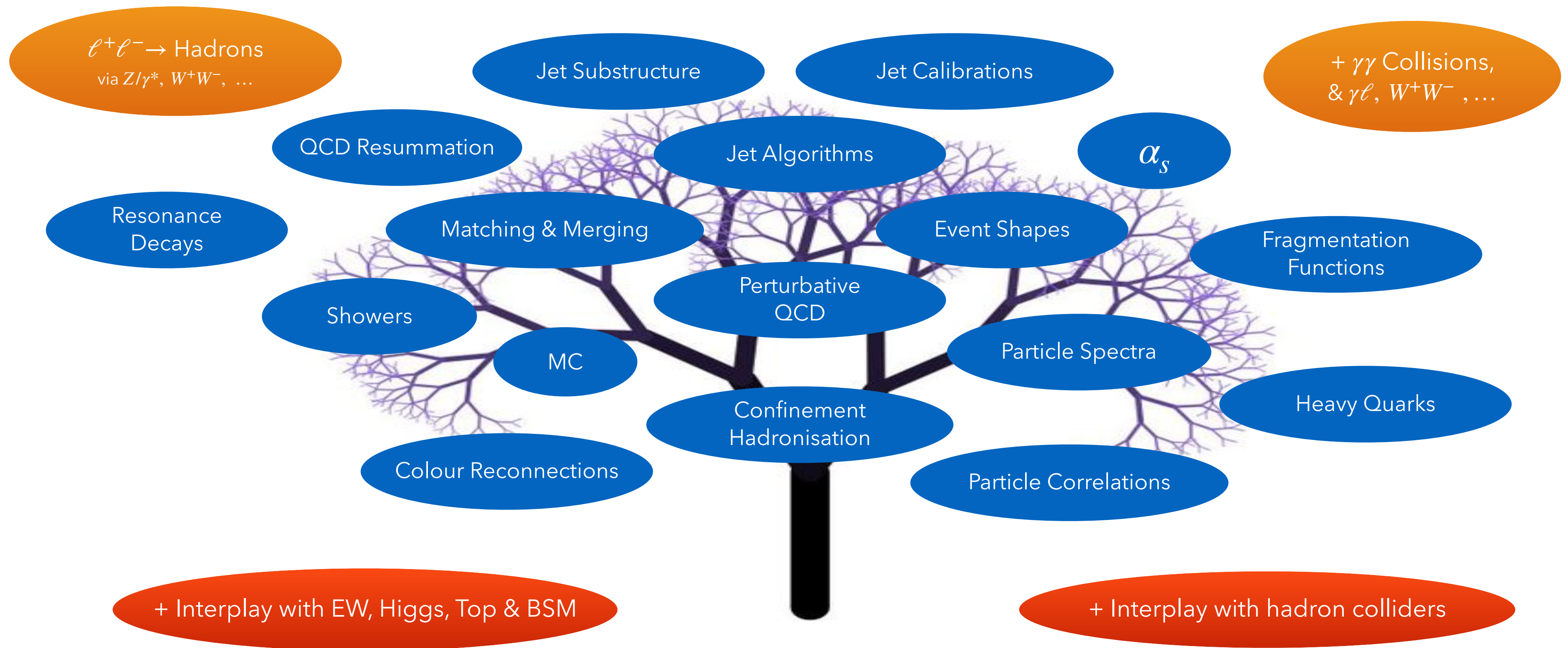


# QCD Challenges and Opportunities at Future Lepton Colliders



# QCD not the main driving force for future colliders ...

(Slide adapted from D. d'Enterria)

But is crucial for many **Precision Measurements** (signals & backgrounds):

- **QCD Corrections:** affects most **precision** cross sections & decays
- **High-precision  $\alpha_s$ :** affects all **QCD** processes & **precision** observables
- **b/c/uds/g separation** (jet substructure): needed for **precision** SM measurements, **boosted decays**, and **BSM** searches with final jets ( $\leftrightarrow$ pp)
- **Non-perturbative QCD:** affects final states with jets (**hadronisation effects, colour reconnections, precision  $m_W, m_t$  measurements, ...**): hadronic  $e^+e^- \rightarrow Z, W^+W^-, t\bar{t} \rightarrow 4j, 6j, \dots$ , **heavy-flavour decays, ...**

+ **Fundamental QCD:**

- **SU(3) gauge field theory:** amplitudes; colour flow; resummations/showers.
- **Dynamics of confinement.** QFT beyond perturbation theory. QCD Strings.

+ Interplay with **Next Hadron Collider** (eg fragmentation modelling,  $\alpha_s, \dots$ )

# QCD at Lepton Colliders

**Hard Processes:**  $\ell^+\ell^- \rightarrow \gamma^*/Z, W^+W^-, HZ, H\nu\bar{\nu}, t\bar{t}, \dots$

**Hadronic Channels:**

$$\gamma^*/Z \rightarrow q\bar{q}, c\bar{c}, b\bar{b}$$

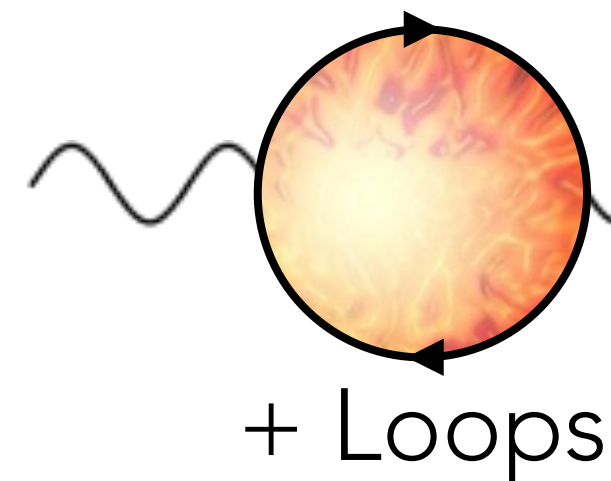
$$W^+ \rightarrow q\bar{q}', c\bar{q}, q\bar{b}, c\bar{b}$$

$$H \rightarrow \bar{b}b, c\bar{c}, gg, V^*V$$

$$t \rightarrow bW$$

$K, D, B$  hadron decays  
(flavour physics)

+ "ISR":  $\gamma\gamma \rightarrow q\bar{q}, W^+W^-, H, \dots$



+ coloured BSM  
states or decays?

# Past Lepton Colliders = QCD Discovery Machines

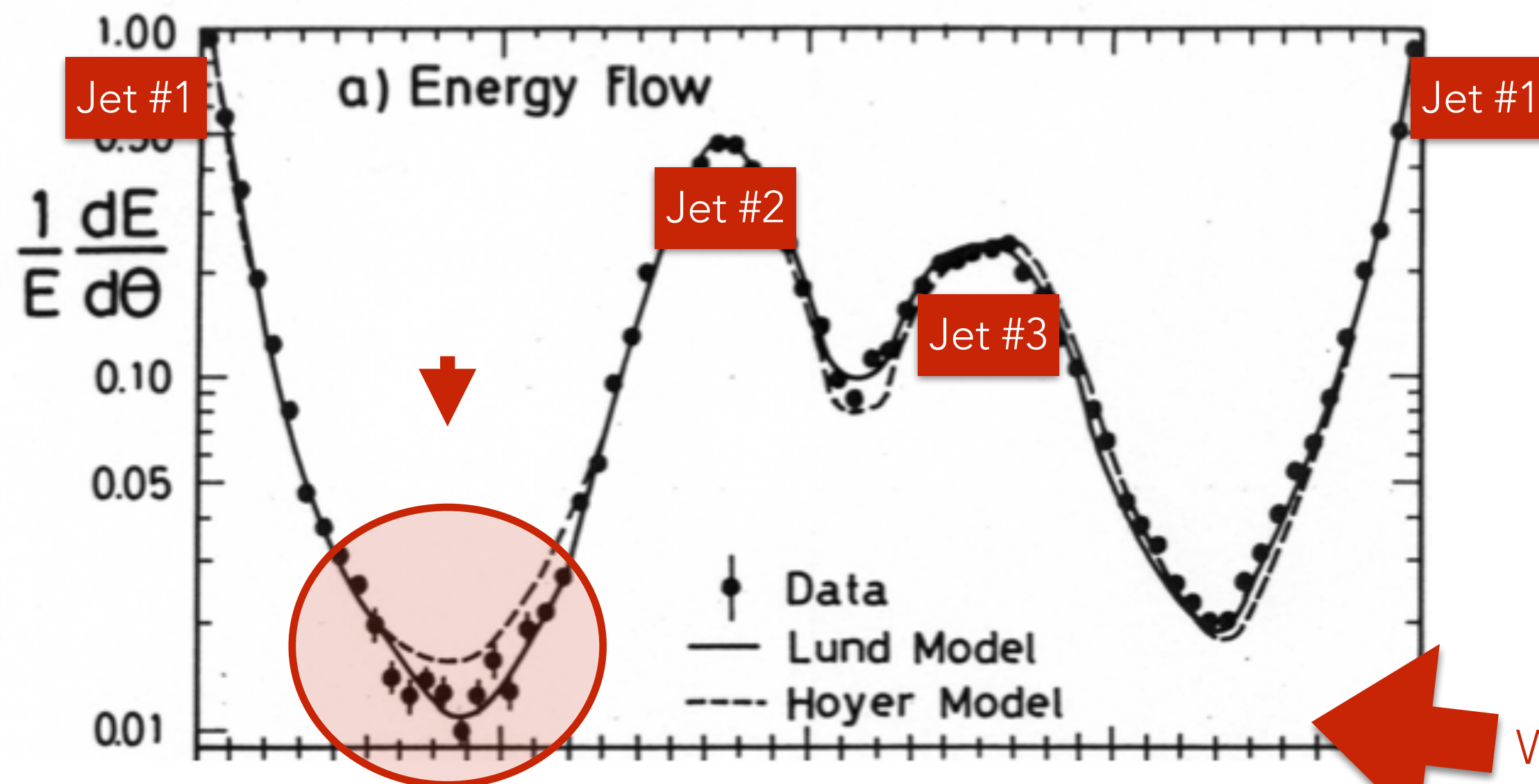
(Focus here on **high-energy** colliders, with CM energies  $\sqrt{s} \gtrsim 10$  GeV)

**PETRA (DESY)  $\sqrt{s} \sim 20 - 30$  GeV: CELLO, JADE, MARK-J, PLUTO, TASSO**

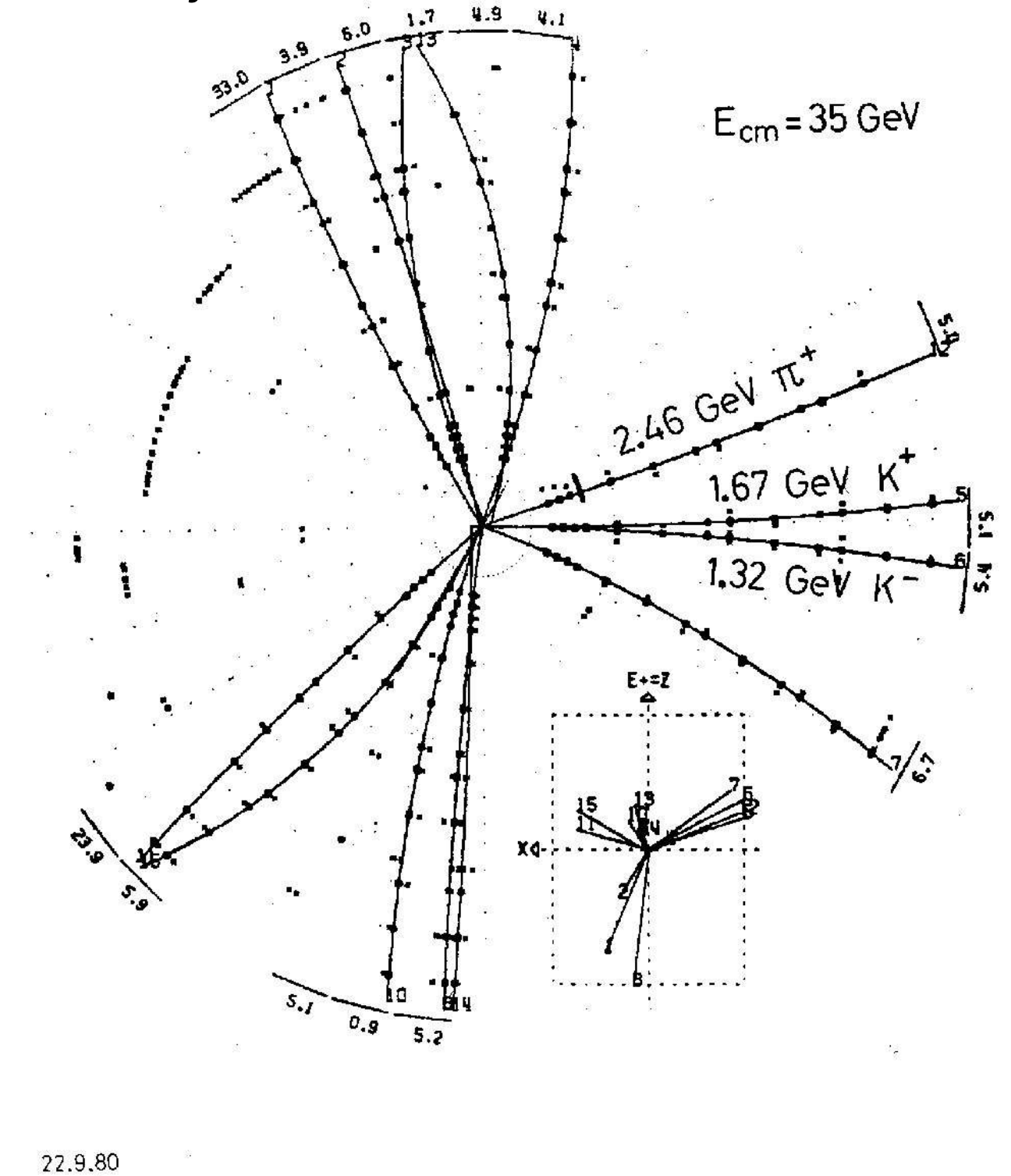
Discovery of the gluon (1979): 3-jet events 

Discovery of the JADE effect (1980)

(a.k.a. the "string effect") 



3-jet event at TASSO (1980)



 Will return to this later in the lecture

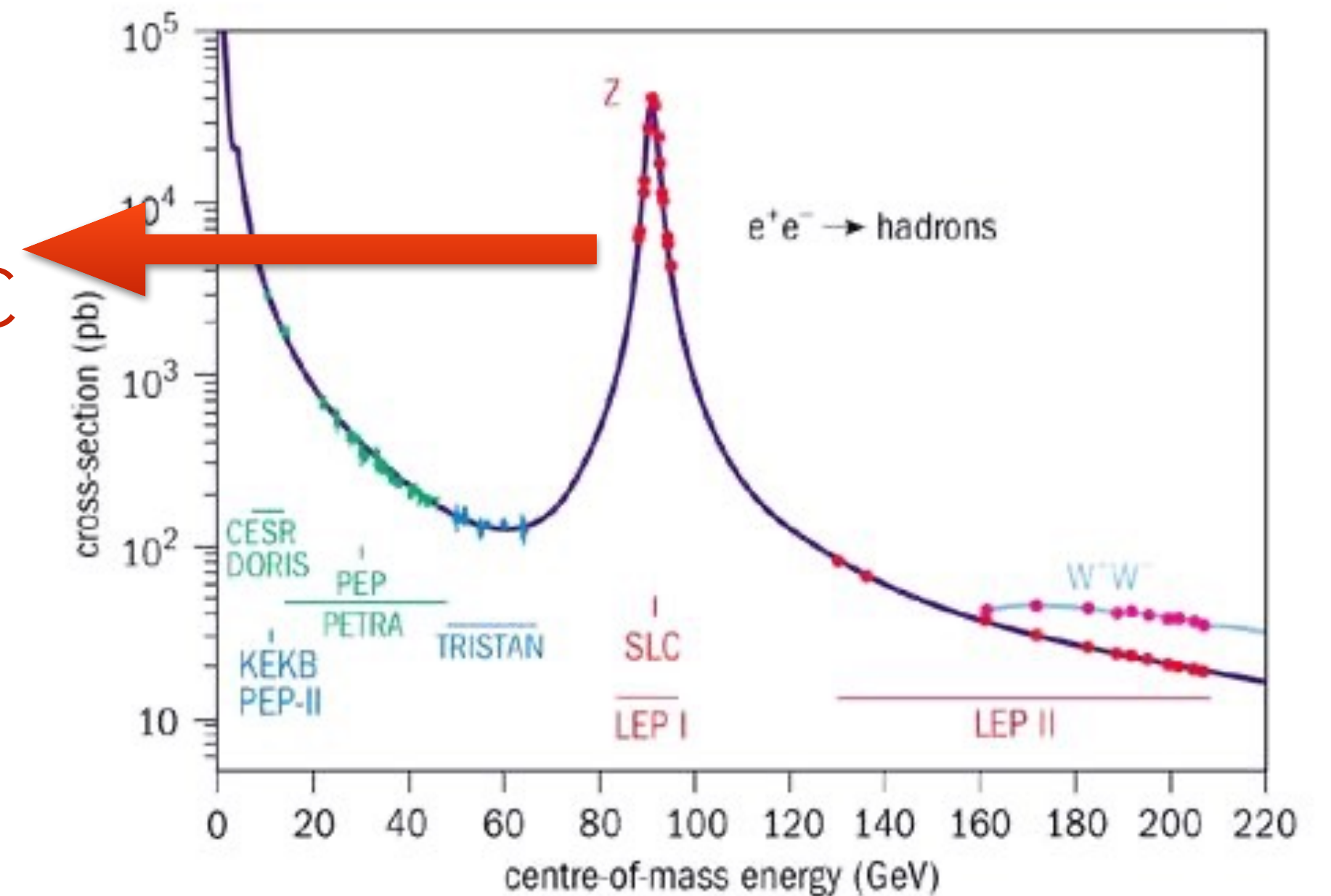
# 1990 - 1995: LEP 1 (CERN)

**LEP 1:**  $\sqrt{s} = M_Z = 91.2 \text{ GeV}$ : ALEPH, DELPHI, L3, OPAL

A few million Z decays per experiment.

