

# QCD and Monte Carlos

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CERN-Fermilab Hadron Collider Physics Summer School - June 2015

**Lecture Notes:** [P. Skands, arXiv:1207.2389](https://arxiv.org/abs/1207.2389)





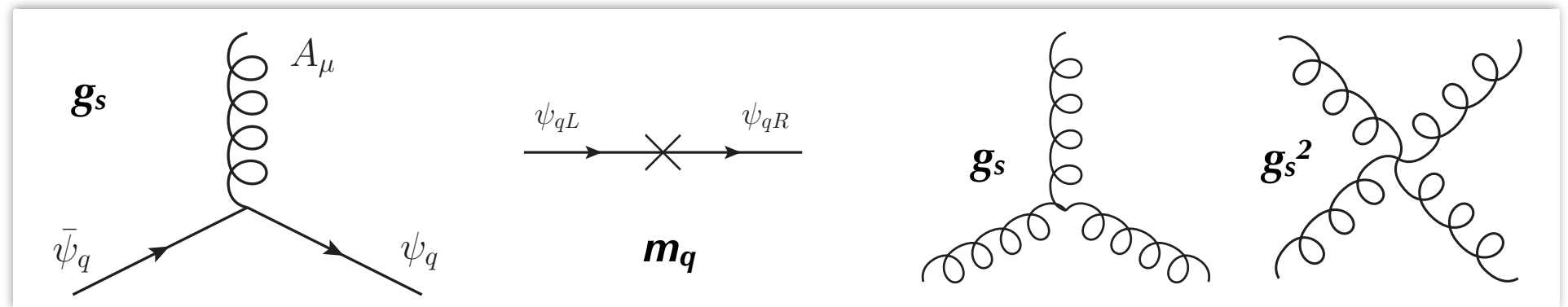
# Recap: Quantum Field Theory

The **elementary** interactions are encoded in the **Lagrangian**  
 QFT → Feynman Diagrams → Perturbative Expansions (in  $\alpha_s$ )

$$g_s^2 = 4\pi\alpha_s$$

THE BASIC ELEMENTS OF QCD: QUARKS AND GLUONS

$$\psi_q^j = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \end{pmatrix}$$



$$\mathcal{L} = \bar{\psi}_q^i (i\gamma^\mu) (D_\mu)_{ij} \psi_q^j - m_q \bar{\psi}_q^i \psi_{qi} - \frac{1}{4} F_{\mu\nu}^a F^{a\mu\nu}$$

$$D_{\mu ij} = \delta_{ij} \partial_\mu - ig_s T_{ij}^a A_\mu^a$$

Gauge Covariant Derivative: makes  $L$  invariant under  $SU(3)_C$  rotations of  $\psi_q$

$m_q$ : Quark Mass Terms (Higgs + QCD condensates)

Gluon-Field Kinetic Terms and Self-Interactions

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g_s f^{abc} A_\mu^b A_\nu^c$$

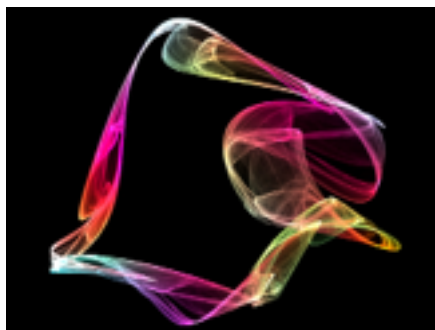
# Beyond Fixed Order

QCD is more than just a perturbative expansion in  $\alpha_s$

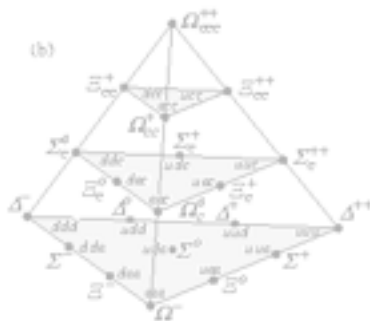
The relation between  $\alpha_s$ , Feynman diagrams, and the full QCD dynamics is under active investigation. Emergent phenomena:



**Jets** (the fractal of perturbative QCD)  $\leftrightarrow$  amplitude structures in quantum field theory  $\leftrightarrow$  factorisation & unitarity. Precision jet (structure) studies.



**Strings** (strong gluon fields)  $\leftrightarrow$  quantum-classical correspondence. String physics. String breaks. Dynamics of hadronization phase transition.



**Hadrons**  $\leftrightarrow$  Spectroscopy (incl excited and exotic states), lattice QCD, (rare) decays, mixing, light nuclei. Hadron beams  $\rightarrow$  multiparton interactions, diffraction, ...

There are more things in heaven and earth, Horatio, than are dreamt of in your philosophy

Hamlet.

LHC RUN 2 IS ON!

$$\mathcal{L} = \bar{\psi}_q^i (i\gamma^\mu) (D_\mu)_{ij} \psi_q^j - m_q \bar{\psi}_q^i \psi_{qi} - \frac{1}{4} F_{\mu\nu}^a F^{a\mu\nu} \\ + \dots \dots \dots ?$$

LHC Run 1: still no explicit “new physics”

→ we’re still looking for *deviations* from SM

Accurate modeling of QCD improve searches & precision

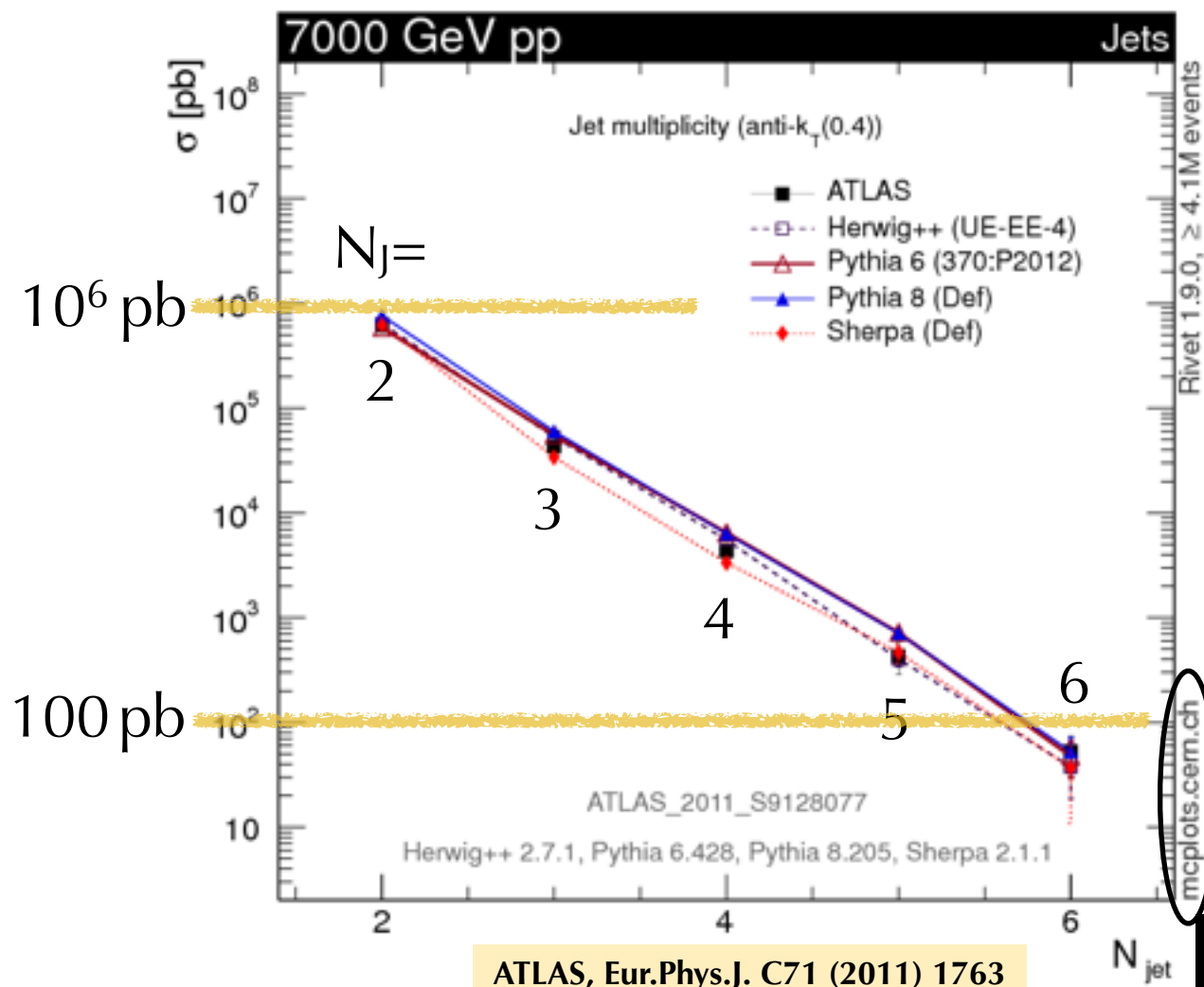


# QCD - there's a lot of it

## High-cross section physics

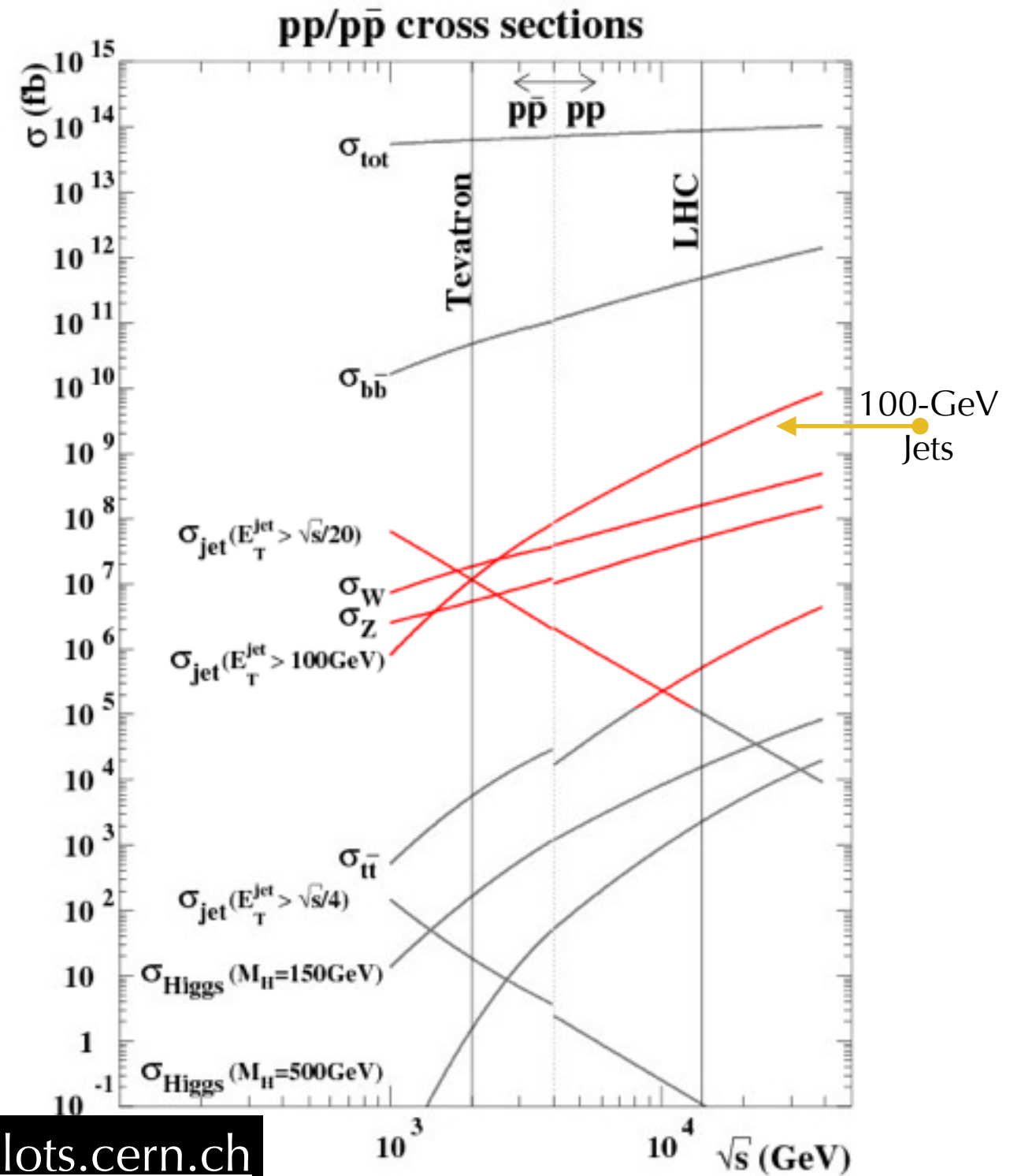
Total  $\sigma_{pp} \sim 100 \text{ mb} = 10^{11} \text{ pb}$

