# **Applications and Phenomenology**

QFT II - Weeks 3 & 4

#### **1.** Leptonic Decays of Hadrons: from $\pi \rightarrow \ell \nu$ to $B \rightarrow \ell \nu$

QFT in Hadron Decays. Decay Constants. Helicity Suppression in the SM.

### 2. On the Structure and Unitarity of the CKM Matrix

The CKM Matrix. The GIM Mechanism. CP Violation. The Unitarity Triangle.

### **3. Introduction to the "Flavour Anomalies": Semi-Leptonic Decays**

 $B \rightarrow D^{(*)} \ell \nu$ . The Spectator Model. Form Factors. Heavy Quark Symmetry.  $B \rightarrow K^{(*)} \ell^+ \ell^-$ . FCNC. Aspects beyond tree level. Penguins. The OPE.

### 4. Introduction to Radiative Corrections: $B \rightarrow \mu \vee \gamma$

The (infrared) pole structure of gauge field theory amplitudes. Collinear and Infrared Safety.

> **Peter Skands** Monash University — 2020

# Now, we move on to: Flavour-Changing Neutral Currents

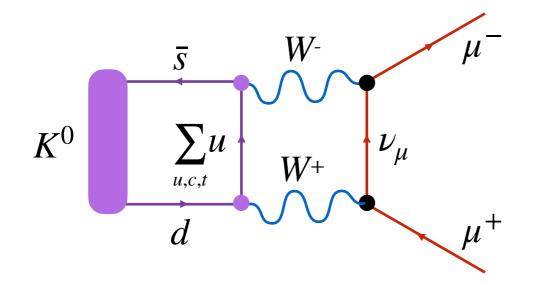
#### In the SM, only the W can change quark flavours

"Charged Current":  $u_i \to W^+ d_j$  and  $d_i \to W^- u_j$ 

The photon, Higgs, and Z, all couple flavour-diagonally

#### ➡ No tree-level FCNC in SM

FCNC = processes involving  $b \rightarrow s, b \rightarrow d$ , or  $c \rightarrow u$  transitions. In the SM, this requires at least **two** W vertices. **Recall:** we saw an example when discussing the GIM mechanism:



GIM suppression by CKM unitarity:

$$\sum_{j} V_{ij} V_{jk}^{\dagger} = \delta_{jk}$$

E.g.:

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 $V_{ud}V_{us}^* + V_{cd}V_{cs}^* \sim \cos\theta_C \sin\theta_C - \sin\theta_C \cos\theta_C = 0$ 

# Suppressed in SM Good probes for BSM

## **Also called "Rare Decays"**

Due to suppression, they have small Branching Fractions.

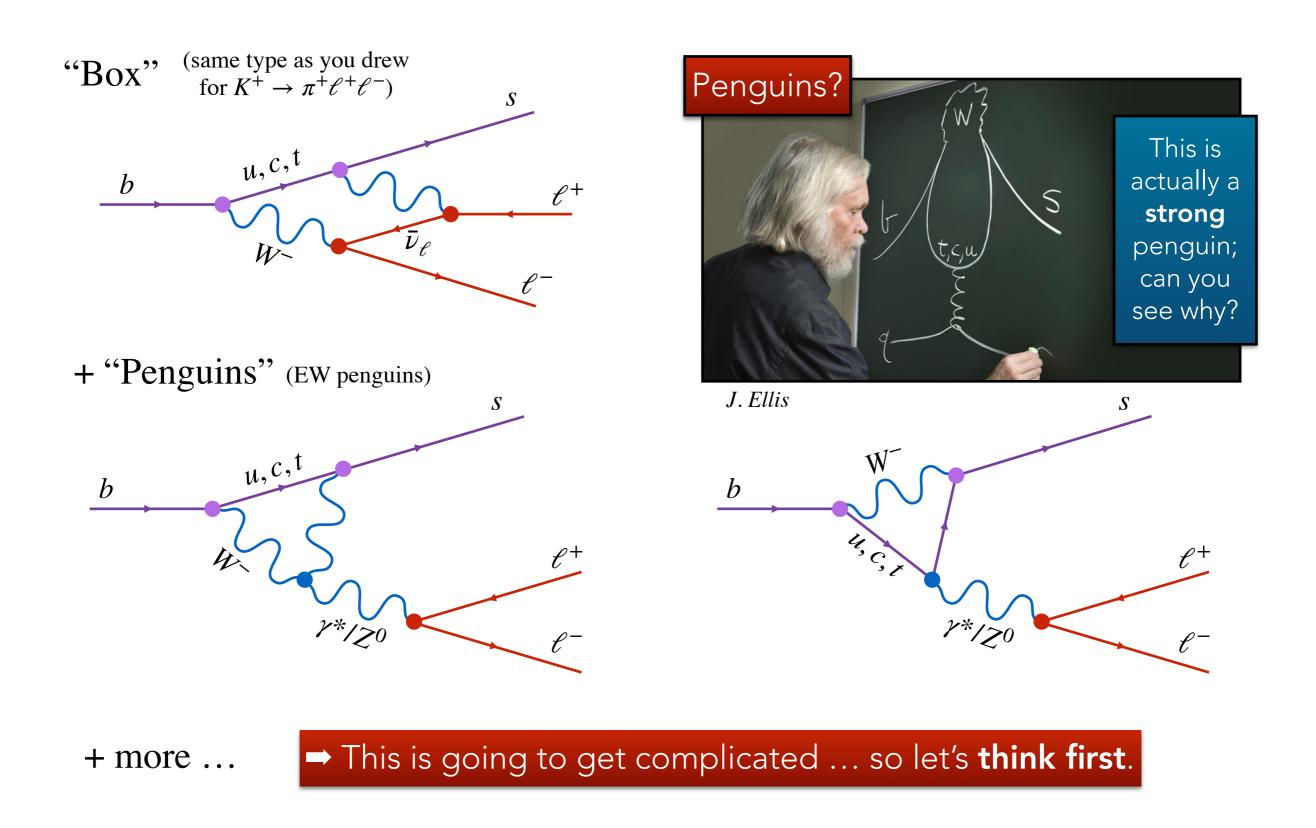
- How rare is rare? Recall our  $K \rightarrow \mu\mu$  example; BR( $K \rightarrow \mu\mu$ ) ~ 10<sup>-8</sup>.
  - So you need to collect ~ one billion K decays to see ~ 10 of these.
  - For comparison, the charged-current (tree-level W) decays we looked at in the last lecture have much larger branching ratios, e.g.,  $BR(K \rightarrow \pi ev) \sim 40\%$