→ The main EXP constraints on all MC **hadronisation models** now used at LHC

Summaries of QCD measurements typically among the top-20 highest-cited papers of each experiment



(+ around the same time precursors to B-Factories):

**TRISTAN** (KEK)  $\sqrt{s} \sim 55 \text{ GeV} < M_Z \rightarrow$  KEKB: Belle, now Belle II

**SLC** (SLAC)  $\sqrt{s} \sim M_Z$  (but lower  $\mathcal{L}$  than LEP)  $\rightarrow$  PEP-II: BaBar

# 1995 - 2000: LEP II (CERN)

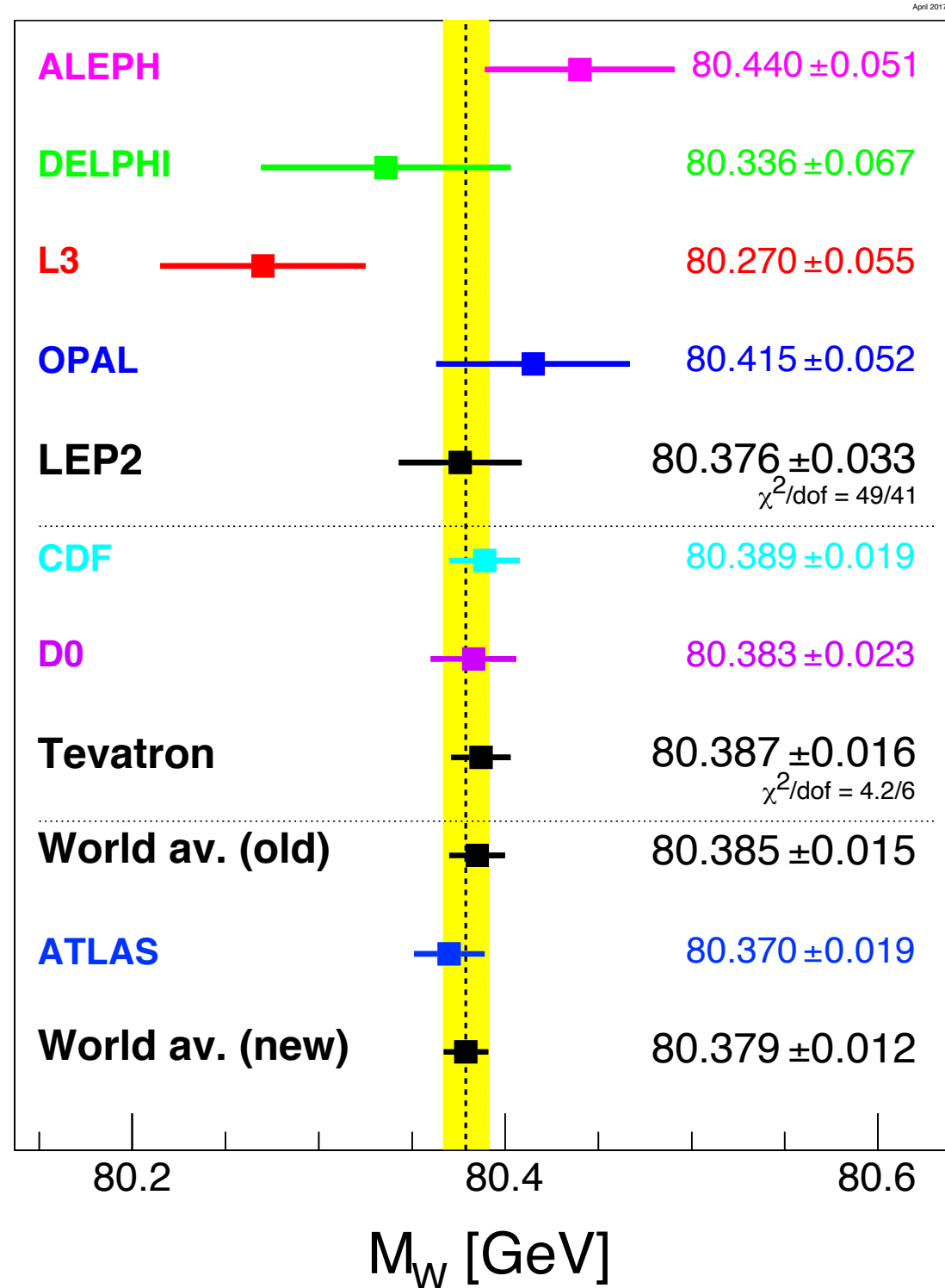
LEP 2:  $M_Z \leq \sqrt{s} \leq 209 \text{ GeV}$

Not quite enough to reach  $M_Z + M_H = 216 \text{ GeV}$

Instead of ZH:  $\sim 10\text{k } W^+W^-$  per experiment

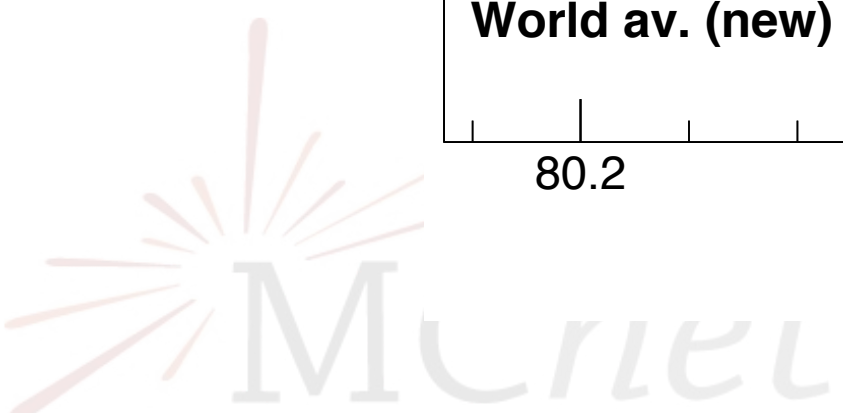
$$\Rightarrow M_W^{\text{LEP}} = 80.376 \text{ GeV} \pm 33 \text{ MeV}$$

arXiv:1302.3415



	Systematic Uncertainty in MeV			
	on $m_W$			on $\Gamma_W$
	$q\bar{q}l\nu_e$	$q\bar{q}q\bar{q}$	Combined	
ISR/FSR	8	5	7	6
Hadronisation	13	19	14	40
Detector effects	10	8	9	23
LEP energy	9	9	9	5
Colour reconnection	—	35	8	27
Bose-Einstein Correlations	—	7	2	3
Other	3	10	3	12
Total systematic	21	44	22	55
Statistical	30	40	25	63
Statistical in absence of systematics	30	31	22	48
Total	36	59	34	83

Main sources of uncertainty: non-perturbative QCD



# Future Lepton Colliders

This is a **rough overview** of what we will talk about; expect more details in coming days

**FCC-ee** (CERN) / **CEPC** (China)

Circular

Main Target: **ZH** @ 250 GeV

Range: [90, 350] GeV

(+ subsequent upgrade to FCC-hh / CPPC)

**ILC** (Japan)

Linear

Main Target: **ZH** @ 250 GeV

Range: [90, 500] GeV

**CLIC**

CERN

Linear+

$\sqrt{s} \lesssim 3 \text{ TeV}$

**Muon Collider**

?

Circular

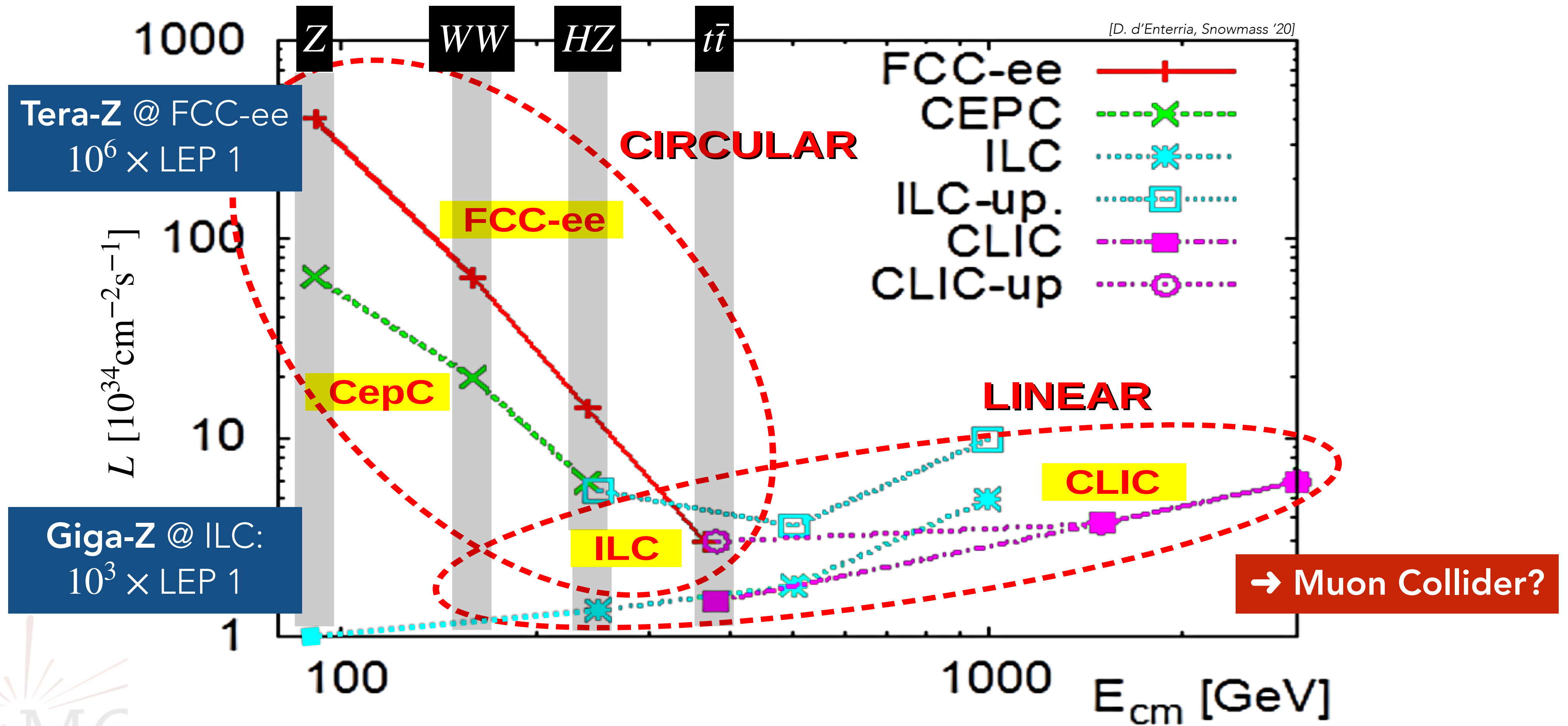
$\sqrt{s} \lesssim 10 \text{ TeV} ?$

**Plasma Wakefield  
Collider?**

**Other** Future  
Technologies?

# Luminosity vs Energy

Note: design studies are evolving; numbers not set in stone.  
 (Also, achievable **total** lumi at circular colliders  $\propto$  number of interaction points)







# QCD Reminder

# Quantum Chromodynamics (QCD)

## Elementary interactions encoded in the Lagrangian Density

$$\mathcal{L} = \bar{\psi}_q^i (i\gamma^\mu) (D_\mu)_{ij} \psi_q^j - m_q \bar{\psi}_q^i \psi_{qi} - \frac{1}{4} F_{\mu\nu}^a F^{a\mu\nu}$$

$$D_{\mu ij} = \delta_{ij} \partial_\mu - ig_s T_{ij}^a A_\mu^a$$

$m_q$ : Quark Mass Terms  
(Higgs + QCD condensates)
Gluon-Field Kinetic Terms  
and Self-Interactions

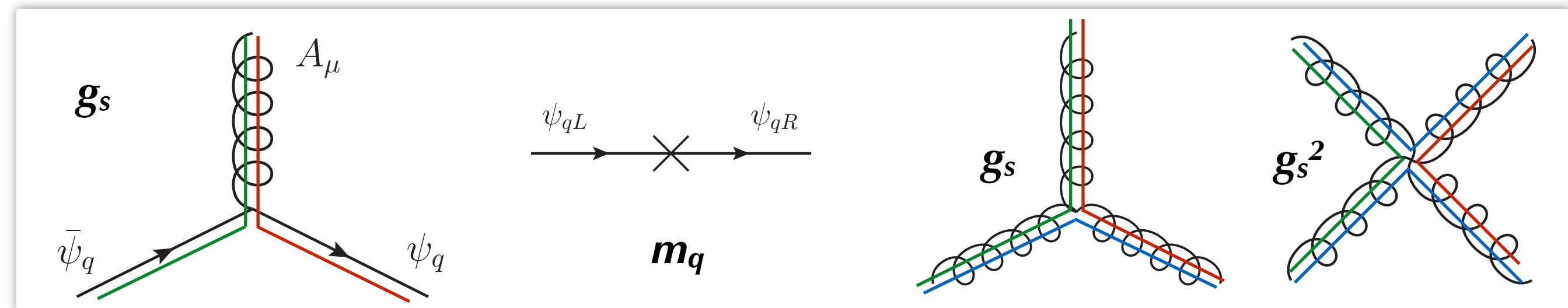
Gauge Covariant Derivative: makes  $L$  invariant under  $SU(3)_C$  rotations of  $\psi_q$

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g_s f^{abc} A_\mu^b A_\nu^c$$

## Perturbative expansions → Feynman rules

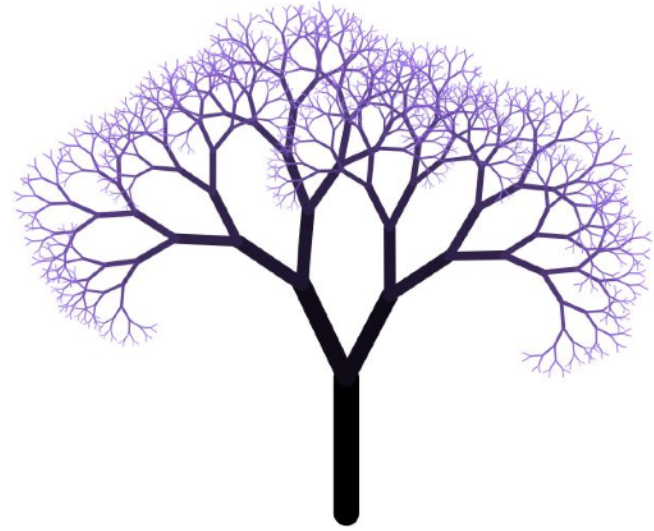
LEGO blocks for building QCD scattering and decay amplitudes

$$\psi_q^j = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \end{pmatrix}$$



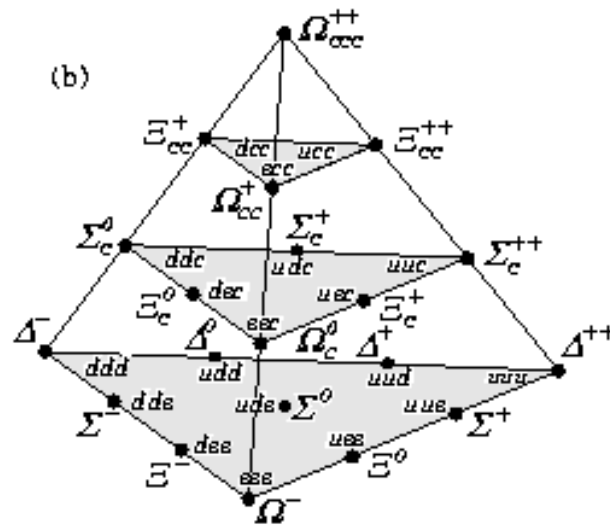
**Unique aspects:** Non-Abelian colour flow; asymptotic freedom; large  $\alpha_s(M_Z) \sim 0.12$

# More than just a (fixed-order perturbative) expansion



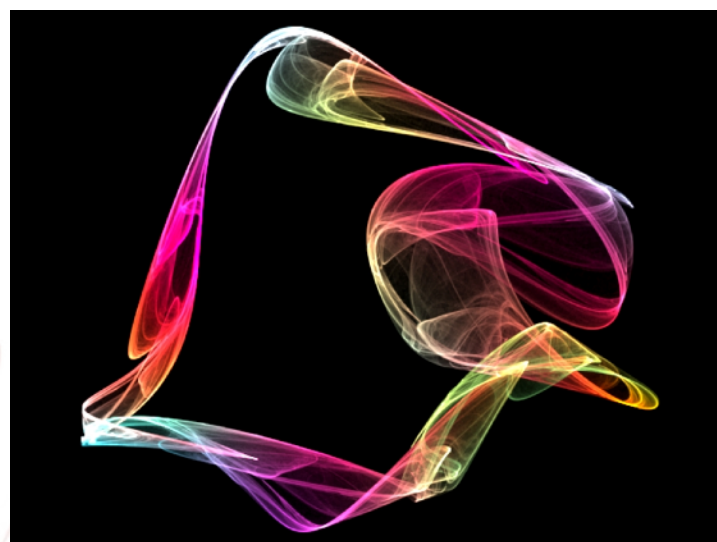
**At short distances:** QCD is essentially a theory of free partons that scatter off each other through smallish quantum corrections. Perturbatively calculable.