$\sigma_{EW} \sim 10^8 \text{ fb} = 10^5 \text{ pb}$



ATLAS, Eur.Phys.J. C71 (2011) 1763  
 $|\eta| < 2.8, p_{T1} > 80 \text{ GeV}, p_{T2} > 60 \text{ GeV}$

mcplots.cern.ch

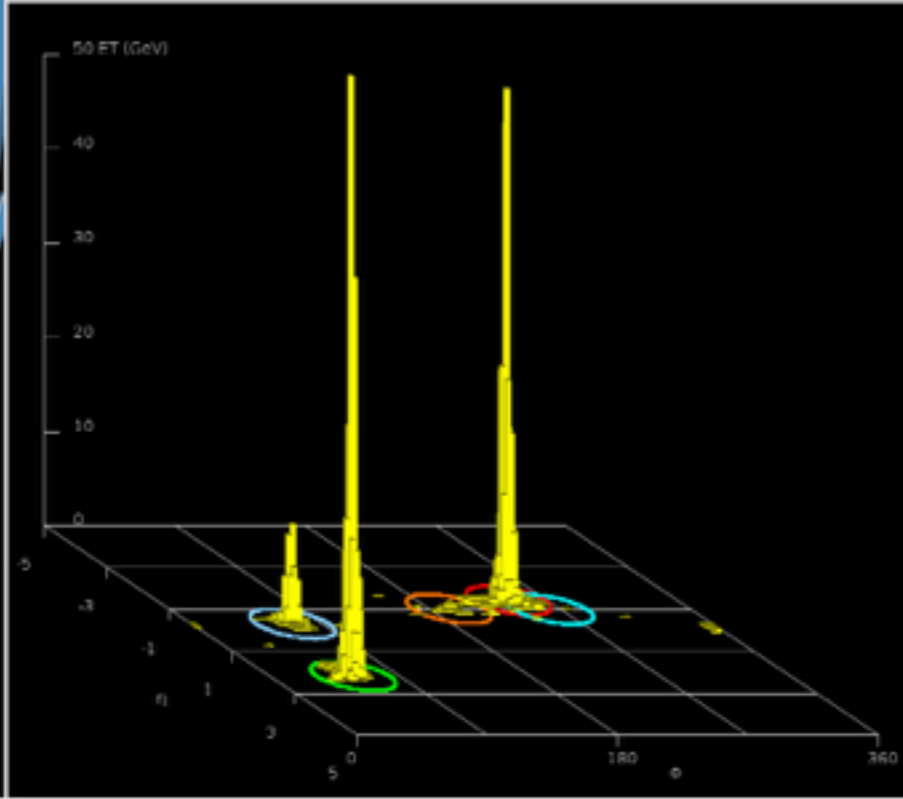
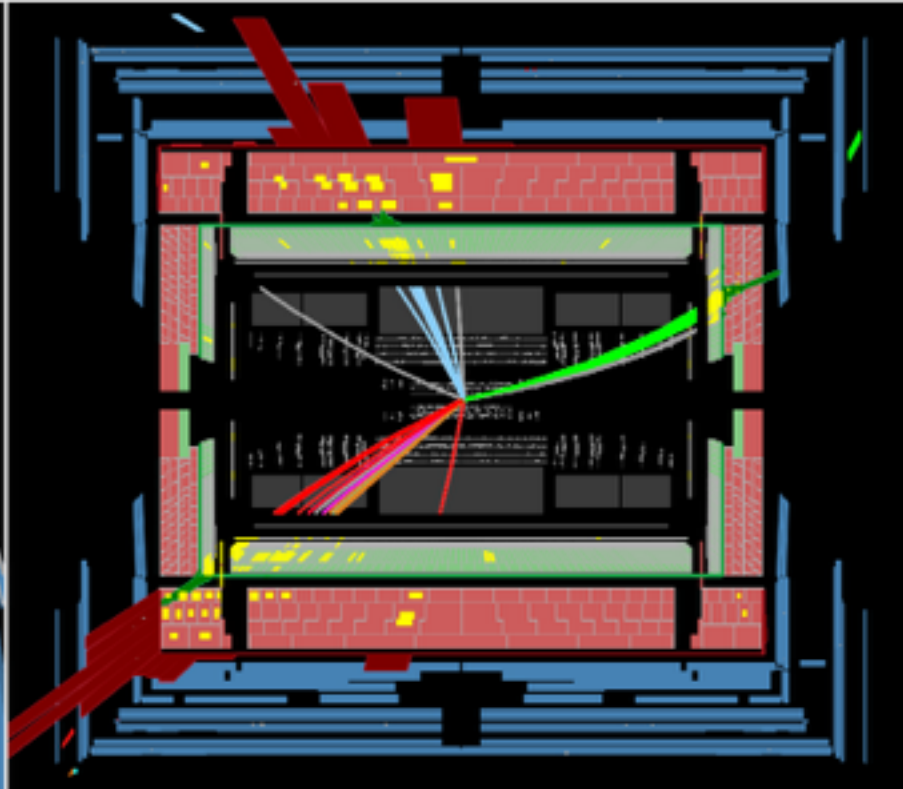
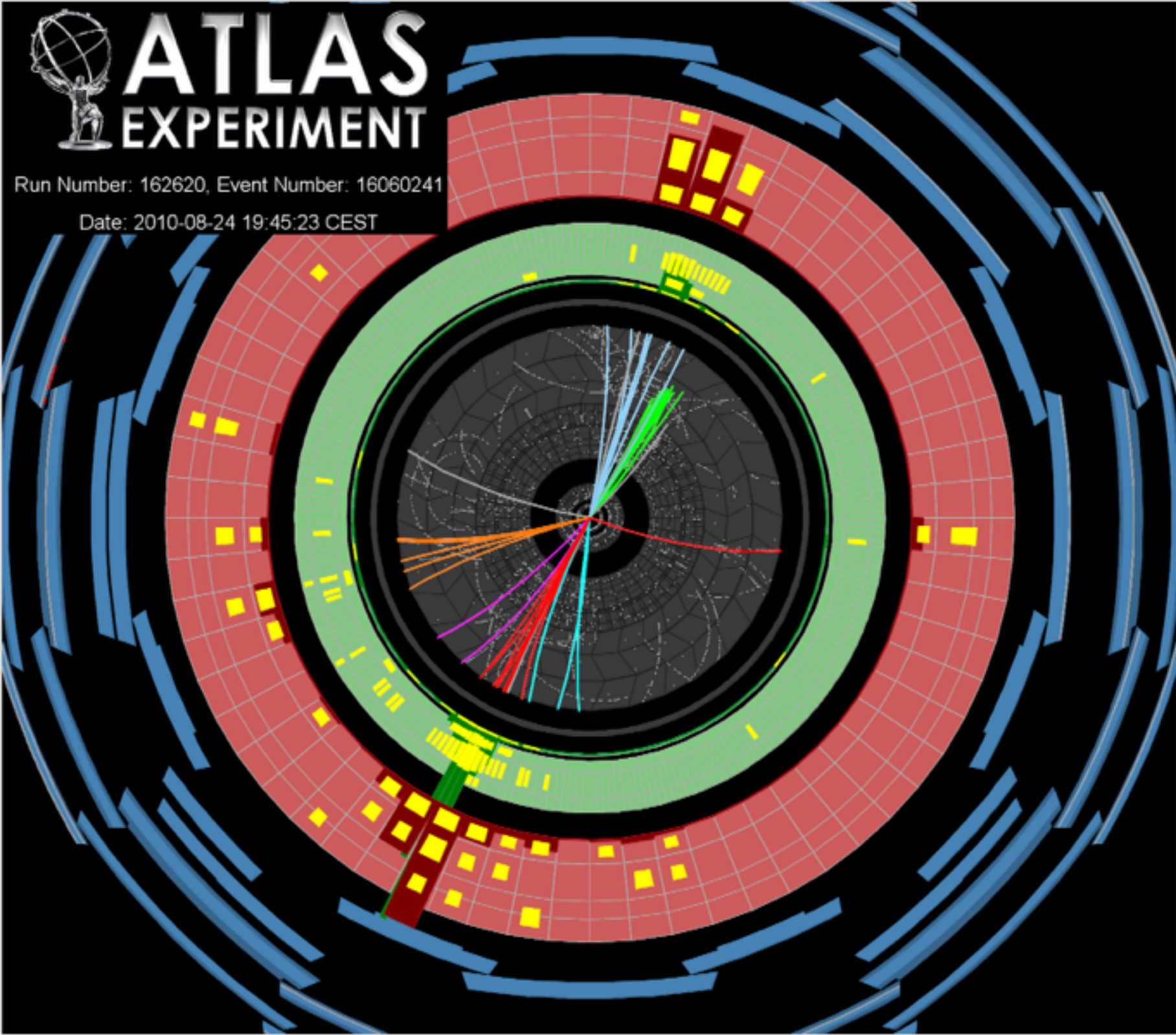




# ATLAS EXPERIMENT

Run Number: 162620, Event Number: 16060241

Date: 2010-08-24 19:45:23 CEST

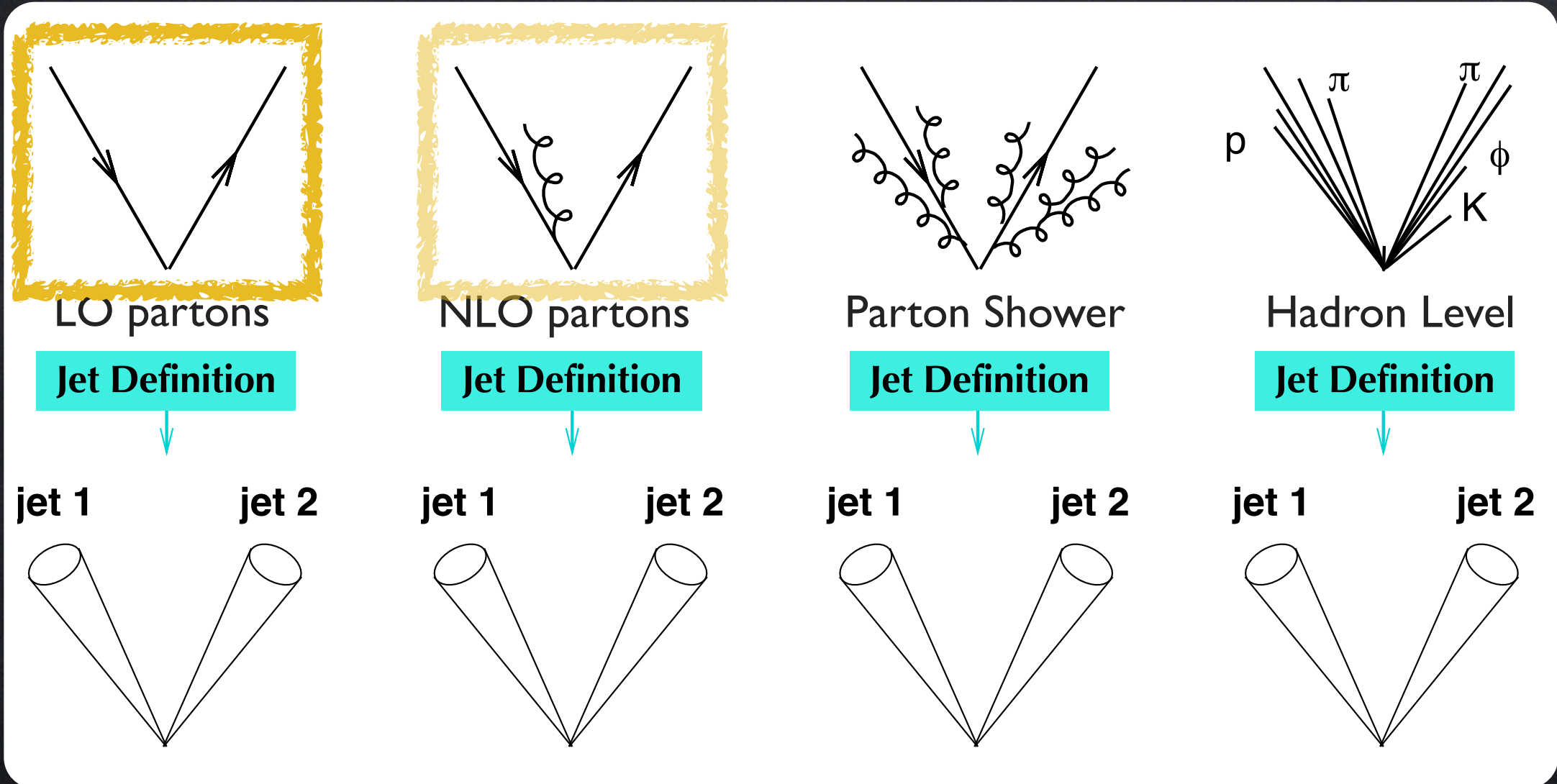


- 1st jet:  $p_T = 520$  GeV,  $\eta = -1.4$ ,  $\phi = -2.0$
- 2nd jet:  $p_T = 460$  GeV,  $\eta = 2.2$ ,  $\phi = 1.0$
- 3rd jet:  $p_T = 130$  GeV,  $\eta = -0.3$ ,  $\phi = 1.2$
- 4th jet:  $p_T = 50$  GeV,  $\eta = -1.0$ ,  $\phi = -2.9$



# Jets as Projections

Projections to jets provides a universal view of event



Illustrations by G. Salam

Let's start by considering some of the basic ingredients of calculations for processes with QCD jets ( $\sim$ partons).

# Interactions in Colour Space

## Colour Factors

All QCD processes have a “colour factor”. It counts the enhancement from the sum over colours.

Z Decay:

$$\sum_{\text{colours}} |M|^2 = \text{[Diagram 1]} \quad \text{[Diagram 2]}$$

$i, j \in \{R, G, B\}$



# Interactions in Colour Space

## Colour Factors

All QCD processes have a “colour factor”. It counts the enhancement from the sum over colours.

Z Decay:

$$\sum_{\text{colours}} |M|^2 = \text{Diagram 1} \quad \text{Diagram 2} \quad \propto \delta_{ij} \delta_{ji}^*$$
$$= \text{Tr}[\delta_{ij}]$$
$$= N_C$$

$i, j \in \{R, G, B\}$

# Interactions in Colour Space

## Colour Factors

All QCD processes have a “colour factor”. It counts the enhancement from the sum over colours.

Drell-Yan

$$\sum_{\text{colours}} |M|^2 = \delta_{ij} \delta_{ij} \propto \delta_{ij} \delta_{ji}^* = \text{Tr}[\delta_{ij}] = N_C$$

$i, j \in \{R, G, B\}$

(Drell & Yan, 1970)



# Interactions in Colour Space

## Colour Factors

All QCD processes have a “colour factor”. It counts the enhancement/**suppression** from the sum/**average** over colours.

Drell-Yan

$$\frac{1}{9} \sum_{\text{colours}} |M|^2 = \delta_{ij} \delta_{ij} \frac{1}{N_C^2}$$

$$\propto \delta_{ij} \delta_{ji}^* \frac{1}{N_C^2}$$

$$= \text{Tr}[\delta_{ij}] \frac{1}{N_C^2}$$

$$= 1/N_C$$

$i, j \in \{R, G, B\}$

(Drell & Yan, 1970)

# Interactions in Colour Space

## Colour Factors

All QCD processes have a “colour factor”. It counts the enhancement/suppression from the sum/average over colours.

