

Peter Skands  
CERN Theoretical Physics

Constraints on MC models  
from forward multiplicity observables

# Terminology

$\sigma_{\text{tot}} \approx$

EXPERIMENT

THEORY MODELS

**ELASTIC**

$pp \rightarrow pp$

QED+QCD

$\sim$  (\*QED =  $\infty$ )

**SINGLE DIFFRACTION**

$pp \rightarrow p + \text{gap} + X$

Fiducial region,  
identified proton,  
and/or  
observable gap

$\neq$  SD model:  
Small gaps suppressed but not zero

**DOUBLE DIFFRACTION**

$pp \rightarrow X + \text{gap} + X$

$\neq$  DD model:  
Small gaps suppressed but not zero

**INELASTIC NON-DIFFRACTIVE**

$pp \rightarrow X$  (no gap)

$\neq$  Large gaps suppressed but not zero

(+ multi-gap diffraction)

## Min-Bias, Zero Bias, Single-Gap, etc.

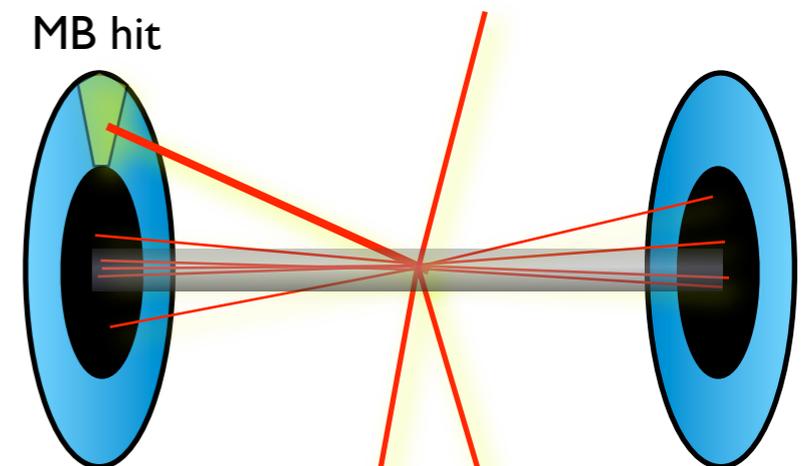
= Experimental trigger conditions (**hardware-dependent**)

Corrected to hardware-independent reference conditions

## “Theory” for Min-Bias?

Really = Model for ALL INELASTIC incl diffraction (**model-dependent**)

Impose model-independent reference conditions to suppress or enhance diffractive components



... in minimum-bias, we typically do not have a hard scale, wherefore *all* observables depend significantly on IR physics ...

PS, “Tuning MC Generators: the Perugia tunes”, PRD82(2010)074018

# QCD Models

**A**

**A)** Start from pQCD. Extend towards Infrared. HERWIG/JIMMY, PYTHIA, SHERPA, EPOS

Elastic & Diffractive  
Treated as separate class  
No predictivity

Color Screening  
Regularization of pQCD

Unitarity  
Multiple  $2 \rightarrow 2$   
(MPI)

Quarks, Gluons  
pQCD  
 $2 \rightarrow 2$  (Rutherford)

Strings span entire rapidity region  $\rightarrow$  Constraints in forward region impact global description.

PYTHIA uses **string fragmentation**, HERWIG & SHERPA use **cluster fragmentation**

Elastic

Min-Bias

Dijets



**B**

**B)** Start from Optical Theorem & Unitarity. Extend towards Ultraviolet. PHOJET, DPMJET, QGSJET, SIBYLL, ...

Hadrons  
Optical Theorem  
 $pp \rightarrow pp$

Pomerons: Diffraction  
Cut Pomerons: Non-diffractive (soft)

Hard Pomeron?

Note: PHOJET & DPMJET use **string fragmentation** (from PYTHIA)  $\rightarrow$  some overlap

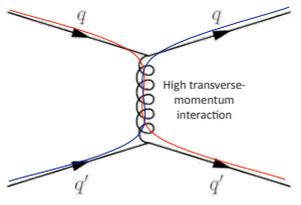
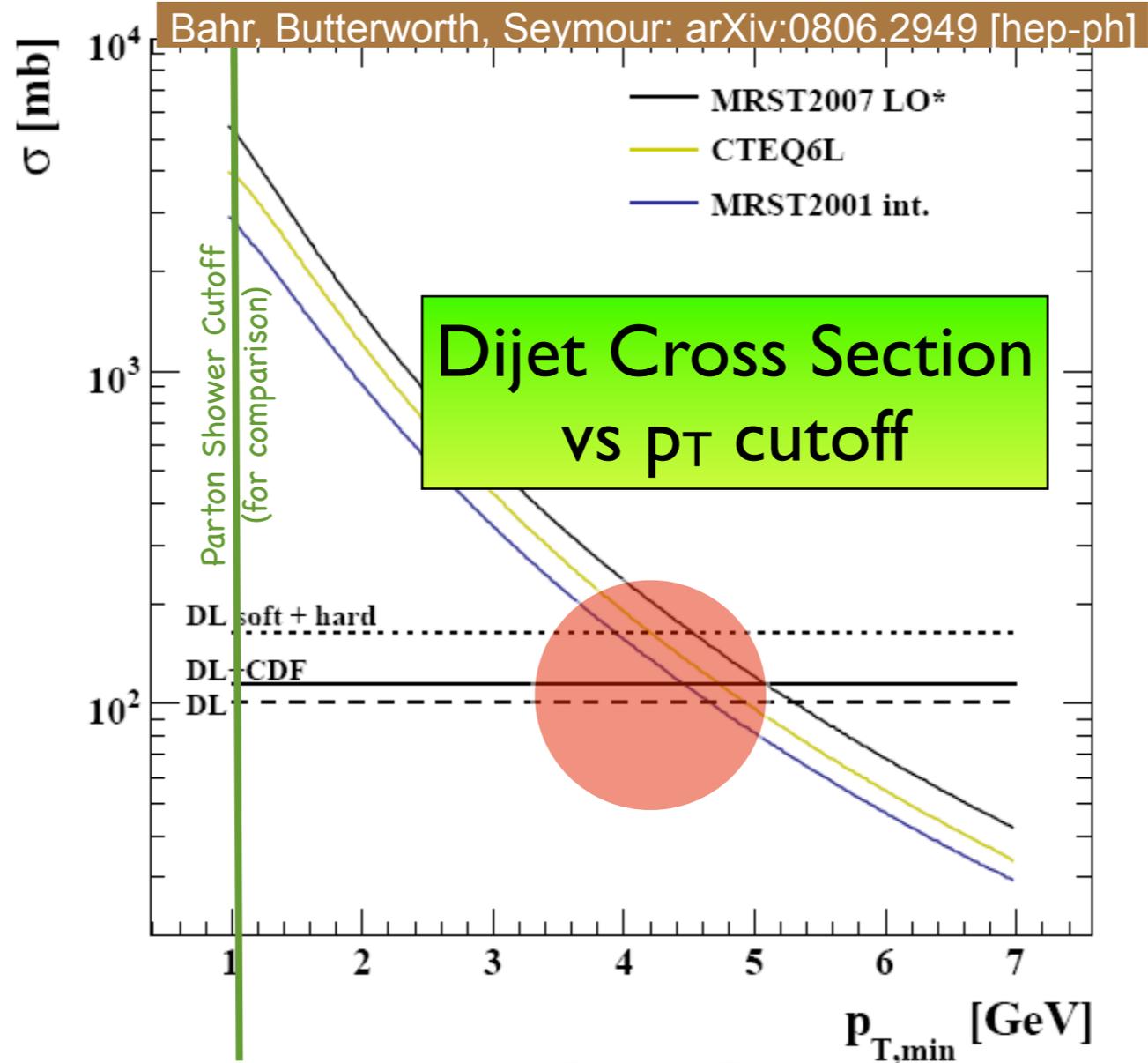
# Multi-Parton Interactions

A) Start from pQCD. Extend towards Infrared.  
HERWIG/JIMMY, PYTHIA, SHERPA

pQCD  
 $2 \rightarrow 2$   
= Sum of

- $qq' \rightarrow qq'$
- $q\bar{q} \rightarrow q'\bar{q}'$
- $q\bar{q} \rightarrow gg$
- $qg \rightarrow qg$
- $gg \rightarrow gg$
- $gg \rightarrow q\bar{q}$

$\approx$  Rutherford  
(t-channel gluon)

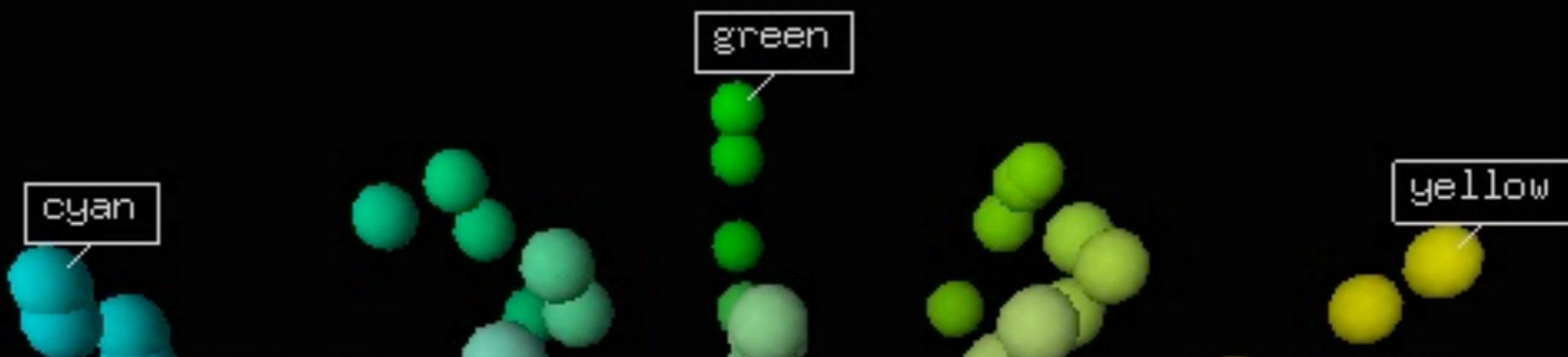



$\Rightarrow \sigma_{2 \rightarrow 2}(p_{\perp \min}) = \langle n \rangle(p_{\perp \min}) \sigma_{\text{tot}}$

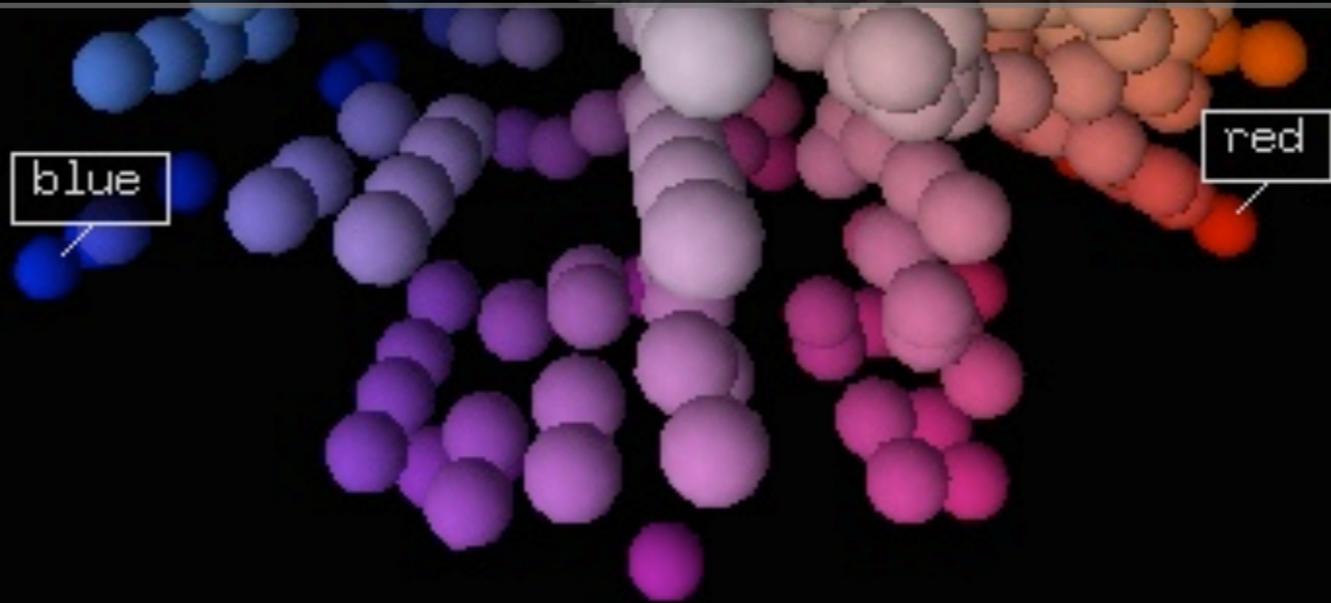
Becomes larger than total pp cross section?  
At  $p_{\perp} \approx 5$  GeV

Lesson from bremsstrahlung in pQCD: divergences  
 $\rightarrow$  fixed-order unreliable, but resummation  $\rightarrow$  pQCD still ok (unitarity)

$\rightarrow$  Resum dijets?  
Yes  $\rightarrow$  MPI!