Perturbative QCD (pQCD) corrections may be **large**: magnitude of  $\alpha_s$ ; sum over colours; and/or  $\infty$ -order **soft/collinear enhancements**.



**At long distances:** strongly bound hadronic resonances; **confinement**; meson & baryon flavour multiplets (+ excitations; + exotics).

(Some observables, called **Infrared and Collinear Safe**, can still be computed perturbatively.)



Nonperturbative QCD corrections & dynamics: strongly coupled QFT; fundamentally unsolved problem. Addressed by combination of direct simulations (**lattice QCD**), **factorisation theorems** (+ parametrised fits), and phenomenological models (**Monte Carlo Generators**).

# Perturbatively Calculable $\Leftrightarrow$ "Infrared and Collinear Safe"

Definition: An observable is **infrared and collinear safe** if it is insensitive to

## SOFT radiation:

Adding infinitely *soft* particles (zero-energy) does not change the value of the observable

## COLLINEAR radiation:

Splitting an existing particle up into *n comoving* ones (conserving the total momentum and energy) does not change the value of the observable

Ensures that virtual and real singularities go in "same bin" (of histograms), and hence cancel  
→ Observable can be **computed perturbatively** & hadronisation effects **suppressed** by  $(\Lambda/Q)^n$

**IRC safe** observables **isolate perturbative physics** at scales  $Q \gg \Lambda_{\text{QCD}} \sim \mathcal{O}(\text{GeV})$

**IRC sensitive** ones → **study hadronisation effects** (with perturbative input)



# (Ultior Motives for Studying QCD)

The Standard Model

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$
$$+ i \bar{\psi} \not{D} \psi + h.c.$$
$$+ \bar{\psi}_i y_{ij} \psi_j \phi + h.c.$$
$$+ |D_\mu \phi|^2 - V(\phi)$$

*There are more things in heaven and earth, Horatio, than are dreamt of in your philosophy* Hamlet

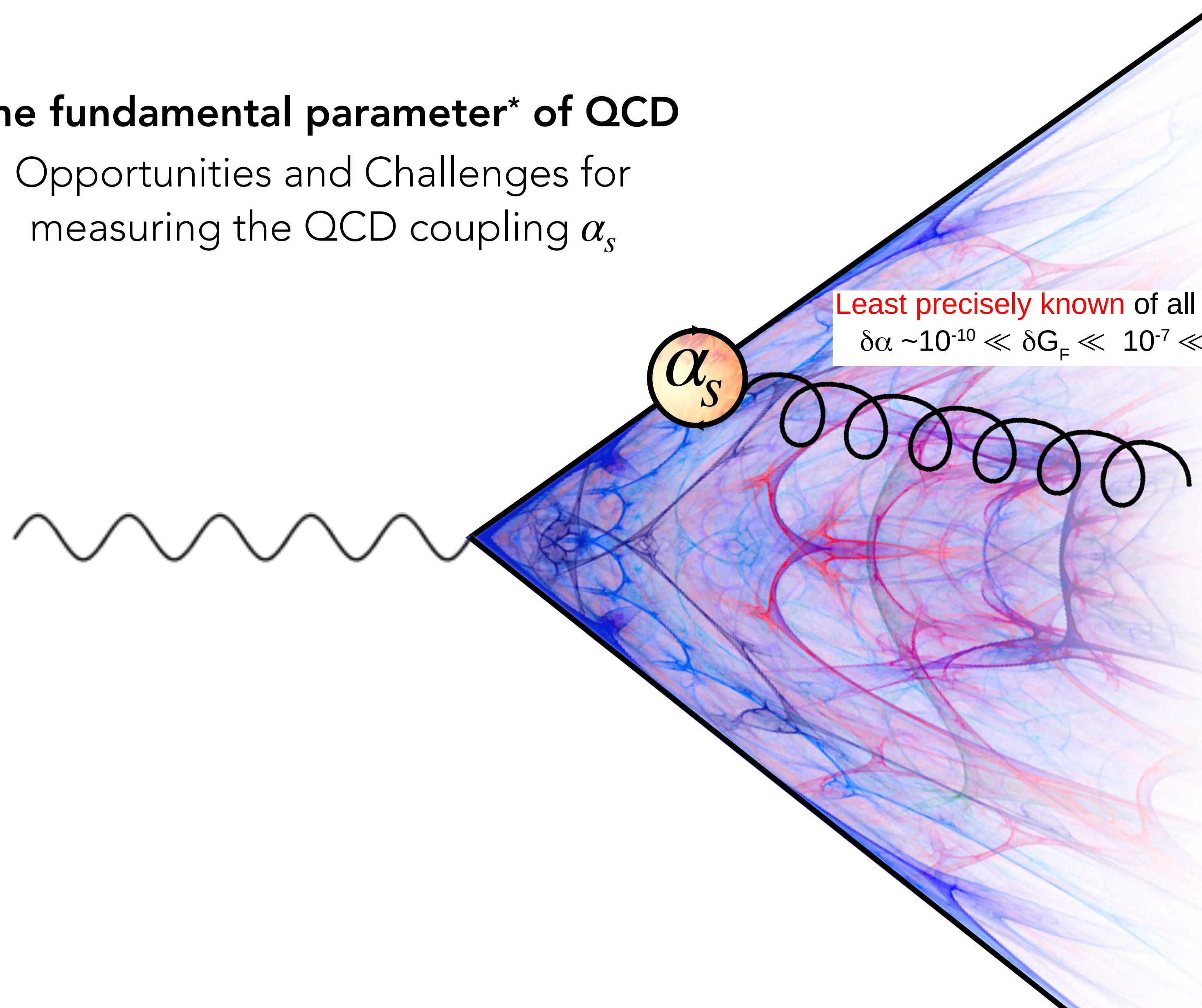
+ ... .. ?

LHC Run 1+2: no "low-hanging" new physics

High-Lumi LHC + Future Colliders → **high-accuracy theory**

# The fundamental parameter\* of QCD

Opportunities and Challenges for measuring the QCD coupling  $\alpha_s$



\*Fundamental in the sense of determining the Lagrangian density of massless QCD. I.e., as distinct from "emergent" non-perturbative ones like the QCD string tension and hadron masses, and non-QCD ones like quark Yukawa couplings.

# Perturbative QCD

The “running” of  $\alpha_s$ :

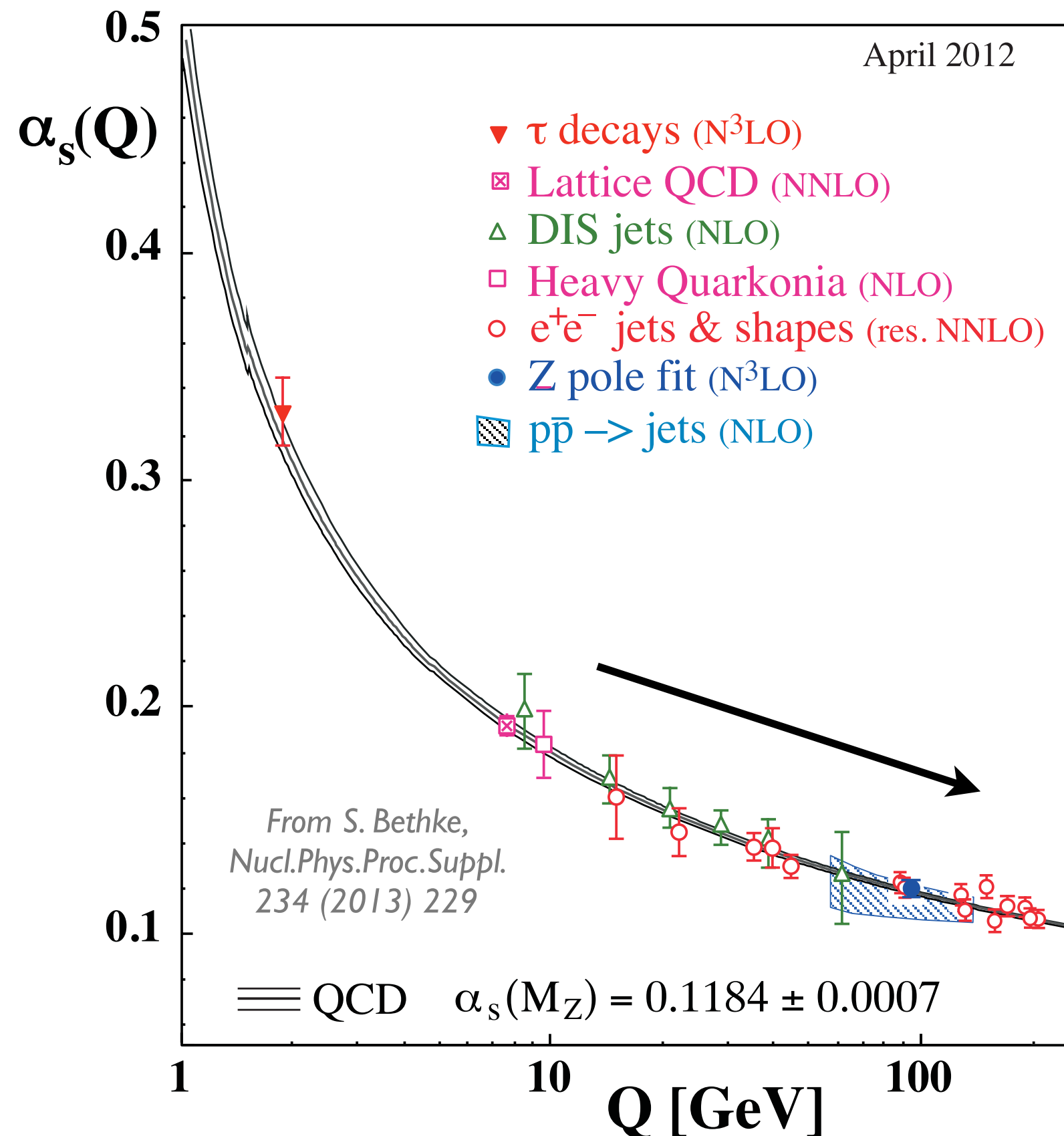
$$Q^2 \frac{\partial \alpha_s}{\partial Q^2} = -\alpha_s^2 (b_0 + b_1 \alpha_s + b_2 \alpha_s^2 + \dots),$$

$$b_0 = \frac{11C_A - 2n_f}{12\pi} \quad C_A=3 \text{ for SU(3)}$$

$$b_1 = \frac{17C_A^2 - 5C_A n_f - 3C_F n_f}{24\pi^2} = \frac{153 - 19n_f}{24\pi^2}$$

$$b_2 = \frac{2857 - 5033n_f + 325n_f^2}{128\pi^3}$$

$$b_3 = \text{known}$$



At high scales  $Q \gg 1 \text{ GeV}$

Coupling  $\alpha_s(Q) \ll 1$

**Perturbation theory** in  $\alpha_s$  should be **reliable**: LO, NLO, NNLO, ...

Full symbols are results based on N3LO QCD, open circles are based on NNLO, open triangles and squares on NLO QCD. The cross-filled square is based on lattice QCD.

# Main Method at LEP : Event Shapes

**Event shapes = IRC safe observables that measure overall momentum flow**

Also allow to determine 3 principal axes

## Two main classes

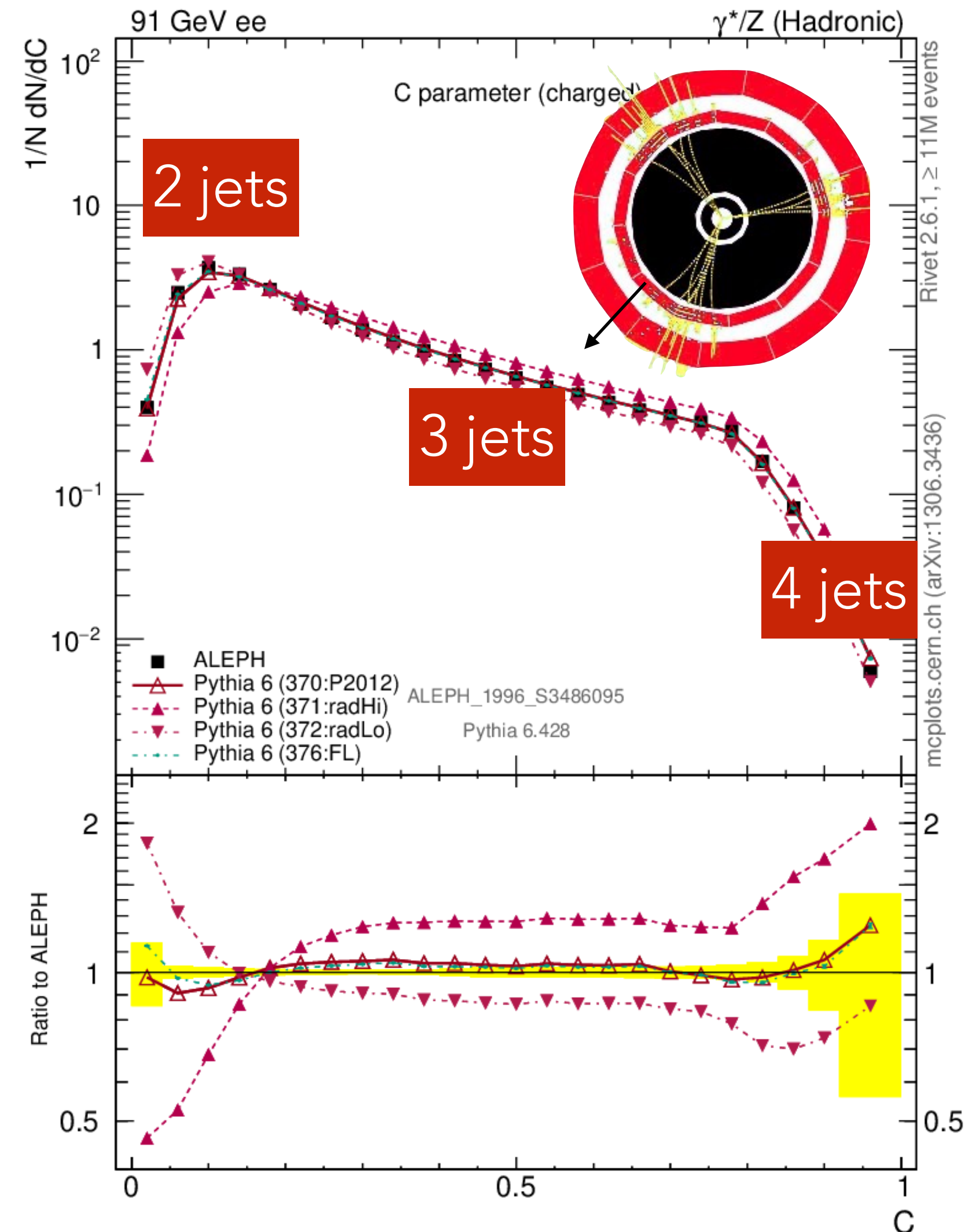
- 1) Thrust, Thrust Major, Thrust Minor
- 2) Sphericity, Sph Major, Sph Minor

Note: org was not IRC safe; now "linearised"

$$\text{Lin Sph Tensor } \Theta^{\alpha\beta} = \frac{\sum_i p_i^\alpha p_i^\beta / |p_i|}{\sum_i |p_i|} \quad \alpha, \beta \in x, y, z$$

With eigenvalues  $\lambda_1 > \lambda_2 > \lambda_3$

E.g.,  $C = 3(\lambda_1\lambda_2 + \lambda_2\lambda_3 + \lambda_3\lambda_1) \rightarrow 0$  in 2-jet limit  
+ several equivalent definitions





# Current state of the art for $\alpha_s$ from LEP

LEP beams switched off in '00; **theory kept evolving:**

NNLO 3-jet calculations: Weinzierl, PRL 101, 162001 (2008), and Gehrmann-de-Ridder, Gehrmann, Glover, Heinrich (EERAD), CPC185(2014)3331

+ new resummations: E.g., SCET-based N3LL for C-parameter: Hoang et al, PRD91(2015)094018

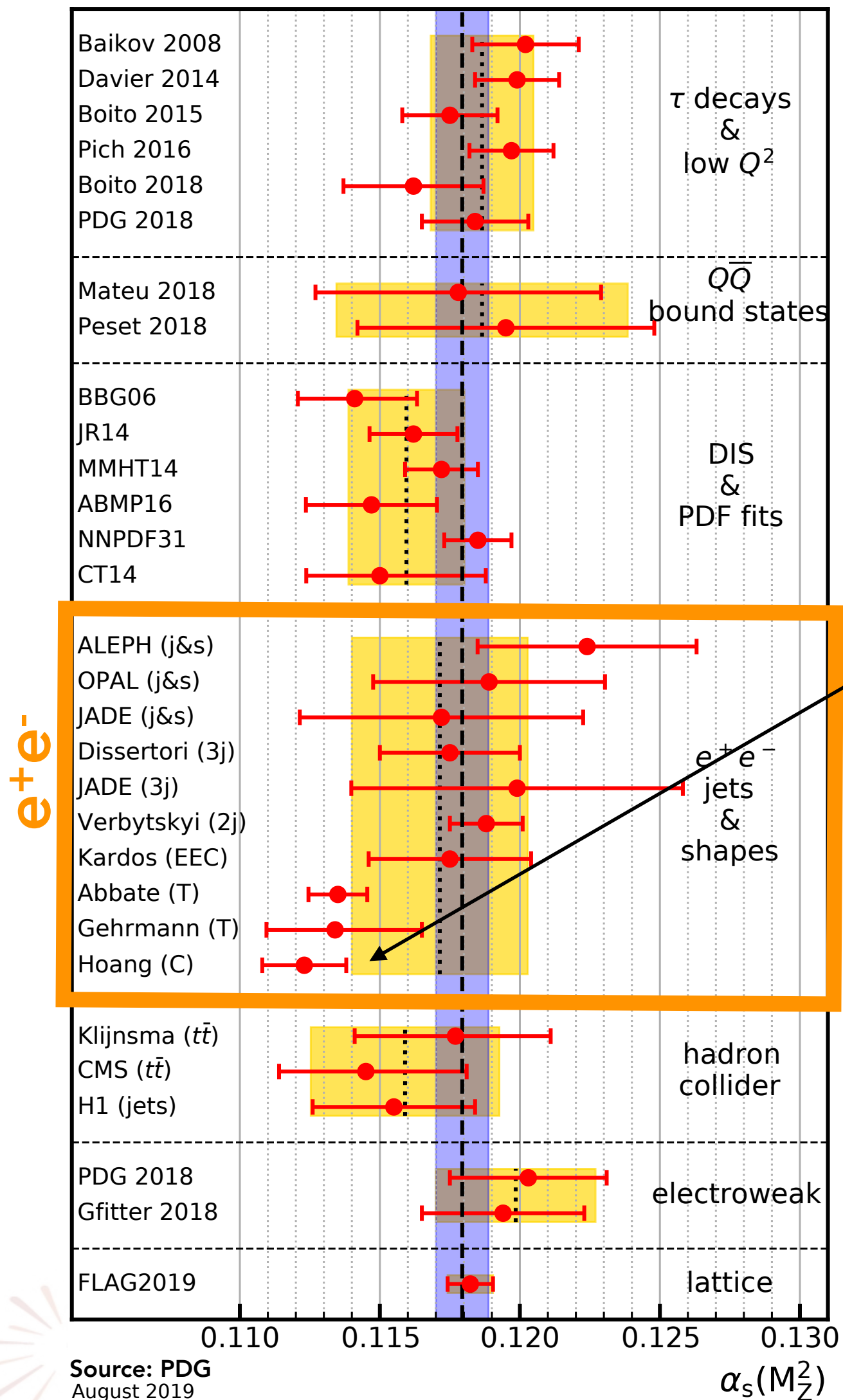
⇒ **Reanalyses: new  $\alpha_s(m_Z)$  extractions**

E.g.,  $0.1123 \pm 0.0015$  from C-parameter @ NNLO + N<sup>3</sup>LL'

**CURRENT STATE OF THE ART:**  $\frac{\delta\alpha_s}{\alpha_s} \sim \mathcal{O}(1\%)$

**Important point (for any experiment):**

Think (far) beyond the "current" theory state of the art. Theory calculations will keep improving & are far easier to redo/crosscheck years later than your experiment is.