2 → 3 jets

$$\sum_{\text{colours}} |M|^2 =$$

$$\propto \delta_{ij} T_a^{jk} (T_a^{lk} \delta_{il})^*$$

$$= \text{Tr}[T_a T_a]$$

$$= \frac{1}{2} \text{Tr} \delta_{ab}$$

$$= 4$$

$i, j \in \{R, G, B\}$   
 $a \in \{1, \dots, 8\}$



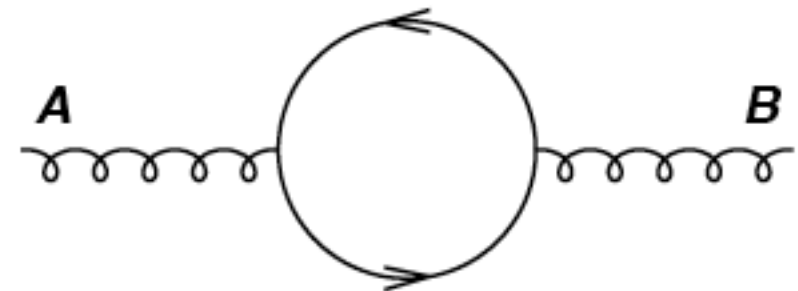
# Quick Guide to Colour Algebra

Colour factors (squared) produce traces

Trace  
Relation

$$\text{Tr}(t^A t^B) = T_R \delta^{AB}, \quad T_R = \frac{1}{2}$$

Example Diagram



(from ESHEP lectures by G. Salam)

# Quick Guide to Colour Algebra

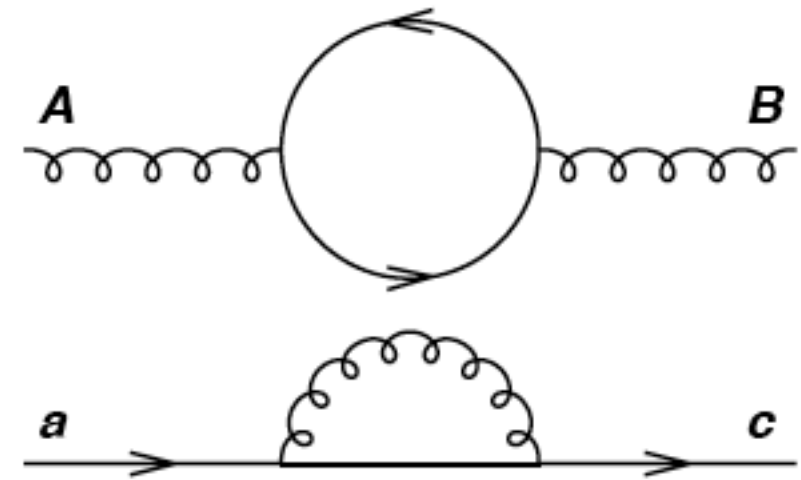
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$$\text{Tr}(t^A t^B) = T_R \delta^{AB}, \quad T_R = \frac{1}{2}$$

$$\sum_A t_{ab}^A t_{bc}^A = C_F \delta_{ac}, \quad C_F = \frac{N_c^2 - 1}{2N_c} = \frac{4}{3}$$

Example Diagram



(from ESHEP lectures by G. Salam)

# Quick Guide to Colour Algebra

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Trace  
Relation

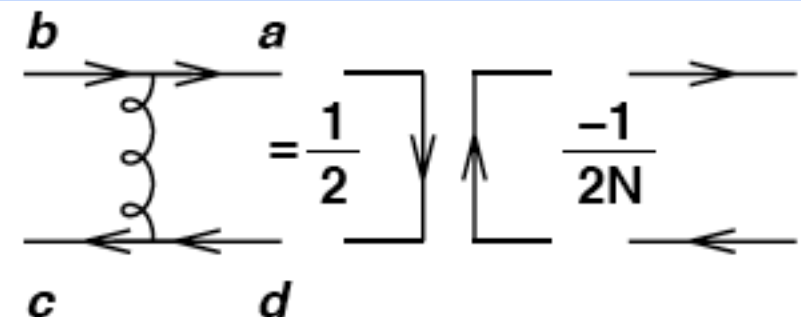
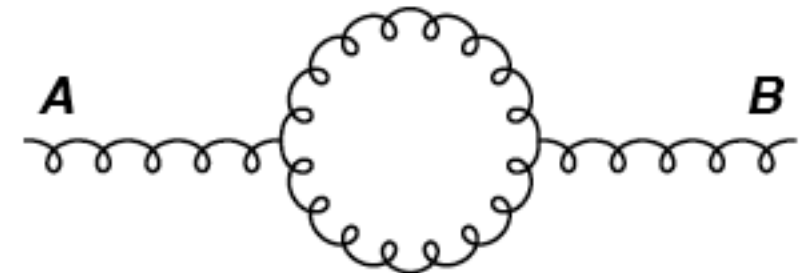
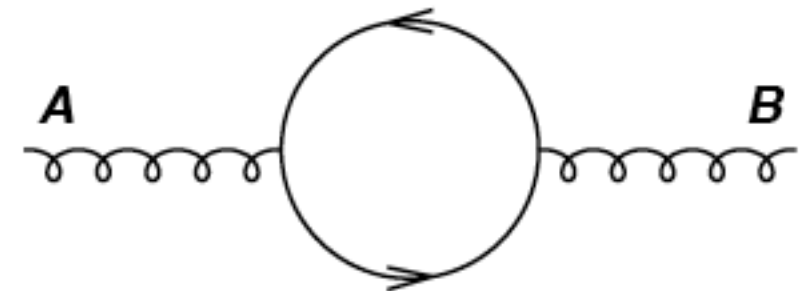
$$\text{Tr}(t^A t^B) = T_R \delta^{AB}, \quad T_R = \frac{1}{2}$$

$$\sum_A t_{ab}^A t_{bc}^A = C_F \delta_{ac}, \quad C_F = \frac{N_c^2 - 1}{2N_c} = \frac{4}{3}$$

$$\sum_{C,D} f^{ACD} f^{BCD} = C_A \delta^{AB}, \quad C_A = N_c = 3$$

$$t_{ab}^A t_{cd}^A = \frac{1}{2} \delta_{bc} \delta_{ad} - \frac{1}{2N_c} \delta_{ab} \delta_{cd} \quad (\text{Fierz})$$

Example Diagram



(from ESHEP lectures by G. Salam)



# The Gluon

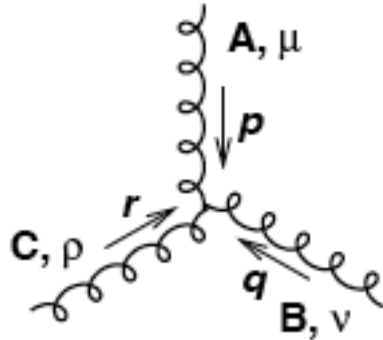
## Gluon-Gluon Interactions

$$\mathcal{L} = \bar{\psi}_q^i (i\gamma^\mu) (D_\mu)_{ij} \psi_q^j - m_q \bar{\psi}_q^i \psi_{qi} - \frac{1}{4} F_{\mu\nu}^a F^{a\mu\nu}$$

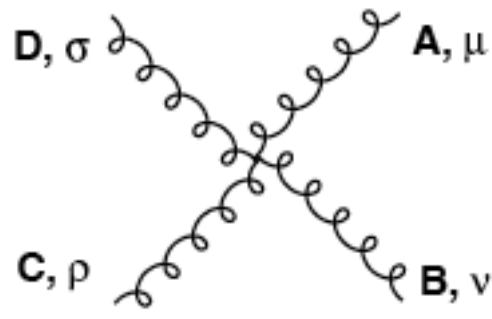
Gluon field strength tensor:

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g_s f^{abc} A_\mu^b A_\nu^c$$

The Non-Abelian piece!  $[t^a t^b] = i f^{abc} t^c$



$$-g_s f^{ABC} [(p - q)^\rho g^{\mu\nu} + (q - r)^\mu g^{\nu\rho} + (r - p)^\nu g^{\rho\mu}]$$



$$-ig_s^2 f^{XAC} f^{XBD} [g^{\mu\nu} g^{\rho\sigma} - g^{\mu\sigma} g^{\nu\gamma}] + (C, \gamma) \leftrightarrow (D, \rho) + (B, \nu) \leftrightarrow (C, \gamma)$$

Structure constants of SU(3):

$$f_{123} = 1$$

$$f_{147} = f_{246} = f_{257} = f_{345} = \frac{1}{2}$$

$$f_{156} = f_{367} = -\frac{1}{2}$$

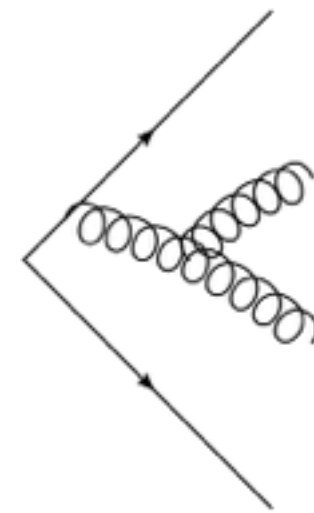
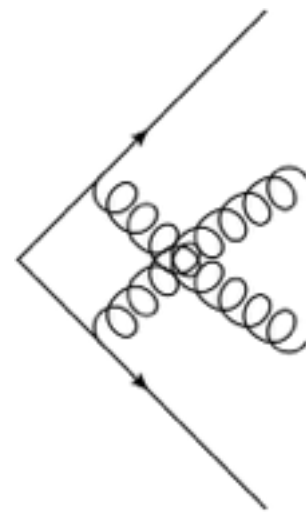
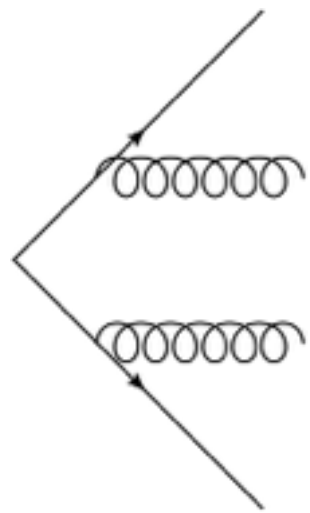
$$f_{458} = f_{678} = \frac{\sqrt{3}}{2}$$

Antisymmetric in all indices

$$\text{All other } f_{ijk} = 0$$

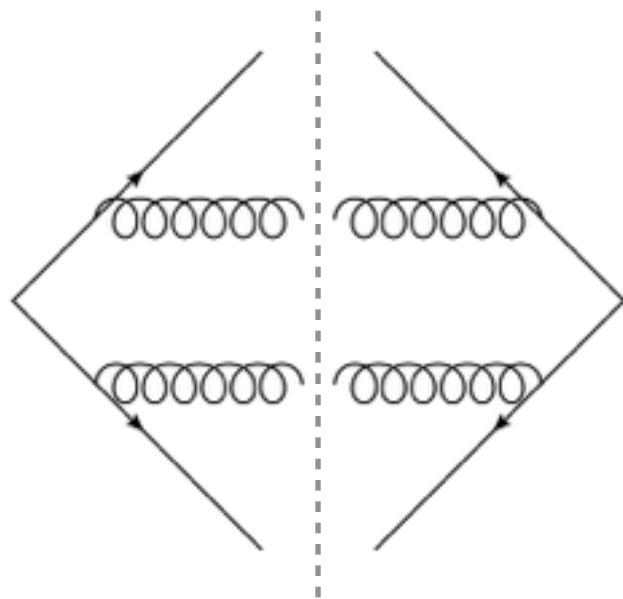
# Digression: Colour Interference

In general, many different diagrams will contribute to each process, with different colour structures, e.g.:

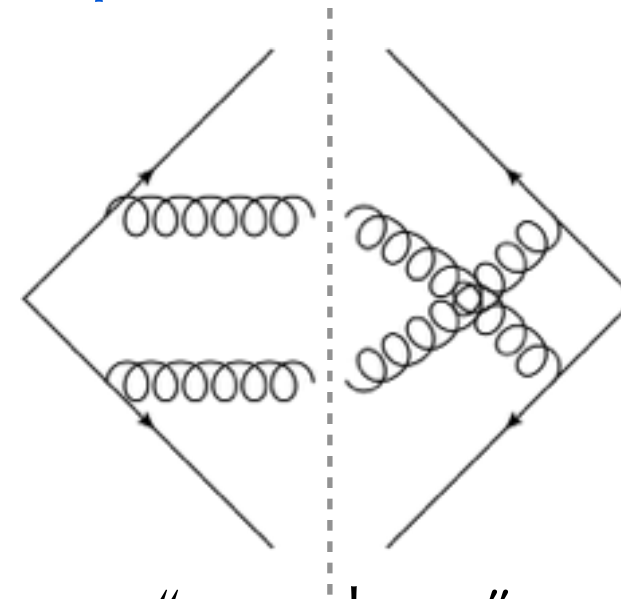


→ diagrams squared

+ quantum interferences



*If* this was all: could define a positive definite probability for each colour structure ~ "LC"

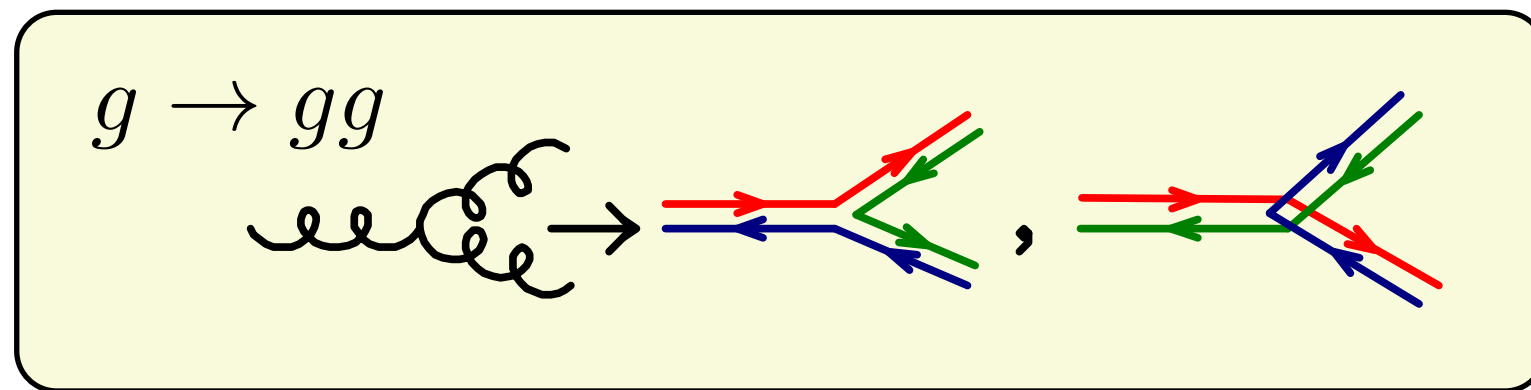
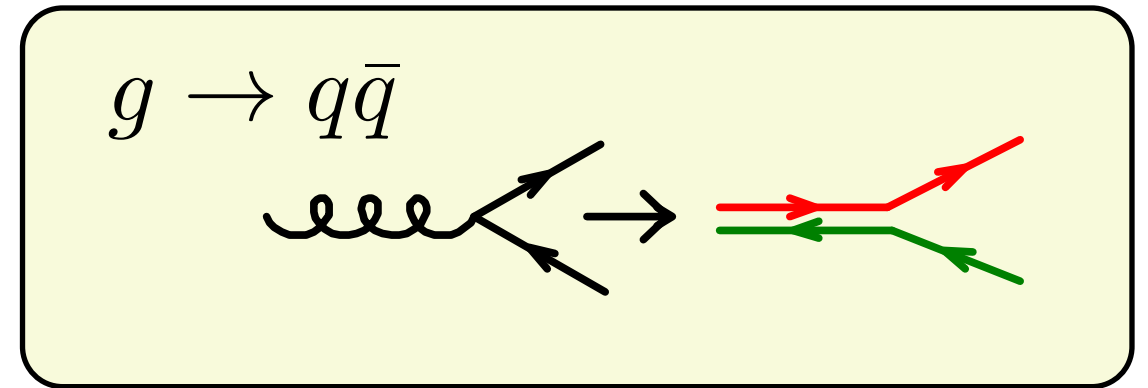
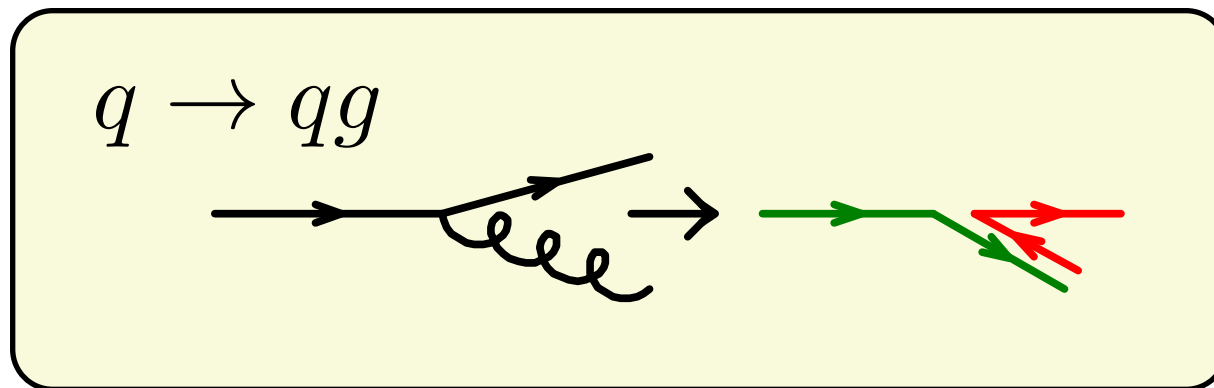


"non-planar"

Mixed signs, do not correspond to a unique colour structure (squared) ~ "Subleading Colour"; hard to treat in MCs!