# Color Space

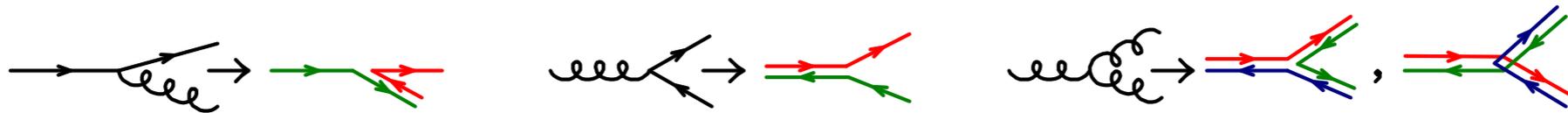


# Color Flow in MC Models

## “Planar Limit”

Equivalent to  $N_C \rightarrow \infty$ : no color interference\*

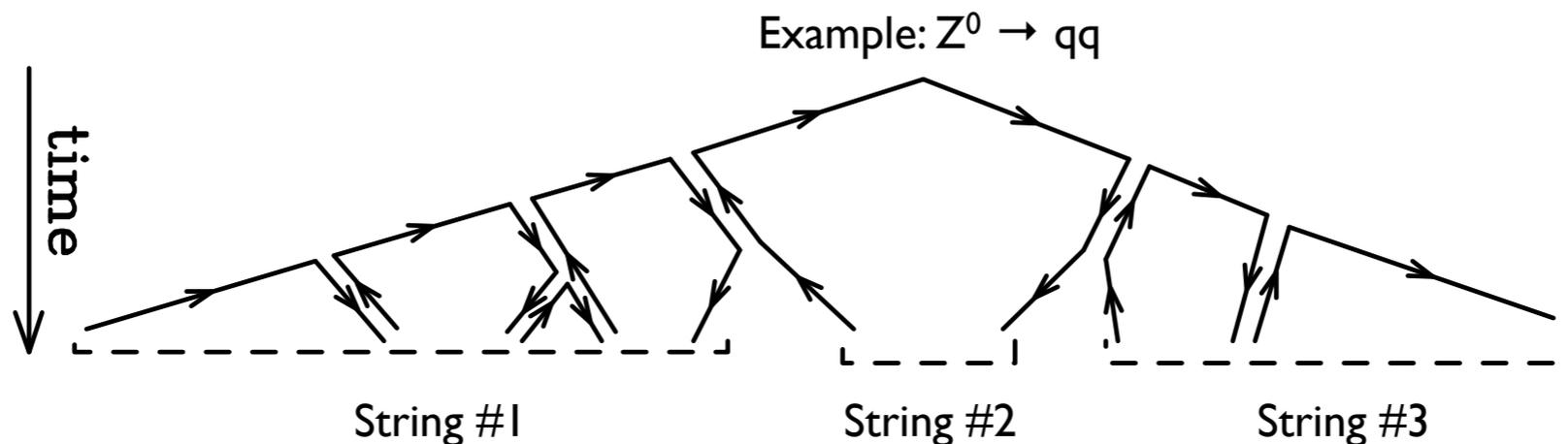
Rules for color flow:



\*) except as reflected by the implementation of QCD coherence effects in the Monte Carlos via angular or dipole ordering

## For an entire cascade:

Illustrations from: Nason + PS, PDG Review on MC Event Generators, 2012



Coherence of pQCD cascades  $\rightarrow$  not much “overlap” between strings  
 $\rightarrow$  planar approx pretty good

LEP measurements in  $VWV$  confirm this (at least to order  $10\% \sim 1/N_C^2$ )

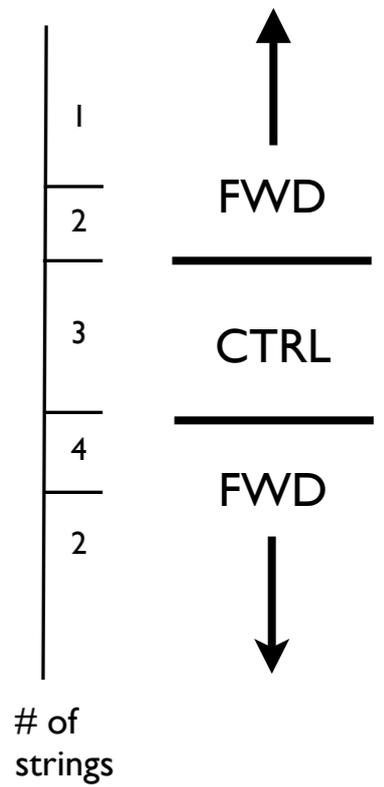
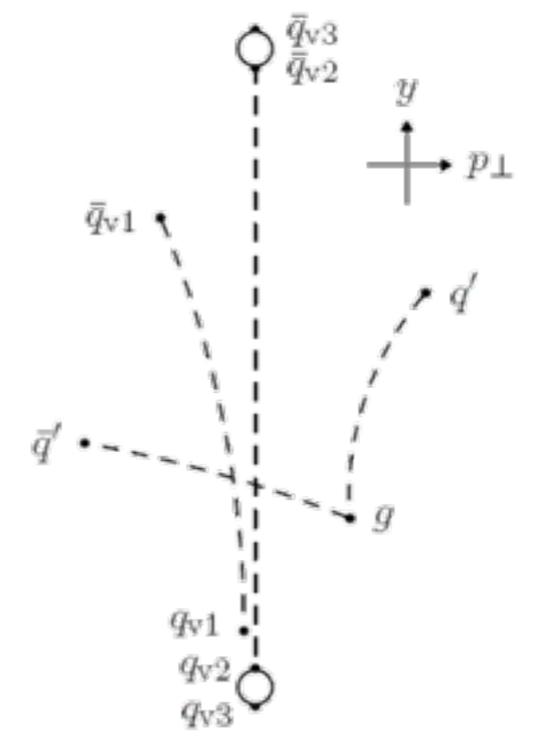
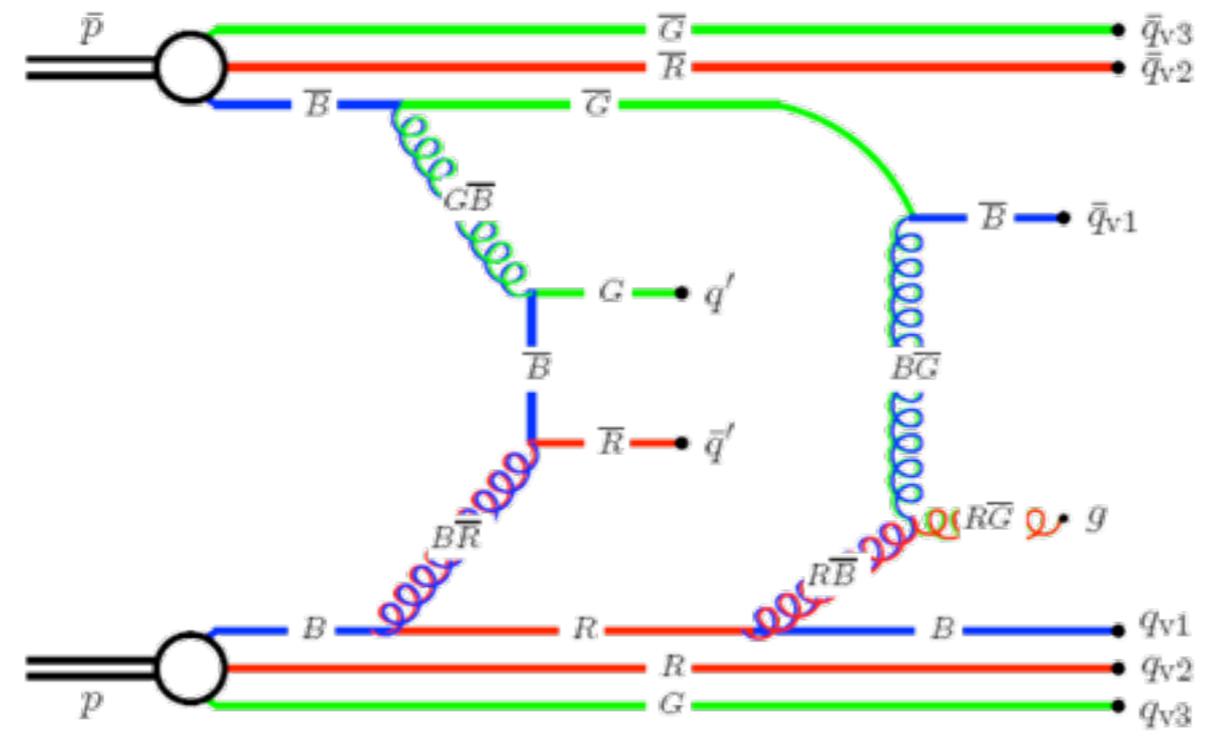
# Color Connections

Each MPI (or cut Pomeron) exchanges color between the beams

► The colour flow determines the hadronizing string topology

- Each MPI, even when soft, is a color spark
- Final distributions crucially depend on color space