# Current state of the art for $\alpha_s$ from LEP

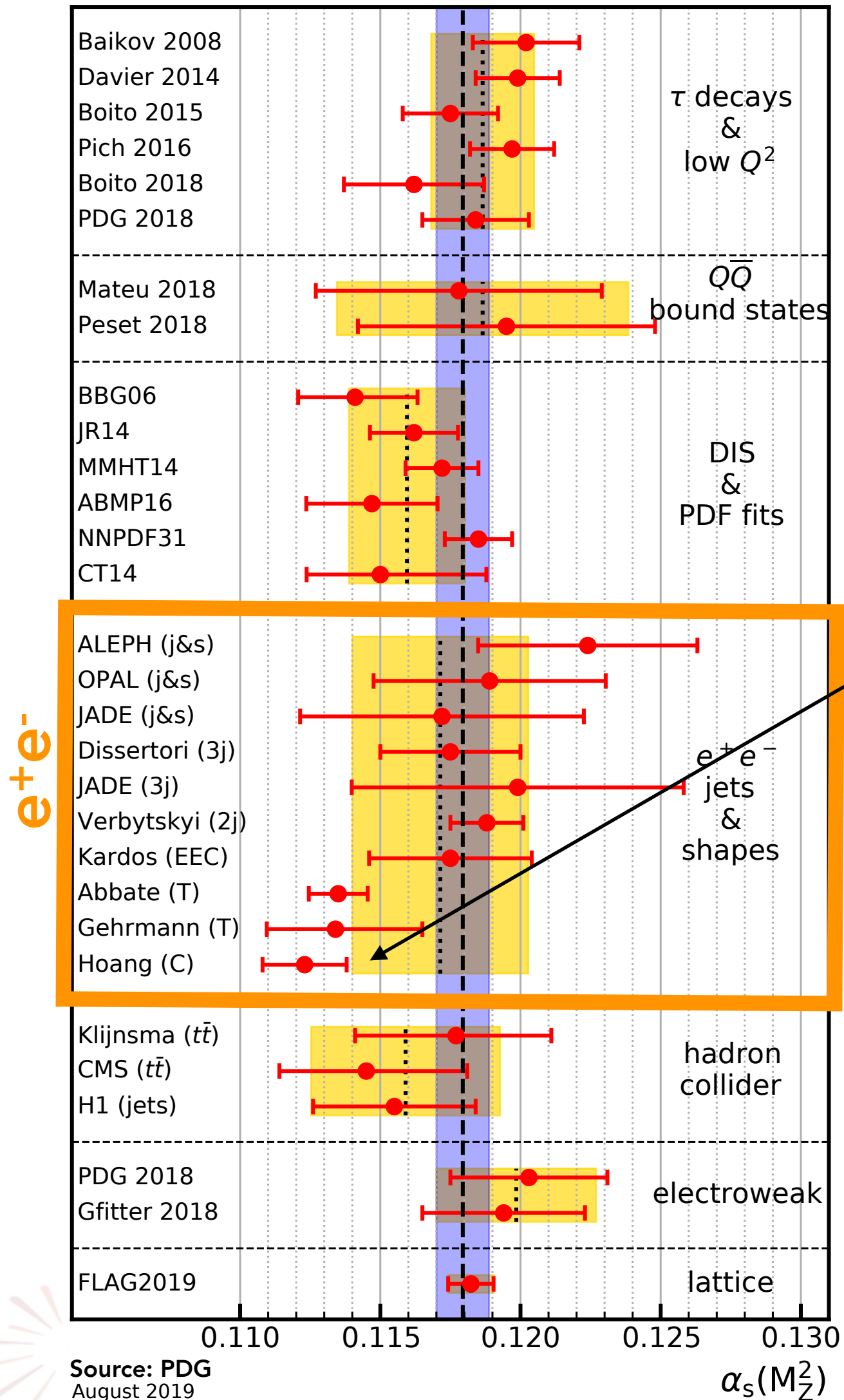
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+ new resummations: E.g., SCET-based N3LL for C-parameter: Hoang et al, PRD91(2015)094018

$\Rightarrow$  **Reanalyses: new  $\alpha_s(m_Z)$  extractions**

E.g.,  $0.1123 \pm 0.0015$  from C-parameter @ NNLO + N<sup>3</sup>LL'



**CURRENT STATE OF THE ART:**  $\frac{\delta\alpha_s}{\alpha_s} \sim \mathcal{O}(1\%)$

**Note large spread among  $e^+e^-$  extractions**

► PDG  $\alpha_s(M_Z^2)$  from ee =  $0.1171 \pm 0.0031$   $(\delta\alpha_s/\alpha_s)_{\text{LEP}} \sim 2.6\%$

Compared with global =  $0.1179 \pm 0.0010$   $(\delta\alpha_s/\alpha_s)_{\text{PDG}} \sim 1\%$

# Inclusive $\alpha_s$ from Tera-Z

(Apologies for not covering prospects specific to ILC)

Huge statistics at Tera-Z  $\rightarrow$  can extract  $\alpha_s$  via accurate  $\Gamma_{Z \rightarrow \text{hadrons}}$

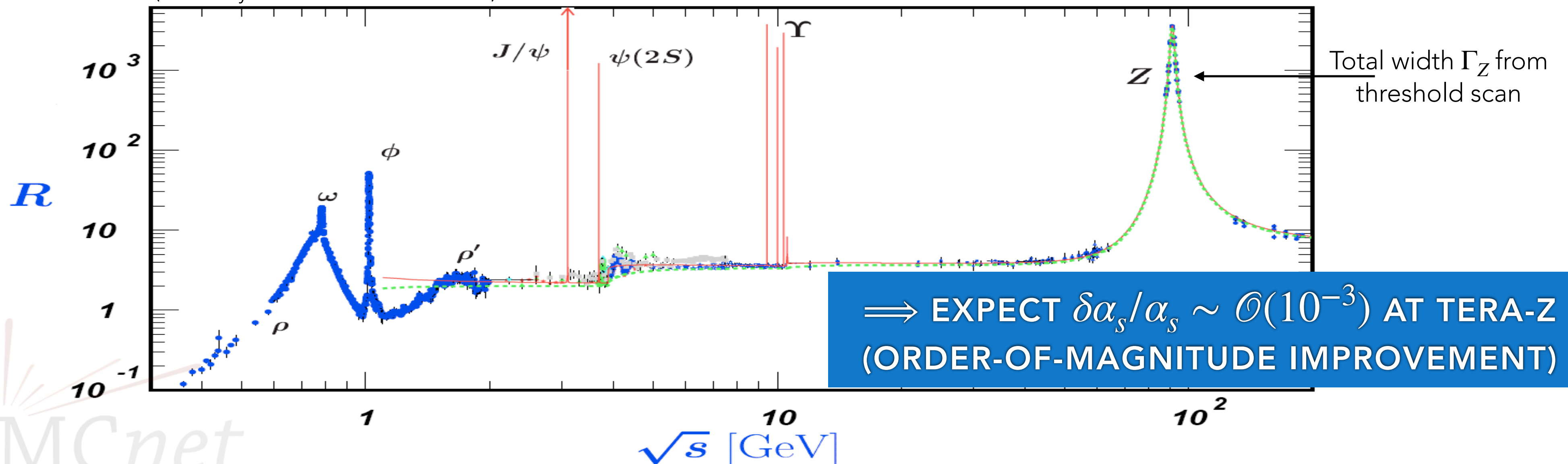
Theory: most precise = **most inclusive**:  $\sigma_Z$ ,  $\Gamma_Z$  & Hadronic "R" ratio

$$\frac{\Gamma(e^+e^- \rightarrow \text{hadrons})}{\Gamma(e^+e^- \rightarrow \mu^+\mu^-)} = R_{\text{EW}}(Q) \left( 1 + \sum_{n=1}^{\infty} c_n \left( \frac{\alpha_s}{\pi} \right)^n + \mathcal{O} \left( \frac{\Lambda^4}{Q^4} \right) \right)$$

$c_1=1=\text{LO}$ ;  $c_2, c_3$  and  $c_4 = \mathcal{O}(\alpha_s^4)$  also known [Baikov et al, 2012]

Conservative QCD scale variations  $\rightarrow \Delta\Gamma_{\text{had}} \sim \mathcal{O}(100 \text{ keV}) \Rightarrow \delta\alpha_s \sim 3 \times 10^{-4}$

(Summary of current measurements)



# Inclusive $\alpha_s$ from WW

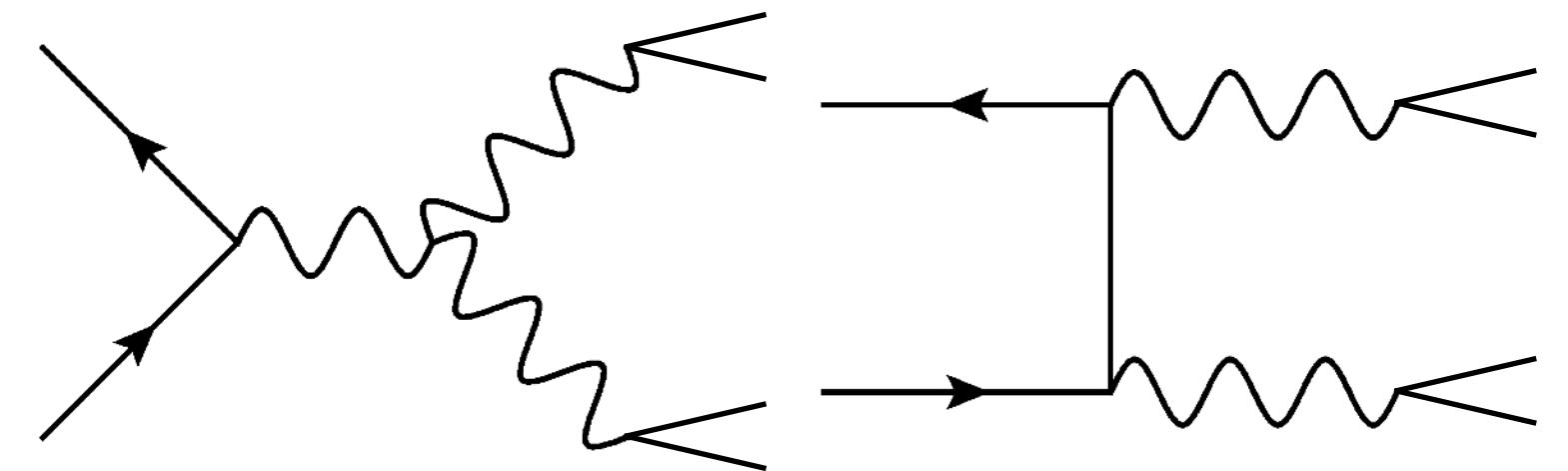
(Apologies for not covering prospects specific to ILC)

Similar procedure for  $\Gamma_{W \rightarrow \text{hadrons}}$

Total  $\Gamma_W$  from WW threshold scan

Similar TH accuracy as for Z-boson R ratio

+ **Huge** increase over LEP ( $10^4 \rightarrow 10^8$ )  $\rightarrow$  **Can be competitive!**



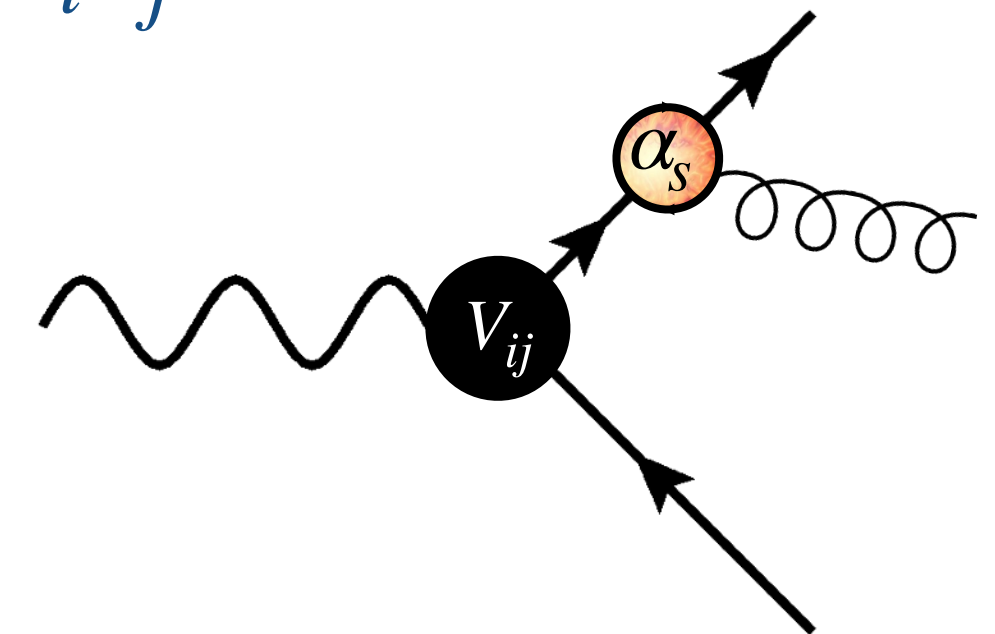
+ 2-jet veto to suppress background from 

However, Born-level branching fractions now  $\propto |V_{CKM}|^2$

$\Rightarrow$  Parametric uncertainty from BRs to each  $W \rightarrow u_i \bar{d}_j$  channel

Especially current  $\delta|V_{cs}| \sim 1.6\%$  must be reduced (but only by factor  $\sim 3$  to be competitive)

(aim **beyond** current state of the art)

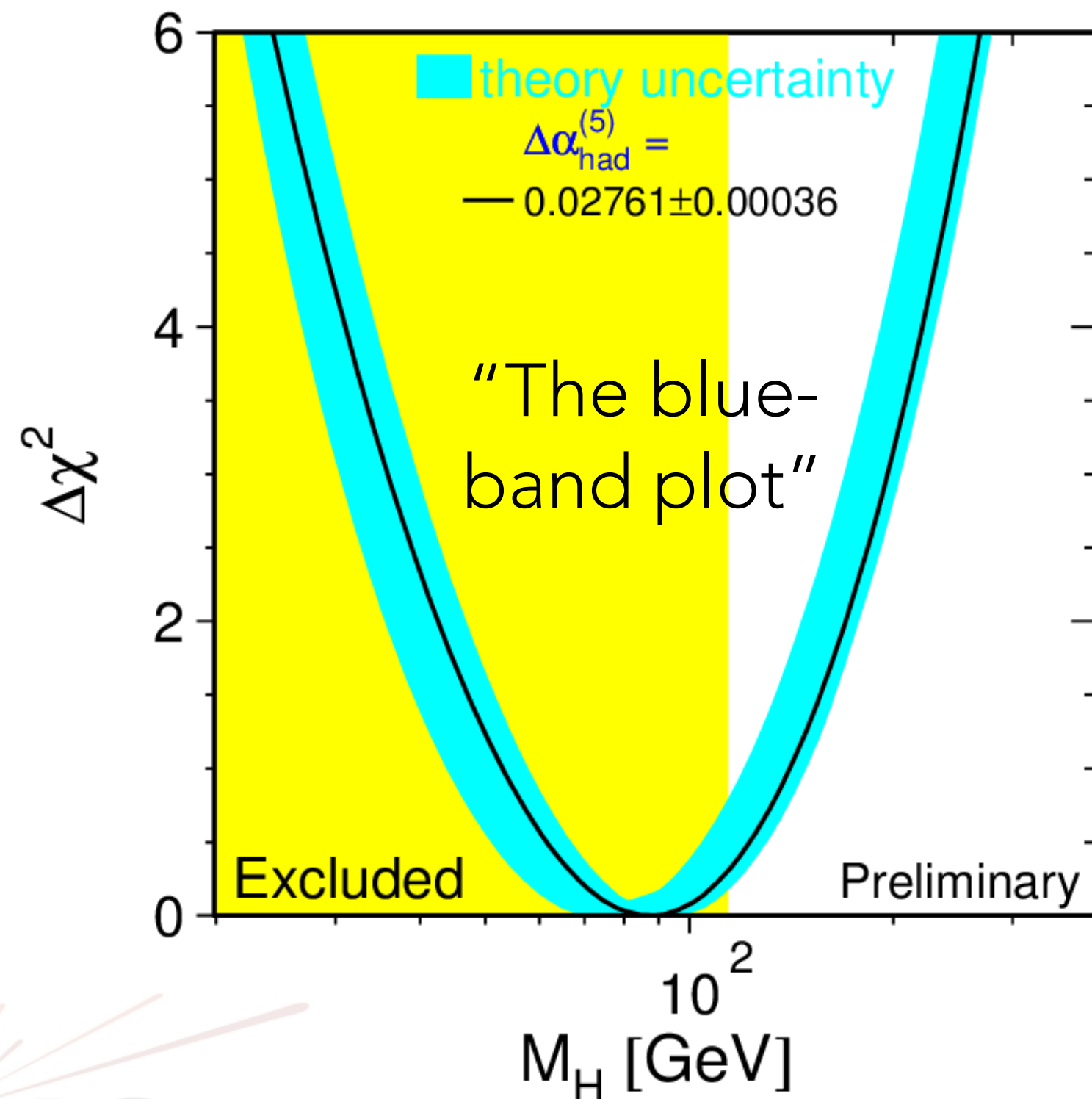


# Global fit for $\alpha_s$

## SM global fit

EWSB  $\rightarrow$  SM parameters not all independent.