# Color Flow in MC generators

MC generators use a set of simple rules for color flow,  
based on large- $N_c$  limit (*Never Twice Same Color: true up to  $O(1/N_c^2)$* )



→ a system of “colour dipoles”

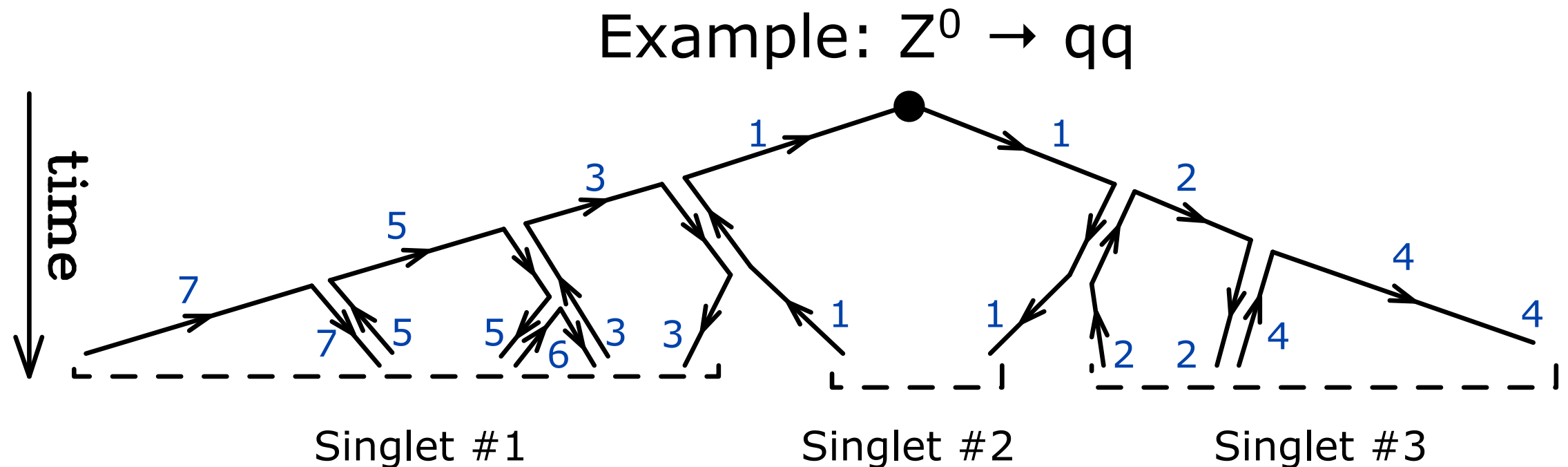
+ Inside each dipole, interference effects can be included (*coherence, more later*)

Also tells us between which partons confining potentials will arise (*more in lecture 3*)



# Color Flow

For an entire Cascade



Coherence of pQCD cascades  $\rightarrow$  not much "overlap" between singlet subsystems  
 $\rightarrow$  Leading-colour approximation pretty good

LEP measurements in WW confirm this (at least to order 10%  $\sim 1/N_c^2$ )

**Note:** (much) more color getting kicked around in hadron collisions  $\rightarrow$  more later

# QCD at Fixed Order

## Distribution of observable: $\mathcal{O}$

In production of  $X$  + anything

Fixed Order  
(All Orders)

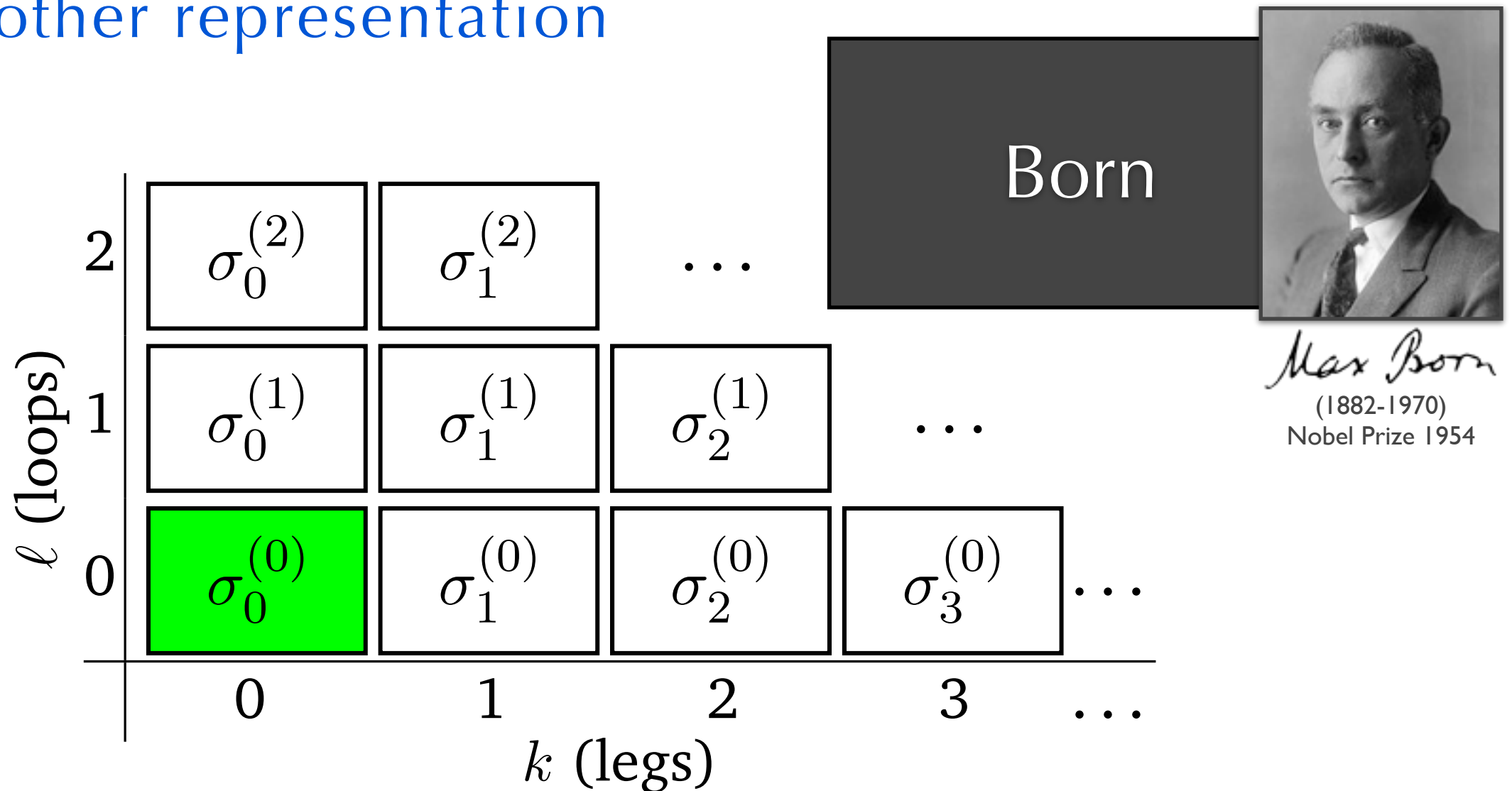
$$\left. \frac{d\sigma}{d\mathcal{O}} \right|_{\text{ME}} = \sum_{k=0} \int d\Phi_{X+k} \left| \sum_{\ell=0} M_{X+k}^{(\ell)} \right|^2 \delta(\mathcal{O} - \mathcal{O}(\{p\}_{X+k}))$$

↑ Cross Section differentially in  $\mathcal{O}$   
↑ Sum over "anything"  $\approx$  legs  
Phase Space  
↑ Matrix Elements for  $X+k$  at ( $\ell$ ) loops  
↑ Sum over identical amplitudes, then square  
↑ Momentum configuration  
↑ Evaluate observable  $\rightarrow$  differential in  $\mathcal{O}$

Truncate at  $k = 0, \ell = 0$ ,  
 **$\rightarrow$  Born Level = First Term**  
 Lowest order at which  $X$  happens

# Loops and Legs

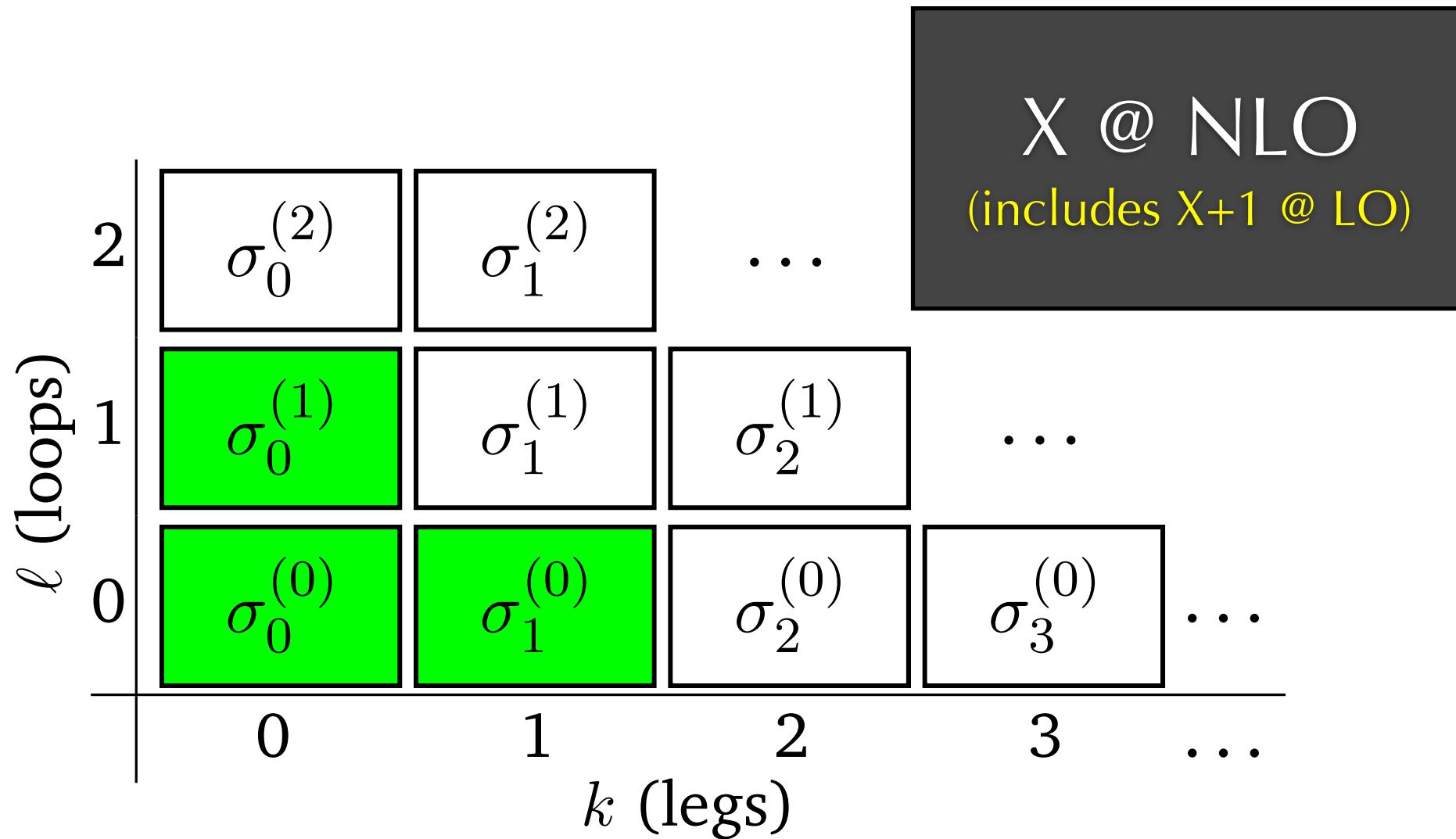
## Another representation



Truncate at  $k = 0, \ell = 0, \rightarrow$  **Born Level**  
Lowest order at which X happens

