Update  $t_1 = t$ . Repeat.

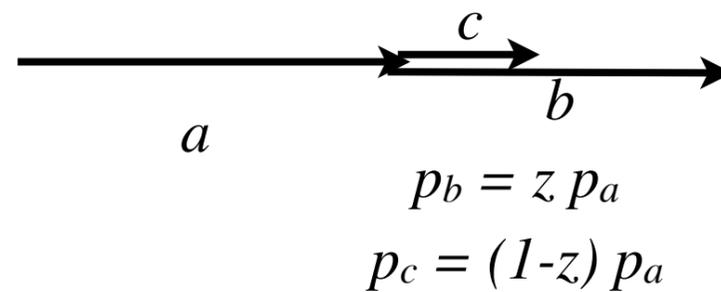
# Example: DGLAP Kernels

DGLAP: from *collinear limit* of MEs  $(p_b+p_c)^2 \rightarrow 0$

+ evolution equation from invariance with respect to  $Q_F \rightarrow$  RGE

DGLAP  
(E.g., PYTHIA)

$$d\mathcal{P}_a = \sum_{b,c} \frac{\alpha_{abc}}{2\pi} P_{a \rightarrow bc}(z) dt dz .$$



$$P_{q \rightarrow qg}(z) = C_F \frac{1+z^2}{1-z} ,$$

$$P_{g \rightarrow gg}(z) = N_C \frac{(1-z(1-z))^2}{z(1-z)} ,$$

$$P_{g \rightarrow q\bar{q}}(z) = T_R (z^2 + (1-z)^2) ,$$

$$P_{q \rightarrow q\gamma}(z) = e_q^2 \frac{1+z^2}{1-z} ,$$

$$P_{l \rightarrow l\gamma}(z) = e_l^2 \frac{1+z^2}{1-z} ,$$

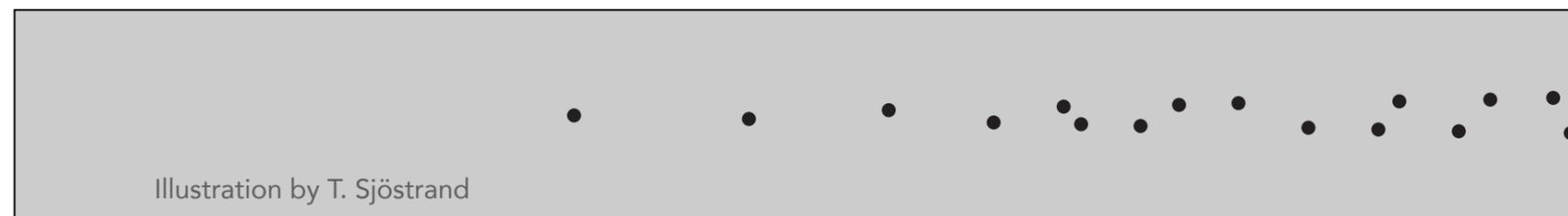
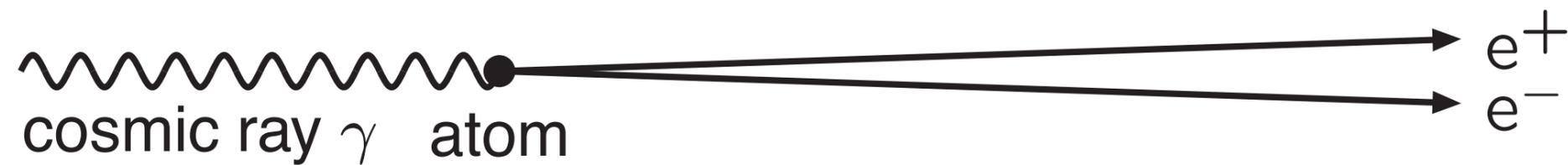
$$dt = \frac{dQ^2}{Q^2} = d \ln Q^2$$

... with  $Q^2$  some measure of "hardness"  
 = event/jet resolution  
 measuring parton virtualities / formation time / ...