Pre-2012 fit for unknown  $m_H$ :

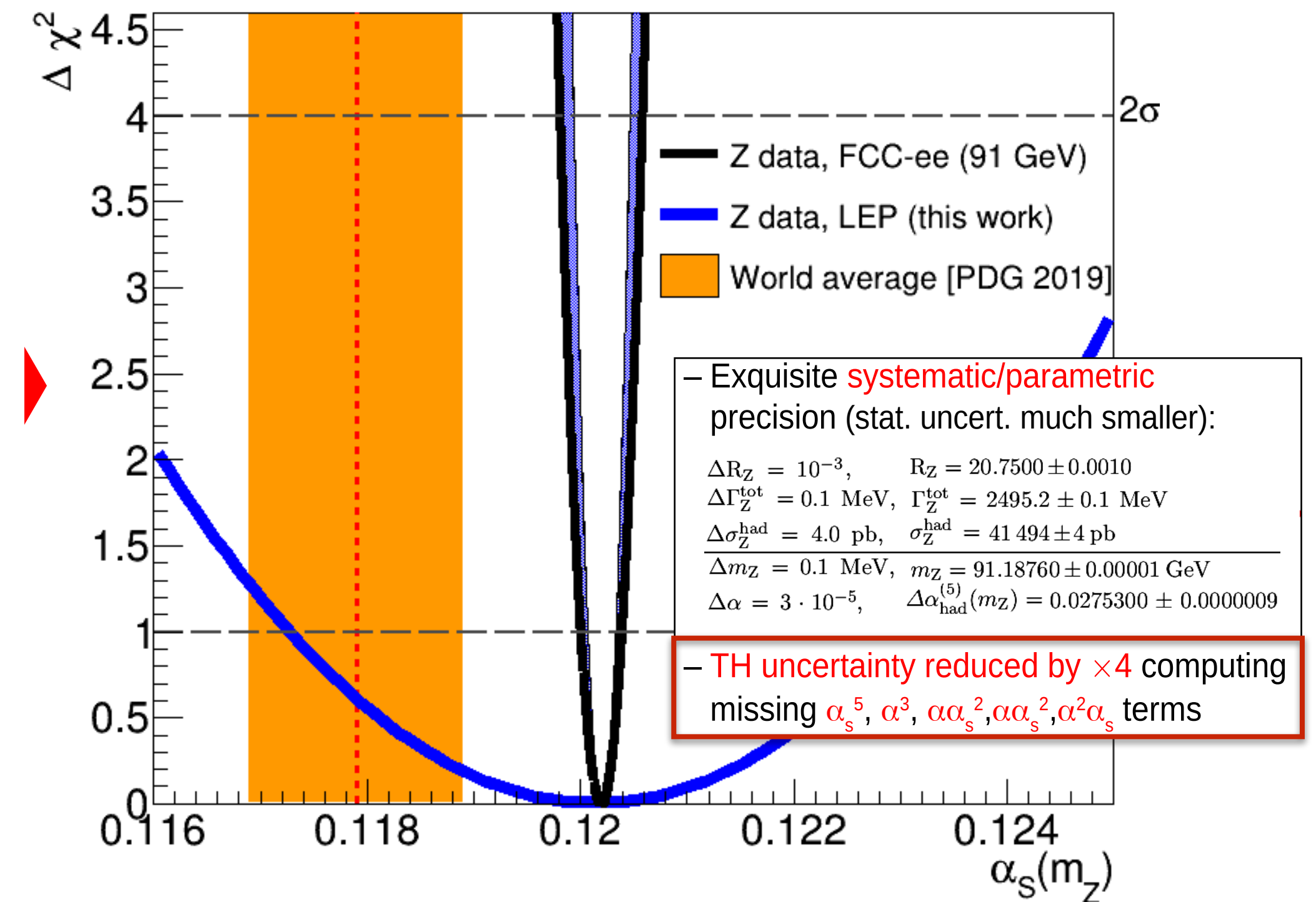


But we know the Higgs mass now

$\rightarrow$  Let  $\alpha_s$  float instead?

$\sigma_Z, \Gamma_Z, R_Z$  + Full SM fit

DdE, Jacobsen: arXiv:2005.04545 [hep-ph]



Strong (B)SM consistency test

# Tera-Z is also a "τ factory"

## Hadronic τ decays

Expect  $O(10^{11})$  τ decays from  $Z \rightarrow \tau^+ \tau^-$

$$R_\tau = \frac{\Gamma(\tau \rightarrow \text{hadrons})}{\Gamma(\tau \rightarrow \nu_\tau e^- \bar{\nu}_e)} \text{ also known to } \mathcal{O}(\alpha_s^4)$$

## Competitive (?)

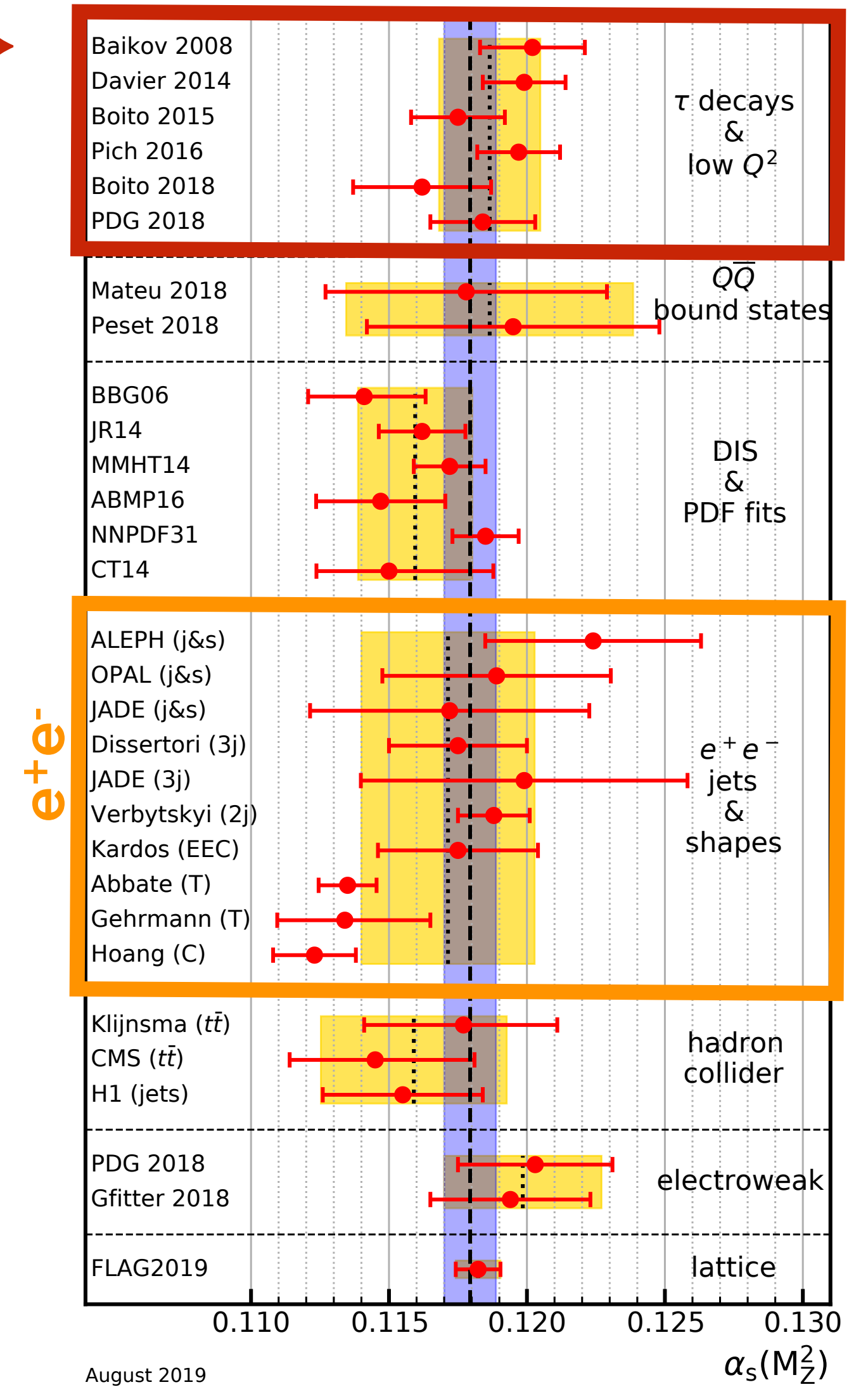
Will need to control **non-perturbative**  $(\Lambda/m_\tau)^2 \sim 1\%$  effects

Work to be done ...

(aim **beyond** current state of the art)



Recall the plot showed earlier



August 2019

Lepton PDFs and ...

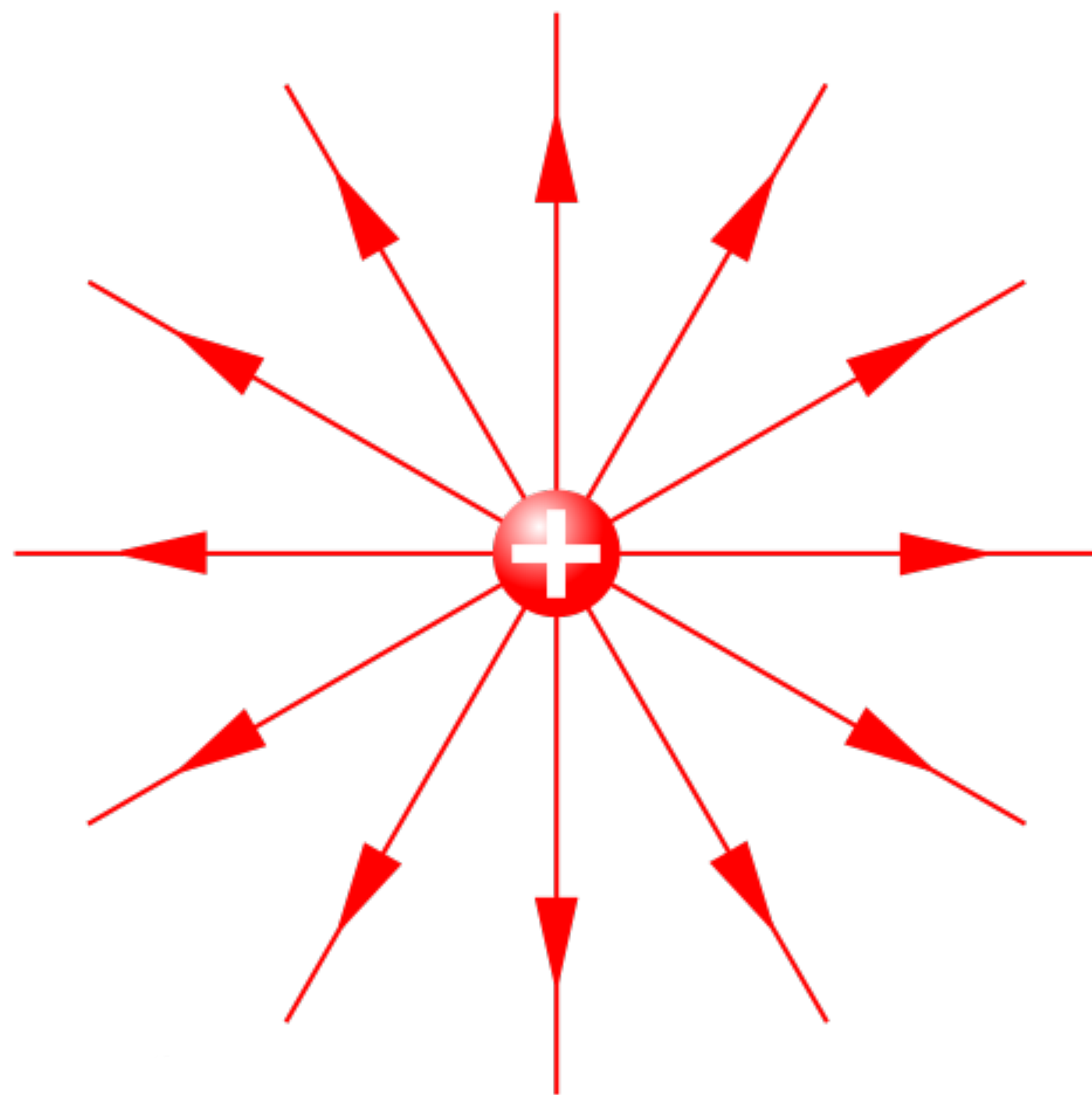
$\gamma\gamma$  Collisions



## Lecture 2: Beyond Fixed Order

To start with, consider what a charged lepton really looks like

If it is **charged**, it has a **Coulomb field**



**Weizsäcker (1934) & Williams (1935)** noted that the EM fields of an electron **in uniform relativistic motion** are predominantly **transverse**, with  $|E| \approx |B|$

Just like (a superposition of) **plane waves!**

➤ **Fast electrically charged particles** carry with them **clouds of virtual photons**

a.k.a. "the method of virtual quanta" (e.g., Jackson, *Classical Electrodynamics*) or "**the equivalent photon approximation**" (**EPA**)



Who said leptons were point-like?