# Since FCNC amplitudes are tiny in the SM, any additional contributions from new physics may be relatively easy to see

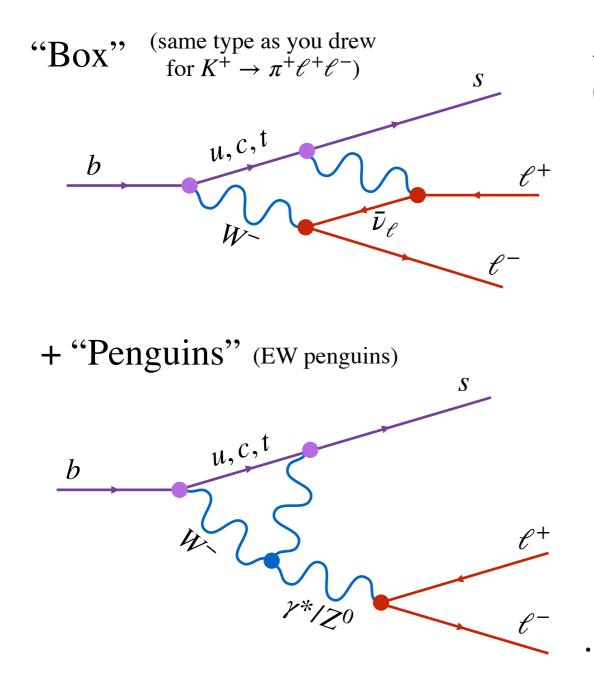
**In B Sector:** The equivalent of  $K \to \mu\mu$ Leptonic Decays:  $B_{d,s}^0 \to \ell^+ \ell^-$ ,  $(B_{d,s}^0 \to \nu \bar{\nu})$  (why not B\*?) Semi-Leptonic:  $b \to s \, \ell^+ \ell^-$ ,  $b \to d \, \ell^+ \ell^-$ , and  $b \to s(d) \, \gamma, b \to s(d) \, \nu \bar{\nu}$ Multi-hadronic: beyond the scope of this course.  $B \to K^{(*)} \ell^+ \ell^-$ 

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# Diagrams contributing to $b \rightarrow s\ell^+\ell^-$ transitions



# 1: Exploit CKM Unitarity and $m_t \gg m_c \rightarrow \text{Top Quark Domination}$



# All of these amplitudes involve GIM-type sums:

$$\mathscr{M} = V_{ub} V_{us}^* \mathscr{M}_u + V_{cb} V_{cs}^* \mathscr{M}_c + V_{tb} V_{ts}^* \mathscr{M}_t$$

CKM Unitarity:  $V_{ub}V_{us}^* = -V_{cb}V_{cs}^* - V_{tb}V_{ts}^*$ 

$$= V_{cb}V_{cs}^*(\mathcal{M}_c - \mathcal{M}_u) + V_{tb}V_{ts}^*(\mathcal{M}_t - \mathcal{M}_u)$$

➡ Any quark-massindependent terms must cancel.

Whatever is left must be proportional to  $m_c^n$  and  $m_t^n$ 

#### Top quark dominates

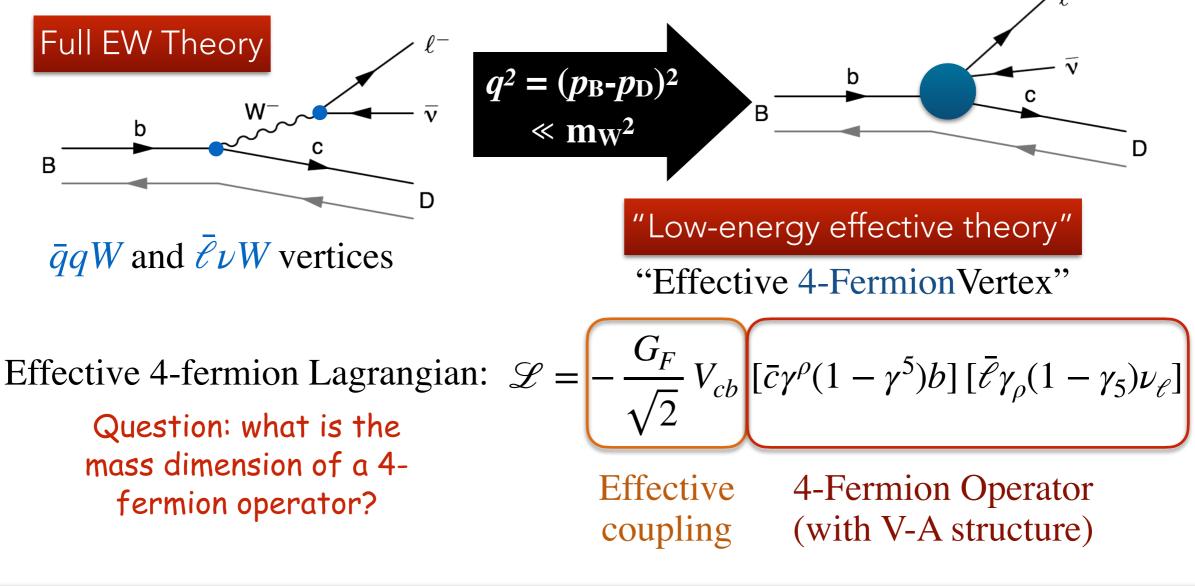
$$\mathcal{M} \sim V_{tb} V_{ts}^* \overline{\mathcal{M}}_t$$
  