**NB:** dipoles, antennae, also have DGLAP kernels as their collinear limits

# Coherence

QED: Chudakov effect (mid-fifties)



emulsion plate

reduced  
ionization

normal  
ionization

# DGLAP and Coherence: Angular ordering

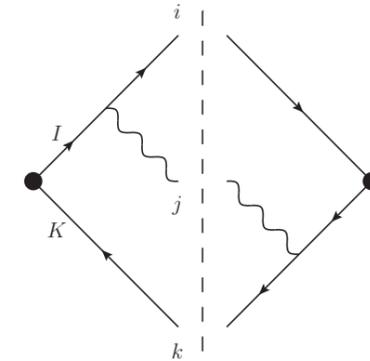
**Physics:** (applies to any gauge theory)

Interference between emissions from colour-connected partons (e.g. i and k)

→ coherent **dipole** patterns

(More complicated multipole effects beyond leading colour; ignored here)

DGLAP kernels, though incoherent a priori, can reproduce this pattern (at least in an azimuthally averaged sense) by *angular ordering*



Start from the M.E. factorisation formula in the **soft limit**

$$\frac{E_j^2 (p_i \cdot p_k)}{(p_i \cdot p_j)(p_j \cdot p_k)} = \frac{1 - \cos \theta_{ik}}{(1 - \cos \theta_{ij})(1 - \cos \theta_{jk})} = \frac{1 - \cos \theta_{ik}}{(1 - \cos \theta_{ij})(1 - \cos \theta_{jk})} \pm \frac{1}{2(1 - \cos \theta_{ij})} \mp \frac{1}{2(1 - \cos \theta_{jk})}$$

Soft Eikonal Factor                      (write out 4-products)                      Add and subtract  $1/(1-\cos\theta_{ij})$  and  $1/(1-\cos\theta_{jk})$  to isolate ij and jk collinear pieces

$$\int_0^{2\pi} \frac{d\phi_{ij}}{4\pi} \left( \frac{1 - \cos \theta_{ik}}{(1 - \cos \theta_{ij})(1 - \cos \theta_{jk})} + \frac{1}{1 - \cos \theta_{ij}} - \frac{1}{1 - \cos \theta_{jk}} \right) = \frac{1}{2(1 - \cos \theta_{ij})} \left( 1 + \frac{\cos \theta_{ij} - \cos \theta_{ik}}{|\cos \theta_{ij} - \cos \theta_{ik}|} \right)$$

Take the ij piece and integrate over azimuthal angle  $d\phi_{ij}$  (using explicit momentum representations)

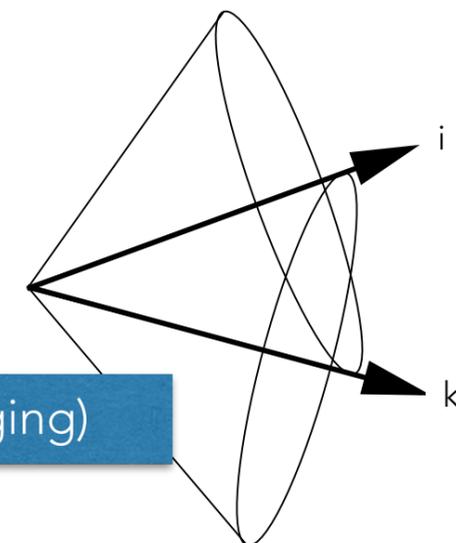
⇒ Soft radiation averaged over  $\phi_{ij}$ :

$$\rightarrow \frac{1}{1 - \cos \theta_{ij}}$$

what you get from a DGLAP kernel

if  $\theta_{ij} < \theta_{ik}$ ; **otherwise 0**

kill radiation outside ik opening angle



**Note:** Dipole & antenna showers include this effect point by point in  $\phi$  (without averaging)

# Coherence at Work in QCD

Example taken from: Ritzmann, Kosower, PS, PLB718 (2013) 1345

## Example: quark-quark scattering in hadron collisions

Consider, for instance, scattering at  $45^\circ$

2 possible colour flows :