Same (DGLAP) language as for hadron PDFs

But lepton PDFs can be computed perturbatively, starting from:

$$f_{e/e}(x, m_e^2) = \delta(1 - x)$$

+ differential evolution

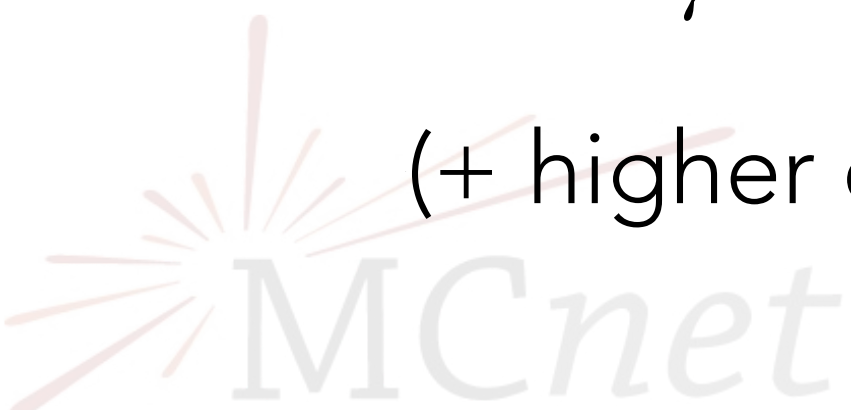
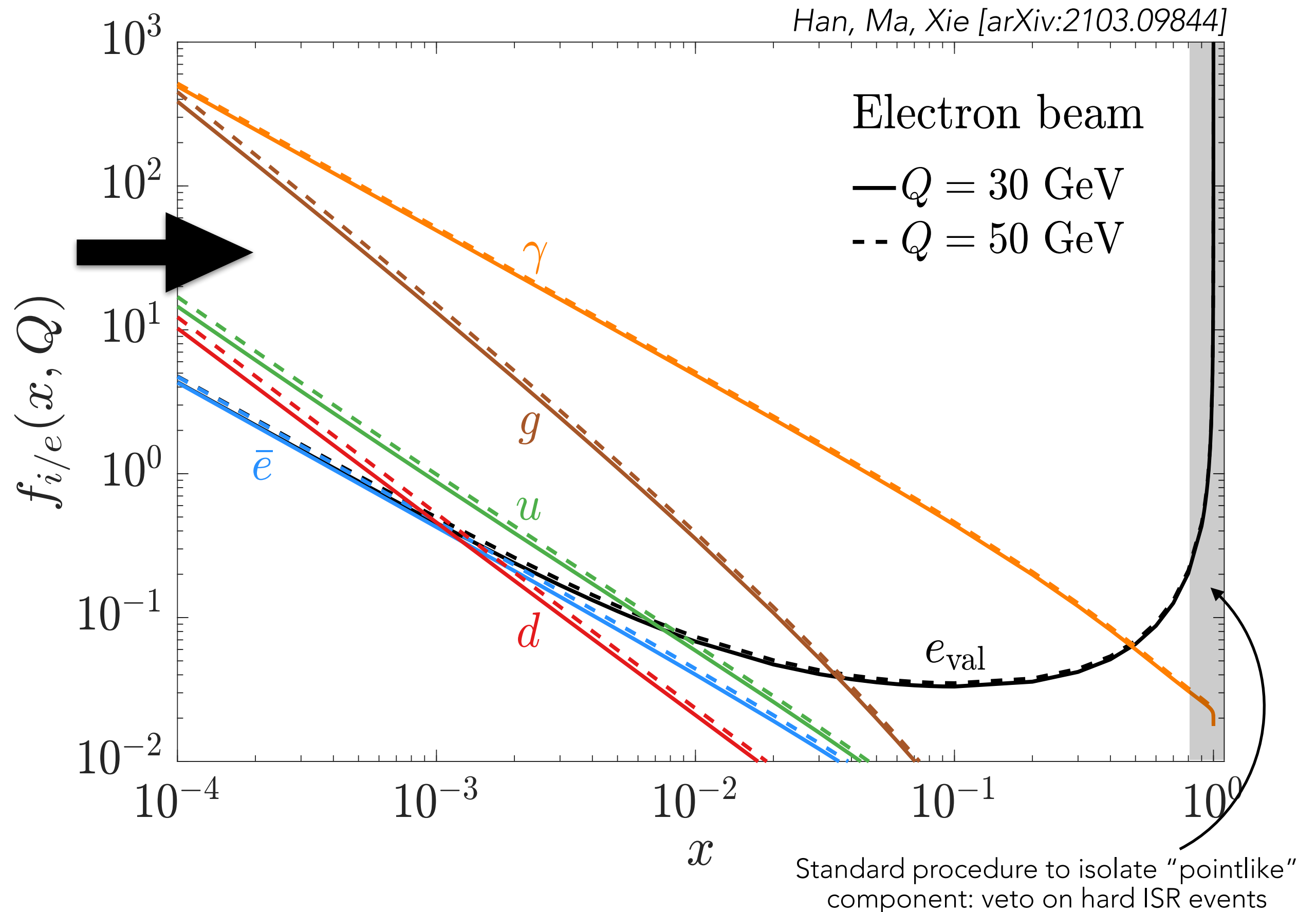
$$\frac{df_i}{d \log Q^2} = \sum_I \frac{\alpha_I}{2\pi} \sum_j P_{i,j}^I \otimes f_j,$$

with (DGLAP) kernel

$$P_{e \rightarrow e\gamma}^{\text{QED}}(z) = \frac{1+z^2}{1-z} \quad (@ \text{ LO})$$

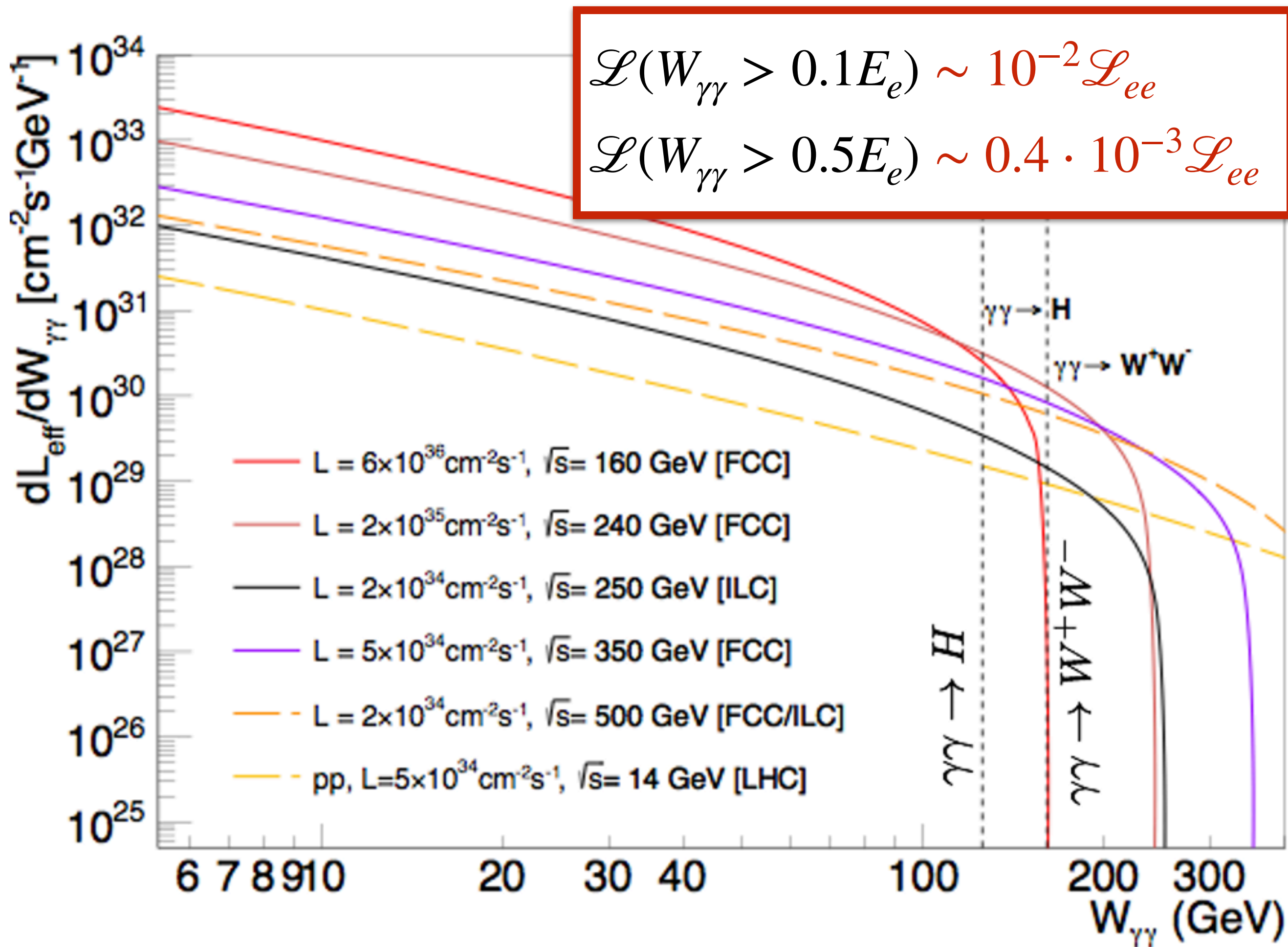
with  $E_\gamma = (1 - z)E_e$

(+ higher orders; non-QED)



# Photon-Photon Luminosities at FCC-ee, ILC (and LHC)

## Large photon luminosities for $x_\gamma \lesssim 0.1$



### $\gamma\gamma$ processes:

**Higgs:**  $100 \text{ H/ab}^{-1}$

**Can also produce**  
 $\tau\tau, WW, \gamma\gamma$

### QCD:

$\sigma(\gamma\gamma \rightarrow q\bar{q}) \propto \ln(s)$

**vs**  $\sigma(e^+e^- \rightarrow q\bar{q}) \propto 1/s$

$\gamma\gamma$  **dominant at high  $s$**   
 (despite  $10^2 - 10^3 \mathcal{L}$  penalty)

**Note:** photon has hadronic substructure of its own. Low-virtuality photon  $\sim \rho$  meson (Vector Meson Dominance)

# Reminder: **Factorisation** in High-Energy Processes

Formal separation of **short-distance interactions** from longer-distance incoming and outgoing states

Especially useful when in/out states contain **hadrons** (but applicable also to  $\ell/\gamma$ )

$$\frac{d\sigma}{dX} = \sum_{a,b} \sum_f \int_{\hat{X}_f} f_a(x_a, Q_i^2) f_b(x_b, Q_i^2) \frac{d\hat{\sigma}_{ab \rightarrow f}(x_a, x_b, f, Q_i^2, Q_f^2)}{d\hat{X}_f} D(\hat{X}_f \rightarrow X, Q_i^2, Q_f^2)$$

**PDFs:** needed to compute  
inclusive cross sections

**PDFs**  $f_a^A(x_a, Q^2)$

~ probability to find high-scale parton  $a$  in low-scale incoming particle  $A$  (with  $E_a = xE_A$ )

**Hard Process**  
Fixed-Order QFT

**Fragmentation Functions**  $D_F^f(z, Q^2)$

~ probability for high-scale outgoing parton,  $f$ , to produce low-scale outgoing particle  $F$  (with  $E_F = zE_f$ )

**FFs:** needed to compute  
(semi-)exclusive cross sections

**Both** combine all-orders (perturbative) DGLAP resummations  
+ (for in/out-going hadrons) **non-perturbative input**

# Fragmentation Functions

Field now moving towards NNLO accuracy: 1% errors (or better)

## Same (DGLAP) evolution equations as PDFs

Current world-leading measurements done at B factories (Belle) at low  $\sqrt{s} = 11 \text{ GeV}$

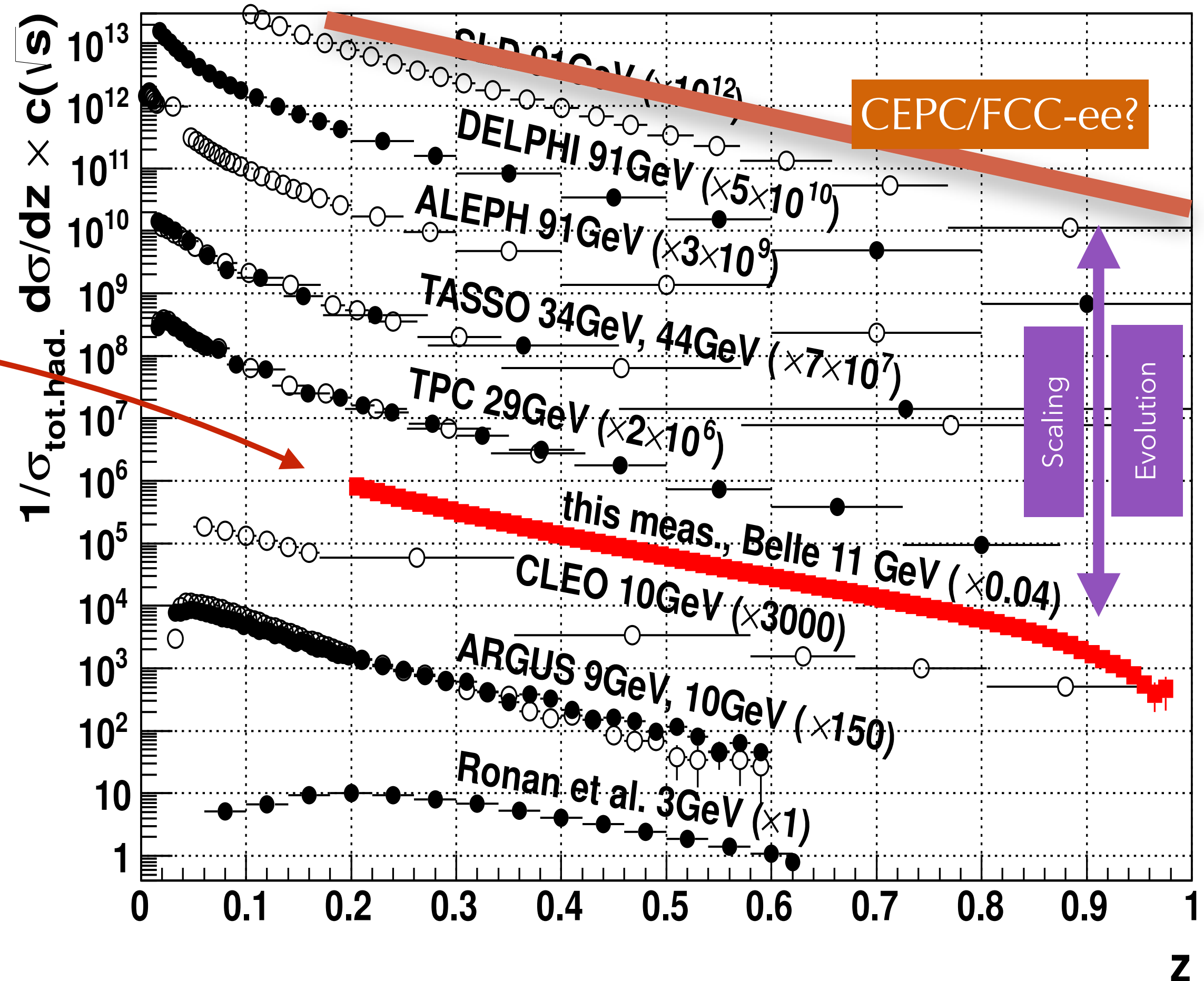
## Comparable stats at Tera-Z

One order higher in  $\sqrt{s}$   
 + 1%  $lpl$  resolution  $\rightarrow$  very fine binning all the way to  $z \sim 1$ .

## Higher $\sqrt{s} \rightarrow$ smaller mass effects at low $z$ ;

Good tracking to  $lpl \sim 400 \text{ MeV}$   
 $\rightarrow$  reach  $z \sim 0.01$  ( $\ln(z) = -4.5$ )

World Data (Sel.) for  $e^+e^- \rightarrow \pi^+ + X$  Production



# Fragmentation Functions

Field now moving towards NNLO accuracy: **1% errors** (or better)

**FFs from Belle to FCC-ee:** Precision of TH and EXP big advantage.  
Complementary to pp and ep.

(Some) Further Opportunities:

**FFs of hyperons** + other hadrons difficult to reconstruct in pp and ep

**Challenge:** Will depend on **Particle Identification** Capabilities.

**Gluon** Fragmentation Functions, **Heavy-quark** Fragmentation Functions,  
**pT dependence** in hadron + jet, **polarisation**,...

**+ Ultra-Low Z ? (Non-Relativistic Pion Limit)**

If needed, could get  $\mathcal{O}(\text{LEP})$  sample in  $\sim 1$  minute running with lower B-field

3 tracker hits down to 30-40 MeV would allow to reach  $z \sim 10^{-3}$  ( $\ln(z) = -7$ )

# Why Care?

Maybe FFs don't sound **that** exciting to you ...

Why care about pion spectra from high-energy quarks and gluons?

**Confinement remains among the most fundamental unsolved problems in physics (& mathematics)**

Clay Mathematics Institute Millennium Prize: \$1 Million

**FFs & PDFs are just the **simplest** of a class of functions that parametrise non-perturbative dynamics**

Non-perturbative functions that obey perturbative evolution eqns.

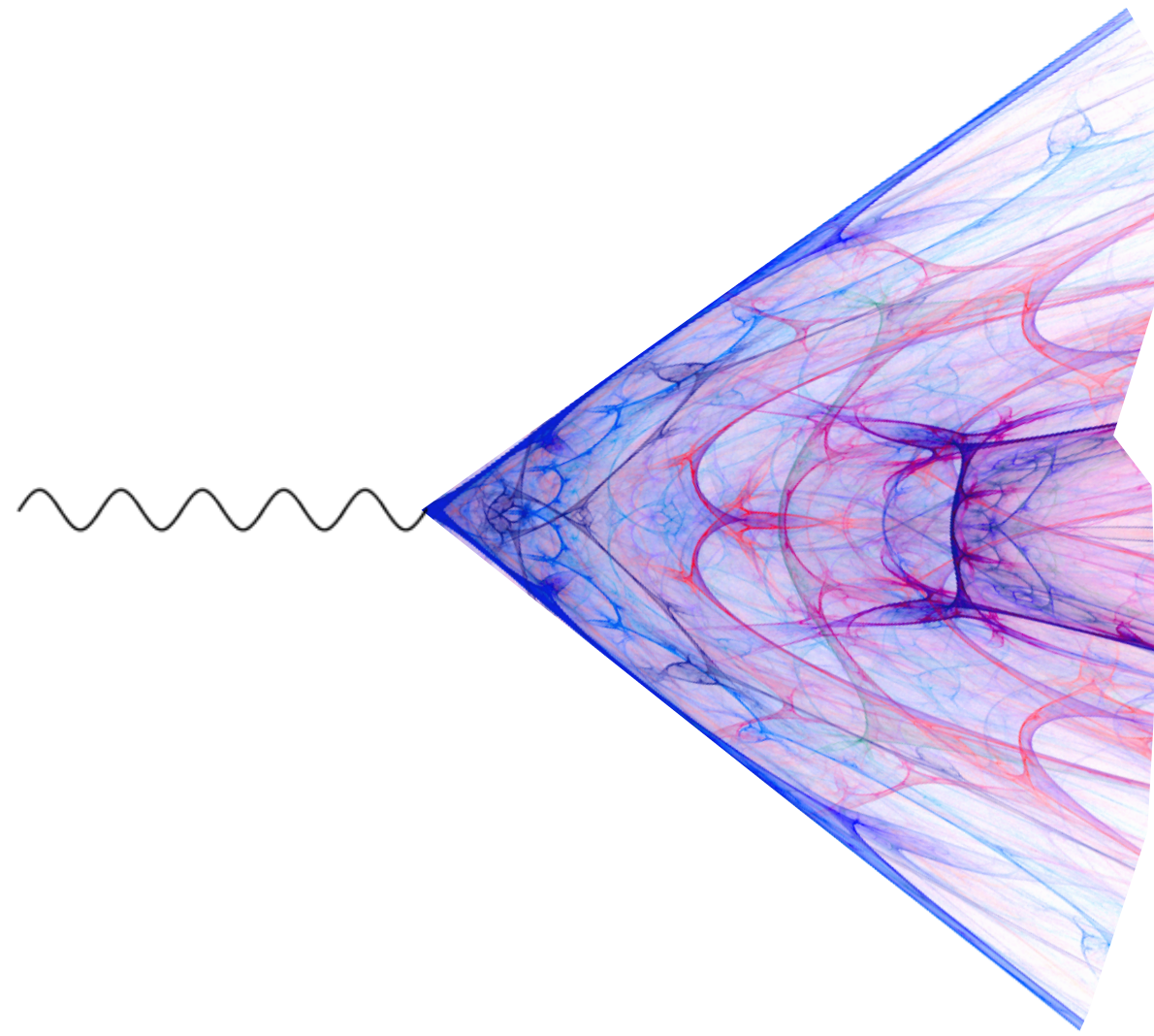
From simple 1-particle spectra to 2-, 3-, n-particle correlations (with **PID**)

(+ other IR sensitive physical observables like hadron masses, ...)

