Asia-Europe-Pacific School of High Energy Physics Puri, India, 2014

Introduction to QCD

 $(D_{\mu})_{ij}\psi_{q}^{j}-m_{q}\bar{\psi}_{q}^{i}\psi_{qi}-\frac{1}{4}F_{\mu}^{a}$

"Nothing" Gluon action density: 2.4x2.4x3.6 fm QCD Lattice simulation from D. B. Leinweber, hep-lat/0004025



Peter Skands (CERN & Monash U)



 $a\mu\nu$



There are more things in heaven and earth, Horatio, than are dreamt of in your philosophy W. Shakespeare, Hamlet.

$$\mathcal{L} = \bar{\psi}_q^i (i\gamma^\mu) (D_\mu)_{ij} \psi_q^j - m_q \bar{\psi}_q^i \psi_{qi} - \frac{1}{4} F^a_{\mu\nu} F^{a\mu\nu}$$

$$+ \dots ?$$

LHC: still no explicit signs of new physics \rightarrow we're still looking for *deviations* from SM

Disclaimer

Focus on QCD for collider physics

Quantum Chromodynamics

The Ultraviolet (hard processes and jets)

- The Infrared (hadronization and underlying event)
- Monte Carlo Event Generators (shower Markov chains)

Still, some topics not touched, or only briefly

Physics of hadrons (Lattice QCD, Heavy flavor physics, diffraction, ...)

- Heavy ion physics
- New Physics

+ Many specialized topics (DIS, prompt γ , polarized beams, low-x, ...)

Based on TASI lectures (2012) P. Skands, arXiv:1207.2389

Introduction to QCD

- 1. Fundamentals of QCD
- 2. PDFs, Fixed-Order QCD, and Jet Algorithms
- 3. Parton Showers and Event Generators
- 4. QCD in the Infrared

Slides posted at: www.cern.ch/skands/slides

Lecture Notes (updated for this school): <u>P. Skands, arXiv:1207.2389</u>

Before QCD

1951: the first hint of colour?

Discovery of the ∆⁺⁺ baryon Meson-Nucleon Scattering and Nucleon Isobars*

KEITH A. BRUECKNER Department of Physics, Indiana University, Bloomington, Indiana (Received December 17, 1951) K. A. Brueckner Phys.Rev.86(1952)106

satisfactory agreement with experiment is obtained. It is concluded that the apparently anomalous features of the scattering can be interpreted to be an indication of a resonant meson-nucleon interaction corresponding to a nucleon isobar with spin $\frac{3}{2}$, isotopic spin $\frac{3}{2}$, and with an excitation of 277 Mev.

Isospin: Wigner, Heisenberg
 Strangeness ('53): Gell-Mann, Nishijima
 Eightfold Way ('61): Gell-Mann, Ne'eman
 Quarks ('63): Gell-Mann, Zweig, (Sakata)

 $|\Delta^{++}\rangle = | u_{\uparrow} u_{\uparrow} u_{\uparrow} \rangle ?!?!?$

Fermion (spin-3/2). Symmetric in space, spin & flavour Antisymmetric in what?

1965: Additional SU(3) Han, Nambu, Greenberg

 $|\Delta^{++}\rangle = \mathbf{\epsilon}_{ijk} | u_{i\uparrow} u_{j\uparrow} u_{k\uparrow} \rangle$

degree = 3; dimension = 8 Or larger?

The Width of the π^0

$$\Delta^{++}$$
, Δ^{-} , and sz^{-}

Strictly speaking, we only know $N \ge 3$

Get pion decay constant f_{π} from $\pi^- \rightarrow \mu^- \nu_{\mu}$

$$T_{C}^{2} \alpha_{em}^{2} = 1 \quad m_{\pi}^{3} = 7 \cdot (N_{C})^{2} \cdot V$$

$$\Rightarrow \Gamma(\pi^0 \to \gamma^0 \gamma^0)_{\text{th}} = \frac{N_C^2}{9} \frac{\alpha_{\text{em}}^2}{\pi^2} \frac{1}{64\pi} \frac{m_\pi^3}{f_\pi^2} = 7.6 \left(\frac{N_C}{3}\right)^2 \text{eV}$$