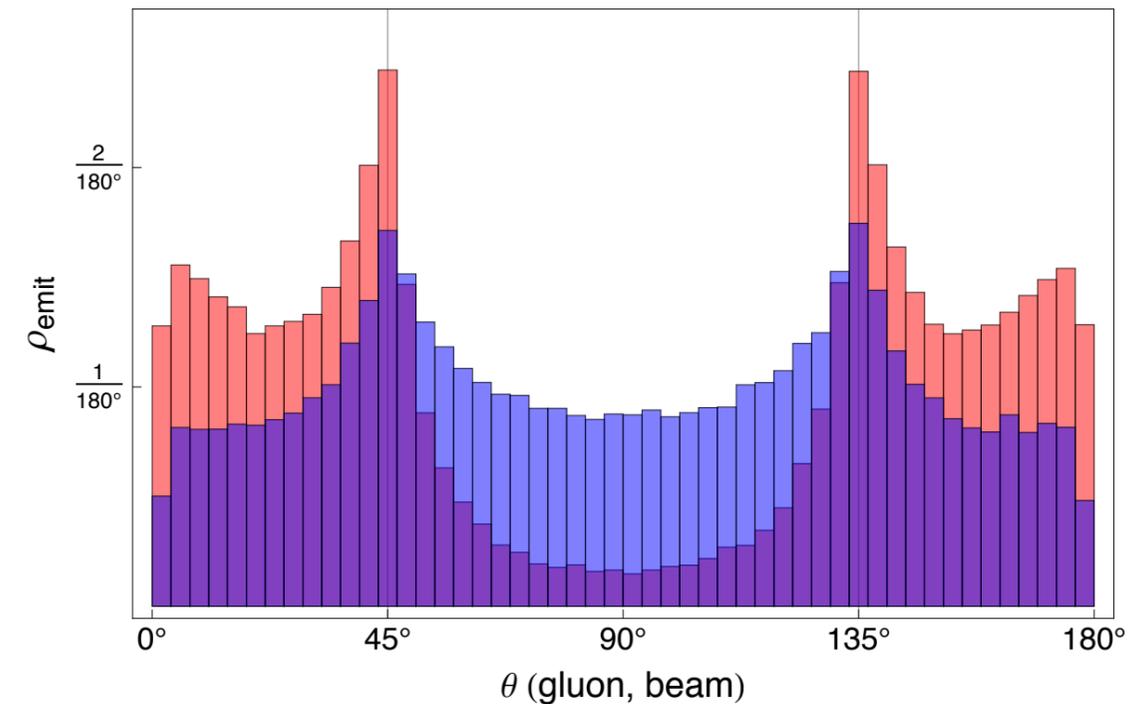
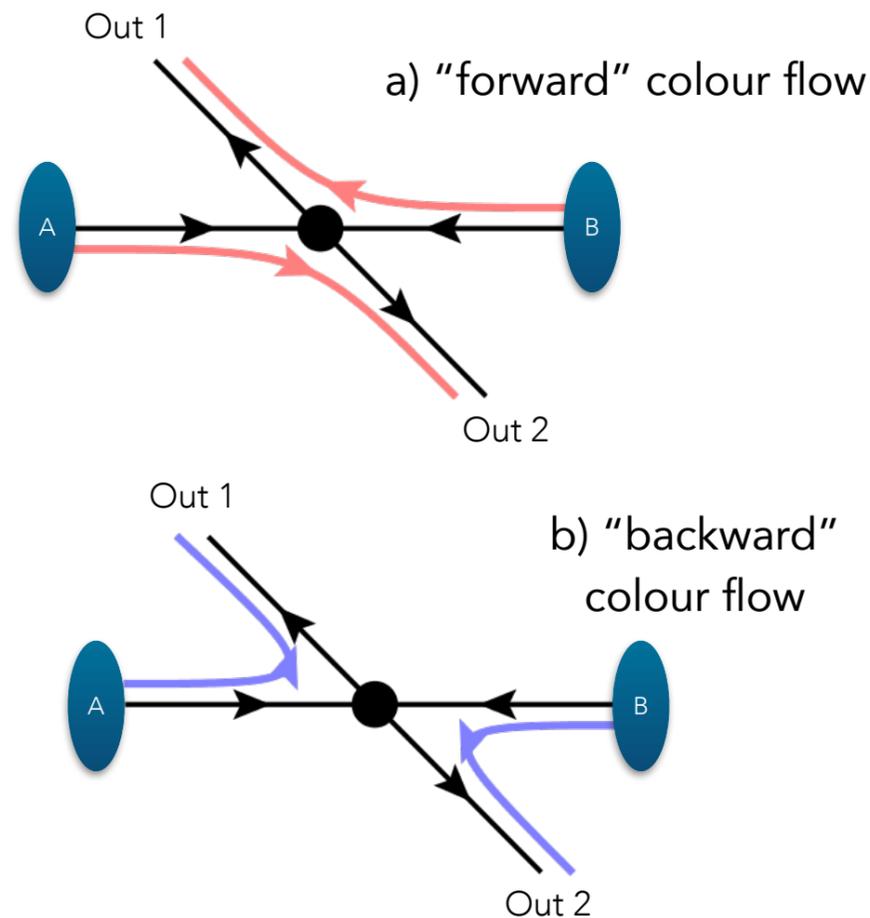