**(+ they have some uses, eg pion spectrum from DM annihilation, ...)**



# Beyond Fragmentation Functions



**Confinement in QCD remains a fundamental and unsolved problem.**

Affects **all final states with jets**: fragmentation uncertainties, colour reconnections, ...

+ interesting (stringy) physics in its own right

## What does that mean for experiments?

Relative **momentum kicks** of order  $\Lambda_{\text{QCD}} \sim \mathbf{100 \text{ MeV}}$  must be well resolved

Must be able to tell **which hadrons are which** (strangeness, baryon number, spin) ➤ **PID**

+ **good coverage** to tell how **global/local conservation laws** are acting

# Aim Beyond Current State of the Art

## Currently at LHC

Aggressive testing of LEP-era phenomenological hadronisation models

Tantalising discoveries of “collective phenomena” → new insights & **questions**

**Strangeness** enhancements and collective **flow** in “dense” environments

**A day will come when someone (claims to) have a solution**, or at least a systematically improvable approximation to the problem of confinement / hadronisation

## Program of precision QCD measurements at next lepton collider

Ultimate **trial by fire** for **any future treatment** of confinement in high-energy processes

## Bonus: high(er)-precision jet calibrations (particle flow) ?

Accurate knowledge (+ modeling) of particle composition & spectra





# The FF (Collinear Factorisation) View of **Confinement**

Consider a parton emerging from a hard scattering (or decay) process

It starts at a high factorization scale

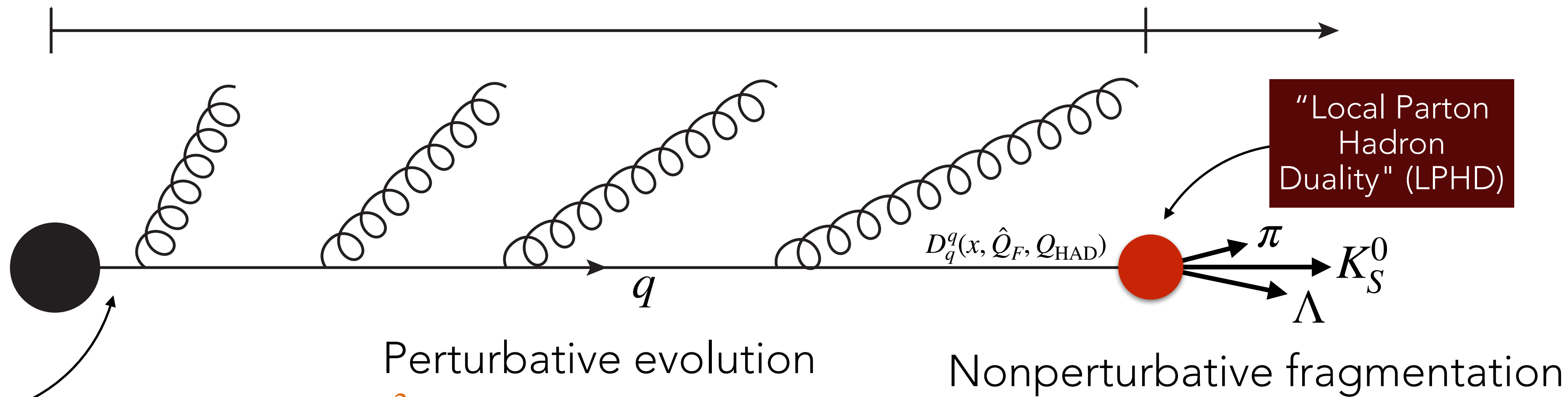
$$\hat{Q}_F = Q_{\text{hard}}$$

It showers: perturbative (DGLAP) evolution

from  $\hat{Q}_F$  to  $Q_{\text{HAD}}$

It ends up at a low effective factorization scale

$$Q_{\text{HAD}} \sim m_\rho \sim 1 \text{ GeV}$$



Initial condition: parton with  $x = 1$

$$\frac{\partial D(x, Q^2)}{\partial \ln Q^2} = \frac{\alpha_s}{2\pi} \sum_{i \in \text{QCD}} P_i(z) \otimes D(x/z, Q^2)$$

$$D_\pi^q(x, Q_{\text{HAD}}), D_K^q(x, Q_{\text{HAD}}), \dots$$

$$\text{Perturbative} \otimes \text{Non-perturbative: } D_\pi^q(x, \hat{Q}_F^2), D_K^q(x, \hat{Q}_F^2), \dots$$

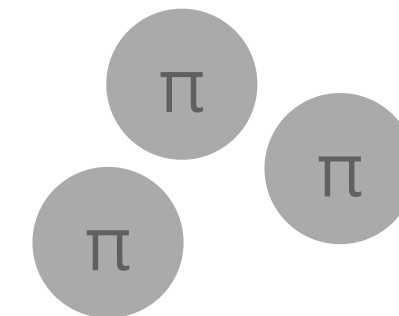
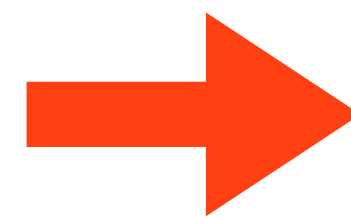
# Do that for all partons in an event → Physical Model?

## → Early models: “Independent Fragmentation”

**LPHD** can give useful results for semi-inclusive quantities like particle rates and spectra (Fragmentation Functions, within the framework of collinear factorisation)

Motivates a simple model:

“Independent Fragmentation”  
(e.g., Field-Feynman, ISAJET)



**But ...**

The point of fragmentation is that partons are **coloured**

Hadronisation = the process of **colour confinement**

**Independent fragmentation** of a single parton into hadrons is **unphysical**

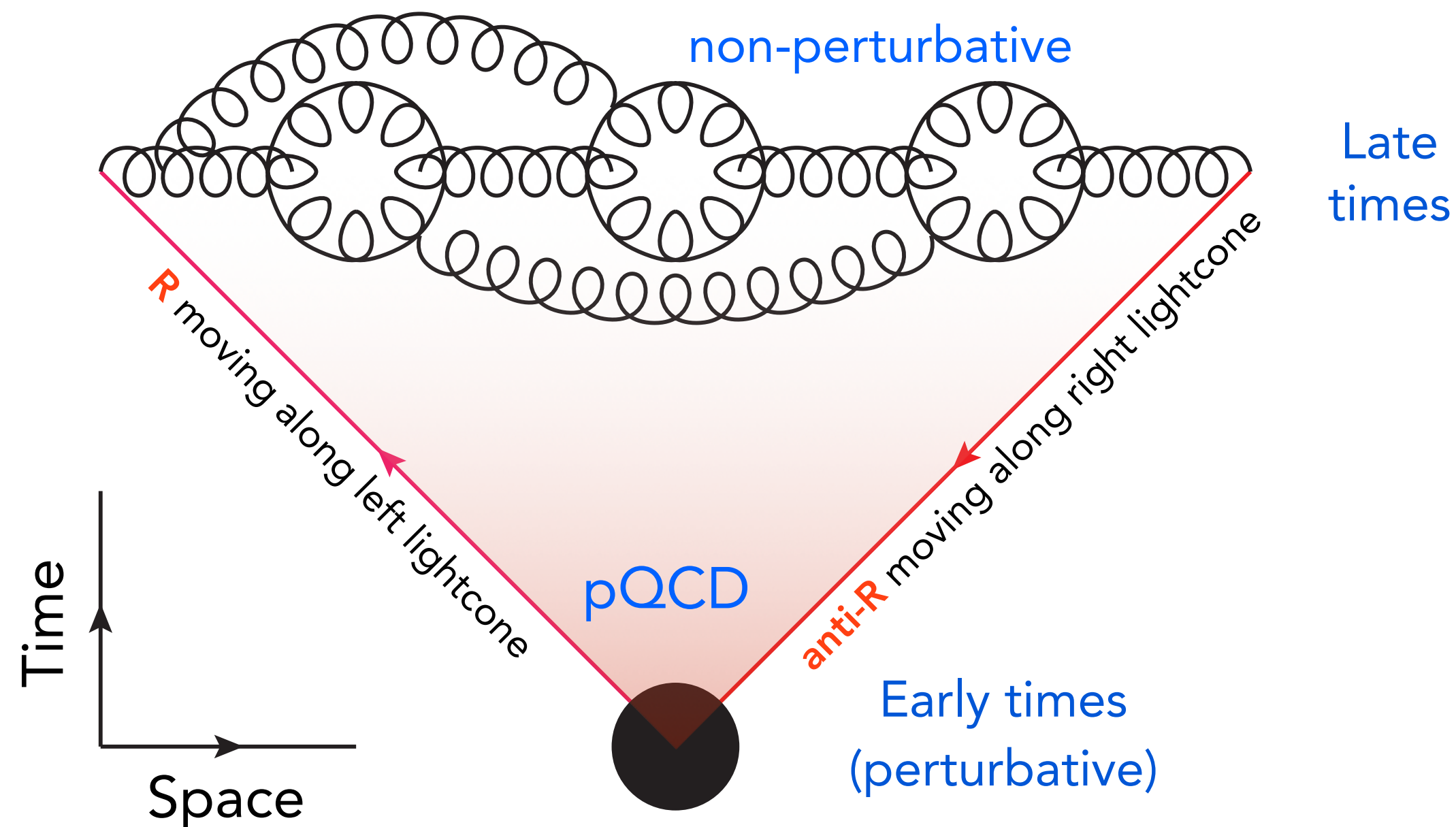
→ Too naive to see **LPHD** (inclusive) as a justification for Independent Fragmentation (exclusive) → **More physics needed**

# Colour Neutralisation

## A physical hadronization model

Should involve at least **two** partons, with opposite color charges\*

A strong **confining field** emerges between the two when their separation  $\gtrsim 1\text{fm}$

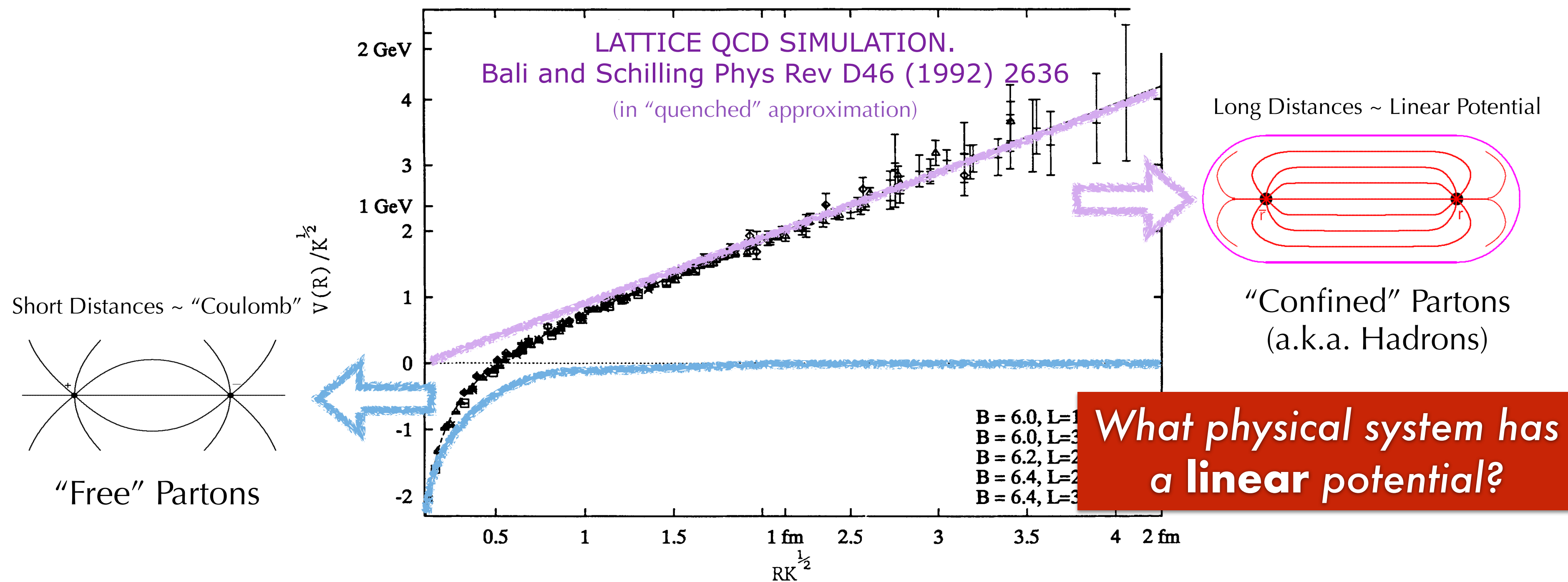


\*) Really, a colour singlet state  $\frac{1}{\sqrt{3}} (|R\bar{R}\rangle + |G\bar{G}\rangle + |B\bar{B}\rangle)$ ; **Colour flow rules** tell us which partons to pair up (at least to Leading Colour; see [arXiv:1505.01681](https://arxiv.org/abs/1505.01681))

# Linear Confinement

**Lattice QCD:** explicit computer simulations of QCD action on a 4D “lattice”

Compute potential energy of a colour-singlet  $q\bar{q}$  state, as a function of the distance,  $r$ , between the  $q$  and  $\bar{q}$

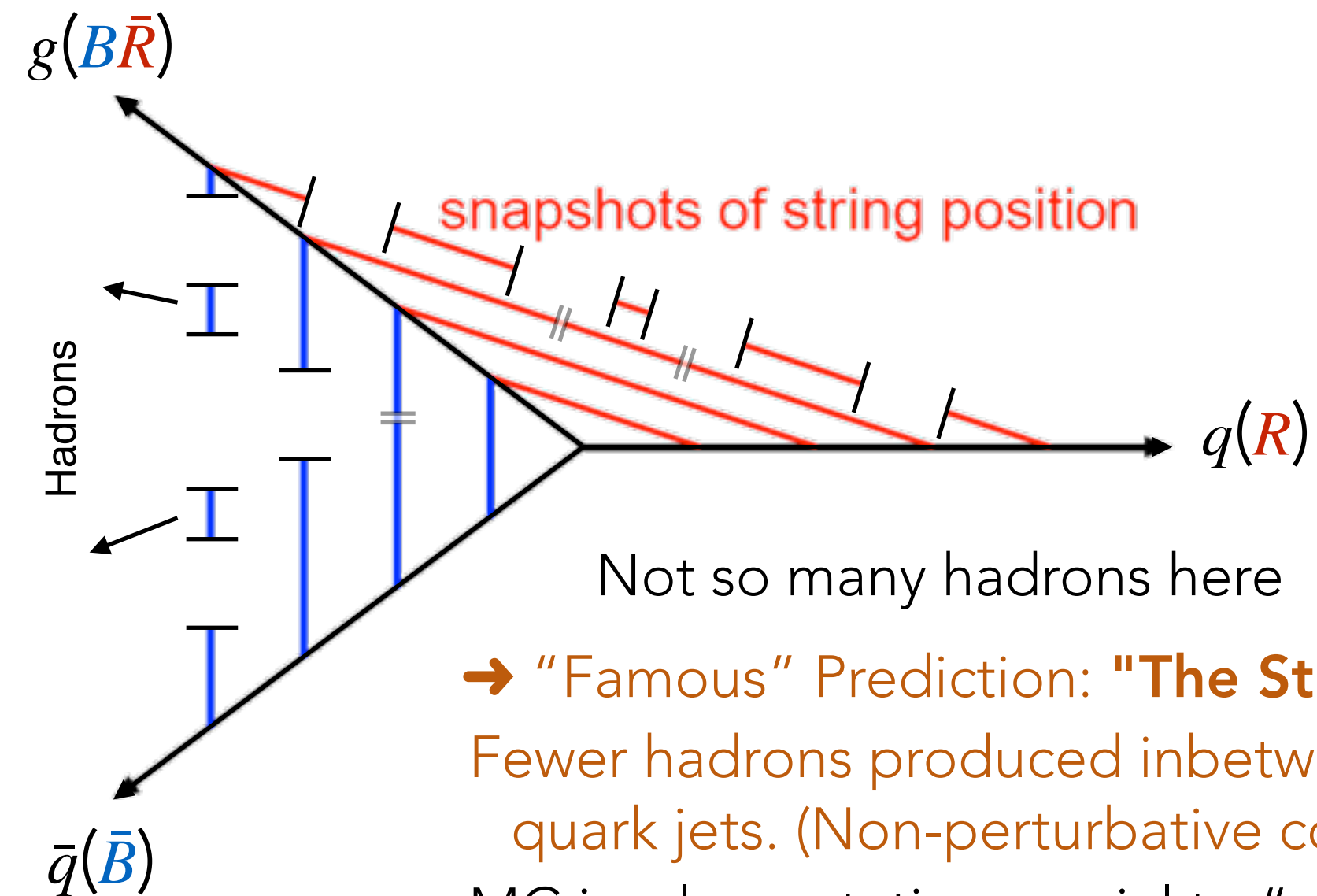


“Cornell Potential” fit:  $V(r) = -\frac{a}{r} + \kappa r$  with  $\kappa \sim 1 \text{ GeV/fm}$  ( $\rightarrow$  could lift a 16-ton truck)