Keeping only terms  $\propto m_t^n$ 

# 2: Exploit q<sup>2</sup> « m<sub>W</sub><sup>2</sup> → Low-Energy Effective Theory

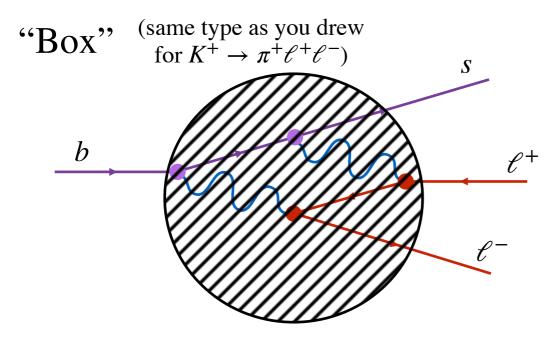
#### Construct effective vertices, with effective coefficients

For example, we previously wrote tree-level W exchange as an effective coefficient  $\propto G_F/\sqrt{2}$ , multiplying two V-A fermion currents.

**Recall:**  $B \to D\ell\nu$  (and all the other processes we looked at so far)



# Effective vertices for $b \rightarrow s\ell^+\ell^-$

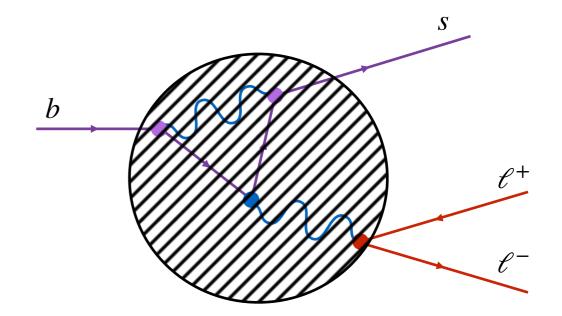


+ "Penguins" (EW penguins)



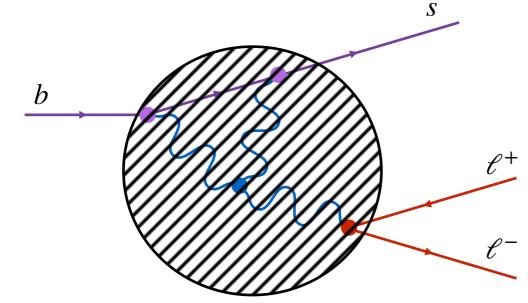
"Integrate out" the short-distance propagators, leaving only operators for the **external states: O<sub>i</sub>** 

with some **effective coefficients**, **C**<sub>i</sub> (which now in general will contain integrals over whatever loops contribute to them in the full theory)



(Re)classify all possible low-energy operators in terms of Lorentz (+ colour) structure

Inami & Lim, Progr. Theor. Phys. 65 (1981) 297



# The Operator Product Expansion

For a textbook, see e.g., Donoghue, Golowich, Holstein, "Dynamics of the SM", Cambridge, 1992 For a review, see e.g., Buchalla, Buras, Lautenbacher, Rev. Mod. Phys. 68 (1996) 1125

## **Effective Lagrangian for b**->s transitions

- = sum over **effective vertices** 
  - with overall G<sub>F</sub> & CKM factor,

and operators  $\mathcal{O}_k \times \text{coefficients } C_k$ 

$$\mathscr{L} = -\frac{G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum_{k} C_k \mathcal{O}_k$$

Q: why only t?

#### "Wilson Coefficients"

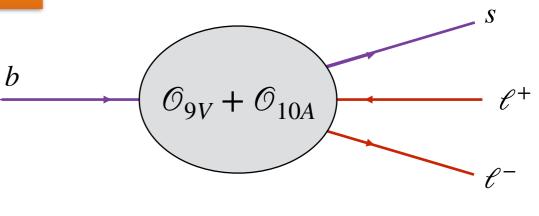
In general, we need to do some loop integrals to compute them.

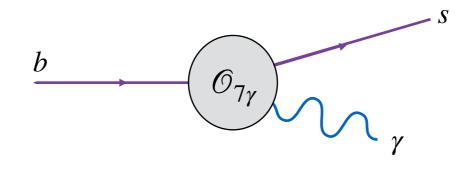
#### **Operators directly responsible for semi-leptonic decays:**

$$\mathcal{O}_{9V}^{\ell} = [\bar{s}\gamma^{\mu}(1-\gamma_5)b] [\bar{\ell}\gamma_{\mu}\ell]$$
$$\mathcal{O}_{10A}^{\ell} = [\bar{s}\gamma^{\mu}(1-\gamma_5)b] [\bar{\ell}\gamma_5\gamma_{\mu}\ell]$$

#### (+QED Magnetic Penguin)

$$\mathcal{O}_{7\gamma} = \frac{e}{8\pi^2} m_b \left[ \bar{s} \sigma^{\mu\nu} (1 + \gamma_5) b \right] F_{\mu\nu}$$
$$\sigma^{\mu\nu} = -\frac{i}{4} [\gamma^{\mu}, \gamma^{\nu}]$$





Warning: I have not been particularly systematic about  $\frac{1}{2}(1-\gamma_5)$  vs  $(1-\gamma_5)$  in these slides.