Figure 4: Angular distribution of the first gluon emission in  $qq \rightarrow qq$  scattering at  $45^\circ$ , for the two different color flows. The light (red) histogram shows the emission density for the forward flow, and the dark (blue) histogram shows the emission density for the backward flow.

Another nice physics example is the SM contribution to the Tevatron top-quark forward-backward asymmetry from coherent showers, see: PS, Webber, Winter, JHEP 1207 (2012) 151

# From $\overline{MS}$ to MC

CMW Nucl Phys B 349 (1991) 635 : Drell-Yan and DIS processes

$$P(\alpha_s, z) = \frac{\alpha_s}{2\pi} C_F \overset{A^{(1)}}{\frac{1+z^2}{1-z}} + \left(\frac{\alpha_s}{\pi}\right)^2 \frac{A^{(2)}}{1-z}$$

Eg Analytic resummation (in Mellin space): General Structure

$$\propto \exp \left[ \int_0^1 dz \frac{z^{N-1} - 1}{1-z} \left[ \int \frac{dp_{\perp}^2}{p_{\perp}^2} (A(\alpha_s) + \overset{\text{for DIS}}{B(\alpha_s)}) \right] \right]$$

$$A(\alpha_s) = A^{(1)} \frac{\alpha_s}{\pi} + A^{(2)} \left(\frac{\alpha_s}{\pi}\right)^2 + \dots$$

$$B^{(1)} = -3C_F/2$$

$$A^{(2)} = \frac{1}{2} C_F \left( C_A \left( \frac{67}{18} - \frac{1}{6} \pi^2 \right) - \frac{5}{9} N_F \right) = \frac{1}{2} C_F K_{\text{CMW}}$$

Replace  
(for  $z \rightarrow 1$ : soft gluon limit):

$$P_i(\alpha_s, z) = \frac{C_i \frac{\alpha_s}{\pi} \left( 1 + K_{\text{CMW}} \frac{\alpha_s}{2\pi} \right)}{1-z}$$

# From $\overline{\text{MS}}$ to MC

CMW Nucl Phys B 349 (1991) 635 : Drell-Yan and DIS processes

$$P(\alpha_s, z) = \frac{\alpha_s}{2\pi} C_F \overset{A^{(1)}}{\frac{1+z^2}{1-z}} + \left(\frac{\alpha_s}{\pi}\right)^2 \frac{A^{(2)}}{1-z}$$

