# Monte Carlos and New Physics

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Lecture 3: Hadronisation + BSM Signals and Backgrounds

Pre-SUSY - June 2016 Lecture Notes: <u>P. Skands, arXiv:1207.2389</u>

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## Monte Carlos & Fragmentation

### PYTHIA anno 1978 (then called JETSET)

#### LU TP 78-18 November, 1978

A Monte Carlo Program for Quark Jet Generation

T. Sjöstrand, B. Söderberg

A Monte Carlo computer program is presented, that simulates the **fragmentation of a fast parton into a jet of mesons.** It uses an iterative scaling scheme and is compatible with the jet model of Field and Feynman.

#### Note:

Field-Feynman was an early fragmentation model Now superseded by the String (in PYTHIA) and Cluster (in HERWIG & SHERPA) models.

SUBROUTINE JETGEN(N) COMMON /JET/ K(100,2), P(100,5) COMMON /PAR/ PUD, PS1, SIGMA, CX2, EBEG, WFIN, IFLBEG COMMON /DATA1/ MESO(9,2), CMIX(6,2), PMAS(19) IFLSGN=(10-IFLBEG)/5 W=2.\*E8EG I=0 IPD=0 C 1 FLAVOUR AND PT FOR FIRST QUARK IFL1=IABS(IFLBEG) PT1=SIGMA\*SQRT(-ALOG(RANF(D))) PH11=6.2832\*RANF(0) PX1=PT1\*COS(PHI1) PY1=PT1\*SIN(PHI1) 100 I=I+1 C 2 FLAVOUR AND PT FOR NEXT ANTIQUARK IFL2=1+INT(RANF(0)/PUD) PT2=SIGMA\*SQRT(-ALOG(RANF(0))) PH12=6.2832\*RANF(0) PX2=PT2\*COS(PHI2) PY2=PT2\*SIN(PHI2) C 3 MESON FORMED, SPIN ADDED AND FLAVOUR MIXED K(I,1)=MESO(3\*(IFL1-1)+IFL2,IFLSGN) ISPIN=INT(PS1+RANF(0)) K(I:2)=1+9\*ISPIN+K(I:1) IF(K(I,1).LE.6) GOTO 110 TMIX=RANF(0) KM=K(1,1)-6+3\*ISPIN K(I,2)=8+9\*ISPIN+INT(TMIX+CMIX(KM,1))+INT(TMIX+CMIX(KM,2)) C 4 MESON MASS FROM TABLE, PT FROM CONSTITUENTS 110 P(I,5)=PMAS(K(I,2)) P(I,1) = PX1 + PX2P(1,2) = PY1 + PY2PMTS=P(I,1)\*\*2+P(I,2)\*\*2+P(I,5)\*\*2 C 5 RANDOM CHOICE OF X=(E+PZ)MESON/(E+PZ)AVAILABLE GIVES E AND PZ x = RANF(0)IF(RANF(D).LT.CX2) X=1.-X\*\*(1./3.) P(1,3)=(X\*W-PMTS/(X\*W))/2. P(1,4)=(X\*W+PMTS/(X\*W))/2. C & IF UNSTABLE, DECAY CHAIN INTO STABLE PARTICLES 120 IPD=IPD+1 IF(K(IPD,2).GE.8) CALL DECAY(IPD,1) IF(IPD.LT.I.AND.I.LE.96) GOTO 120 C 7 FLAVOUR AND PT OF QUARK FORMED IN PAIR WITH ANTIQUARK ABOVE IFL1=IFL2 PX1 = -PX2PY1=-PY2 C 8 IF ENOUGH E+PZ LEFT, GO TO 2 W = (1 - X) \* WIF(W.GT.WFIN.AND.I.LE.95) GOTO 100 N = IRETURN END

### Fast-Forward ~ 40 Years

### PYTHIA anno 2016

### (now called PYTHIA 8)

CPC 191 (2015) p.159-177 October, 2014

An Introduction to PYTHIA 8.2

T. Sjöstrand et al. (10 authors)

The Pythia program is a standard tool for the generation of events in highenergy collisions, comprising a coherent set of physics models for the evolution from a few-body hard process to a complex multiparticle final state. It contains a library of hard processes, models for initial- and final-state parton showers, matching and merging methods between hard processes and parton showers, multiparton interactions, beam remnants, string fragmentation and particle decays. It also has a set of utilities and several interfaces ...