# (Non-Leptonic Operators)

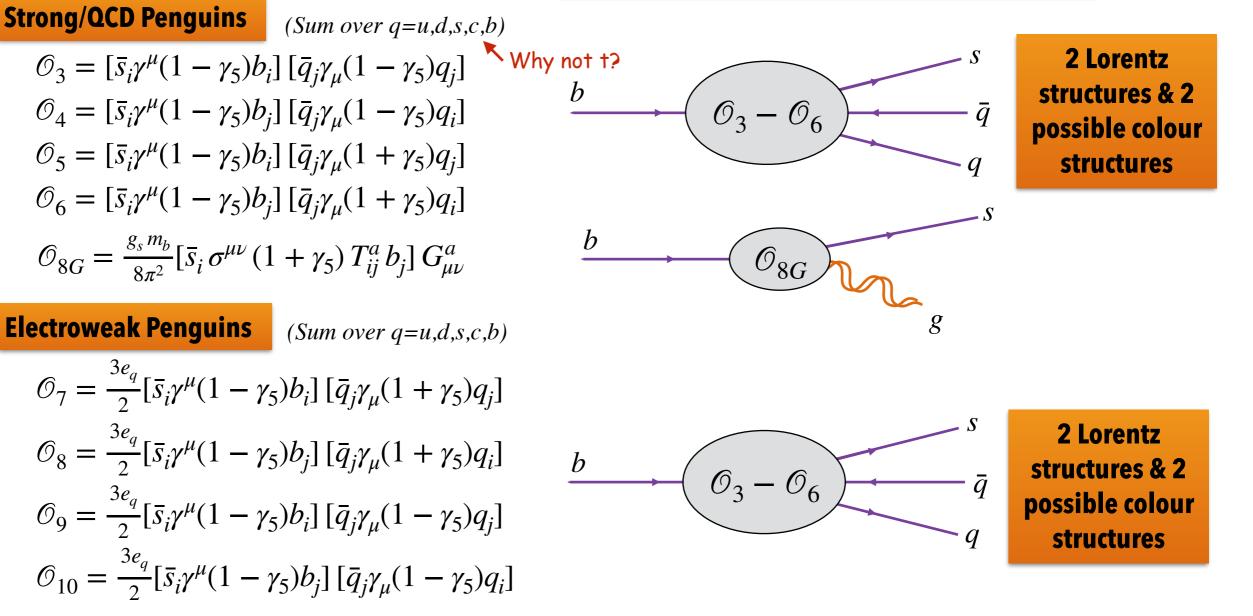
 $(i,j=1,2,3 \text{ and } a=1,...,8 \text{ are } SU(3)_C \text{ indices}; \text{ indicate colour structure})$ 

#### W exchange / Charged-Current:

Note: some authors swap these, e.g. Buchalla et al.

 $\begin{pmatrix} \mathcal{O}_1 = [\bar{s}_i \gamma^{\mu} (1 - \gamma_5) c_i] [\bar{c}_j \gamma_{\mu} (1 - \gamma_5) b_j] \\ \mathcal{O}_2 = [\bar{s}_i \gamma^{\mu} (1 - \gamma_5) c_j] [\bar{c}_j \gamma_{\mu} (1 - \gamma_5) b_i]$ 

**Exercise**: consider tree-level diagrams for W exchange between two quark currents and justify why the (LO) Wilson coefficients are  $C_1 = 1$  and  $C_2 = 0$ .



# Renormalisation & Running Wilson Coefficients

#### At tree level, $C_1 = 1$ and all other $C_i = 0$ (they all involve loops)

Not good enough. (Among other things, FCNC would be absent!)

#### At loop level, we must discuss renormalisation

In this part of the course, we focus on applications; not formalism

Suffice it to say that, just as we can do a tree-level comparison between the full theory (EW SM with full W propagators) and the effective theory, to see that  $C_1 = 1$  and the other  $C_i$  are zero at tree level, we can do the same kind of comparison at loop level.

This procedure - determining the coefficients of the effective theory from those of the full theory - is called **matching** and is a general aspect of deriving any effective theory by "integrating out" degrees of freedom from a more complete one.

#### Two aspects are especially important to know. At loop level:

We do the matching a specific value of the renormalisation scale, characteristic of the degrees of freedom being integrated out, here  $\mu_{match} = m_W$ .

This determines the values of the Wilson coefficients at that scale,  $C_i(m_W)$ .

We must then "run" those coefficients to a scale characteristic of **the physical process** at hand, in our case  $\mu_R = m_b$ . In general,  $C_i(m_b) \neq C_i(m_W)$ .

# One-Loop Coefficients at the Weak Scale

M. Neubert, TASI Lectures on EFT and heavy quark physics, 2004, arXiv:hep-ph/0512222 Buchalla, Buras, Lautenbacher, Rev. Mod. Phys. 68 (1996) 1125

At the scale  $\mu = m_W$  (at one loop in QCD), the matching eqs. are:

$$\begin{split} C_1(M_W) &= 1 - \frac{11}{6} \frac{\alpha_s(M_W)}{4\pi}, \\ C_2(M_W) &= \frac{11}{2} \frac{\alpha_s(M_W)}{4\pi}, \\ C_3(M_W) &= C_5(M_W) = -\frac{1}{6} \widetilde{E}_0\left(\frac{m_t^2}{M_W^2}\right) \frac{\alpha_s(M_W)}{4\pi}, \\ C_4(M_W) &= C_6(M_W) = \frac{1}{2} \widetilde{E}_0\left(\frac{m_t^2}{M_W^2}\right) \frac{\alpha_s(M_W)}{4\pi}, \\ C_7(M_W) &= f\left(\frac{m_t^2}{M_W^2}\right) \frac{\alpha(M_W)}{6\pi}, \\ C_9(M_W) &= \left[f\left(\frac{m_t^2}{M_W^2}\right) + \frac{1}{\sin^2\theta_W} g\left(\frac{m_t^2}{M_W^2}\right)\right] \frac{\alpha(M_W)}{4\pi}, \\ C_8(M_W) &= C_{10}(M_W) = 0, \end{split}$$

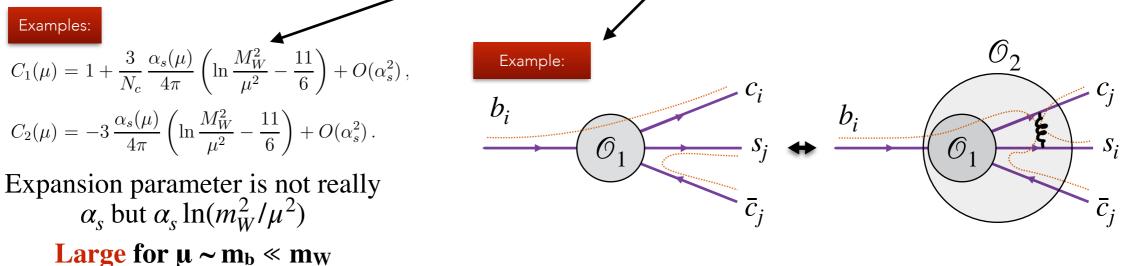
$$C_{7\gamma}(M_W) = -\frac{1}{3} + O(1/x),$$
  
 $C_{8g}(M_W) = -\frac{1}{8} + O(1/x).$ 

(Sorry I did not find equivalent handy expressions for C<sub>9V</sub> and C<sub>10A</sub> yet)

# From m<sub>W</sub> to m<sub>b</sub>

What does "running" of the Wilson coefficients mean, and what consequences does it have?