See, e.g., Ellis, Stirling, & Webber, "QCD and Collider Physics", Cambridge Monographs

"R"

$$R = \frac{\sigma(e^+e^- \to q\bar{q})}{\sigma(e^+e^- \to \mu^+\mu^-)}$$

$$e^+$$
 f
 f
 f
 f
 f
 f
 f
 f
 f

$$= n_u \left(\frac{2}{3}\right)^2 + n_d \left(-\frac{1}{3}\right)^2$$

Question: why does $\pi^0 \rightarrow \gamma^0 \gamma^0$ go with N_c² and R only with N_c?

 $= \begin{cases} 2 (N_C/3) & q = u, d, s \\ 3.67 (N_C/3) & q = u, d, s, c, b \end{cases}$

"R"

$$R = \frac{\sigma(e^+e^- \to q\bar{q})}{\sigma(e^+e^- \to \mu^+\mu^-)} = n_u \left(\frac{2}{3}\right)^2 + n_d \left(-\frac{1}{3}\right)^2$$



Quantum Chromodynamics

$$\mathcal{L} = \bar{\psi}_q^i (i\gamma^\mu) (D_\mu)_{ij} \psi_q^j - m_q \bar{\psi}_q^i \psi_{qi} - \frac{1}{4} F^a_{\mu\nu} F^{a\mu\nu}$$



Gell-Mann Matrices $(T^a = \frac{1}{2}\lambda^a)$

$$\lambda^{1} = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \ \lambda^{2} = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \ \lambda^{3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \ \lambda^{4} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$
$$\lambda^{5} = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}, \ \lambda^{6} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \ \lambda^{7} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}, \ \lambda^{8} = \begin{pmatrix} \frac{1}{\sqrt{3}} & 0 & 0 \\ 0 & \frac{1}{\sqrt{3}} & 0 \\ 0 & \frac{1}{\sqrt{3}} & 0 \\ 0 & 0 & -\frac{2}{\sqrt{3}} \end{pmatrix}$$

Quark-Gluon interactions



Colour Factors



Colour Factors



Colour Factors



Colour Factors



Colour Factors



Quick Guide to Colour Algebra

Colour factors squared produce traces



Quick Guide to Colour Algebra

Colour factors squared produce traces



Quick Guide to Colour Algebra

Colour factors squared produce traces



⁽from ESHEP lectures by G. Salam)

The Gluon

Gluon-Gluon Interactions

$$\mathcal{L} = \bar{\psi}_q^i (i\gamma^\mu) (D_\mu)_{ij} \psi_q^j - m_q \bar{\psi}_q^i \psi_{qi} \left(-\frac{1}{4} F^a_{\mu\nu} F^{a\mu\nu} \right)$$

Gluon field strength tensor:

$$F^a_{\mu\nu} = \partial_\mu A^a_\nu - \partial_\nu A^a_\mu + g_s f^{abc} A^b_\mu A^c_\nu$$

 $\begin{array}{c} \mathbf{r}, \mu \\ \mathbf{p} \\ \mathbf{r}, \mu \\ \mathbf{p} \\ \mathbf{r}, \mu \\ \mathbf{p} \\ \mathbf{q} \\ \mathbf{g} \\$



Structure constants of SU(3): $f_{123} = 1$ $f_{147} = f_{246} = f_{257} = f_{345} = \frac{1}{2}$ $f_{156} = f_{367} = -\frac{1}{2}$ $f_{458} = f_{678} = \frac{\sqrt{3}}{2}$ Antisymmetric in all indices All other $f_{ijk} = 0$

The Strong Coupling



Bjorken scaling To first approximation, QCD is SCALE INVARIANT (a.k.a. conformal)

A jet inside a jet inside a jet inside a jet ...