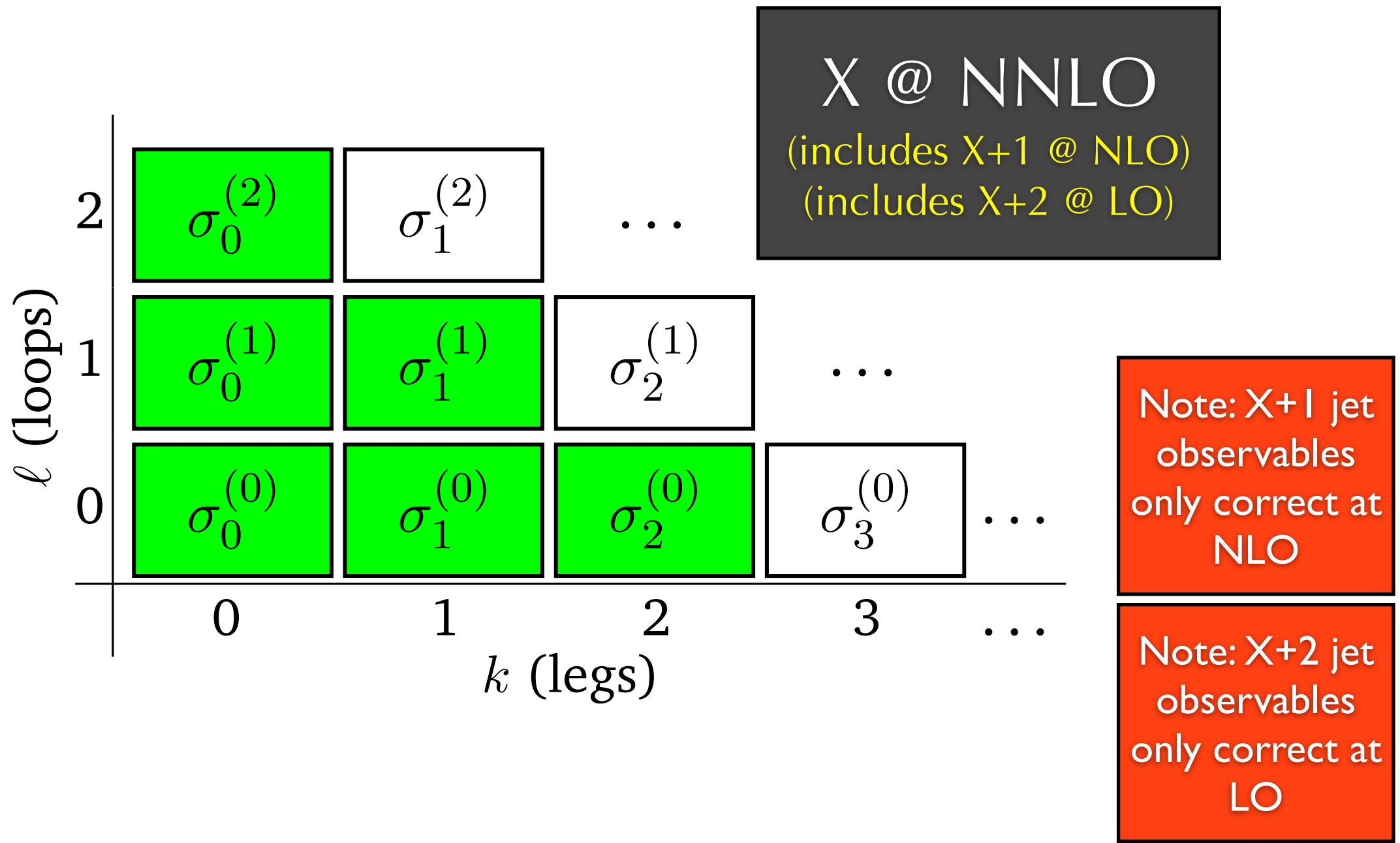


# Loops and Legs



Note:  $(X+1)$ -jet observables only correct at LO

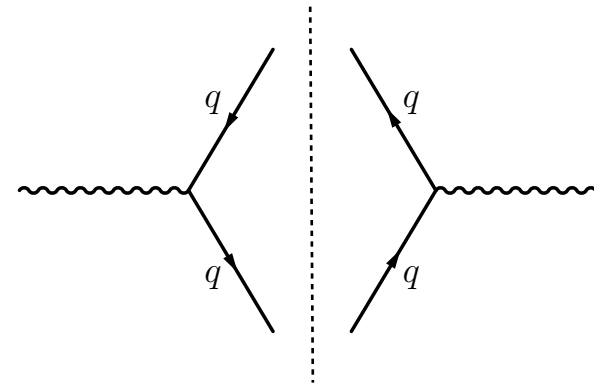
# Loops and Legs



# Cross sections at LO

## Born @ LO

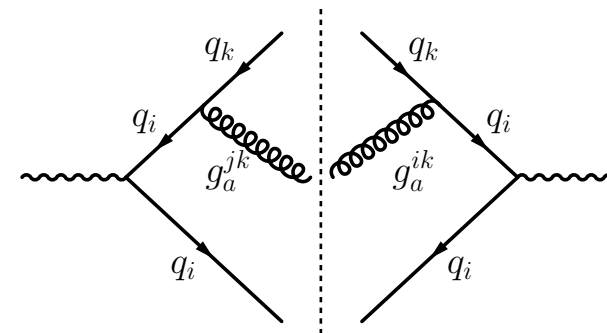
$$\sigma_{\text{Born}} = \int |M_X^{(0)}|^2$$



X	X+1	...
X	X+1	...
Born	X+1	X+2

## Born + n @ LO

$$\sigma_{X+1}^{\text{LO}}(R) = \int_R |M_{X+1}^{(0)}|^2$$



X	X+1	...
X	X+1	...
Born	X+1	X+2

Infrared divergent → **Must be regulated**

R = some Infrared Safe phase space region

(Often a cut on  $p_{\perp} > n$  GeV)

**Careful not to take it too low!**



# The Infrared Strikes Back

Naively, QCD radiation suppressed by  $\alpha_s \approx 0.1$

Truncate at fixed order = LO, NLO, ...

E.g.,  $\sigma(X+\text{jet})/\sigma(X) \propto \alpha_s$

**Example:** Pair production of SUSY particles at LHC<sub>14</sub>, with  $M_{\text{SUSY}} \approx 600$  GeV

LHC - sps1a - m~600 GeV

Plehn, Rainwater, PS PLB645(2007)217

FIXED ORDER pQCD	$\sigma_{\text{tot}}$ [pb]	$\tilde{g}\tilde{g}$	$\tilde{u}_L\tilde{g}$	$\tilde{u}_L\tilde{u}_L^*$	$\tilde{u}_L\tilde{u}_L$	$TT$
$p_{T,j} > 100$ GeV	$\sigma_{0j}$	4.83	5.65	0.286	0.502	1.30
inclusive X + 1 "jet"	$\sigma_{1j}$	2.89	2.74	0.136	0.145	0.73
inclusive X + 2 "jets"	$\sigma_{2j}$	1.09	0.85	0.049	0.039	0.26
$p_{T,j} > 50$ GeV	$\sigma_{0j}$	4.83	5.65	0.286	0.502	1.30
	$\sigma_{1j}$	5.90	5.37	0.283	0.285	1.50
	$\sigma_{2j}$	4.17	3.18	0.179	0.117	1.21

(Computed with SUSY-MadGraph)

$\sigma$  for X + jets much larger than naive estimate

$\sigma_{50} \sim \sigma_{\text{tot}}$  tells us that there will "always" be a ~ 50-GeV jet "inside" a 600-GeV process

All the scales are high,  $Q \gg 1$  GeV, so perturbation theory **should** be OK ...

# Conformal QCD

The Lagrangian of QCD is **scale invariant**

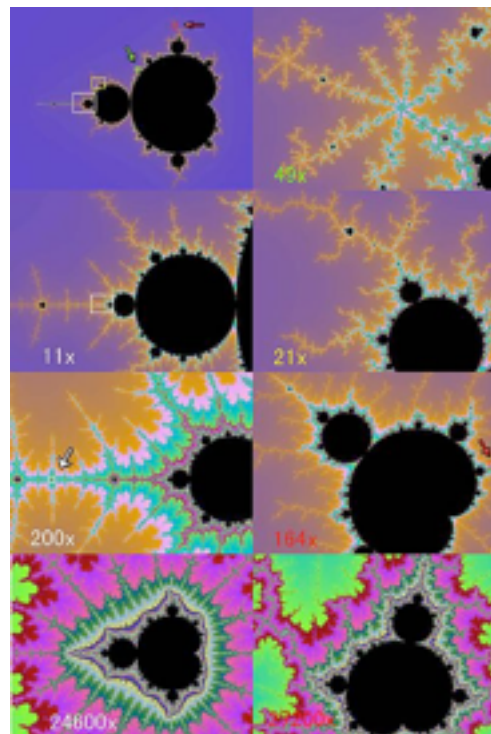
(neglecting small quark masses)

Characteristic of point-like constituents

To first approximation, observables depend only on dimensionless quantities, like **angles** and energy **ratios**

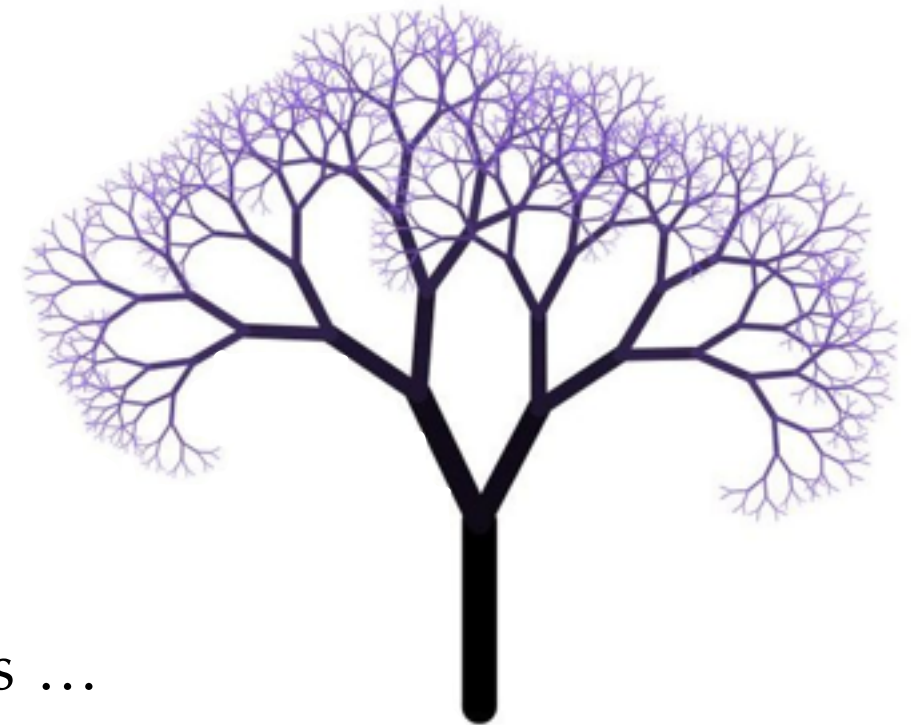


James Bjorken  
"Lightcone Scaling"  
aka Bjorken Scaling;  
Conformal invariance



Also means that when we look closer, patrons (quarks and gluons) must generate ever self-similar patterns = **fractals**

Jets-within-jets-within-jets ...



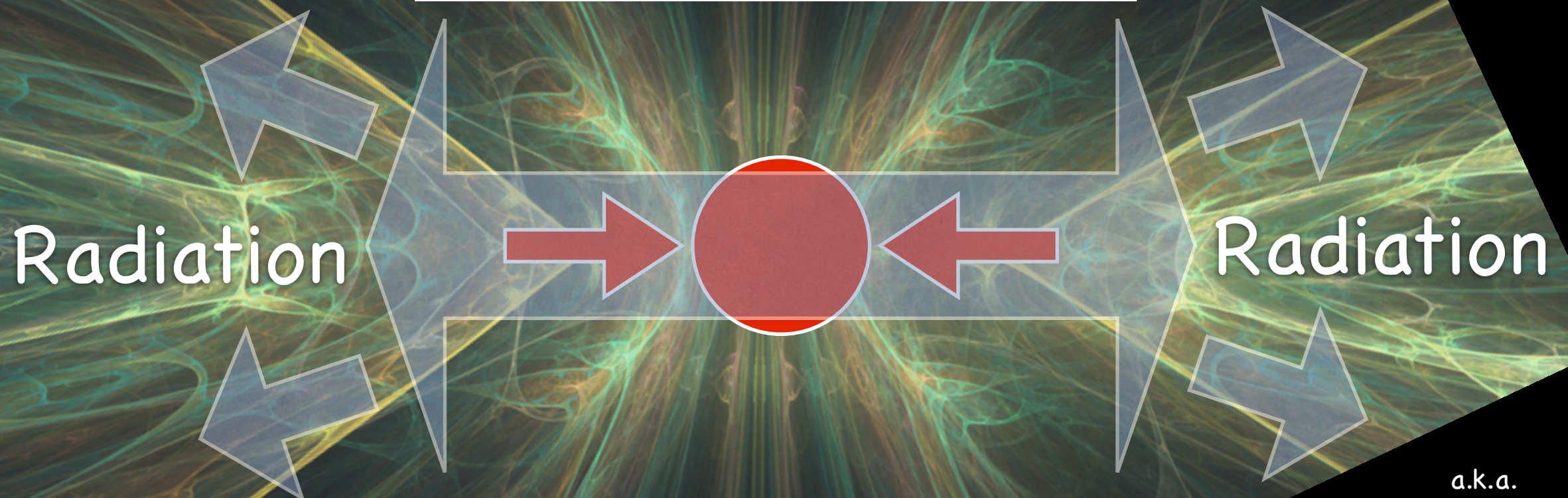
Note: scaling **violation** is induced in full QCD, but only by renormalization:  $g_s^2 = 4\pi\alpha_s(\mu)$



# (some) Physics

cf. equivalent-photon  
approximation  
Weiszäcker, Williams  
~ 1934

Charges Stopped,  
kicked, or created



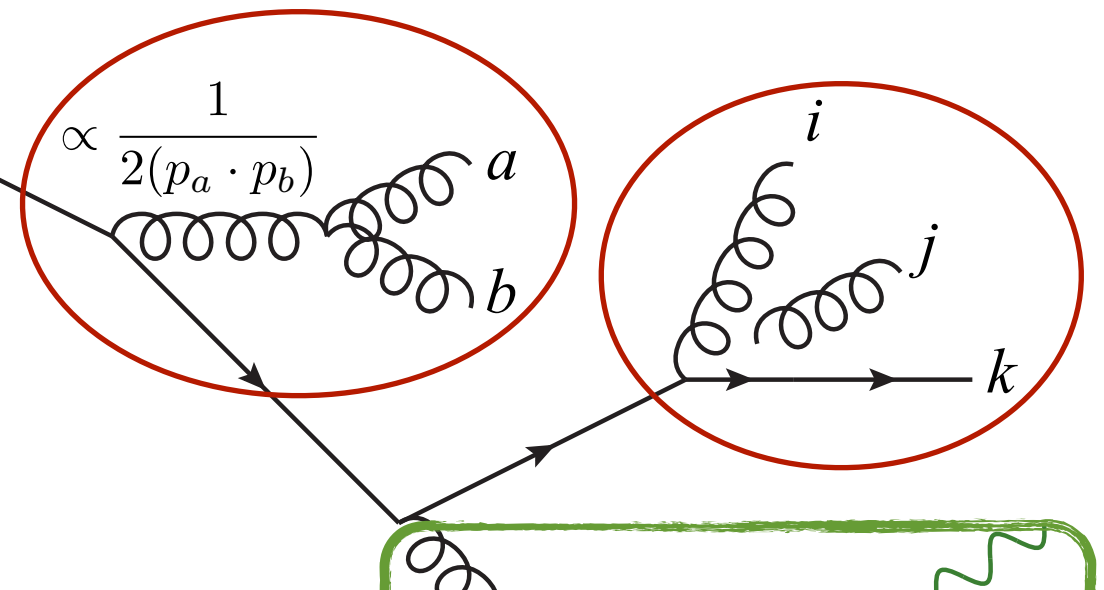
a.k.a.  
Bremsstrahlung  
Synchrotron Radiation