Matrix Equation: 
$$C_i(\mu) = \sum_j U_{ij}(\mu, m_W)C_j(m_W)$$
  
 $\bigcup$  "Evolution Matrix"  
**QCD corrections > Large logs & operator mixing** (U is not diagonal)



# The "Renormalisation Group Method": sums $(\alpha_s \ln(m_W/\mu))^n$

U<sub>ij</sub> obtained by solving differential equation ("RGE") analogous to that for other running couplings:

$$\frac{dC_i}{d\ln\mu} = \gamma_{ij}C_j$$

The kernels,  $\gamma_{ij}$ , are called the "matrix of anomalous dimension"

See, e.g., M. Schwarz "Quantum Field Theory and the Standard Model", chp.23 Buchalla, Buras, Lautenbacher, Rev. Mod. Phys. 68 (1996) 1125

# Quark-Level Matrix Element

E.g., Buchalla, Buras, Lautenbacher, Rev. Mod. Phys. 68 (1996) 1125

# For now, all we shall care about is that the C<sub>i</sub>(m<sub>b</sub>) have been calculated in the theoretical literature with high precision

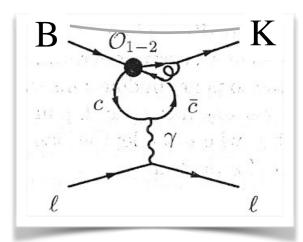
Not just for SM, but for many scenarios of physics BSM as well.

E.g., SUSY: Ali, Ball, Handoko, Hiller, hep-ph/9910221

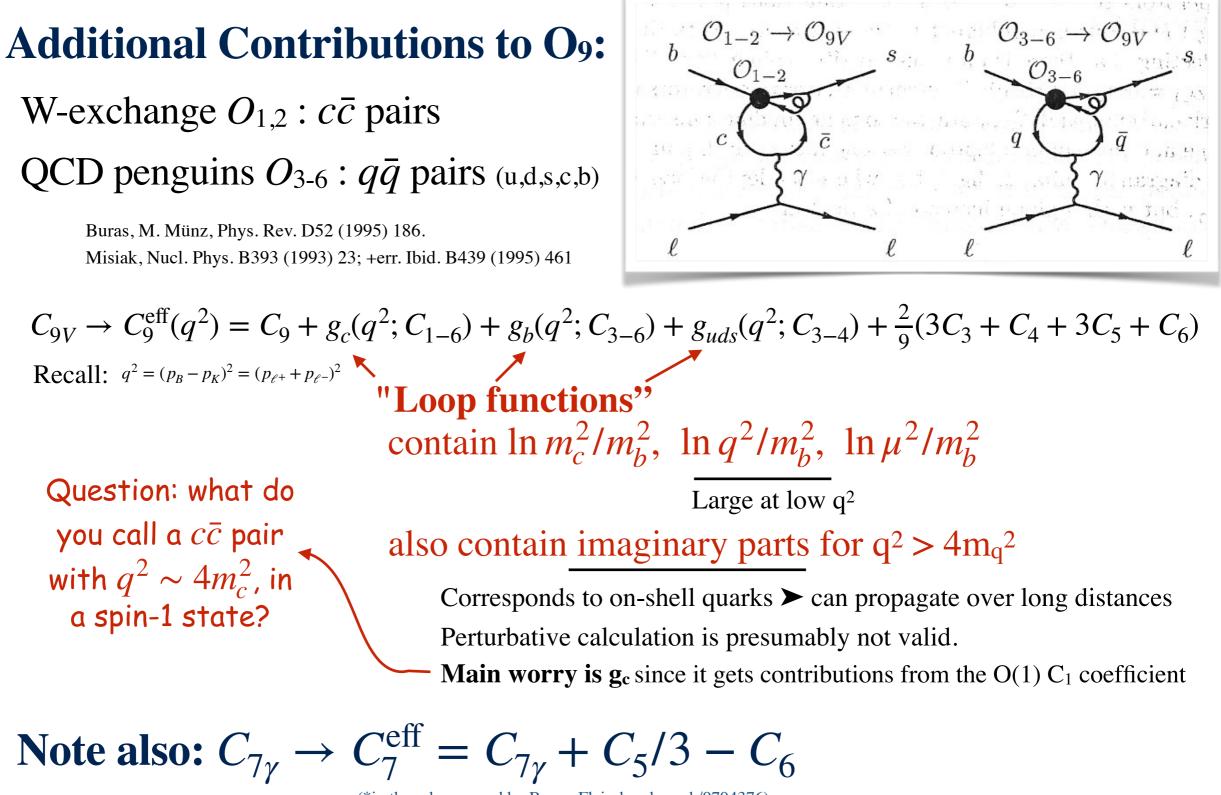
$$\mathcal{M}(b \to s\ell^+\ell^-) = \frac{G_F \sqrt{\alpha}}{2\pi} V_{ts}^* V_{tb} \left[ C_{9V}(m_b) [\bar{s}\gamma^\mu \frac{1}{2}(1-\gamma_5)b] [\bar{\ell}\gamma_\mu \ell] \right]$$
$$+ C_{10A}(m_b) [\bar{s}\gamma^\mu \frac{1}{2}(1-\gamma_5)b] [\bar{\ell}\gamma_\mu \gamma_5 \ell]$$
$$- 2\frac{m_b}{m_B} C_{7\gamma}(m_b) [\bar{s}i\sigma^{\mu\nu} \frac{q_\nu}{q^2} \frac{1}{2}(1+\gamma_5)b] [\bar{\ell}\gamma_\mu \ell] \right]$$