If the strong coupling didn't "run", this would be absolutely true (e.g., N=4 Supersymmetric Yang-Mills)

As it is, α_s only runs slowly (logarithmically) → can still gain insight from fractal analogy



Note: I use the terms "conformal" and "scale invariant" interchangeably

Strictly speaking, conformal (angle-preserving) symmetry is more restrictive than just scale invariance But examples of scale-invariant field theories that are not conformal are rare (eg 6D noncritical self-dual string theory)

(some) Physics

cf. equivalent-photon approximation Weiszäcker, Williams ~ 1934

Charges Stopped or kicked

Radiation

a.k.a. Bremsstrahlung Synchrotron Radiation

Radiation

The harder they stop, the harder the fluctations that continue to become radiation

Jets \approx Fractals

- Most bremsstrahlung is driven by divergent propagators → simple structure
- Amplitudes factorize in singular limits (→ universal "conformal" or "fractal" structure)



Partons ab \rightarrow P(z) = DGLAP splitting kernels, with z = energy fraction = E_a/(E_a+E_b) "collinear": $|\mathcal{M}_{F+1}(\ldots, a, b, \ldots)|^2 \xrightarrow{a||b} g_s^2 \mathcal{C} \frac{P(z)}{2(p_a \cdot p_b)} |\mathcal{M}_F(\ldots, a + b, \ldots)|^2$

Gluon j \rightarrow "soft": Coherence \rightarrow Parton j really emitted by (i,k) "colour antenna" $|\mathcal{M}_{F+1}(\dots, i, j, k\dots)|^2 \stackrel{j_g \to 0}{\rightarrow} g_s^2 \mathcal{C} \frac{(p_i \cdot p_k)}{(p_i \cdot p_j)(p_j \cdot p_k)} |\mathcal{M}_F(\dots, i, k, \dots)|^2$

+ scaling violation: $g_s^2 \rightarrow 4\pi \alpha_s(Q^2)$

Can apply this many times→ nested factorizations

Factorization: Separation of Scales

Factorization of Production and Decay:

\sim = "Narrow-width approximation"

Valid up to corrections $\Gamma/m \rightarrow$ breaks down for large Γ More subtle when colour/charge flows *through* the diagram

Factorization of Long and Short Distances



Scale of fluctuations inside a hadron

~ Λ_{QCD} ~ 200 MeV

Scale of hard process $\wedge \Lambda_{QCD}$

→ proton looks "frozen"

Instantaneous snapshot of long-wavelength structure, independent of nature of hard process

Factorization 2: PDFs

Hadrons are composite, with time-dependent structure:



For hadron to remain intact, virtualities $k^2 < M_h^2$ High-virtuality fluctuations suppresed by powers of

$$\frac{\alpha_s M_h^2}{k^2}$$

 M_h : mass of hadron k^2 : virtuality of fluctuation

\rightarrow Lifetime of fluctuations ~ 1/M_h

Hard incoming probe interacts over much shorter time scale ~ 1/Q

On that timescale, partons ~ frozen

Hard scattering knows nothing of the target hadron apart from the fact that it contained the struck parton

Factorization Theorem

In DIS, there is a formal proof of factorization (Collins, Soper, 1987) Scattered Lepton Lepton Deep Inelastic $-Q^2$ Scattering (DIS) Note: Beyond LO, Scattered $\hat{\sigma}$ *f* can be more Surprise Question: Ouark x_i than one parton What's the color factor for DIS?

→ We really can write the cross section in factorized form :

$$\sigma^{\ell h} = \sum_{i} \sum_{f} \int dx_{i} \int d\Phi_{f} f_{i/h}(x_{i}, Q_{F}^{2}) \frac{d\hat{\sigma}^{\ell i \to f}(x_{i}, \Phi_{f}, Q_{F}^{2})}{dx_{i} d\Phi_{f}}$$
Sum over
$$\int_{\substack{\text{Note: Initial (i)} \\ \text{and final (f)} \\ \text{parton flavors}}} \Phi_{f} f_{i/h} \\ \int_{\substack{\text{PDFs} \\ \text{PDFs}}} \Phi_{f} f_{i/h} \\ \int_{\substack{\text{PDFFs} \\ \text{PDFFs}}} \Phi_{f} f_{i/h} \\ \int_{\substack{\text{PDFFs} \\ \text{PDFFs}}} \Phi_{f} f_{i/h} \\ \int_{\substack{\text{PDFFs}$$

A propos Factorization

Why do we need PDFs, parton showers / jets, etc.? Why are Fixed-Order QCD matrix elements not enough?