#### ~ 100,000 lines of C++

What a modern MC generator has inside:

- Hard Processes (internal, interfaced, or via Les Houches events)
- BSM (internal or via interfaces)
- PDFs (internal or via interfaces)
- Showers (internal or inherited)
- Multiple parton interactions
- Beam Remnants
- String Fragmentation
- Decays (internal or via interfaces)
- Examples and Tutorial
- Online HTML / PHP Manual
- Utilities and interfaces to external programs

# The Main Workhorses



### PYTHIA (begun 1978)

Originated in hadronisation studies: Lund String model Still special emphasis on soft physics

### HERWIG (begun 1984)

Originated in coherence studies: angular-ordered showers Cluster hadronisation as simple complement

### SHERPA (begun ~2000)

Originated in "matching" of matrix elements to showers (CKKW-L), with own internal matrix-element generator(s)

### + Many more specialised:

Matrix-Element Generators, Matching/Merging Packages Soft-QCD, Cosmic-Ray, and Heavy-Ion Generators (BSM) Model Generators, Decay Packages, Alternative QCD showers, ...

## From Partons to Pions

#### Here's a fast parton



## From Partons to Pions

#### Here's a fast parton



### How about I just call it a hadron?

→ "Local Parton-Hadron Duality"

# Parton → Hadrons?

### Early models: "Independent Fragmentation"

Local Parton Hadron Duality (LPHD) can give useful results for inclusive quantities in collinear fragmentation

Motivates a simple model:

"Independent Fragmentation"



### But ...

The point of confinement is that partons are coloured

Hadronisation = the process of **colour neutralisation** 

→ Unphysical to think about independent fragmentation of a single parton into hadrons

- → Too naive to see LPHD (inclusive) as a justification for Independent Fragmentation (exclusive)
- → More physics needed

# Colour Neutralisation

### A physical hadronization model

# Should involve at least TWO partons, with opposite color charges (e.g., **R** and **anti-R**)



Strong "confining" field emerges between the two charges when their separation  $> \sim 1$ fm

# Which Charges? Colour Flow

After the parton shower finishes, there can be lots of partons, O(10-100). The main question is therefore:

### **Between which partons do confining potentials arise?**

MC generators use a simple set of rules for color flow, based on large-N<sub>C</sub> limit (valid to ~  $1/N_{C}^{2}$  ~ 10%)



$$g \rightarrow gg$$

Illustrations from: Nason & Skands, PDG Review on MC Event Generators, 2014

# Color Flow

#### For an entire Cascade



Coherence of pQCD cascades → not much "overlap" between strings → Leading-colour approximation pretty good

(LEP measurements in  $e^+e^- \rightarrow W^+W^- \rightarrow hadrons$  confirm this (at least to order 10% ~ 1/N<sub>c<sup>2</sup></sub>))

**Note**: (much) more color getting kicked around in hadron collisions. Signs that LC approximation is breaking down? see, e.g., <u>Christiansen, Skands JHEP 1508 (2015) 003</u>

# The Ultimate Limit: Wavelengths > 10<sup>-15</sup> m



~ Force required to lift a 16-ton truck

# From Partons to Strings

#### Motivates a model:

Let color field collapse into a (infinitely) narrow flux tube of uniform energy density K ~ 1 GeV / fm

→ Relativistic 1+1 dimensional worldsheet



<u>Pedagogical Review:</u> B. Andersson, *The Lund model.* Camb. Monogr. Part. Phys. Nucl. Phys. Cosmol., 1997.

### In "unquenched" QCD $g \rightarrow qq \rightarrow$ The strings will break



→ Gaussian p<sub>T</sub> spectrum Heavier quarks suppressed. Prob(q=d,u,s,c)  $\approx$  1 : 1 : 0.2 : 10<sup>-11</sup>

# (Note on the Length of Strings)

### In Space:

String tension  $\approx$  1 GeV/fm  $\rightarrow$  a 5-GeV quark can travel 5 fm before all its kinetic energy is transformed to potential energy in the string.