Next: add **perturbative contributions from other operators** Then: add non-perturbative effects of **hadronic resonances** 

Finally: form factors **→** hadronic matrix elements

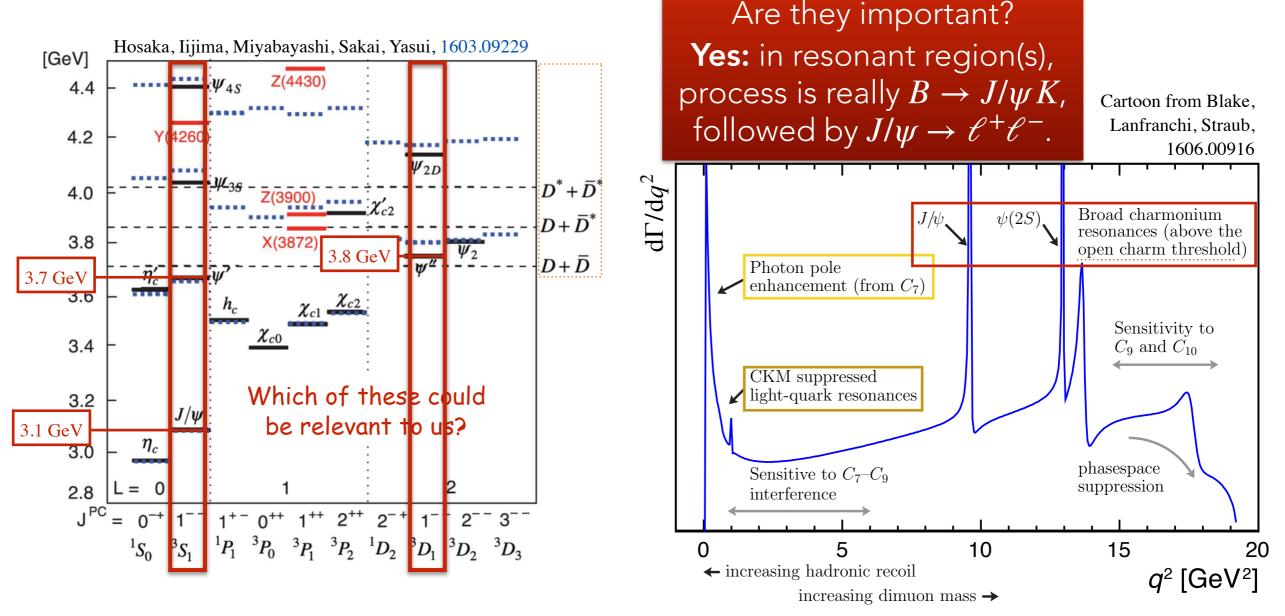


# Additional Perturbative Contributions



(\*in the scheme used by Buras, Fleischer, hep-ph/9704376)

#### Which $c\bar{c}$ states are there?



(can add resonances with Breit-Wigner functions + "non-factorizable contributions" in  $C_9^{\text{eff}}$ )

Note: the dilepton  $q^2$  spectrum is still relatively clean below the J/psi

# (Non-Factorizable Contributions?)

#### We so far did not consider multi-hadronic final states

But that is effectively what the  $B \rightarrow J/\psi K$  intermediate states are.

The problem of **non-factorizable contributions** illustrates a general problem that crops up in **multi-hadronic processes**.

#### The factorisation ansatz

When including the  $J/\psi$  and other  $c\bar{c}$  (henceforth  $\psi_n$ ) states as Breit-Wigner distributions in  $C_9^{\text{eff}}$ , we are effectively factoring the process into a  $B \to K$  transition part, and a  $\psi_n$  creation (and decay) part.

 $\left\langle K\ell^{+}\ell^{-}\left|\hat{H}\right|B\right\rangle \stackrel{\text{Res.}}{\sim} \left\langle \ell^{+}\ell^{-}\left|\hat{H}\right|\psi_{n}\right\rangle \left\langle \psi_{n}K\left|\hat{H}\right|B\right\rangle \stackrel{\text{Fact.}}{\sim} \left\langle \ell^{+}\ell^{-}\left|\hat{H}\right|\psi_{n}\right\rangle \left\langle \psi_{n}\left|\hat{H}\right|0\right\rangle \left\langle K\left|\hat{H}\right|B\right\rangle$ 

The creation & decay amplitudes for  $\psi_n$  are proportional to the  $\psi_n$  decay constant.

Ignores any crosstalk between the  $J/\psi$  and  $B \rightarrow K$  currents.

#### **Non-factorizable contributions**

Long-distance interactions between the (hadronic)  $J/\psi$  and  $B \rightarrow K$  currents. Beyond the scope of this course

# Hadronic Matrix Element & Form Factors

#### We are now ready to look at the hadron-level matrix element

$$\mathcal{M}(B \to K\ell^{+}\ell^{-}) = \frac{G_{F}\alpha}{\sqrt{2}\pi} V_{tb} V_{ts}^{*} \left[ C_{9}^{\text{eff}} \left\langle K(p_{K}) \left| \bar{s}\gamma^{\mu}(1-\gamma_{5})b \left| B(p_{B}) \right\rangle [\bar{\ell}\gamma_{\mu}\ell] \right. \right. \right. \\ \left. + C_{10A} \left\langle K(p_{K}) \left| \bar{s}\gamma^{\mu}(1-\gamma_{5})b \left| B(p_{B}) \right\rangle [\bar{\ell}\gamma_{\mu}\gamma_{5}\ell] \right. \right. \\ \left. - 2\frac{m_{b}}{m_{B}} C_{7}^{\text{eff}} \left\langle K(p_{K}) \left| \bar{s}i\sigma^{\mu\nu}\frac{q_{\nu}}{q^{2}}(1+\gamma_{5})b \left| B(p_{B}) \right\rangle [\bar{\ell}\gamma_{\mu}\ell] \right] \right]$$