F.O. QCD requires **Large scales** : to guarantee that α_s is small enough to be perturbative (not too bad, since we anyway *often* want to consider large-scale processes [insert your fav one here])

F.O. QCD requires **No hierarchies** : conformal structure implies that soft/collinear hierarchies are associated with on-shell singularities that ruin fixed-order expansion.

But!!! we collide - and observe - low-scale hadrons, with *nonperturbative structure*, that participate in hard processes, whose scales are *hierarchically greater* than m_{had} ~ 1 GeV.

 \rightarrow A Priori, no perturbatively calculable observables in QCD

Conformal QCD in Action

Naively, QCD radiation suppressed by $\alpha_s \approx 0.1$

Truncate at fixed order = LO, NLO, ...

But beware the jet-within-a-jet-within-a-jet ...

 \rightarrow More on this in lectures on Jets and Showers

Example:

100 GeV can be "soft" at the LHC

SUSY pair production at 14 TeV, with $M_{SUSY} \approx 600$ GeV

LHC - sps1a - m~600 GeV		Plehn, Rainwater, PS PLB645(2007)217					
FIXED ORDER pQCD	$\sigma_{\rm tot}[{\rm pb}]$	$ ilde{g} ilde{g}$	$\tilde{u}_L \tilde{g}$	$\tilde{u}_L \tilde{u}_L^*$	$\tilde{u}_L \tilde{u}_L$	TT	
$p_{T,j} > 100 { m ~GeV}$ inclusive X + 1 "jet" — inclusive X + 2 "jets" —	σ_{0j} $\rightarrow \sigma_{1j}$ $\rightarrow \sigma_{2j}$	$\begin{array}{c} 4.83 \\ 2.89 \\ 1.09 \end{array}$	5.65 2.74 0.85	$0.286 \\ 0.136 \\ 0.049$	$0.502 \\ 0.145 \\ 0.039$	$1.30 \\ 0.73 \\ 0.26$	σ for X + jets much larger than naive estimate
$p_{T,j} > 50 \text{ GeV}$	$\sigma_{0j} \ \sigma_{1j} \ \sigma_{2j}$	4.83 5.90 4.17	5.65 5.37 3.18	0.286 0.283 0.179	0.502 0.285 0.117	1.30 1.50 1.21 dGraph)	$\sigma_{50} \sim \sigma_{tot}$ tells us that there will "always" be a ~ 50-GeV jet "inside" a 600-GeV process

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Factorization says we can still calculate!

Why is Fixed Order QCD not enough?

: It requires all resolved scales >> Λ_{QCD} AND no large hierarchies

PDFs: connect incoming hadrons with the high-scale process **Fragmentation Functions:** connect high-scale process with final-state hadrons (each is a non-perturbative function modulated by initial- and final-state radiation)

$$\frac{\mathrm{d}\sigma}{\mathrm{d}X} = \sum_{a,b} \sum_{f} \int_{\hat{X}_{f}} f_{a}(x_{a}, Q_{i}^{2}) f_{b}(x_{b}, Q_{i}^{2}) \frac{\mathrm{d}\hat{\sigma}_{ab \to f}(x_{a}, x_{b}, f, Q_{i}^{2}, Q_{f}^{2})}{\mathrm{d}\hat{X}_{f}} D(\hat{X}_{f} \to X, Q_{i}^{2}, Q_{f}^{2})$$

PDFs: needed to compute inclusive cross sections

FFs: needed to compute (semi-)exclusive cross sections

Resummed pQCD: All resolved scales >> Λ_{QCD} AND X Infrared Safe

*)pQCD = perturbative QCD

Will take a closer look at both PDFs and final-state aspects (jets and showers) in the next lectures