Different models make different ansätze



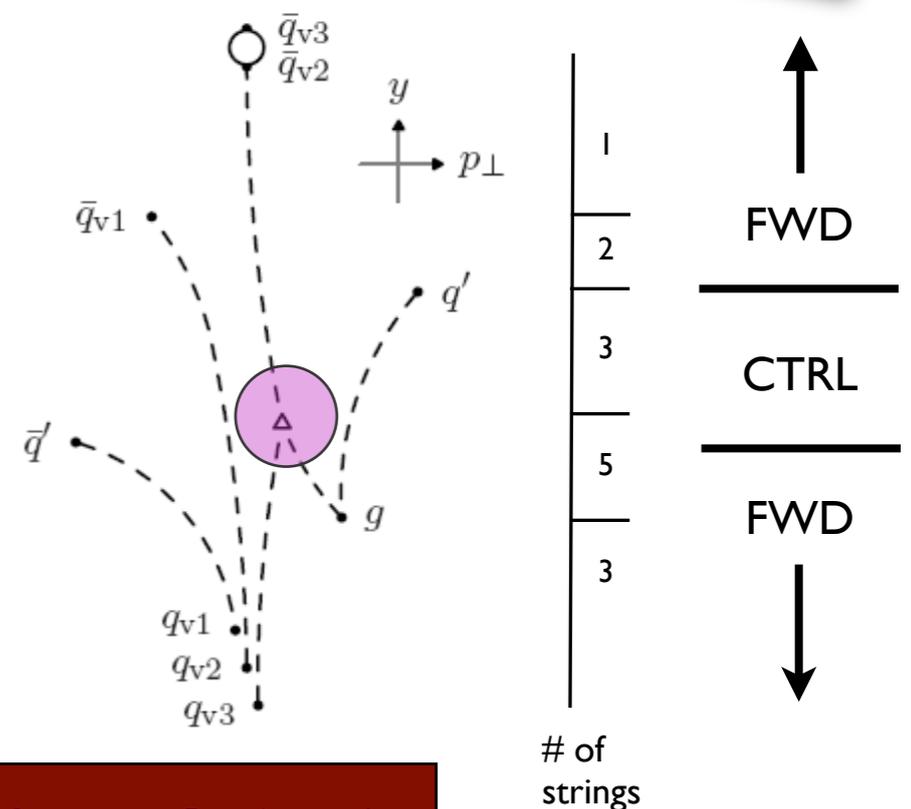
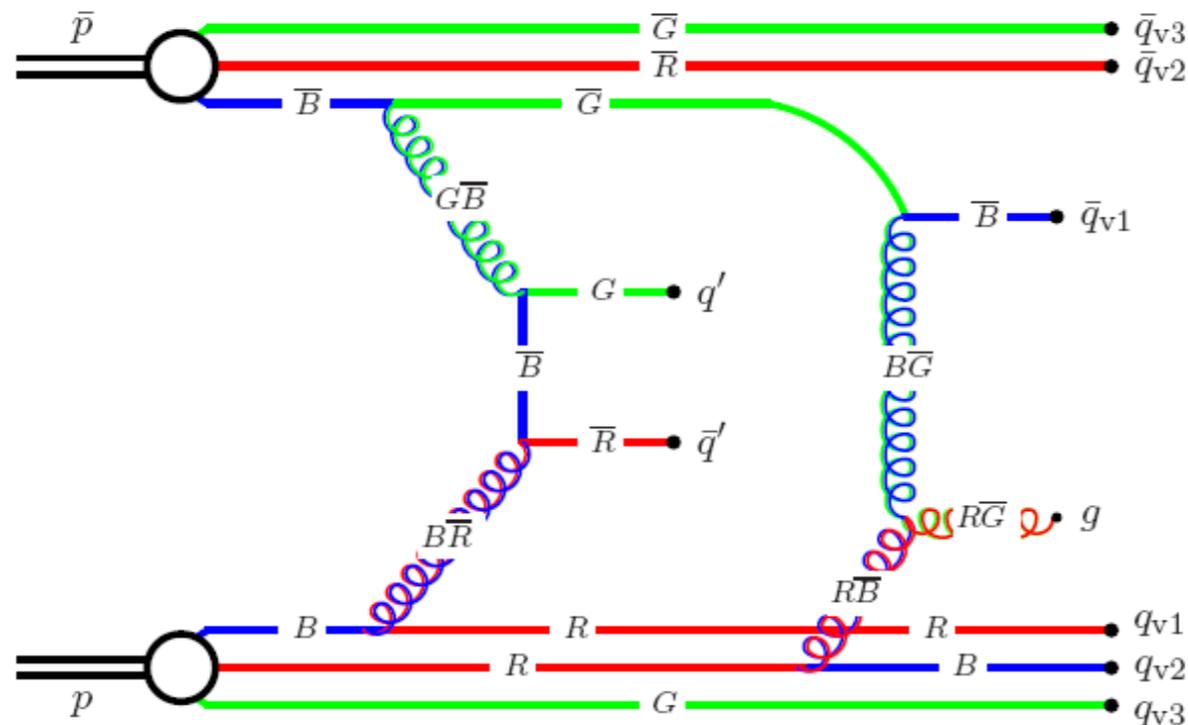
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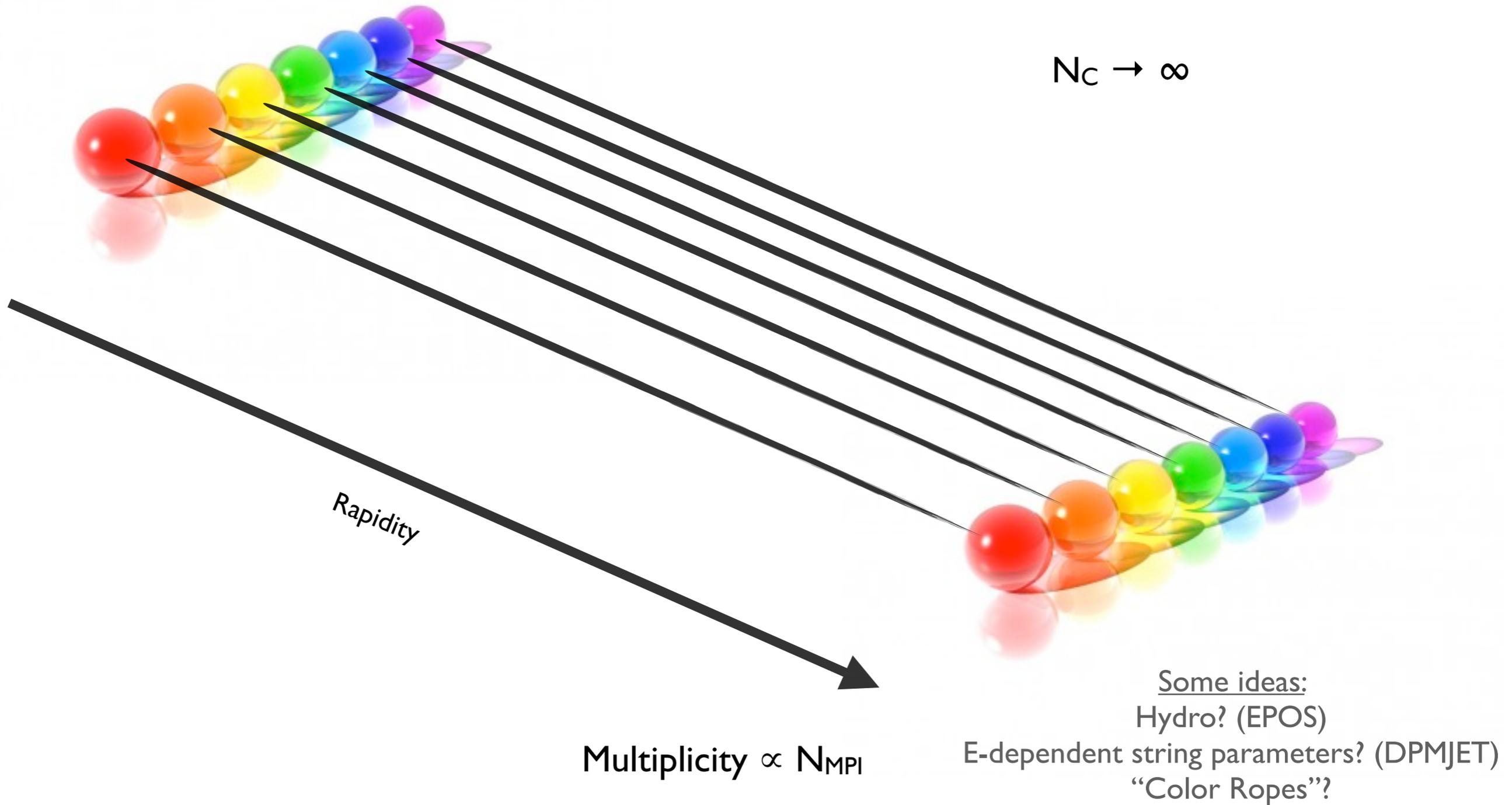
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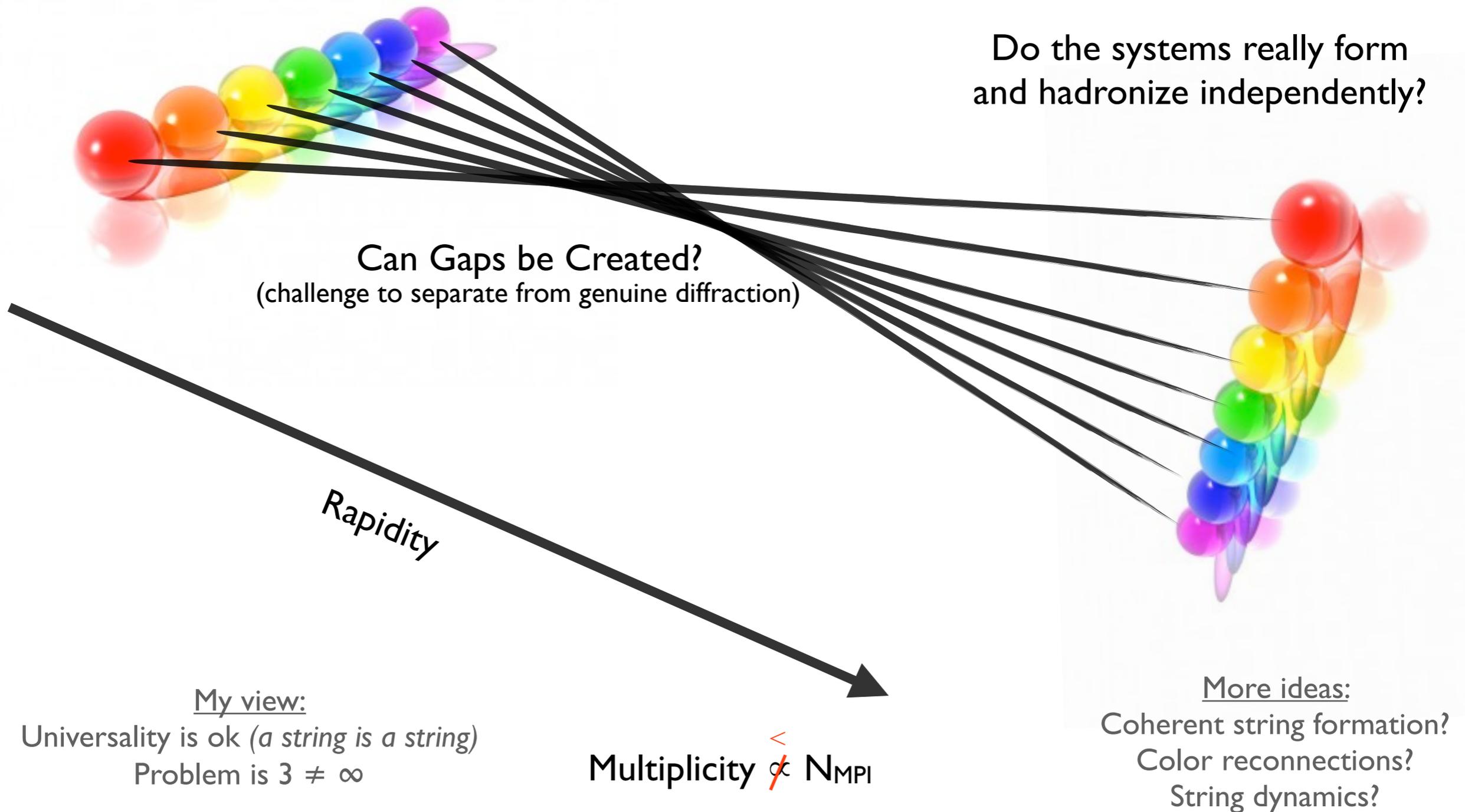


Forward region (and forward-backward + forward-central **correlations**) sensitive to beam-remnant break-up!