Similarly to  $B \to D\ell\nu$ , the axial part does not contribute in  $B \to K\ell^+\ell^-$ . But we do need a magnetic form factor, due to the C<sub>7</sub> contribution.

$$\left\langle K(p_K) \left| \bar{s}\gamma^{\mu}(1-\gamma_5)b \left| B(p_B) \right\rangle = f_+(q^2) \left( p_B + p_K \right)^{\mu} + f_-(q^2)(p_B - p_D)^{\mu} \right. \\ \left\langle K(p_K) \left| \bar{s}i\sigma^{\mu\nu} \frac{q_\nu}{q^2} (1+\gamma_5)b \left| B(p_B) \right\rangle = \frac{f_T(q^2)}{m_B + m_K} \left( q^2(p_B + p_K)^{\mu} - (m_B^2 - m_K^2)q^{\mu} \right) \right.$$

K is not a "heavy-light" system  $(\Lambda_{QCD}/m_s \sim 1) \Rightarrow$  cannot play Isgur-Wise trick; have to keep both  $f_+$  and  $f_-$ 

# (Example of Form-Factor Parametrisations)

## Main method is called "Light Cone Sum Rules" (LCSR)

The ones below are admittedly rather old; from hep-ph/9910221

$$F(\hat{s}) = F(0) \exp(c_1 \hat{s} + c_2 \hat{s}^2 + c_3 \hat{s}^3).$$

Central	$f_+$	$f_0$	$f_T$
F(0)	0.319	0.319	0.355
$c_1$	1.465	0.633	1.478
<i>C</i> <sub>2</sub>	0.372	-0.095	0.373
<i>C</i> 3	0.782	0.591	0.700

Max	$f_+$	$f_0$	$f_T$
F(0)	0.371	0.371	0.423
$c_1$	1.412	0.579	1.413
$C_2$	0.261	-0.240	0.247
<i>C</i> <sub>3</sub>	0.822	0.774	0.742

Min	$f_+$	$f_0$	$f_T$
F(0)	0.278	0.278	0.300
$c_1$	1.568	0.740	1.600
$c_2$	0.470	0.080	0.501
$c_3$	0.885	0.425	0.796

(and there are corresponding ones for  $B \to K^*$ )

# The $B \rightarrow K \ell^+ \ell^-$ Decay Distribution

#### **Squared matrix element + trace algebra**

**Exercise:** do the steps

$$\overline{|\mathcal{M}|}^{2} = \frac{G_{F}^{2} \alpha^{2}}{4\pi^{2}} |V_{ts}^{*}V_{tb}|^{2} D(q^{2}) \left(\lambda(m_{B}^{2}, m_{K}^{2}, q^{2}) - u^{2}\right)$$
  
With  $D(q^{2}) = \left|C_{9}^{\text{eff}}(q^{2})|f_{+}(q^{2}) + \frac{2m_{b}}{m_{B} + m_{K}}C_{7}^{\text{eff}}f_{T}(q^{2})\right|^{2} + |C_{10A}|^{2}f_{+}(q^{2})^{2}$   
And  $\lambda(a, b, c) = a^{2} + b^{2} + c^{2} - 2ab - 2bc - 2ac, \quad u \equiv 2p_{B} \cdot (p_{\ell^{+}} - p_{\ell^{-}})$   
Note: we assumed lepton mass vanishes  $\rightarrow$  **no dependence** on  $f_{-}$  any more!

#### **Phase Space**

Useful Trick: factor  $1 \rightarrow 3$  phase space into two  $1 \rightarrow 2$  ones using  $\int d^4q \, \delta^{(4)}(q - p_1 - p_2) = 1$ 

**Exercise:** starting from the standard form of dLIPS for a  $1 \rightarrow 3$  decay, show that :

$$\frac{\mathrm{d}\Gamma_{B\to K\ell^+\ell^-}}{\mathrm{d}q^2\,\mathrm{d}u} = \frac{\left|\mathcal{M}\right|^2}{2^9\pi^3 m_B^3}$$

# What does data say?

Here just looking at LHCb measurements; From talk by E. Graverini, BEACH 2018 Additional measurements by BaBar and Belle not shown.

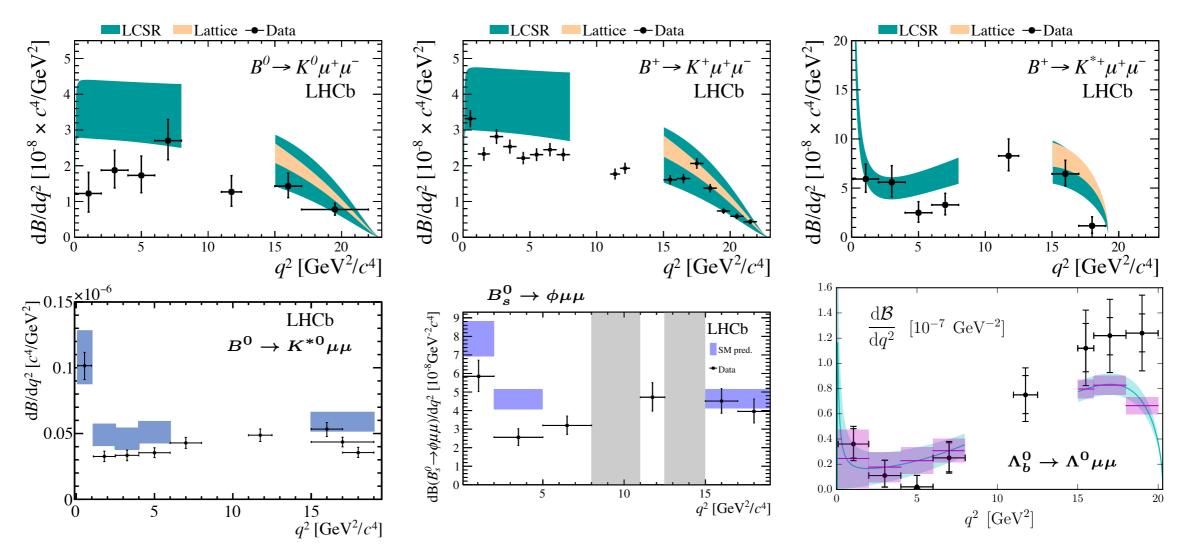


Figure 3. (Colours online) Differential branching fraction for various  $b \rightarrow s\mu\mu$  transitions measured at LHCb, superimposed to SM predictions [2–5, 40].