Then it must start moving the other way. String breaks will have happened behind it  $\rightarrow$  yo-yo model of mesons

In Rapidity : 
$$y = \frac{1}{2} \ln \left( \frac{E + p_z}{E - p_z} \right) = \frac{1}{2} \ln \left( \frac{(E + p_z)^2}{E^2 - p_z^2} \right)$$

For a pion with z=1 along string direction (For beam remnants, use a proton mass):

$$y_{\rm max} \sim \ln\left(\frac{2E_q}{m_\pi}\right)$$

Note: Constant average hadron multiplicity per unit y → logarithmic growth of total multiplicity Scaling in lightcone  $p_{\pm} = E \pm p_z$  (for  $q\overline{q}$  system along z axis) implies flat central rapidity plateau + some endpoint effects:



 $\langle n_{\rm Ch} \rangle pprox c_0 + c_1 \ln E_{\rm Cm}$ ,  $\sim$  Poissonian multiplicity distribution

# The (Lund) String Model

### Map:

- **Quarks** → String Endpoints
- Gluons → Transverse Excitations (kinks)
- Physics then in terms of string worldsheet evolving in spacetime
- Probability of string break (by quantum tunneling) constant per unit area → AREA LAW



→ STRING EFFECT

Simple space-time picture

Details of string breaks more complicated (e.g., baryons, spin multiplets)

### 1980: string (colour coherence) effect



### 1980: string (colour coherence) effect



### Differences Between Quark and Gluon Jets



Can be hugely important for discriminating new-physics signals (decays to quarks vs decays to gluons, vs composition of background and bremsstrahlung combinatorics )

# The Effects of Hadronisation

### Generally, expect few-hundred MeV shifts by hadronisation

Corrections to IR safe observables are "power corrections"

 $\propto \Lambda_{\rm QCD}^2/Q_{\rm OBS}^2$ 

Corrections for jets of radius  $R = \Delta \eta \times \Delta \phi$  $\propto 1/R$ 

See

Korchemsky, Sterman, NPB 437 (1995) 415 Seymour, NPB 513 (1998) 269 Dasgupta, Magnea, Salam, JHEP 0802 (2008) 055

Simple analytical estimate → ~ 0.5 GeV / R correction from hadronisation (scaled by colour factor) hadronisation  $p_t$  shift (scaled by R C<sub>F</sub>/C)



Significant differences between codes/tunes

→ important to pin down with precise QCD hadronisation measurements at LHC

# (Alternative: The Cluster Model)



# Strings and Clusters



#### Small strings $\rightarrow$ clusters. Large clusters $\rightarrow$ strings

# Monte Carlos and New Physics

Aspects where MC is needed (apart from background modelling)

### Signal properties

Decay distributions, extra jets, jet structure (→ jet calibrations), QCD (& EW) corrections to kinematic distributions

### Exclusions & "Recasting" of searches

Uncertainties / modelling deficiencies → worse exclusions

### Dynamical modelling of BSM phenomena (some examples)

Long-Lived Coloured Particles → "R-hadrons" Dark-Matter Annihilation to Coloured Particles Hidden Valleys (showers/hadronisation in hidden sector) Baryon Number Violation (RPV-SUSY) → colour-epsilon structures Particles with "exotic" colour charges (e.g., colour sextets) Black-Hole Evaporation, Sphaleron Decays, ...

## BSM at the LHC

(adapted from slides by T. Sjostrand)

### BSM particles usually short-lived, or weakly interacting (like DM)

Then visible final state consists of hadrons, leptons and photons, just like ordinary processes.



As easy to model as SM processes.

## BSM at the LHC

(adapted from slides by T. Sjostrand)

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Then visible final state consists of hadrons, leptons and photons, just like ordinary processes.



As easy to model as SM processes.

Original structure hidden, but traces of it may be left in terms of invariant masses and angular distributions Discovery requires detailed understanding of rare signals and huge backgrounds

# Signal Properties

(adapted from slides by S. Prestel, MC4BSM workshop)

#### Do you need ME + PS for BSM signals?

Example: pair production of 500-GeV squarks, plus QCD jets Variation of shower profiles vs matrix-element-matched calc



#### Improved QCD pins down the transverse momenta

# Exclusions (& Recasting)

(adapted from slides by S. Prestel, MC4BSM workshop)

### How good is your exclusion?

Variation of shower profiles vs matrix-element-matched calc



## Exotic Colours

Baryon number violation (BNV) is allowed in SUSY superpotential. Alternatively lepton number violation, but proton unstable if both. BNV couplings should not be too big, or else large loop corrections  $\Rightarrow$  relevent for LSP (Lightest Supersymmetric Particle).