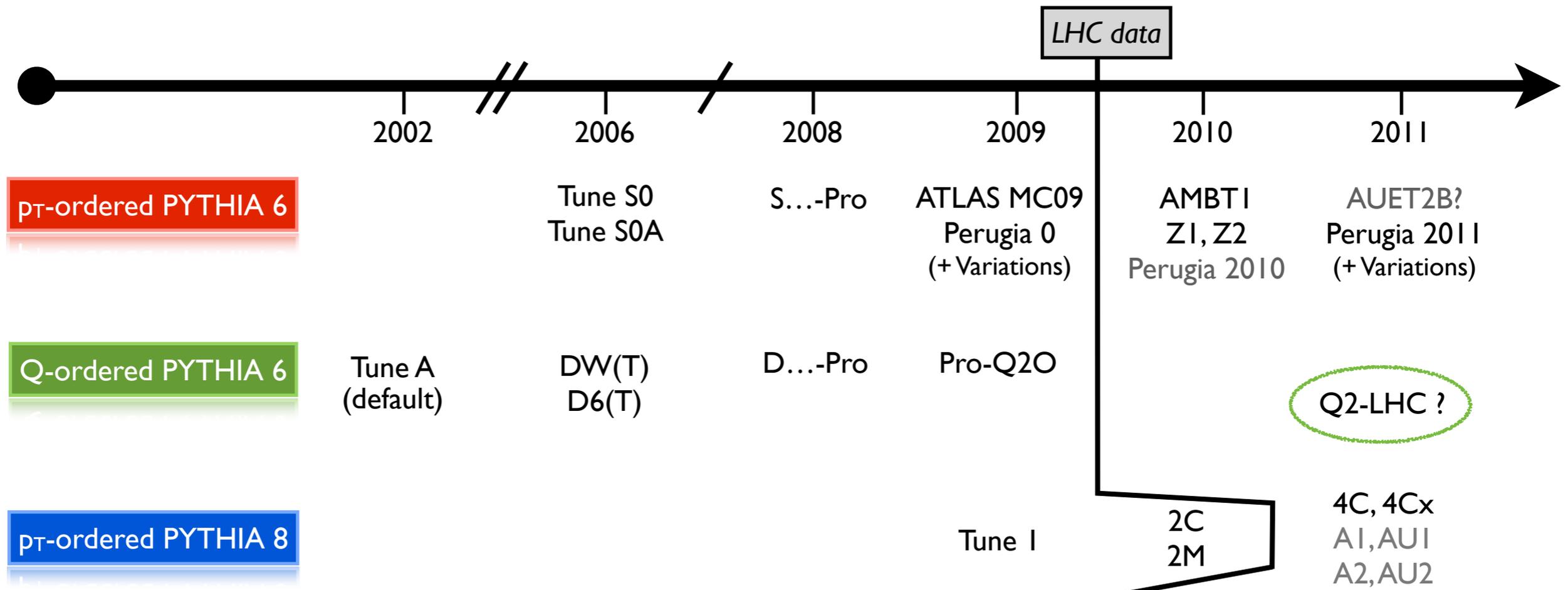
# Color Reconnections?



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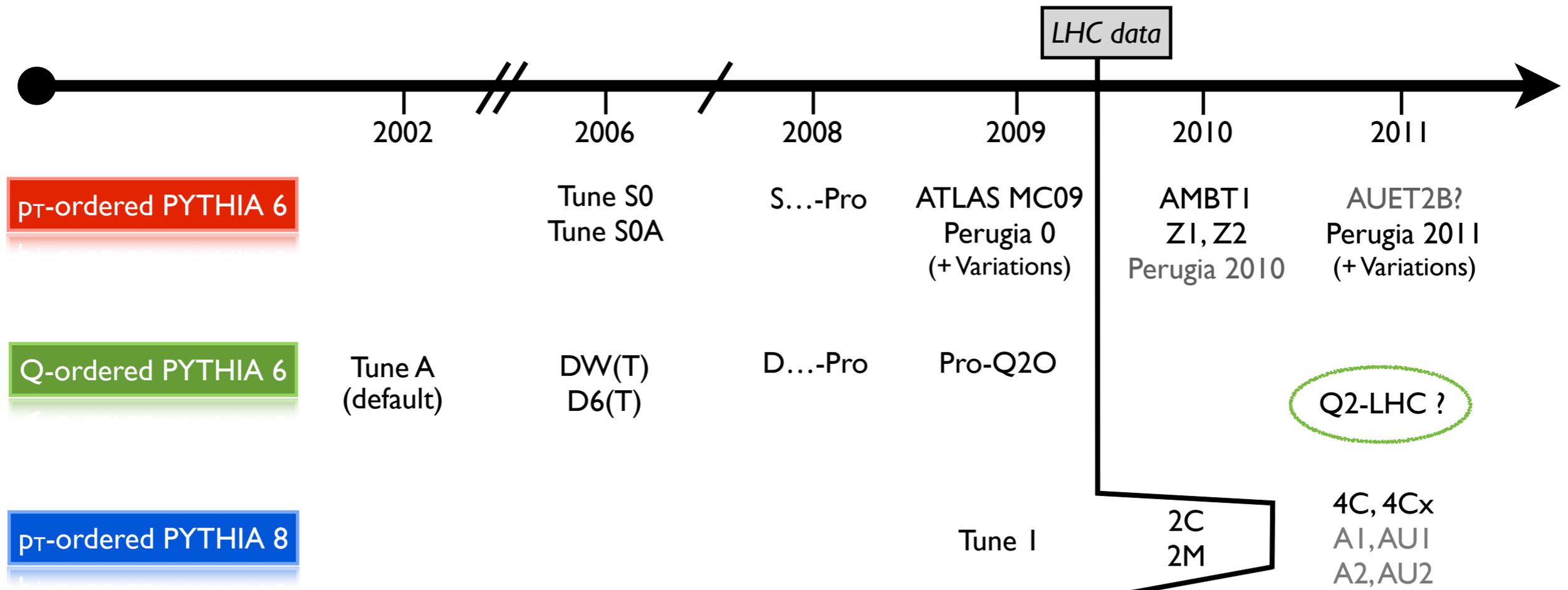
# PYTHIA Models



Main Data Sets included in each Tune (no guarantee that all subsets ok)

	A	DW, D6, ...	S0, S0A	MC09(c)	Pro-..., Perugia 0, Tune I, 2C, 2M	AMBT1	Perugia 2010	Perugia 2011	Z1, Z2	4C, 4Cx	AUET2B, A2, AU2
LEP					✓		✓	✓		✓	✓
TeV MB			✓	✓	✓		✓	✓		(✓)	?
TeV UE	✓	✓		✓	✓		✓	✓		(✓)	✓?
TeV DY		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
LHC MB						✓	✓	✓		✓	?
LHC UE								✓	✓		✓

# PYTHIA Models



Main Data Sets included in each Tune (no guarantee that all subsets ok)

	A (default)	DW, D6, ...	S0, S0A	MC09(c)	Pro-..., Perugia 0, Tune I, 2C, 2M	AMBT1	Perugia 2010	Perugia 2011	Z1, Z2	4C, 4Cx	AUET2B, A2, AU2
LEP					✓		✓	✓		✓	✓
TeV MB			✓	✓	✓		✓	✓		(✓)	?
TeV UE	✓	✓		✓	✓		✓	✓		(✓)	✓?
TeV DY		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
LHC MB						✓	✓	✓		✓	?
LHC UE								✓	✓		✓

# Diffraction (in PYTHIA 8)



Navin, arXiv:1005.3894

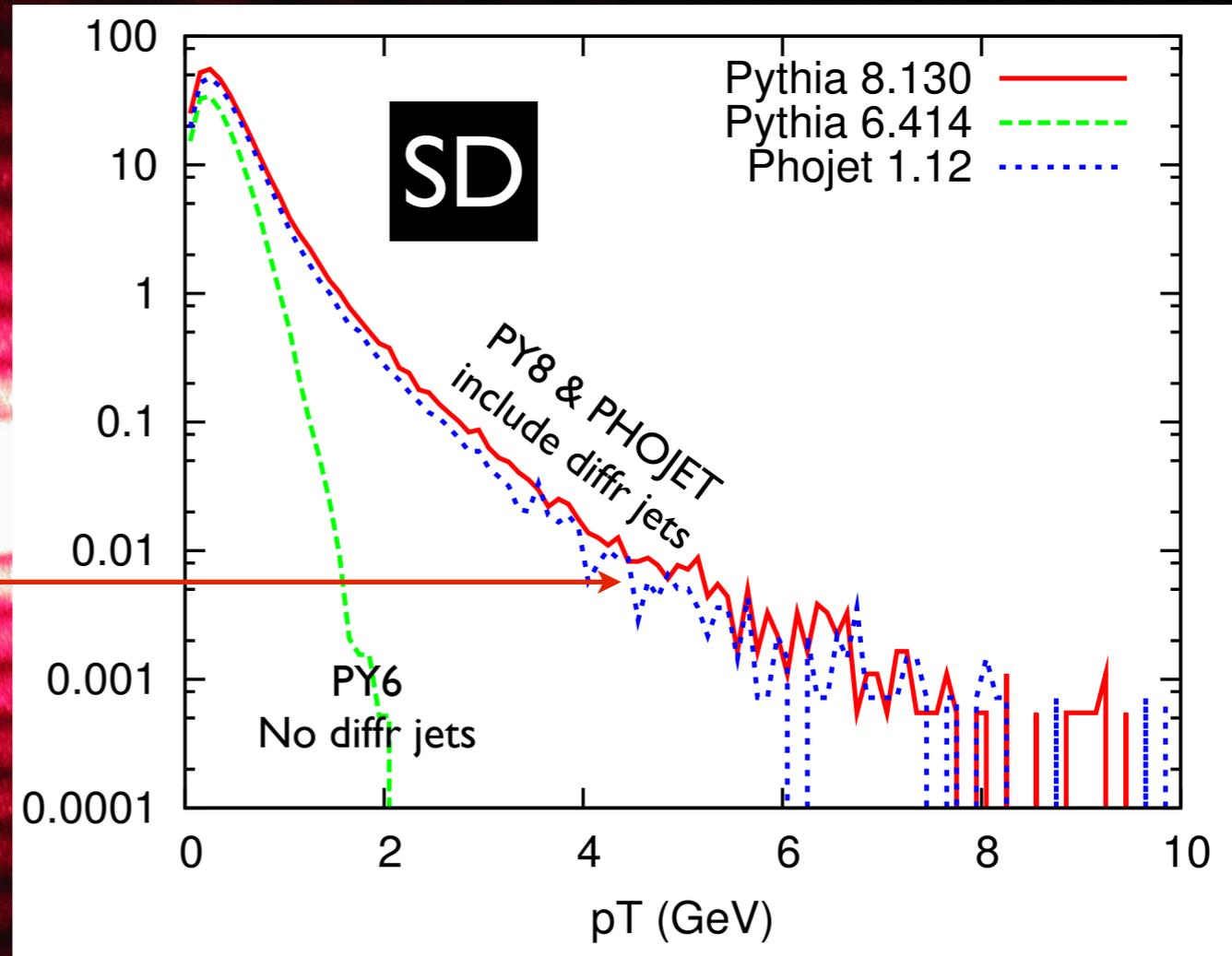
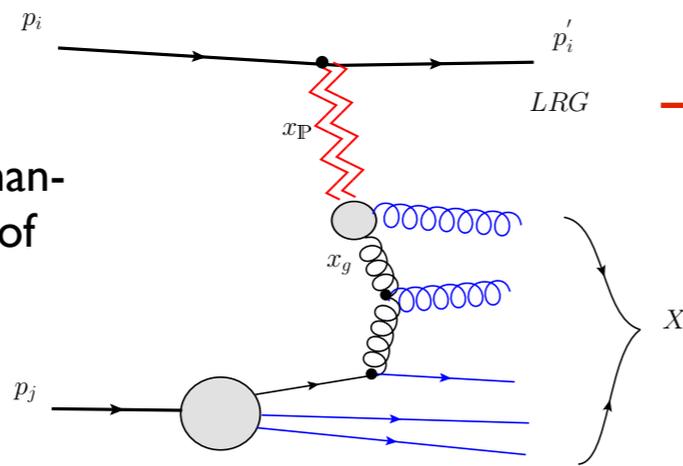
## Diffraction Cross Section Formulae:

$$\frac{d\sigma_{sd}(AX)(s)}{dt dM^2} = \frac{g_{3\mathbb{P}}}{16\pi} \beta_{A\mathbb{P}}^2 \beta_{B\mathbb{P}} \frac{1}{M^2} \exp(B_{sd}(AX)t) F_{sd},$$

$$\frac{d\sigma_{dd}(s)}{dt dM_1^2 dM_2^2} = \frac{g_{3\mathbb{P}}^2}{16\pi} \beta_{A\mathbb{P}} \beta_{B\mathbb{P}} \frac{1}{M_1^2} \frac{1}{M_2^2} \exp(B_{dd}t) F_{dd}.$$