The harder they stop, the harder the  
fluctuations that continue to become radiation



# Jets $\approx$ Fractals

- Most bremsstrahlung is driven by divergent propagators  $\rightarrow$  simple structure
- Amplitudes factorize in singular limits ( $\rightarrow$  universal “conformal” or “fractal” structure)



Partons  $ab \rightarrow$   
“collinear”:

$P(z)$  = DGLAP splitting kernels, with  $z$  = energy fraction =  $E_a/(E_a+E_b)$

$$|\mathcal{M}_{F+1}(\dots, a, b, \dots)|^2 \xrightarrow{a||b} g_s^2 C \frac{P(z)}{2(p_a \cdot p_b)} |\mathcal{M}_F(\dots, a + b, \dots)|^2$$

Coherence  $\rightarrow$  Parton  $j$  really emitted by  $(i,k)$  “colour antenna” (in leading colour approximation)

Gluon  $j$

$\rightarrow$  “soft”:

$$|\mathcal{M}_{F+1}(\dots, i, j, k, \dots)|^2 \xrightarrow{j_g \rightarrow 0} g_s^2 C \frac{(p_i \cdot p_k)}{(p_i \cdot p_j)(p_j \cdot p_k)} |\mathcal{M}_F(\dots, i, k, \dots)|^2$$

+ scaling violation:  $g_s^2 \rightarrow 4\pi\alpha_s(Q^2)$

Can apply this many times  $\rightarrow$  nested factorizations  
**Jets-within-jets-within-jets ...  $\rightarrow$  lecture on showers**



# Lessons:

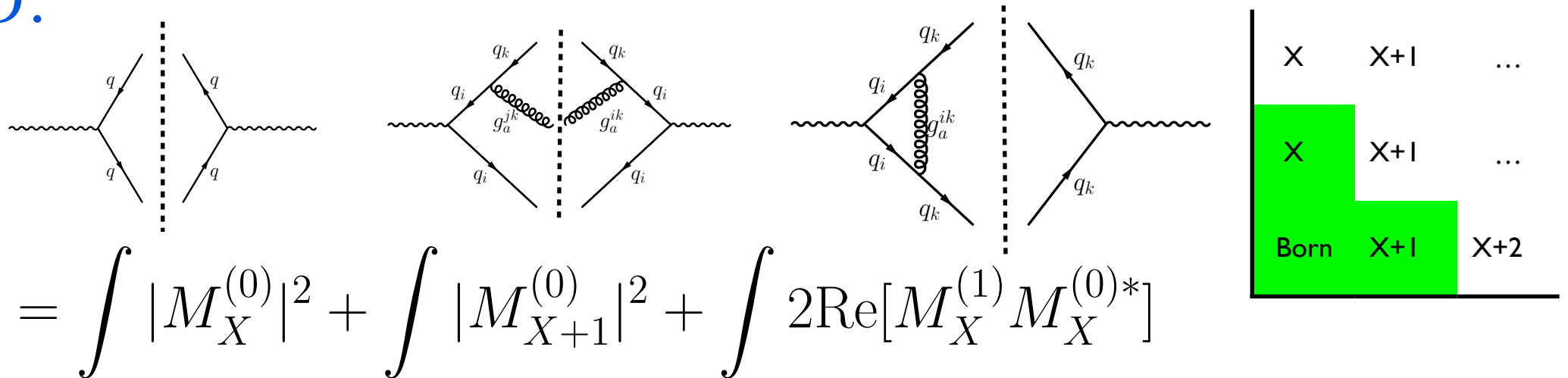
- Each time we add a QCD parton, we get singularities
- Driven by intermediate propagators going “on shell”
- They are **universal** (process-independent) and imply that, in the singular limits (soft/collinear), QCD amplitudes **factorize**.

But then don't we get infinite cross sections?  
And what about when we add loops?



# Cross sections at NLO

NLO:



$$\sigma_X^{\text{NLO}} = \int |M_X^{(0)}|^2 + \int |M_{X+1}^{(0)}|^2 + \int 2\text{Re}[M_X^{(1)} M_X^{(0)*}]$$

(note: this is not the 1-loop diagram squared)

## KLN Theorem (Kinoshita-Lee-Nauenberg)

Sum over 'degenerate quantum states' :

**Singularities cancel** at complete order (only finite terms left over)

$$= \sigma_{\text{Born}} + \text{Finite} \left\{ \int |M_{X+1}^{(0)}|^2 \right\} + \text{Finite} \left\{ \int 2\text{Re}[M_X^{(1)} M_X^{(0)*}] \right\}$$

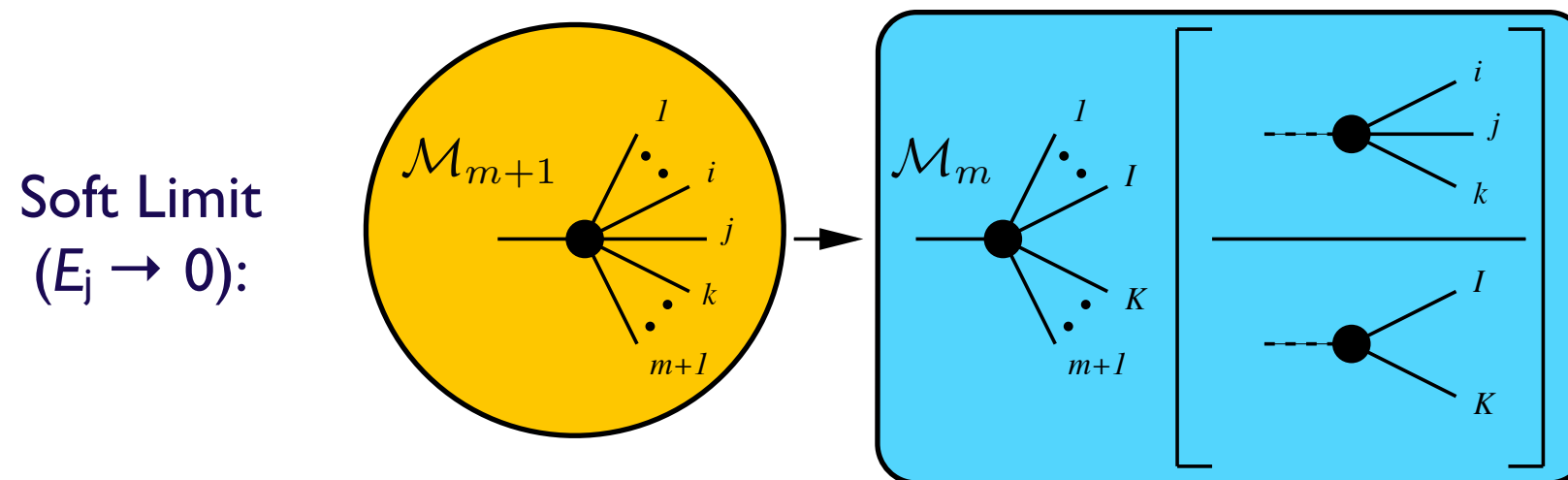
$$\sigma_{\text{NLO}}(e^+ e^- \rightarrow q\bar{q}) = \sigma_{\text{LO}}(e^+ e^- \rightarrow q\bar{q}) \left( 1 + \frac{\alpha_s(E_{\text{CM}})}{\pi} + \mathcal{O}(\alpha_s^2) \right)$$

# The Subtraction Idea

How do I get finite{Real} and finite{Virtual} ?

First step: classify IR singularities using universal functions

EXAMPLE: factorization of amplitudes in the **soft** limit



$$|\mathcal{M}_{n+1}(1, \dots, i, j, k, \dots, n+1)|^2 \xrightarrow{j_g \rightarrow 0} g_s^2 \mathcal{C}_{ijk} S_{ijk} |\mathcal{M}_n(1, \dots, i, k, \dots, n+1)|^2$$

Universal  
“Soft Eikonal”

$$S_{ijk}(m_I, m_K) = \frac{2s_{ik}}{s_{ij}s_{jk}} - \frac{2m_I^2}{s_{ij}^2} - \frac{2m_K^2}{s_{jk}^2}$$

$$s_{ij} \equiv 2p_i \cdot p_j$$

# The Subtraction Idea

Add and subtract IR limits (SOFT and COLLINEAR)

$$d\sigma_{NLO} = \int_{d\Phi_{m+1}} \left( \underbrace{d\sigma_{NLO}^R}_{\text{Finite by Universality}} - \underbrace{d\sigma_{NLO}^S}_{\text{Finite by KLN}} \right) + \left[ \int_{d\Phi_{m+1}} \underbrace{d\sigma_{NLO}^S}_{\text{Finite by KLN}} + \int_{d\Phi_m} \underbrace{d\sigma_{NLO}^V}_{\text{Finite by KLN}} \right]$$

Dipoles (Catani-Seymour)

Global Antennae (Gehrmann, Gehrmann-de Ridder, Glover)

Sector Antennae (Kosower)

...

Choice of subtraction terms:

Singularities mandated by gauge theory

Non-singular terms: up to you (added and subtracted, so vanish)

$$\frac{|\mathcal{M}(Z^0 \rightarrow q_i g_j \bar{q}_k)|^2}{|\mathcal{M}(Z^0 \rightarrow q_I \bar{q}_K)|^2} = g_s^2 2C_F \left[ \overset{\text{SOFT}}{\frac{2s_{ik}}{s_{ij}s_{jk}}} + \frac{1}{s_{IK}} \left( \overset{\text{COLLINEAR}}{\frac{s_{ij}}{s_{jk}} + \frac{s_{jk}}{s_{ij}}} \right) \right]$$

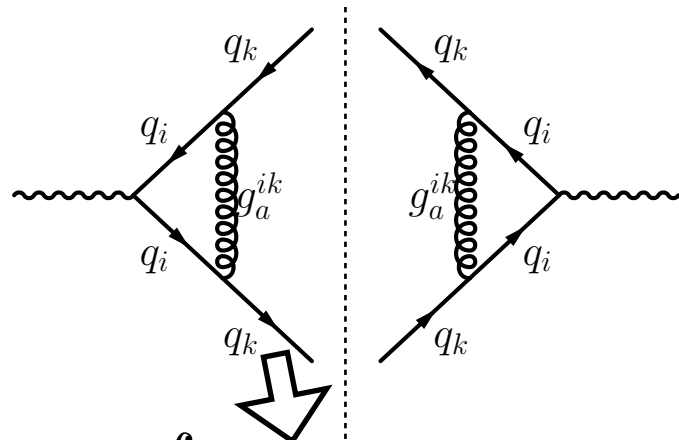
$$\frac{|\mathcal{M}(H^0 \rightarrow q_i g_j \bar{q}_k)|^2}{|\mathcal{M}(H^0 \rightarrow q_I \bar{q}_K)|^2} = g_s^2 2C_F \left[ \underset{\text{SOFT}}{\frac{2s_{ik}}{s_{ij}s_{jk}}} + \frac{1}{s_{IK}} \left( \underset{\text{COLLINEAR}}{\frac{s_{ij}}{s_{jk}} + \frac{s_{jk}}{s_{ij}}} + \underset{+F}{2} \right) \right]$$



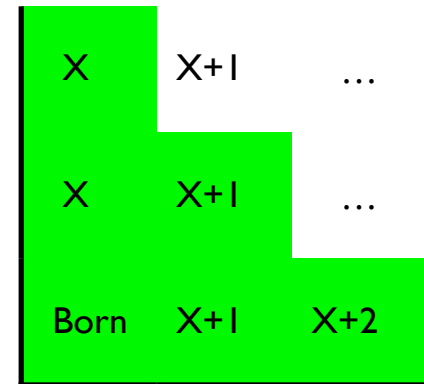
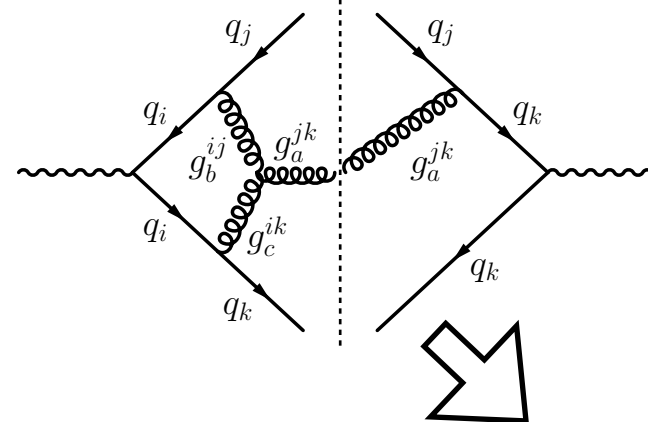
# Structure of $\sigma(\text{NNLO})$

NNLO

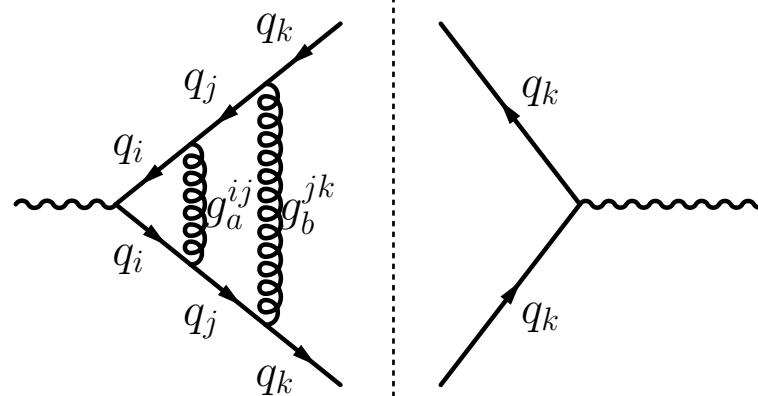
1-Loop  $\times$  1-Loop



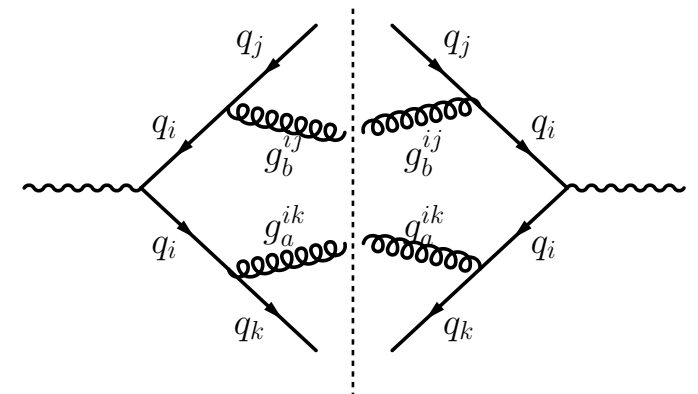
1-Loop  $\times$  Real (X+1)



$$\sigma_X^{\text{NNLO}} = \sigma_X^{\text{NLO}} + \int \left( |M_X^{(1)}|^2 + 2\text{Re}[M_X^{(2)} M_X^{(0)*}] \right) + \int 2\text{Re}[M_{X+1}^{(1)} M_{X+1}^{(0)*}] + \int |M_{X+2}^{(0)}|^2$$