Scaling Violation

Real QCD isn't conformal

The coupling runs logarithmically with the energy scale

$$\begin{aligned} Q^2 \frac{\partial \alpha_s}{\partial Q^2} &= \beta(\alpha_s) & \beta(\alpha_s) = -\alpha_s^2 (b_0 + b_1 \alpha_s + b_2 \alpha_s^2 + \dots) , \\ b_0 &= \frac{11C_A - 2n_f}{12\pi} \quad b_1 = \frac{17C_A^2 - 5C_A n_f - 3C_F n_f}{24\pi^2} = \frac{153 - 19n_f}{24\pi^2} \\ \text{I-Loop } \beta \text{ function coefficient} & \text{2-Loop } \beta \text{ function coefficient} \end{aligned}$$

Asymptotic freedom in the ultraviolet

Confinement (IR slavery?) in the infrared

Asymptotic Freedom

"What this year's Laureates discovered was something that, at first sight, seemed completely contradictory. The interpretation of their mathematical result was that the closer the quarks are to each other, the *weaker* is the 'colour charge'. When the quarks are really close to

- *I each other, the force is so weak that they behave almost as free particles. This phenomenon is called 'asymptotic freedom'. The converse is true when the quarks move apart:
 *2 the force the particular second sec
- ^{*2} the force becomes stronger when the distance increases."



The Official Web Site of the Nobel Prize

The Nobel Prize in Physics 2004 David J. Gross, H. David Politzer, Frank Wilczek



David J. GrossH. David PolitzerFrank WilczekThe Nobel Prize in Physics 2004 was awarded jointly to David J. Gross, H. David Politzer and FrankWilczek "for the discovery of asymptotic freedom in the theory of the strong interaction".

Photos: Copyright © The Nobel Foundation



^{*1} The force still goes to ∞ as $r \rightarrow 0$ (Coulomb potential), just less slowly

^{*2} The potential grows linearly as $r \rightarrow \infty$, so the force actually becomes constant (even this is only true in "quenched" QCD. In real QCD, the force eventually vanishes for r>>1 fm)

Asymptotic Freedom

QED:

Vacuum polarization \rightarrow Charge screening



Quark Loops

→ Also charge screening





But only dominant if > 16 flavors!

Asymptotic Freedom

QED:

Vacuum polarization \rightarrow Charge screening

QCD:



Gluon Loops Dominate if \leq 16 flavors





Spin-I → Opposite Sign

UV and IR



At low scales

Coupling $\alpha_s(Q)$ actually runs rather fast with Q

Perturbative solution diverges at a scale Λ_{QCD} somewhere below

 $\approx 1 \text{ GeV}$

So, to specify the strength of the strong force, we usually give the value of α_s at a unique reference scale that everyone agrees on: M_Z

The Fundamental Parameter(s)



... And all its cousins

 $\Lambda^{(3)} \Lambda^{(4)} \Lambda^{(5)} \Lambda_{CMW} \Lambda_{FSR} \Lambda_{ISR} \Lambda_{MPI}$, ...

 \dots + n_f and quark masses

Beyond α_s

QCD is more than just a perturbative expansion in $\pmb{\alpha}_s$

The relation between α_s , Feynman diagrams, and the full QCD dynamics is under active investigation. Emergent phenomena:



Jets (the QCD fractal) \leftrightarrow amplitude structures \leftrightarrow fundamental quantum field theory. Precision jet (structure) studies.



Strings (strong gluon fields) \leftrightarrow quantum-classical correspondence. String physics. Dynamics of hadronization phase transition.



Hadrons \leftrightarrow Spectroscopy (incl excited and exotic states), lattice QCD, (rare) decays, mixing, light nuclei. Hadron beams \rightarrow MPI, diffraction, ...

Other parameters

The emergent is unlike its components insofar as ... it cannot be reduced to their sum or their difference." G. Lewes (1875)

Emergent phenomena

Cannot guess non-perturbative phenomena from perturbative QCD \rightarrow "Emerge" due to confinement

Hadron masses, Decay constants, Fragmentation functions Parton distribution functions,...