## Partonic Substructure in Pomeron:

Follows the Ingelman-Schlein approach of Pompyt



- ▶  $M_X \leq 10 \text{ GeV}$ : original longitudinal string description used
- ▶  $M_X > 10 \text{ GeV}$ : new perturbative description used (incl full MPI+showers for  $\mathbb{P}p$  system)

PYTHIA 8

Choice between 5 Pomeron PDFs. Free parameter  $\sigma_{\mathbb{P}p}$  needed to fix  $\langle n_{\text{interactions}} \rangle = \sigma_{\text{jet}} / \sigma_{\mathbb{P}p}$ .

Framework needs testing and tuning, e.g. of  $\sigma_{\mathbb{P}p}$ .

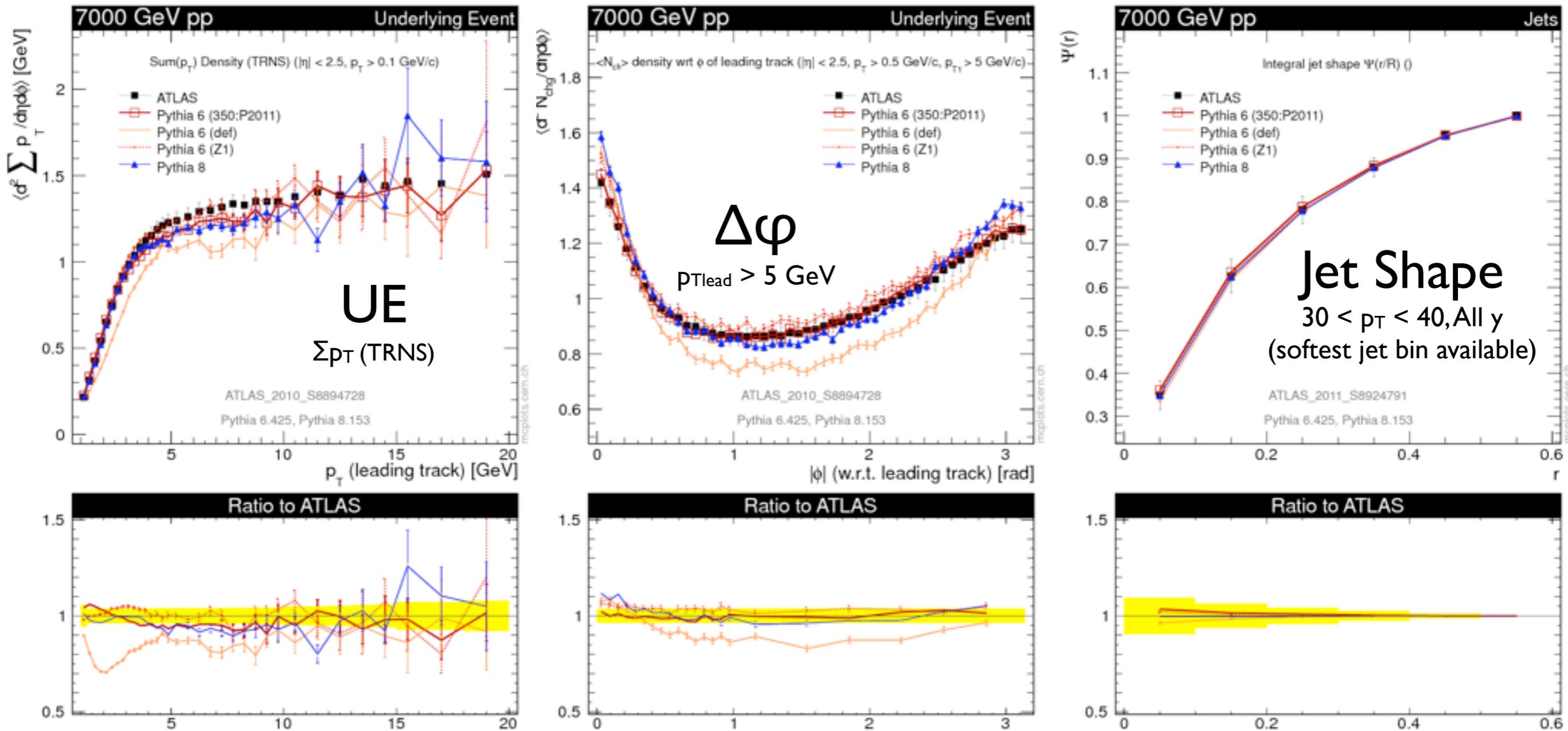
# Data

TOTEM Collaboration Meeting, Dec 6 2011, CERN

# What Works\*

\*) if you use an up-to-date tune. Here comparing to PY6 default (~ Tune A) to show changes.

## Underlying Event & Jet Shapes



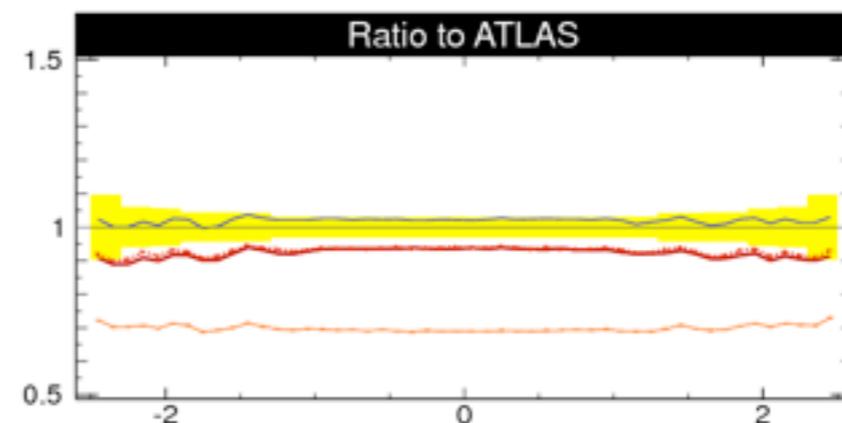
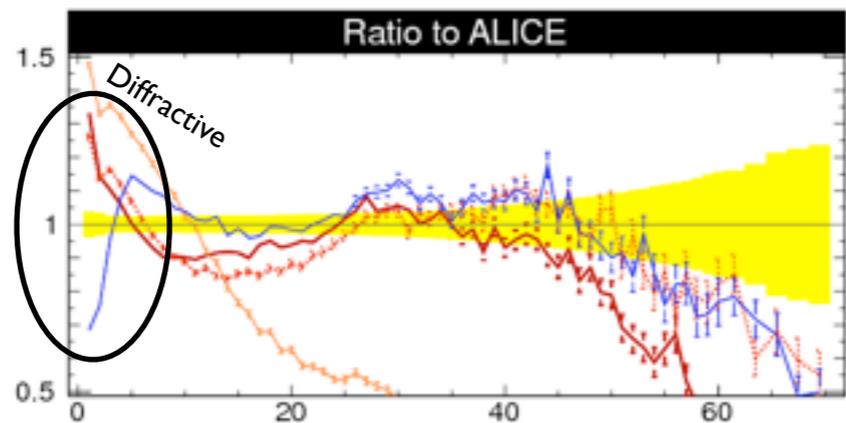
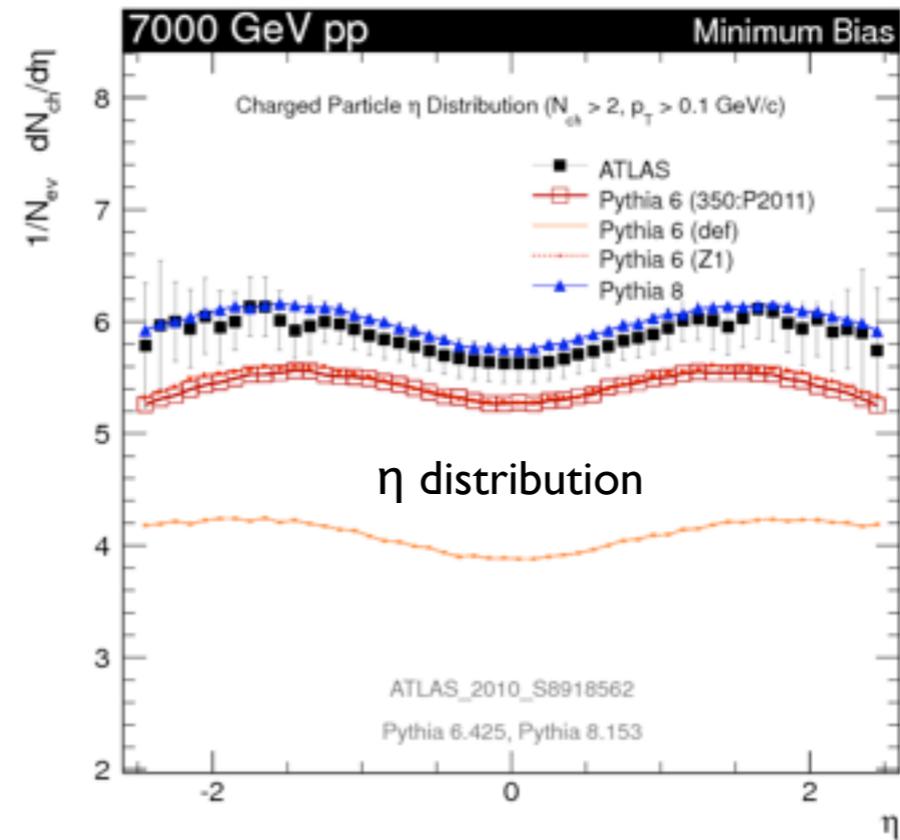
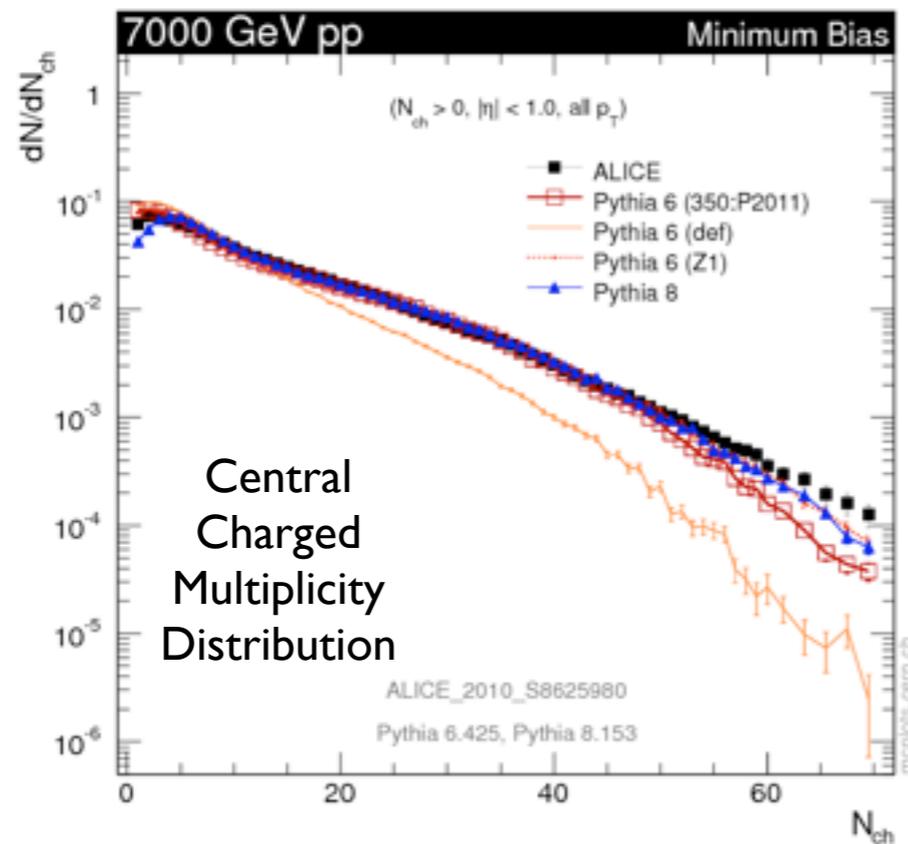
PS: yes, we **should** update the PYTHIA 6 defaults (tune A) ...