Two-Loop  $\times$  Born Interference



Real  $\times$  Real (X+2)

# Infrared Safety

**Definition:** an observable is **infrared safe** if it is *insensitive* to

## SOFT radiation:

Adding any number of infinitely *soft* particles (zero-energy) should not change the value of the observable

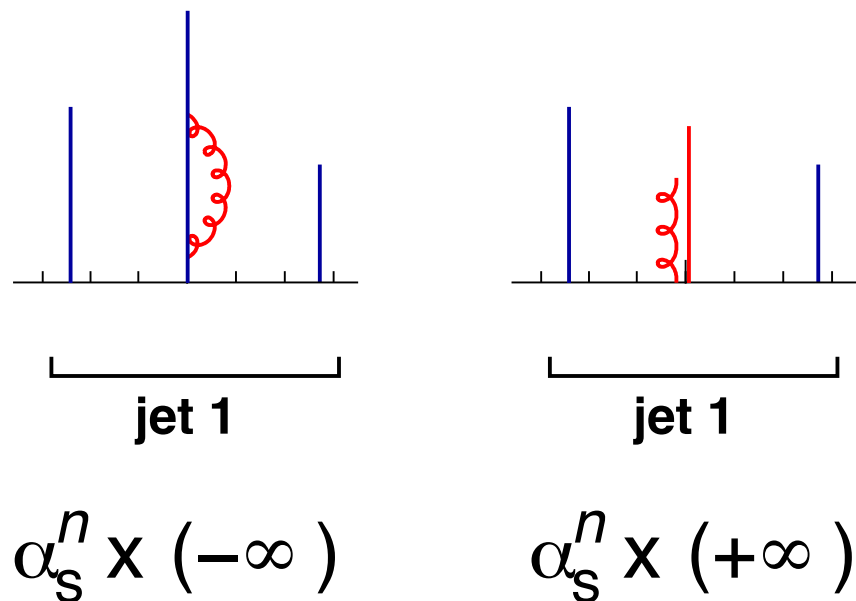
## COLLINEAR radiation:

Splitting an existing particle up into two *comoving* ones (conserving the total momentum and energy) should not change the value of the observable

*Note: some people use the word “infrared” to refer to soft only. Hence you may also hear “infrared and collinear safety”. Advice: always be explicit and clear what you mean.*

# Consequences of Collinear Unsafety

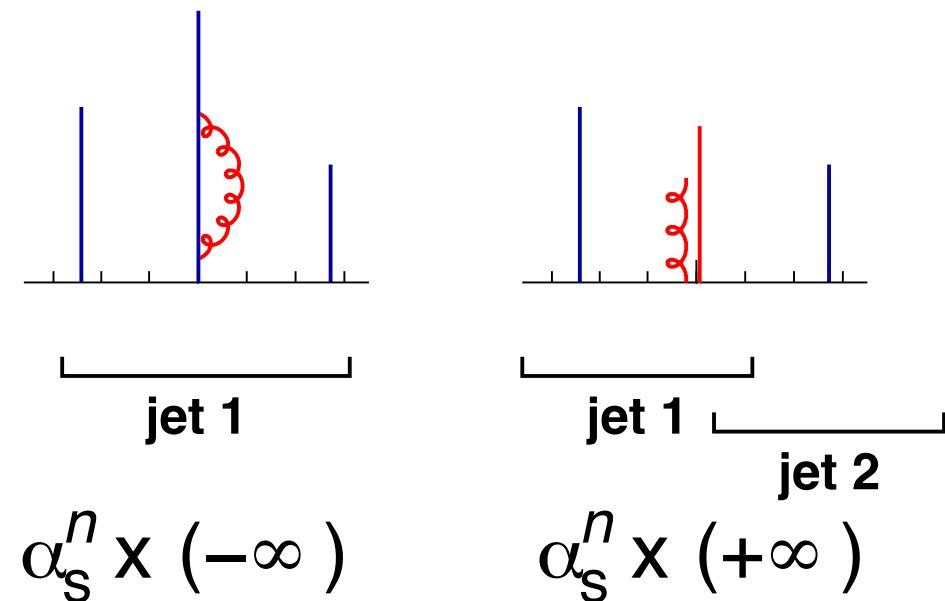
## Collinear Safe



**Infinites cancel**

(KLN: 'degenerate states')

## Collinear Unsafe



**Infinites do not cancel**

Invalidates perturbation theory

Real life does not have infinities, but pert. infinity leaves a real-life trace

$$\alpha_s^2 + \alpha_s^3 + \alpha_s^4 \times \infty \rightarrow \alpha_s^2 + \alpha_s^3 + \alpha_s^4 \times \ln p_t/\Lambda \rightarrow \alpha_s^2 + \underbrace{\alpha_s^3 + \alpha_s^3}_{\text{BOTH WASTED}}$$

# Lessons: “Stereo Vision”

## Use IR Safe algorithms

<http://www.fastjet.fr/>

To study short-distance physics

These days,  $\approx$  as fast as IR unsafe algorithms and widely implemented (e.g., FASTJET), including

“Cone-like”: SiSCone, Anti- $k_T$ , ...

“Recombination-like”:  $k_T$ , Cambridge/Aachen, Anti- $k_T$ ...



## Use IR Sensitive observables

E.g., number of tracks, identified particles, ...

To explicitly study hadronization and check models of IR physics

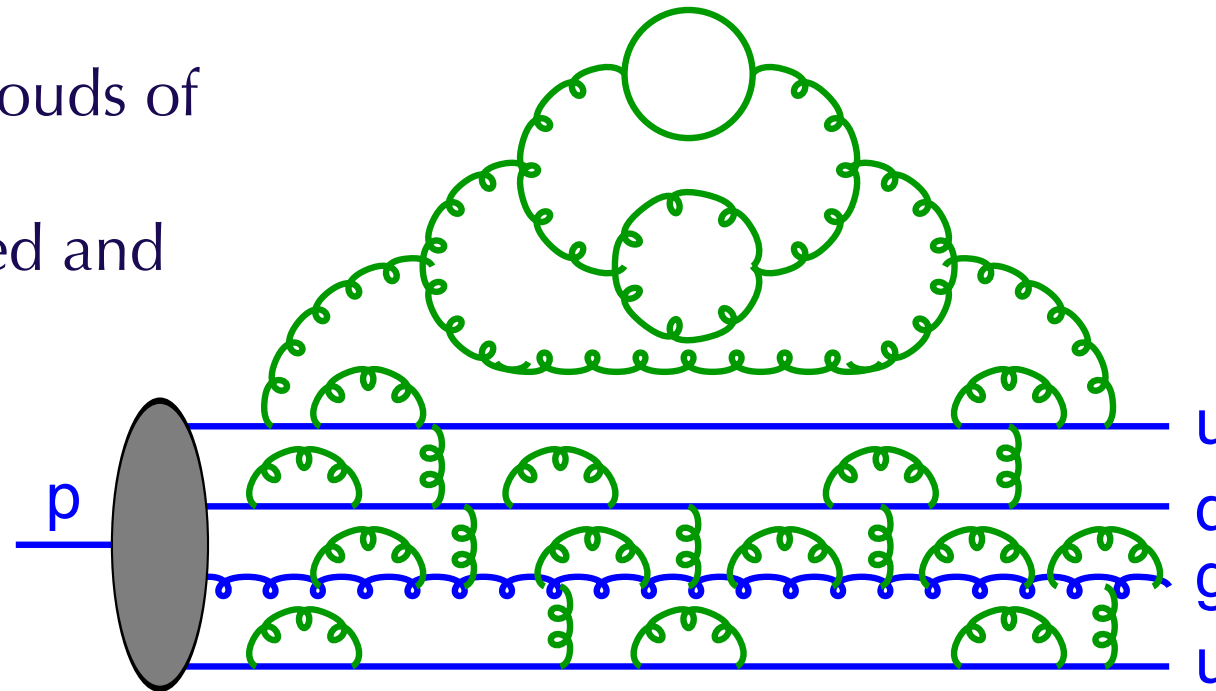
More about IR in lecture on soft QCD ...



# Factorization 2: PDFs

Hadrons are composite, with time-dependent structure:

Partons within clouds of further partons, constantly emitted and absorbed



For hadron to remain intact, virtualities  $k^2 < M_h^2$   
High-virtuality fluctuations suppressed by powers of

$$\frac{\alpha_s M_h^2}{k^2}$$

$M_h$  : mass of hadron  
 $k^2$  : virtuality of fluctuation

→ Lifetime of fluctuations  $\sim 1/M_h$

Hard incoming probe interacts over much shorter time scale  $\sim 1/Q$

On that timescale, partons  $\sim$  frozen

Hard scattering knows nothing of the target hadron apart from the fact that it contained the struck parton → **factorisation**

Illustration from T. Sjöstrand

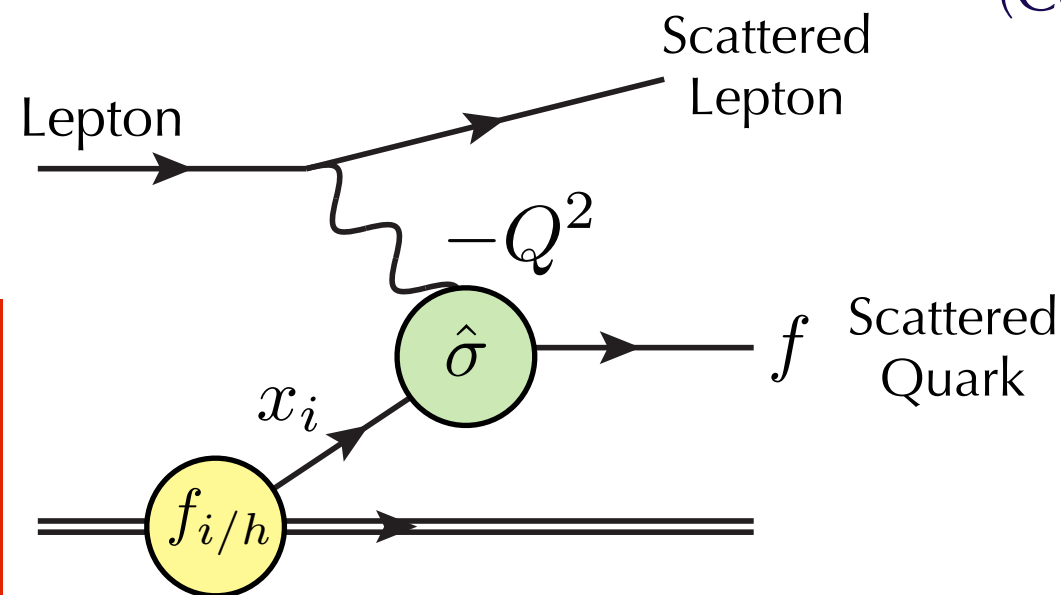
# Factorization Theorem

In DIS, there is a formal proof of factorization

(Collins, Soper, 1987)

Deep Inelastic Scattering (DIS)

Surprise Question:  
What's the color factor for DIS?



Note: Beyond LO,  $f$  can be more than one parton

→ We really can write the cross section in factorized form :

$$\sigma^{\ell h} = \sum_i \sum_f \int dx_i \int d\Phi_f f_{i/h}(x_i, Q_F^2) \frac{d\hat{\sigma}^{\ell i \rightarrow f}(x_i, \Phi_f, Q_F^2)}{dx_i d\Phi_f}$$

Sum over Initial (i) and final (f) parton flavors	= Final-state phase space	= PDFs Assumption: $Q^2 = Q_F^2$	Differential partonic Hard-scattering Matrix Element(s)
--	------------------------------	--	---

# A propos Factorization

Why do we need PDFs, parton showers / jets, etc.?  
Why are Fixed-Order QCD matrix elements not enough?

F.O. QCD requires **Large scales** : to guarantee that  $\alpha_s$  is small enough to be perturbative (not too bad, since we anyway *often* want to consider large-scale processes [[insert your fav one here](#)])

F.O. QCD requires **No hierarchies** : conformal structure implies that soft/collinear hierarchies are associated with on-shell singularities that ruin fixed-order expansion.

**But!!!** we collide - and observe - low-scale hadrons, with *non-perturbative structure*, that participate in hard processes, whose scales are *hierarchically greater* than  $m_{\text{had}} \sim 1 \text{ GeV}$ .