Replace  
(for  $z \rightarrow 1$ : soft gluon limit):

$$P_i(\alpha_s, z) = \frac{C_i \frac{\alpha_s}{\pi} \left(1 + K_{\text{CMW}} \frac{\alpha_s}{2\pi}\right)}{1-z}$$

$$\alpha_s^{(\text{MC})} = \alpha_s^{(\overline{\text{MS}})} \left(1 + K_{\text{CMW}} \frac{\alpha_s^{(\overline{\text{MS}})}}{2\pi}\right)$$

$$\Lambda_{\text{MC}} = \Lambda_{\overline{\text{MS}}} \exp\left(\frac{K_{\text{CMW}}}{4\pi\beta_0}\right) \sim 1.57 \Lambda_{\overline{\text{MS}}}$$

(for  $n_F=5$ )

Note also: used  $\mu^2 = p_T^2 = (1-z)Q^2$   
Amati, Bassetto, Ciafaloni, Marchesini, Veneziano, 1980

**Main Point:**  
Doing an  
uncompensated  
scale variation  
actually ruins this  
result

# The Shower Operator



$$\text{Born} \quad \left. \frac{d\sigma_H}{d\mathcal{O}} \right|_{\text{Born}} = \int d\Phi_H |M_H^{(0)}|^2 \delta(\mathcal{O} - \mathcal{O}(\{p\}_H))$$

H = Hard process  
{p} : partons

But instead of evaluating  $\mathcal{O}$  directly on the Born final state,  
first insert a showering operator

$$\text{Born} \quad \left. \frac{d\sigma_H}{d\mathcal{O}} \right|_{\mathcal{S}} = \int d\Phi_H |M_H^{(0)}|^2 \mathcal{S}(\{p\}_H, \mathcal{O})$$

{p} : partons  
S : showering operator

Unitarity: to first order, S does nothing

$$\mathcal{S}(\{p\}_H, \mathcal{O}) = \delta(\mathcal{O} - \mathcal{O}(\{p\}_H)) + \mathcal{O}(\alpha_s)$$

# The Shower Operator



To ALL Orders

(Markov Chain)

$$S(\{p\}_X, \mathcal{O}) = \Delta(t_{\text{start}}, t_{\text{had}}) \delta(\mathcal{O} - \mathcal{O}(\{p\}_X))$$

"Nothing Happens" → "Evaluate Observable"

$$- \int_{t_{\text{start}}}^{t_{\text{had}}} dt \frac{d\Delta(t_{\text{start}}, t)}{dt} S(\{p\}_{X+1}, \mathcal{O})$$

"Something Happens" → "Continue Shower"

All-orders Probability that nothing happens

$$\Delta(t_1, t_2) = \exp\left(-\int_{t_1}^{t_2} dt \frac{d\mathcal{P}}{dt}\right)$$

(Exponentiation)  
Analogous to nuclear decay  
 $N(t) \approx N(0) \exp(-ct)$

# (Multi-Leg Merging at NLO)

Currently, much activity on how to combine several NLO matrix elements for the same process: NLO for  $X, X+1, X+2, \dots$

Unitarity is a common main ingredient for all of them

Most also employ **slicing** (separating phase space into regions defined by one particular underlying process)

## Methods

UNLOPS, generalising CKKW-L/UMEPS: [Lonnblad, Prestel, arXiv:1211.7278](#)

MiNLO, based on POWHEG: [Hamilton, Nason, Zanderighi \(+more\)](#) [arXiv:1206.3572](#), [arXiv:1512.02663](#)

FxFx, based on MC@NLO: [Frederix & Frixione, arXiv:1209.6215](#)

(VINCIA, based on NLO MECs): [Hartgring, Laenen, Skands, arXiv:1303.4974](#)

Most (all?) of these also allow NNLO on total inclusive cross section

Will soon define the state-of-the-art for SM processes

For BSM, the state-of-the-art is generally one order less than SM