# What Kind of Works\*

\*) if you use an up-to-date tune. Here comparing to PY6 default (~ Tune A) to show changes.

## Minimum-Bias Multiplicities

(here showing as inclusive as possible)



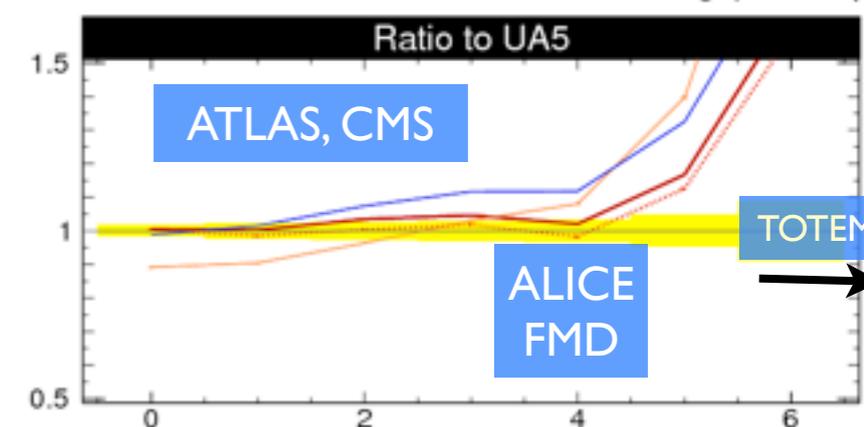
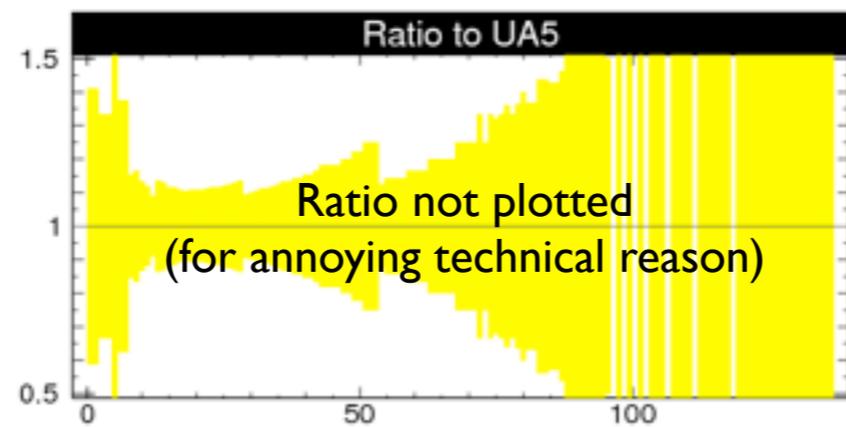
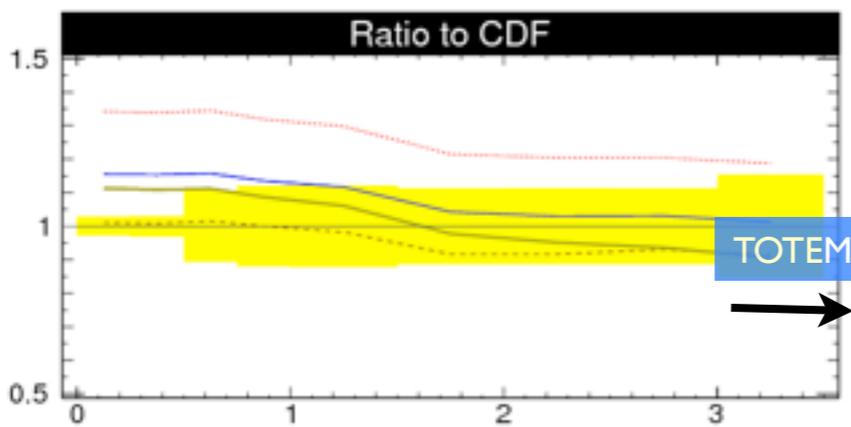
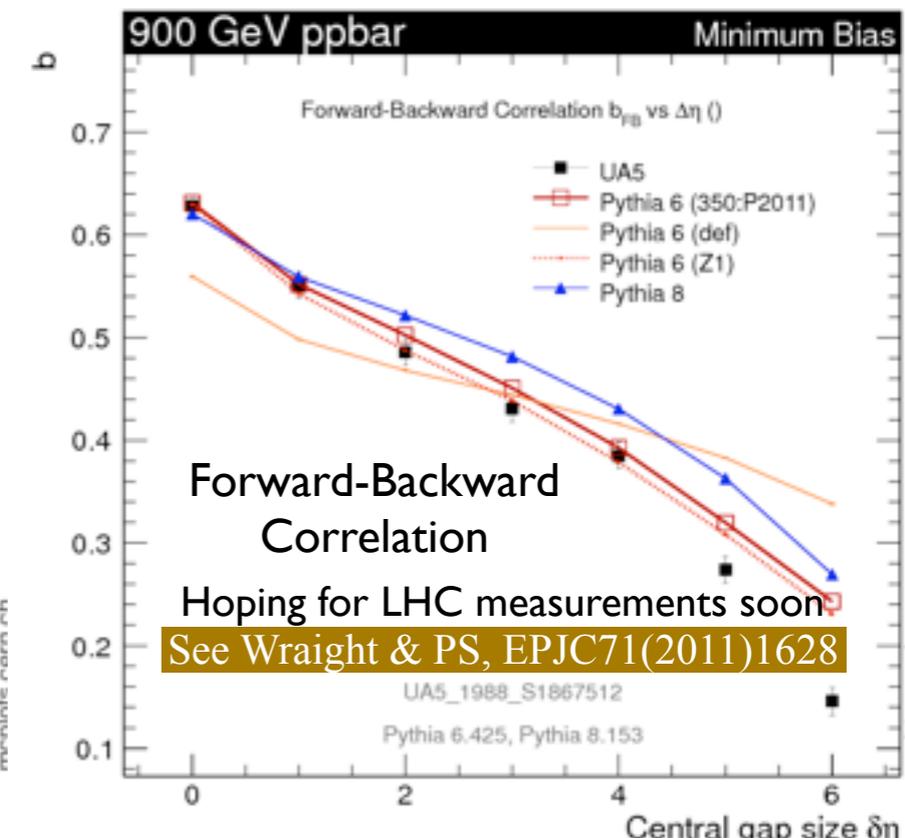
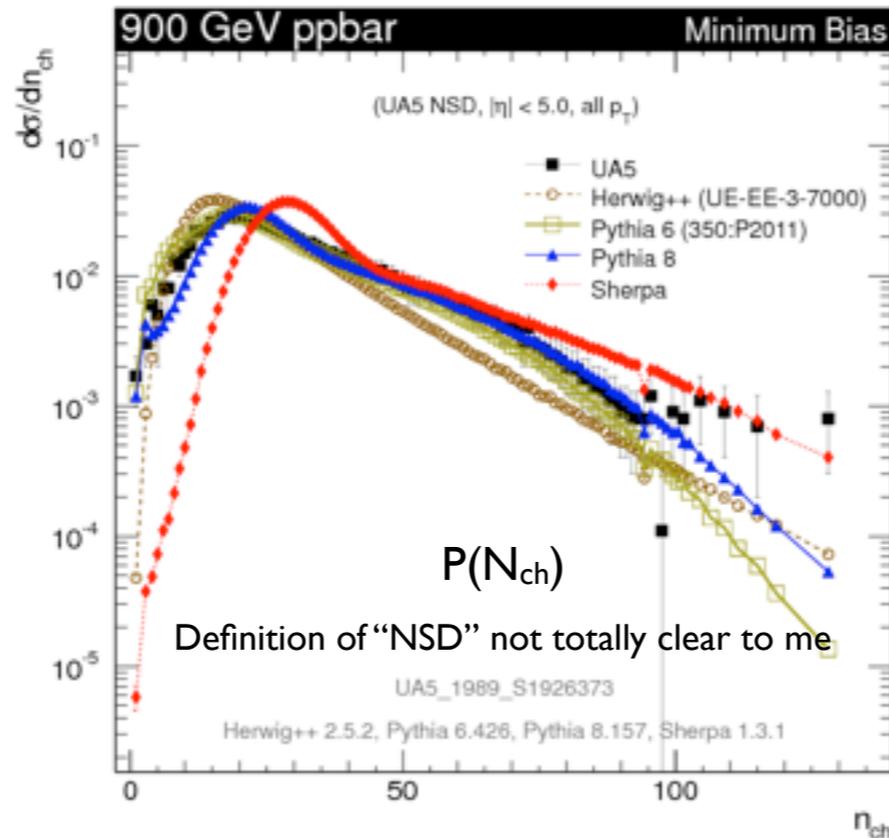
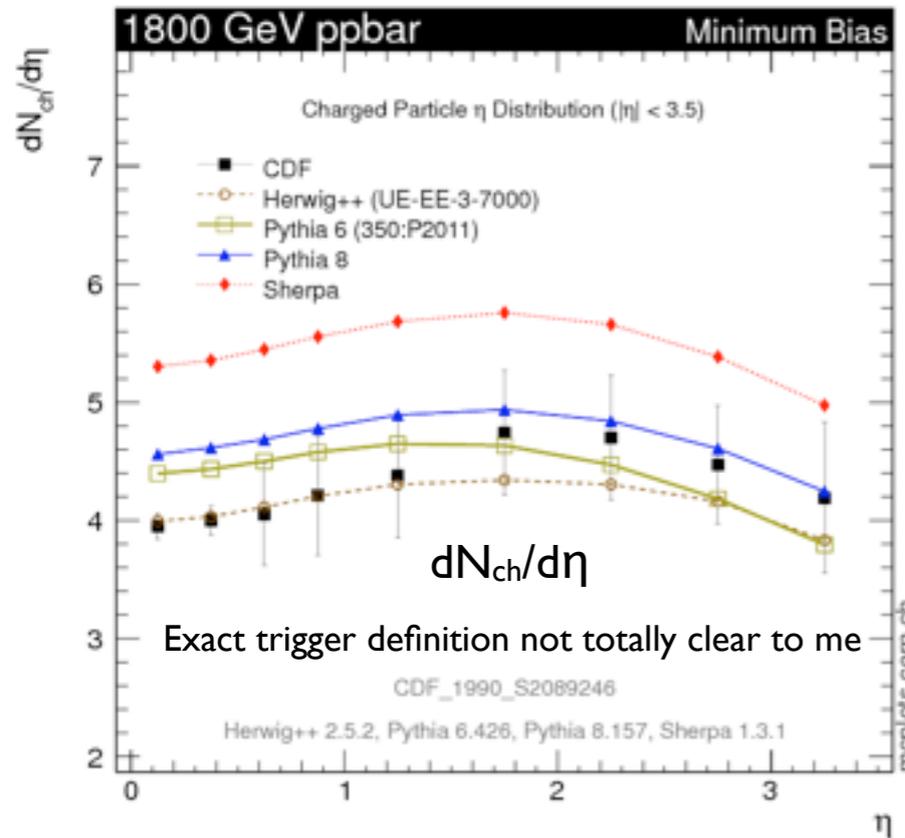
PS: yes, we **should** update the PYTHIA 6 defaults (tune A)...