What about showers and hadronization in decays?

- P. Skands & TS, Nucl. Phys. B659 (2003) 243;
- N. Desai & P. Skands, arXiv:1109.5852 [hep-ph]

# Hidden Valleys / Emerging Jets



# Hidden Valleys / Emerging Jets



Requirements for a model to produce emerging jet phenomenology:

- Hierarchy between the mediator mass and hidden sector mass.
- Strong coupling in hidden sector  $\rightarrow$  large particle multiplicity.
- Macroscopic decay lengths of hidden sector fields back to the visible sector

Schwaller, Stolarski, Weiler JHEP 1505 (2015) 059

## **R-Hadrons**

 $\Rightarrow$  PYTHIA allows for hadronization of 3 generic states:

- colour octet uncharged, like g, giving gud, guud, gg, ...,
- colour triplet charge +2/3, like  $\tilde{t}$ , giving  $\tilde{t}\overline{u}$ ,  $\tilde{t}ud_0$ , ...,
- colour triplet charge -1/3, like  $\tilde{\mathrm{b}}$ , giving  $\tilde{\mathrm{b}}\overline{\mathrm{c}}$ ,  $\tilde{\mathrm{bsu}}_1$ , . . .



Most hadronization properties by analogy with normal string fragmentation, but glueball formation new aspect, assumed  $\sim 10\%$  of time (or less).

R-hadron interactions with matter: part of detector simulation, i.e. GEANT, not PYTHIA Freight-train BSM particle surrounded by light pion/gluon cloud → little dE/dx + charge flipping ! A.C. Kraan, Eur. Phys. J. C37 (2004) 91; M. Fairbairn et al., Phys. Rep. 438 (2007) 1

## Interfaces

### Monte Carlo for BSM often involves **chains** of codes → **interfaces** play a central role

- Model Building Tool (e.g., FeynRules, LanHEP, ...)
  - → Model File (e.g., UFO) arXiv:1108.2040

Matrix-Element Generator (e.g., MadGraph, CalcHEP, ...)

- → Matrix Elements
- → Les Houches Event Files (LHEF) hep-ph/0609017 SLHA for SUSY spectra: hep-ph/0311123 arXiv:0801.0045 BSM-SLHA for new particles: arXiv:0712.3311

Matching/Merging Strategy + Parton Shower Generator

- → Interpretation of LHEF
- → Hadron-Level event files (HepMC) → Analysis Tools

(e.g., FASTJET, DELPHES, ...)

# MC for BSM

### Yearly workshop series **MC4BSM** (running since 2006)

"Gather theorists and experimentalists interested in developing Monte Carlo tools to simulate signatures of BSM Physics, and to use such tools in searches and phenomenological studies"



#### This year, 20-24 July, UCAS-YuQuan (Beijing, China) <u>http://indico.ihep.ac.cn/event/5301/</u>

Next year (2017): SLAC (Stanford, California)

# The End



(Event recorded by CMS with 78 proton-proton collisions)

# Extra Slides

# **Fragmentation Function**



# Left-Right Symmetry

Causality → Left-Right Symmetry

- → Constrains form of fragmentation function!
- → Lund Symmetric Fragmentation Function



$$f(z) \propto \frac{1}{z} (1-z)^a \exp\left(-\frac{b\left(m_h^2 + p_{\perp h}^2\right)}{z}\right)$$



**Note:** In principle, *a* can be flavour-dependent. In practice, we only distinguish between baryons and mesons

# **Iterative String Breaks**

### **Causality** → May iterate from outside-in



# What is Underlying Event ?



#### Useful variable in hadron collisions: Rapidity

Designed to be additive  
under Lorentz Boosts along 
$$y = \frac{1}{2} \ln \left( \frac{E + p_z}{E - p_z} \right)$$
  
beam (z) direction

 $y \to -\infty$  for  $p_z \to -E$   $y \to 0$  for  $p_z \to 0$   $y \to \infty$  for  $p_z \to E$ 