Difficult/Impossible to compute given only knowledge of perturbative QCD

The Way of the Chicken

► Who needs QCD? I'll use leptons

- Sum inclusively over all QCD
 - Leptons almost IR safe by definition
 - WIMP-type DM, Z', EWSB \rightarrow may get some leptons



The Way of the Chicken

Who needs QCD? I'll use leptons

- Sum inclusively over all QCD
 - Leptons almost IR safe by definition
 - WIMP-type DM, Z', EWSB \rightarrow may get some leptons
- Beams = hadrons for next decade (RHIC / Tevatron / LHC)
 - At least need well-understood PDFs
 - High precision = higher orders \rightarrow enter QCD (and more QED)
- Isolation → indirect sensitivity to QCD
- Fakes → indirect sensitivity to QCD
- Not everything gives leptons
 - Need to be a lucky chicken ...

The unlucky chicken

Put all its eggs in one basket and didn't solve QCD



 \rightarrow Next Lectures

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Questions

1. Why is the color factor for $\pi^0 \rightarrow \gamma \gamma$ proportional to N_C^2 while the one for $e^+e^- \rightarrow$ quarks is proportional to N_C ?

(Note: treat the π^0 as a fundamental pseudoscalar)

2. What is the colour factor for QCD Rutherford scattering, qq→qq via t-channel gluon exchange?



Crossings



Uncalculated Orders

Naively $O(\alpha_s)$ - True in e⁺e⁻!

$$\sigma_{\rm NLO}(e^+e^- \to q\bar{q}) = \sigma_{\rm LO}(e^+e^- \to q\bar{q}) \left(1 + \frac{\alpha_s(E_{\rm CM})}{\pi} + \mathcal{O}(\alpha_s^2)\right)$$

Generally larger in hadron collisions

- Typical "K" factor in pp (= σ_{NLO}/σ_{LO}) $\approx 1.5 \pm 0.5$
- Why is this? Many pseudoscientific explanations
 - Explosion of # of diagrams ($n_{Diagrams} \approx n!$) New initial states contributing at higher orders (E.g., $gq \rightarrow Zq$) Inclusion of low-x (non-DGLAP) enhancements Bad (high) scale choices at Lower Orders, ...

Theirs not to reason why // Theirs but to do and die

Tennyson, The Charge of the Light Brigade

Changing the scale(s)

Why scale variation ~ uncertainty?

Scale dependence of calculated orders must be canceled by contribution from uncalculated ones (+ non-pert)

$$\alpha_s(Q^2) = \alpha_s(m_Z^2) \frac{1}{1 + b_0 \ \alpha_s(m_Z) \ln \frac{Q^2}{m_Z^2} + \mathcal{O}(\alpha_s^2)}$$

$$b_0 = \frac{11N_C - 2n_f}{12\pi}$$

$$\rightarrow (\alpha_s(Q'^2) - \alpha_s(Q^2)) |M|^2 = \alpha_s^2(Q^2) |M|^2 + \dots$$

→ Generates terms of higher order, but proportional to what you already have $(|M|^2)$ → a first naive* way to estimate uncertainty

*warning: some theorists believe it is the only way ... but be agnostic! There are other things than scale dependence ...

Dangers

 $p_{\perp 1}$ = 50 GeV $p_{\perp 2}$ = 50 GeV $p_{\perp 3}$ = 50 GeV



Dangers

 $p_{\perp 1}$ = 500 GeV $p_{\perp 2}$ = 100 GeV $p_{\perp 3}$ = 30 GeV

Complicated final states

Intrinsically <u>Multi-Scale</u> problems with Many powers of α_s

If you have multiple QCD scales

 \rightarrow variation of μ_R by factor 2 in each direction not good enough! (nor is \times 3, nor \times 4)

Need to vary also functional dependence on each scale!



(Factorization: Caveats)

1. The proof only includes the first term in an operator product expansion in "twist" = mass dimension - spin

→ Strictly speaking, only valid for $Q^2 \rightarrow \infty$. Neglects corrections of order



- 2. The proof only applies to inclusive cross sections In e⁺e⁻, in DIS, and in Drell-Yan. For everything else: factorization ansatz
- 3. Scheme dependence

In practice limited to MSbar + variations of Q_F

4. Interpretation of PDFs as parton number densities Is only valid at Leading Order