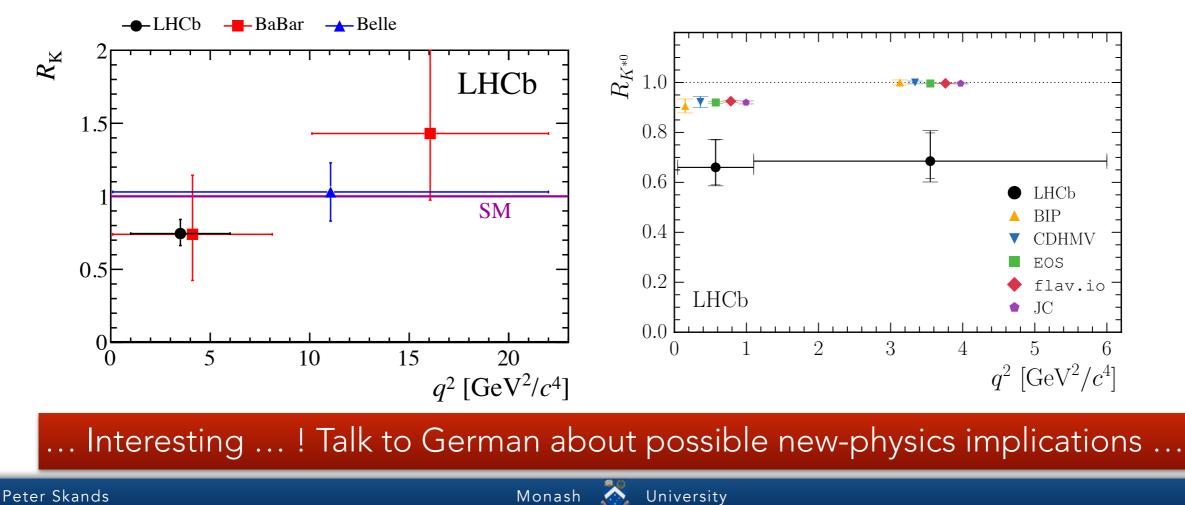
For both the K and K\* final states, the data is a bit on the low side (compared with SM)?

# The Flavour Anomalies Part 2

# Regardless of the complications in analysing these decays, we can again also use them as tests of lepton universality

Now, form the two ratios: 
$$R_{K^{(*)}} \equiv \frac{\operatorname{Br} \left( B \to K^{(*)} \mu^+ \mu^- \right)}{\operatorname{Br} \left( B \to K^{(*)} e^+ e^- \right)}$$

Expect R = 1 in SM (the complicated stuff drops out in the ratio)



# Representation in $C_9 - C_{10}$ space

E. Graverini, BEACH 2018

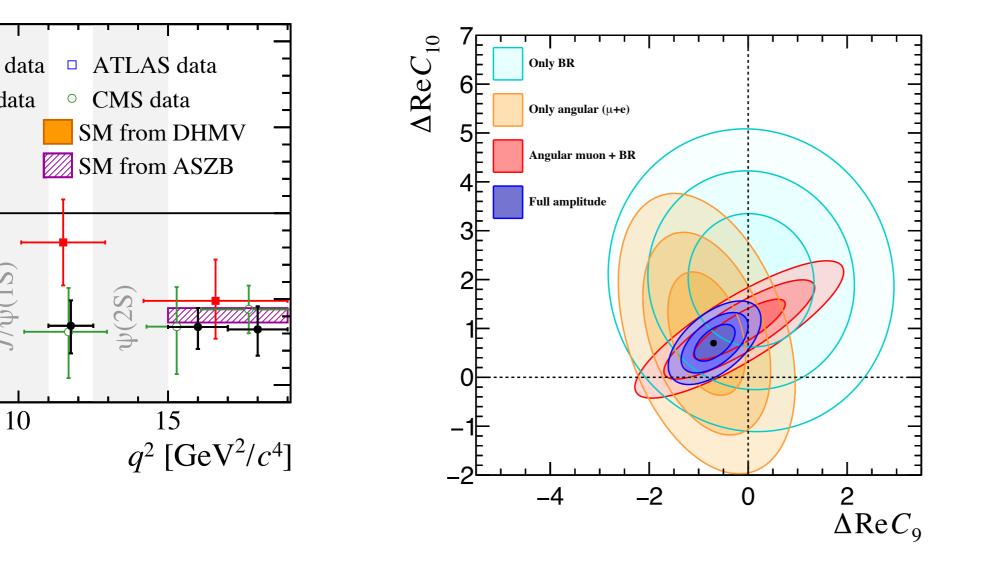


Figure 7. (Colours online) Expected sensitivity to NP contributions in  $C_9$ and  $C_{10}$ , shown as 1, 2 and  $3\sigma$ countours, after the LHC Run 2 [48].

# (What Approximations did we Make?)

## **Top Quark Dominance**

#### Low-energy effective theory at quark level

Matched at finite loop order to full theory Running at finite loop order from  $m_W$  to  $m_b$ Non-leptonic operators contributing to  $C_7^{eff}$  and  $C_9^{eff}$ , but not  $C_{10A}$ 

#### **Effect of intermediate c-cbar resonances**

Non-factorizable contributions

Other hadronic states: light-quark resonances, open charm, ... ?

#### **Form Factors**

## **QED Corrections at Hadronic Level?**