# Some Pre-LHC Forward Constraints

CDF **VTPC** up to  $|\eta| < 3.5$   
(only  $dN/d\eta$ , only at 1800 GeV)

**UA5** up to  $|\eta| < 5.0$   
(only below 900 GeV)

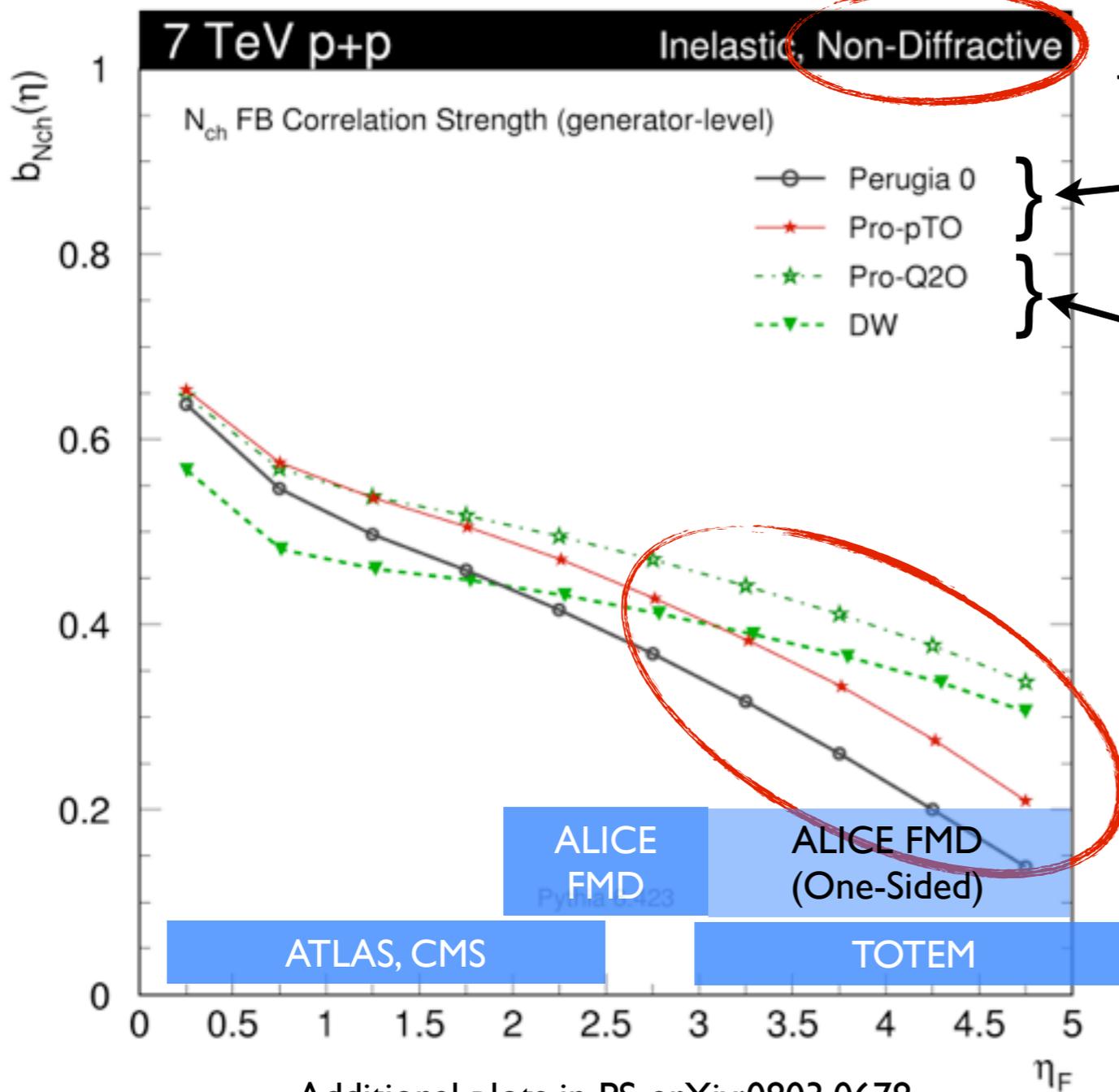
**UA5** up to  $|\eta| < 5.0$   
(only below 900 GeV)



# Forward-Backward Correlation

See, e.g., Wraight & PS, "Forward-Backward Correlations and Event Shapes as probes of Minimum-Bias Event Properties", EPJ C71 (2011) 1628

$$b = \frac{\sigma(n_b, n_f)}{\sigma(n_b)\sigma(n_f)} = \frac{\langle n_b n_f \rangle - \langle n_f \rangle^2}{\langle n_f^2 \rangle - \langle n_f \rangle^2}$$



Additional plots in PS, arXiv:0803.0678

## Models with:

Few MPI (each gives more multiplicity)  
→ **Low** long-distance Correlations

Lots of MPI (each give little multiplicity)  
→ **High** long-distance Correlations

+

**Diffraction** → uncorrelated fluctuations  
→ expect to see higher correlation in diff-suppressed samples than in diff-enhanced ones (e.g., by placing cuts on number of central tracks?)

Sensitive to balance between MPI (long-distance) and radiation (short-distance, tuned on ctrl observables) + color correlations (string-shortening) + diffraction  
→ Use **multiplicity distribution** as cross-check

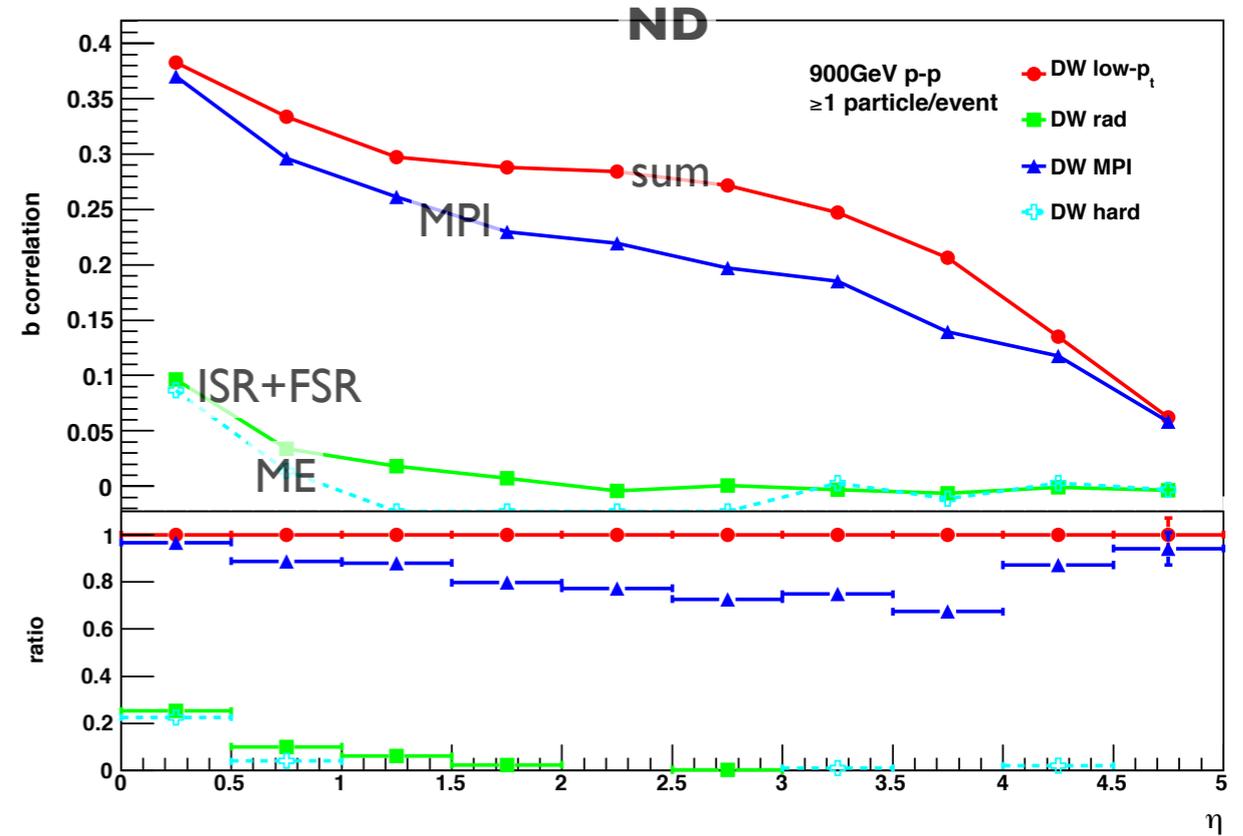
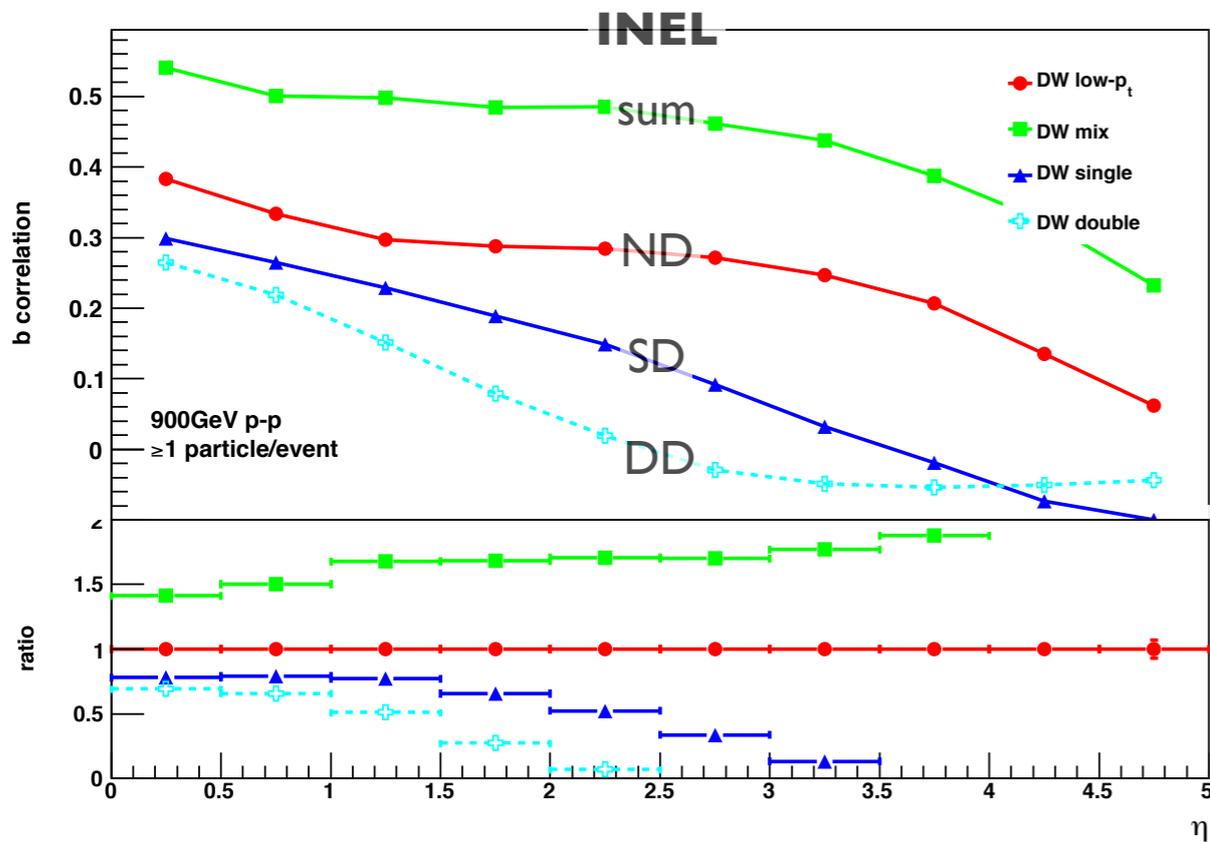
# Components of $b_{FB}$