Illustrations by T. Sjöstrand

# The "Rick Field" UE Plots

There are many UE variables. The most important is  $\langle \Sigma p_T \rangle$  in the "Transverse Region"



### The Pedestal

#### LHC from 900 to 7000 GeV - ATLAS



### Track Density (TRANS)

Not Infrared Safe Large Non-factorizable Corrections Prediction off by  $\approx 10\%$ 

# Truth is in the eye of the beholder:

### Sum(pT) Density (TRANS)

(more) Infrared Safe Large Non-factorizable Corrections Prediction off by < 10%

R. Field: "See, I told you!" Y. Gehrstein: "they have to fudge it again"

"Toward"

Transvers

Monash University

# Physics of the Pedestal

Factorization: Subdivide Calculation



Multiple Parton Interactions go beyond existing theorems

- → perturbative short-distance physics in Underlying Event
- $\rightarrow$  Need to generalize factorization to MPI

# Multiple Parton Interactions

= Allow several parton-parton interactions per hadron-hadron collision. Requires extended factorization ansatz.



Earliest MC model ("old" PYTHIA 6 model) Sjöstrand, van Zijl PRD36 (1987) 2019



Lesson from bremsstrahlung in pQCD: divergences → fixed-order breaks down Perturbation theory still ok, with resummation <u>(unitarity)</u>

> → Resum dijets? Yes → MPI!

# Colour Confusion

### Between which partons do confining potentials arise?



even after including bremsstrahlung etc.) At e<sup>+</sup>e<sup>-</sup> colliders (eg LEP) : generally good agreement between **measured** particle spectra and **models** based on parton/antenna showers + strings

Basically a single **3-3bar** system, very close to the original lattice studies motivating the string model.

(+ extensions to WW reasonable to  $\sim O(1/N_c^2))$ 

### → re-use same models as input for LHC (universality) ?

#### Proton-Proton (LHC)

A lot more colour kicked around (& also colour in initial state)

Include "Beam Remnants"

Still might look relatively simple, to begin with



(+baryon beam remnants → "string junctions") String-fragmentation of junctions: Sjöstrand & Skands Nucl.Phys. B659 (2003) 243 But no law against *several* parton-parton interactions



In fact, can easily be shown to happen frequently Included in all (modern) Monte Carlo models But how to make sense of the colour structure?

## Colour: What's the Problem?

(including MPI: Multiple Parton-Parton Interactions ~ the "underlying event")

#### Without Colour Reconnections Each MPI hadronizes independently of all others



## Colour: What's the Problem?

(including MPI: Multiple Parton-Parton Interactions ~ the "underlying event")

#### Without Colour Reconnections Each MPI hadronizes independently of all others



## Colour Reconnections

(including **MPI**: Multiple Parton-Parton Interactions ~ the "underlying event")



Peter Skands

## What are "Colour Reconnections"?

Simple example:  $e^+e^- \to W^+W^- \to \text{hadrons}$ 

- Intensely studied at LEP2.
  - CR implied a non-perturbative uncertainty on the W mass measurement,  $\Delta MW \sim 40 \text{ MeV}$
- CR constrained to ~  $10\% \sim 1/NC2$
- Simple two-string system. What about pp?

### Several modelling attempts

### Based on "just" minimising the string action String interactions (Khoze, Sjostrand) Generalized Area Law (Rathsman et al.) Colour Annealing (Skands et al.) Gluon Move Model (Sjostrand et al.)

### More recently: SU(3)<sub>C</sub> group multiplet weights Dipole Swing (Lonnblad et al.) String Formation Beyond Leading Colour (Skands et al.)





 $\begin{array}{rcl} 3 \otimes \bar{3} &=& 8 \oplus 1 \\ 3 \otimes 3 &=& 6 \oplus \bar{3} \\ 3 \otimes 8 &=& 15 \oplus 6 \oplus 3 \\ 8 \otimes 8 &=& 27 \oplus 10 \oplus \overline{10} \oplus 8 \oplus 8 \oplus 1 \end{array}$ 

## What do we see?

<pT> vs Particle Mass

Soft QCD

1.5

1.5

mass [GeV]

#### <pT> vs Number of Particles



Average pT increases with particle multiplicity and (faster than predicted) with particle mass