→ A Priori, no perturbatively calculable observables in QCD

# Lesson: Factorization → can still calculate!

**Why is Fixed Order QCD not enough?**

: It requires all resolved scales  $\gg \Lambda_{\text{QCD}}$  **AND** no large hierarchies

**PDFs:** connect incoming hadrons with the high-scale process

**Fragmentation Functions:** connect high-scale process with final-state hadrons  
(each is a non-perturbative function modulated by initial- and final-state radiation)

$$\frac{d\sigma}{dX} = \sum_{a,b} \sum_f \int_{\hat{X}_f} f_a(x_a, Q_i^2) f_b(x_b, Q_i^2) \frac{d\hat{\sigma}_{ab \rightarrow f}(x_a, x_b, f, Q_i^2, Q_f^2)}{d\hat{X}_f} D(\hat{X}_f \rightarrow X, Q_i^2, Q_f^2)$$

PDFs: needed to compute  
inclusive cross sections

FFs: needed to compute  
(semi-)exclusive cross sections

**Resummed pQCD: All resolved scales  $\gg \Lambda_{\text{QCD}}$  **AND**  $X$  Infrared Safe**

\*)pQCD = perturbative QCD

Will take a closer look at **parton showers** in the next lecture



# Last Topic: Scaling Violation

## Real QCD isn't conformal

The coupling runs logarithmically with the energy scale

$$Q^2 \frac{\partial \alpha_s}{\partial Q^2} = \beta(\alpha_s) \quad \beta(\alpha_s) = -\alpha_s^2 (b_0 + b_1 \alpha_s + b_2 \alpha_s^2 + \dots),$$

$$b_0 = \frac{11C_A - 2n_f}{12\pi} \quad b_1 = \frac{17C_A^2 - 5C_A n_f - 3C_F n_f}{24\pi^2} = \frac{153 - 19n_f}{24\pi^2}$$

1-Loop  $\beta$  function coefficient

2-Loop  $\beta$  function coefficient

$$b_2 = \frac{2857 - 5033n_f + 325n_f^2}{128\pi^3}$$

$b_3 = \text{known}$

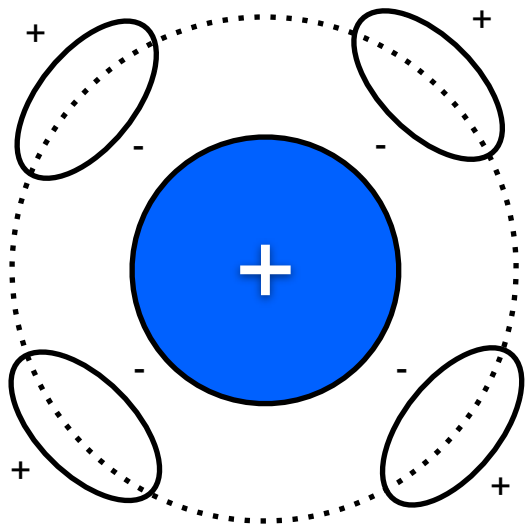
Asymptotic freedom in the ultraviolet

Confinement (IR slavery?) in the infrared

# Asymptotic Freedom

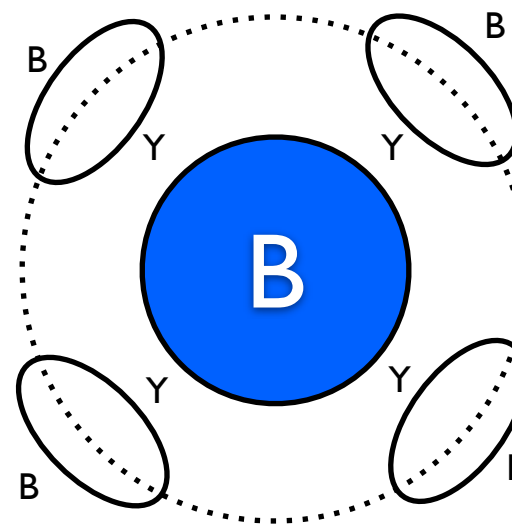
## QED:

Vacuum polarization  
→ Charge screening



## QCD:

Quark Loops  
→ Also charge screening

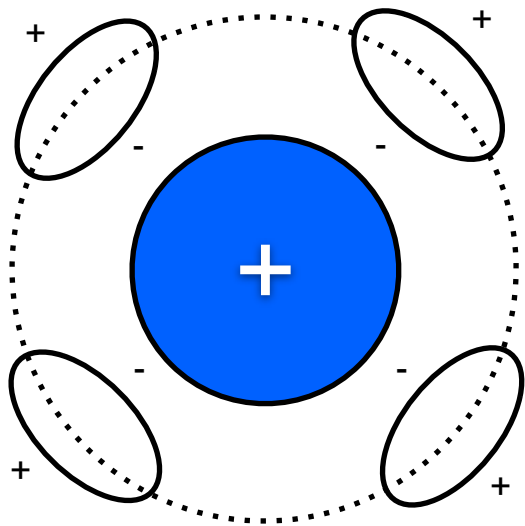


But only dominant if  $> 16$  flavors!

# Asymptotic Freedom

## QED:

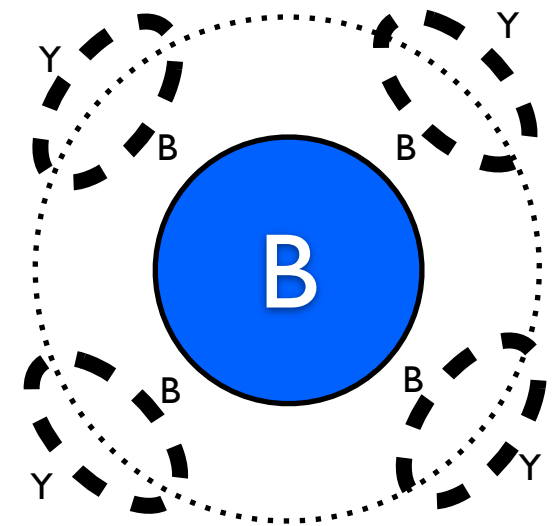
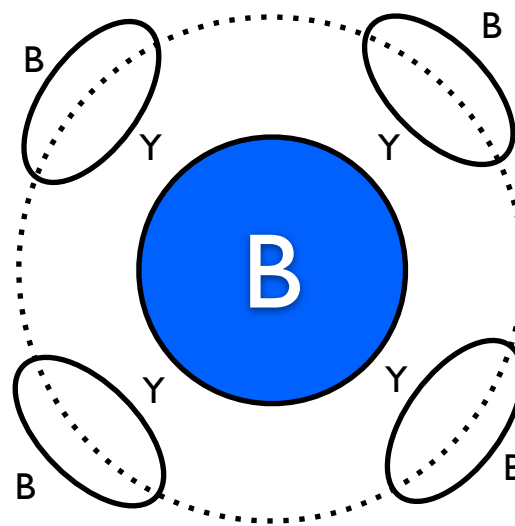
Vacuum polarization  
→ Charge screening



## QCD:

Gluon Loops  
Dominate if  $\leq 16$  flavors

$$b_0 = \frac{11C_A - 2n_f}{12\pi}$$



Spin-1 → Opposite Sign

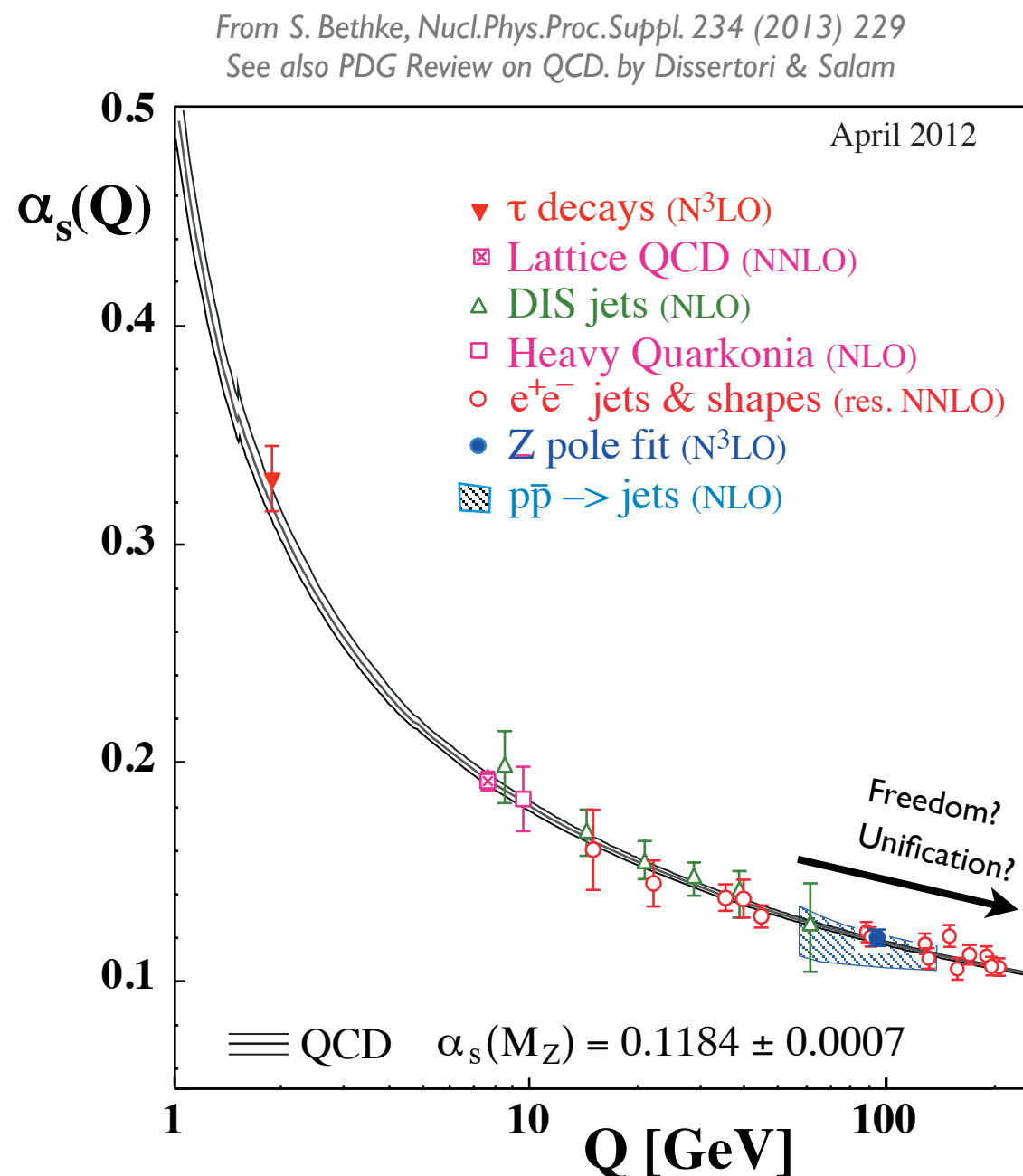
# UV and IR

## At low scales

Coupling  $\alpha_s(Q)$  actually runs rather fast with  $Q$

Perturbative solution diverges at a scale  $\Lambda_{\text{QCD}}$  somewhere below  $\approx 1 \text{ GeV}$

So, to specify the strength of the strong force, we usually give the value of  $\alpha_s$  at a unique reference scale that everyone agrees on:  $M_Z$

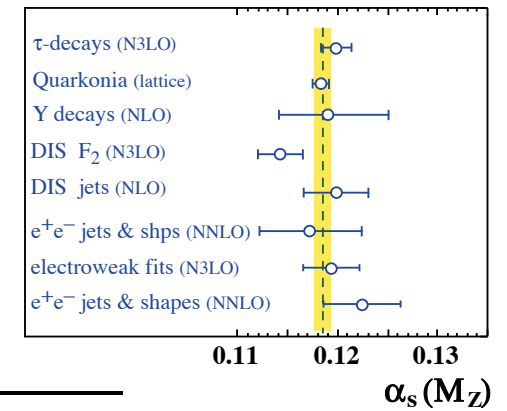


Full symbols are results based on N3LO QCD, open circles are based on NNLO, open triangles and squares on NLO QCD. The cross-filled square is based on lattice QCD.

# The Fundamental Parameter(s)

QCD has **one** fundamental parameter

From PDG Review on QCD, by Dissertori & Salam



$$\alpha_s(m_Z)^{\overline{\text{MS}}} \alpha_s(Q^2) = \alpha_s(m_Z^2) \frac{1}{1 + b_0 \alpha_s(m_Z) \ln \frac{Q^2}{m_Z^2} + \mathcal{O}(\alpha_s^2)}$$

... and its sibling

$$b_0 = \frac{11N_C - 2n_f}{12\pi}$$

$$\Lambda_{\text{QCD}}^{(n_f)\overline{\text{MS}}}$$

$$\alpha_s(Q^2) = \frac{1}{b_0 \ln \frac{Q^2}{\Lambda^2}} \quad \left( \text{depends on } n_f, \text{ scheme, and \# of loops} \right) \quad \Lambda \sim 200 \text{ MeV}$$

... And all its cousins

$$\Lambda^{(3)} \Lambda^{(4)} \Lambda^{(5)} \Lambda_{\text{CMW}} \Lambda_{\text{FSR}} \Lambda_{\text{ISR}} \Lambda_{\text{MPI}}, \dots$$

... +  $n_f$  and quark masses



Will return to these in lecture on Monte Carlos and parton showers




# Changing the scale(s)

## Why scale variation ~ uncertainty?

Scale dependence of calculated orders must be canceled by contribution from uncalculated ones (+ non-pert)

$$\alpha_s(Q^2) = \alpha_s(m_Z^2) \frac{1}{1 + b_0 \alpha_s(m_Z) \ln \frac{Q^2}{m_Z^2} + \mathcal{O}(\alpha_s^2)}$$

Expand in  $\alpha_s$  

$$= \alpha_s(m_Z^2) \left( 1 - b_0 \alpha_s(m_Z) \ln \frac{Q^2}{m_Z^2} + \mathcal{O}(\alpha_s^2) \right)$$

$$\rightarrow (\alpha_s(Q'^2) - \alpha_s(Q^2)) |M|^2 = \alpha_s^2(Q^2) |M|^2 + \dots$$

→ Generates terms of higher order, but proportional to what you already have ( $|M|^2$ ) → a first naive\* way to estimate uncertainty

\*warning: some theorists believe it is the only way ... but be agnostic! There are other things than scale dependence ...

# Asymptotic Freedom

“What this year's Laureates discovered was something that, at first sight, seemed completely contradictory. The interpretation of their mathematical result was that the closer the quarks are to each other, the *weaker* is the 'colour charge'. When the quarks are really close to each other, the ~~force~~<sup>charge</sup> is so weak that they behave almost as free particles. This phenomenon is called ‘asymptotic freedom’. The converse is true when the quarks move apart: the ~~force~~<sup>potential</sup> becomes stronger when the distance increases.”



The Nobel Prize in Physics 2004  
David J. Gross, H. David Politzer, Frank Wilczek



David J. Gross



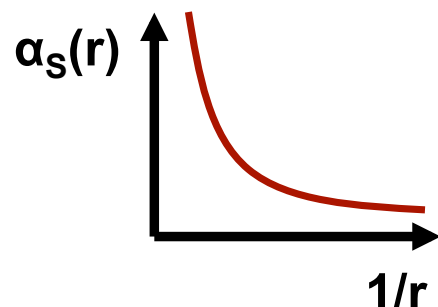
H. David Politzer



Frank Wilczek

The Nobel Prize in Physics 2004 was awarded jointly to David J. Gross, H. David Politzer and Frank Wilczek "for the discovery of asymptotic freedom in the theory of the strong interaction".

Photos: Copyright © The Nobel Foundation



\*1 The force still goes to  $\infty$  as  $r \rightarrow 0$   
(Coulomb potential), just less slowly

\*2 The potential grows linearly as  $r \rightarrow \infty$ , so the force actually becomes constant  
(even this is only true in “quenched” QCD. In real QCD, the force eventually vanishes for  $r \gg 1 \text{ fm}$ )