See, e.g., Wraight & PS, "Forward-Backward Correlations and Event Shapes as probes of Minimum-Bias Event Properties", EPJ C71 (2011) 1628

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**Diffraction** → uncorrelated fluctuations

→ expect to see higher correlation in diff-suppressed samples than in diff-enhanced ones



**Figure 10:** Inclusive  $b$  correlation distribution for tune DW minimum bias sub-processes. Lower pane: ratio to the distribution of the low- $p_{\perp}$  sample.

**Figure 8:** Inclusive  $b$  correlation distribution for tune DW particle production mechanisms: low- $p_{\perp}$ , hard process (HARD), radiative production (RAD) and multi-parton interactions (MPI). Lower pane: ratio to the low- $p_{\perp}$  distribution.

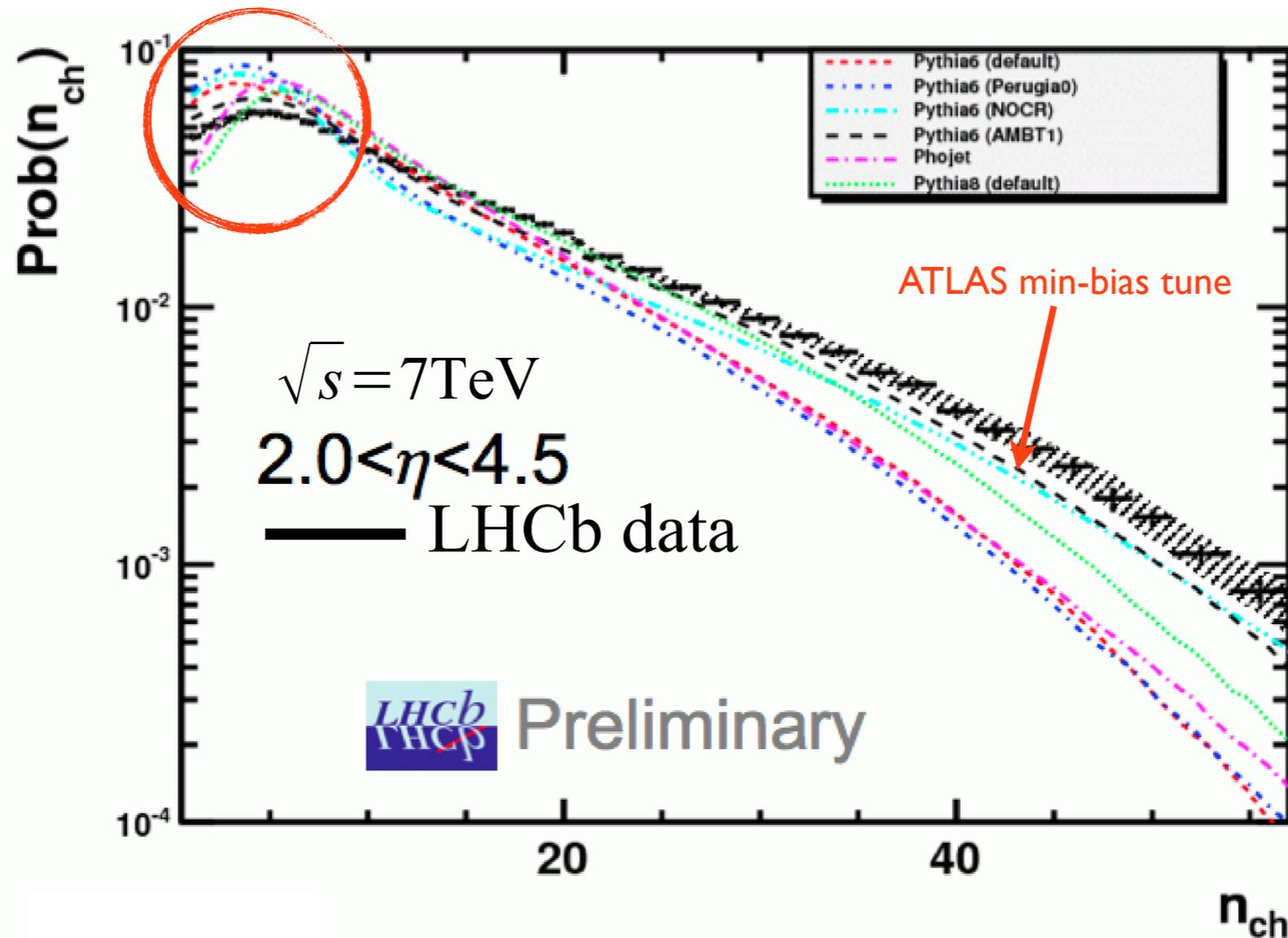
**Warning: Model-dependent examples, but illustrate the principle**

# LHCb

Results shown at MPI, November 2011, DESY (Hamburg)

## Charged multiplicity distribution in unbiased events, in $2.0 < \eta < 4.5$

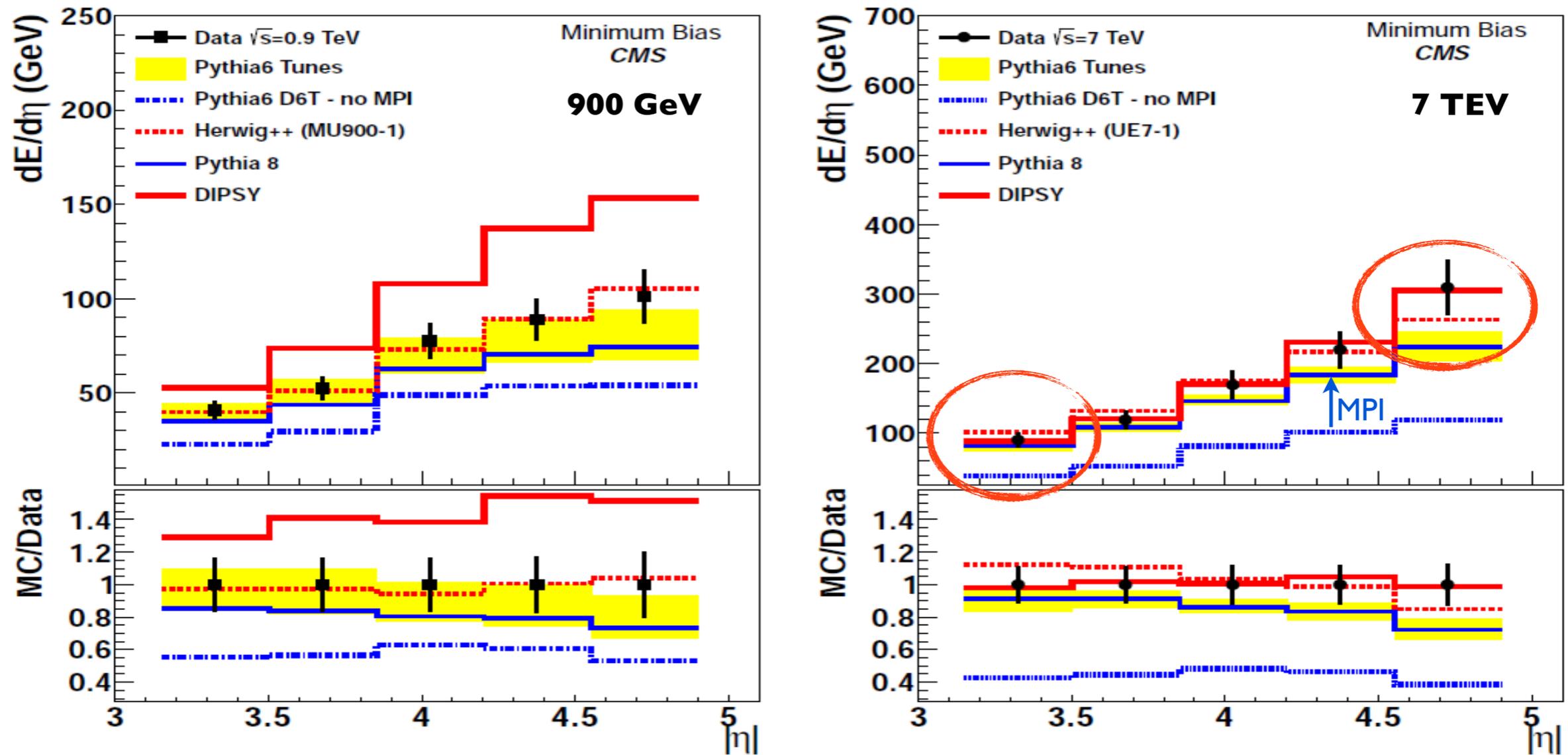
(also showed result with  $> 1$  hard track  $\rightarrow$  less diffraction, could also be done by requiring high multiplicity)



Beyond  $\eta=4.5$ , we do not know what the distribution looks like

## Forward energy flow

(this analysis also comes with several cuts/regions designed to enhance/suppress diffraction → multi-dimensional constraints)



Identical models at  $\eta=3$  → differences at  $\eta=5$

# Summary

## Monte Carlo Event Generators

### Aim to describe complete event structure

The MPI that produce the underlying event (UE) in the **central** region also disturb the beam remnant in the **forward** region

### → correlations between central and fwd fragmentation

Current MC constraints sum inclusively over FWD region → blind spot

If there are **big elephants** there, the central constraints would need to be thoroughly re-evaluated

### Diffraction

Is not a big elephant for the UE or central physics program (mainly non-diff)

But important for fwd physics + all MCs in active development (*Hard diffraction model in Pythia 8, POMWIG-type model in Herwig++, KMR model in Sherpa*) → need good

constraints: → study both diff-enhanced and diff-suppressed triggered samples