# Motivates a Model

Consider a **colour-singlet**  $qg\bar{q}$  system emerging from a hard process

- **Quarks** → String Endpoints
- **Gluons** → Transverse Excitations (kinks)
- **Physics** then in terms of 1+1-dim **string "worldsheet"** evolving in spacetime
- Probability of **string break** (by quantum tunneling) constant per unit space-time area



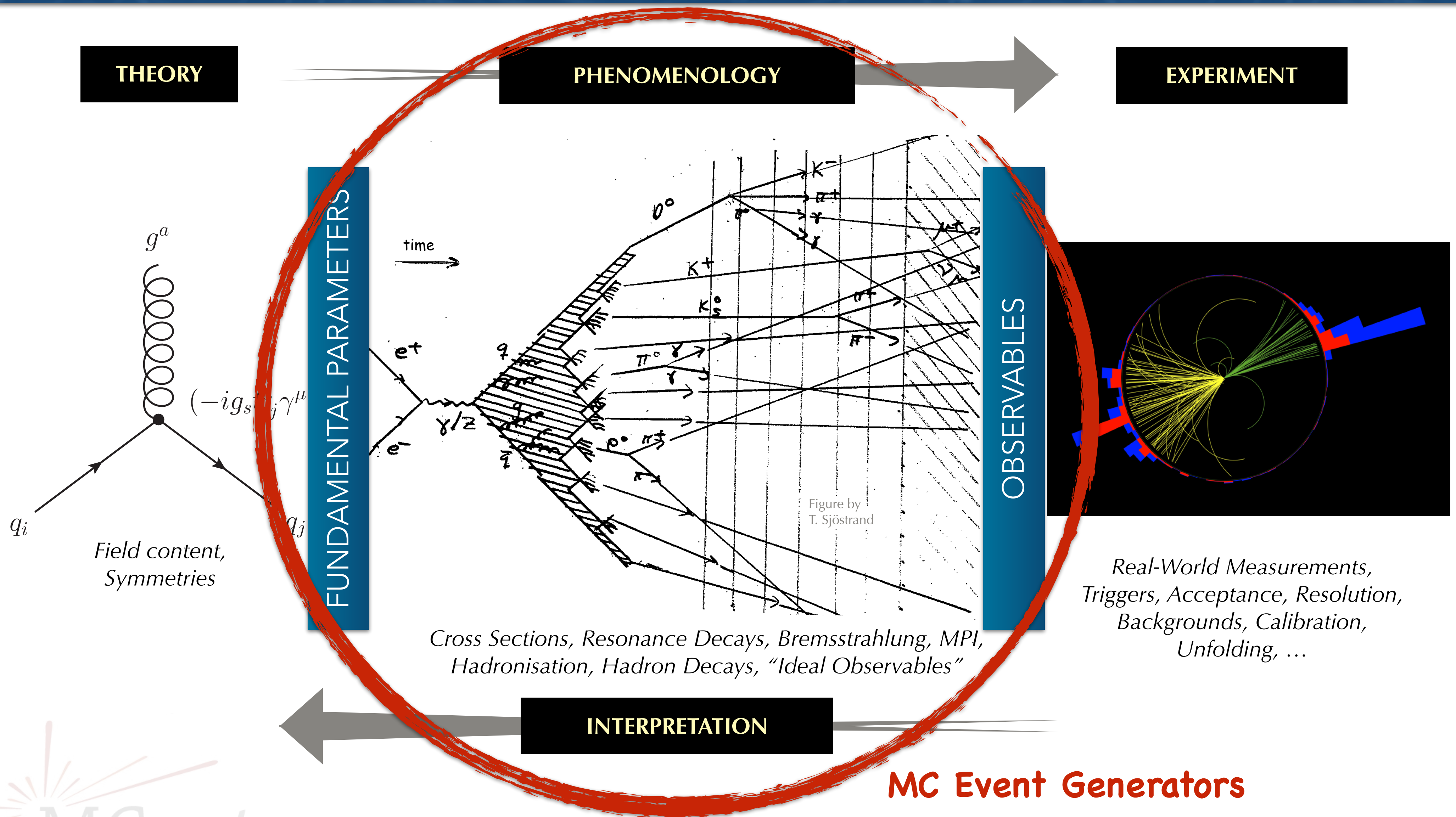
→ "Famous" Prediction: **"The String Effect"**  
Fewer hadrons produced inbetween the two quark jets. (Non-perturbative coherence.)  
MC implementation crucial to "sell" physics.  
**Confirmed by JADE in 1980** (cf slide 4)

Computer algorithms to model this process began to be developed in late 70'ies and early 80'ies

→ **Monte Carlo Event Generators**

Modern MC **hadronization models**: PYTHIA (string), HERWIG (cluster), SHERPA (cluster)

# The Role of MC Generators



# Simulating QCD Dynamics

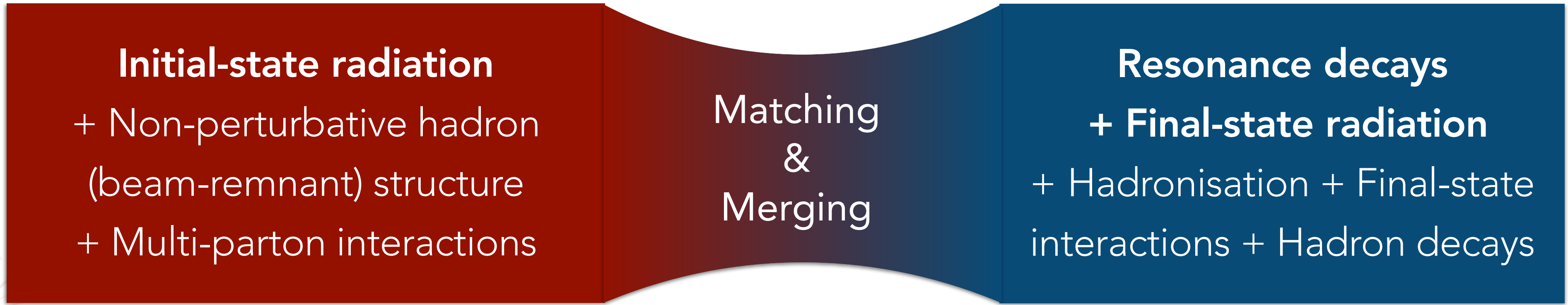
Recall formal separation of **short-distance interactions** from longer-distance incoming and outgoing states

Especially useful when in/out states contain **hadrons** (but applicable also to  $\ell/\gamma$ )

$$\frac{d\sigma}{dX} = \sum_{a,b} \sum_f \int_{\hat{X}_f} \underbrace{f_a(x_a, Q_i^2) f_b(x_b, Q_i^2)}_{\text{PDFs}} \frac{d\hat{\sigma}_{ab \rightarrow f}(x_a, x_b, f, Q_i^2, Q_f^2)}{d\hat{X}_f} \underbrace{D(\hat{X}_f \rightarrow X, Q_i^2, Q_f^2)}_{\text{FFs}}$$

Hard Process: Fixed-Order QFT

► **Dynamical Modeling** ↔ Monte Carlo Event Generators

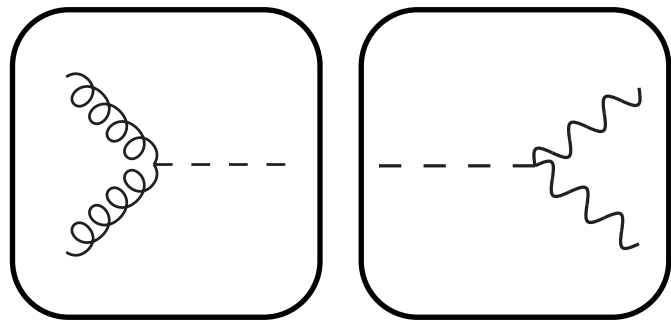


# Divide and Conquer

Iterated/Nested Factorizations → Split the problem into many ~ simple pieces

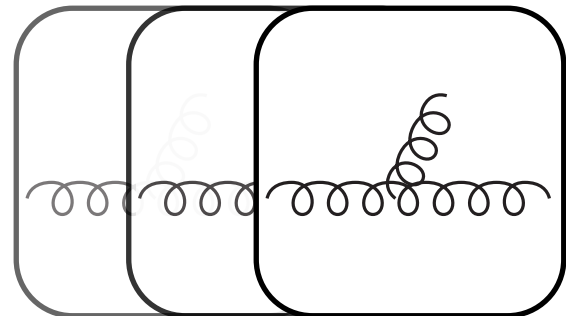
$$\mathcal{P}_{\text{event}} = \underbrace{\mathcal{P}_{\text{hard}} \otimes \mathcal{P}_{\text{dec}} \otimes \mathcal{P}_{\text{ISR}} \otimes \mathcal{P}_{\text{FSR}} \otimes \mathcal{P}_{\text{MPI}} \otimes \mathcal{P}_{\text{Had}} \otimes \dots}$$

Quantum mechanics → **Probabilities** → Make Random Choices (as in nature)  
 → Method of Choice: **Markov-Chain Monte Carlo** → "Event Generators"



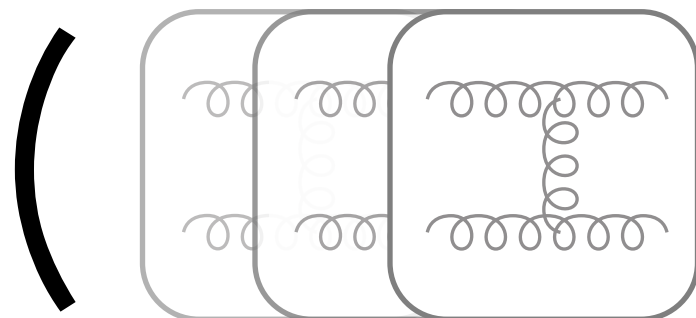
## Hard Process & Decays:

Use process-specific (N)LO matrix elements  
 → Sets "hard" resolution scale for process:  $Q_{\text{MAX}}$



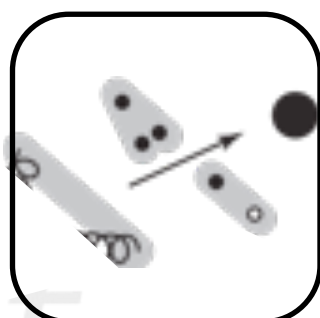
## ISR & FSR (Initial & Final-State Radiation):

Universal DGLAP equations → differential evolution,  $dP/dQ^2$ , as function of resolution scale; run from  $Q_{\text{MAX}}$  to  $Q_{\text{Confinement}} \sim 1 \text{ GeV}$



## MPI (Multi-Parton Interactions)

Additional (soft) parton-parton interactions: LO matrix elements  
 → Additional (soft) "Underlying-Event" activity (Not the topic for today)



## Hadronization

Non-perturbative model of color-singlet parton systems → hadrons





# Perturbative Calculations for EE – MC Generators

(Slide adapted from A. Hoang's talk at 2020 International Workshop on the High Energy CEPC, Shanghai)

Multi-purpose MC generators (Herwig, Pythia, Sherpa, Whizard) can simulate **all aspects** of particle production and decay

Well developed machinery from LHC with **NLO matching** as standard

Just change initial state...

+ no initial-state colour

→ less modelling of colour neutralisation needed

and pick what you need!

**Not so fast ...**

Process	$\sigma^{\text{LO}}$ [fb]	MG5_AMC $\sigma^{\text{NLO}}$ [fb]	$\sigma^{\text{LO}}$ [fb]	WHIZARD $\sigma^{\text{NLO}}$ [fb]	$K$
$e^+e^- \rightarrow jj$	622.3(5)	639.3(1)	622.73(4)	639.41(9)	1.02678
$e^+e^- \rightarrow jjj$	340.1(2)	317.3(8)	342.4(5)	318.6(7)	0.9305
$e^+e^- \rightarrow jjjj$	104.7(1)	103.7(3)	105.1(4)	103.0(6)	0.98003
$e^+e^- \rightarrow jjjjj$	22.11(6)	24.65(4)	22.80(2)	24.35(15)	1.06798
$e^+e^- \rightarrow jjjjjj$	N/A	N/A	3.62(2)	0.0(0)	0.0
$e^+e^- \rightarrow b\bar{b}$	92.37(6)	94.89(1)	92.32(1)	94.78(7)	1.02664
$e^+e^- \rightarrow b\bar{b}b\bar{b}$	$1.644(3) \cdot 10^{-1}$	$3.60(1) \cdot 10^{-1}$	$1.64(2) \cdot 10^{-1}$	$3.67(4) \cdot 10^{-1}$	2.2378
$e^+e^- \rightarrow t\bar{t}$	166.2(2)	174.5(3)	166.4(1)	174.53(6)	1.04886
$e^+e^- \rightarrow t\bar{t}j$	48.13(5)	53.36(1)	48.3(2)	53.25(6)	1.10248
$e^+e^- \rightarrow t\bar{t}jj$	8.614(9)	10.49(3)	8.612(8)	10.46(6)	1.21458
$e^+e^- \rightarrow t\bar{t}jjj$	1.044(2)	1.420(4)	1.040(1)	1.414(10)	1.3595
$e^+e^- \rightarrow t\bar{t}t\bar{t}$	$6.45(1) \cdot 10^{-4}$	$11.94(2) \cdot 10^{-4}$	$6.463(2) \cdot 10^{-4}$	$11.91(2) \cdot 10^{-4}$	1.8428
$e^+e^- \rightarrow t\bar{t}t\bar{t}j$	$2.719(5) \cdot 10^{-5}$	$5.264(8) \cdot 10^{-5}$	$2.722(1) \cdot 10^{-5}$	$5.250(14) \cdot 10^{-5}$	1.92873
$e^+e^- \rightarrow t\bar{t}b\bar{b}$	0.1819(3)	0.292(1)	0.186(1)	0.293(2)	1.57527
$e^+e^- \rightarrow t\bar{t}H$	2.018(3)	1.909(3)	2.022(3)	1.912(3)	0.9456
$e^+e^- \rightarrow t\bar{t}Hj$	$0.2533(3) \cdot 10^{-0}$	$0.2665(6) \cdot 10^{-0}$	0.2540(9)	0.2664(5)	1.04889
$e^+e^- \rightarrow t\bar{t}Hjj$	$2.663(4) \cdot 10^{-2}$	$3.141(9) \cdot 10^{-2}$	$2.666(4) \cdot 10^{-2}$	$3.144(9) \cdot 10^{-2}$	1.17928
$e^+e^- \rightarrow t\bar{t}\gamma$	12.7(2)	13.3(4)	12.71(4)	13.78(4)	1.08418
$e^+e^- \rightarrow t\bar{t}Z$	4.642(6)	4.95(1)	4.64(1)	4.94(1)	1.06467
$e^+e^- \rightarrow t\bar{t}Zj$	0.6059(6)	0.6917(24)	0.610(4)	0.6927(14)	1.13565
$e^+e^- \rightarrow t\bar{t}Zjj$	$6.251(28) \cdot 10^{-2}$	$8.181(21) \cdot 10^{-2}$	$6.233(8) \cdot 10^{-2}$	$8.201(14) \cdot 10^{-2}$	1.31573
$e^+e^- \rightarrow t\bar{t}W^\pm jj$	$2.400(4) \cdot 10^{-4}$	$3.714(8) \cdot 10^{-4}$	$2.41(1) \cdot 10^{-4}$	$3.695(9) \cdot 10^{-4}$	1.5332
$e^+e^- \rightarrow t\bar{t}\gamma\gamma$	0.383(5)	0.416(2)	0.382(3)	0.420(3)	1.09952
$e^+e^- \rightarrow t\bar{t}\gamma Z$	0.2212(3)	0.2364(6)	0.220(1)	0.240(2)	1.09094
$e^+e^- \rightarrow t\bar{t}\gamma H$	$9.75(1) \cdot 10^{-2}$	$9.42(3) \cdot 10^{-2}$	$9.748(6) \cdot 10^{-2}$	$9.58(7) \cdot 10^{-2}$	0.98277
$e^+e^- \rightarrow t\bar{t}ZZ$	$3.788(4) \cdot 10^{-2}$	$4.00(1) \cdot 10^{-2}$	$3.756(4) \cdot 10^{-2}$	$4.005(2) \cdot 10^{-2}$	1.0663
$e^+e^- \rightarrow t\bar{t}W^+W^-$	0.1372(3)	0.1540(6)	0.1370(4)	0.1538(4)	1.12257
$e^+e^- \rightarrow t\bar{t}HH$	$1.358(1) \cdot 10^{-2}$	$1.206(3) \cdot 10^{-2}$	$1.367(1) \cdot 10^{-2}$	$1.218(1) \cdot 10^{-2}$	0.8909
$e^+e^- \rightarrow t\bar{t}HZ$	$3.600(6) \cdot 10^{-2}$	$3.58(1) \cdot 10^{-2}$	$3.596(1) \cdot 10^{-2}$	$3.581(2) \cdot 10^{-2}$	0.9958

See also arXiv:0908.4272, arxiv:2002.06122, arXiv:2104.11141



# How precise are they?

**For hadronic Z decays**, for an observable involving a scale  $Q$ :

(e.g.,  $Q$  could be a jet- or event-shape resolution scale)

Parton showers sum all-orders "**LL**" corrections  $\propto \alpha_s^n \ln^{n+1}(Q^2/m_Z^2)$

+ For some simple inclusive observables, also "**NLL**"  $\propto \alpha_s^n \ln^n(Q^2/m_Z^2)$

(Note: showers do include further all-orders aspects, such as exact energy and momentum conservation, not accounted for in this log counting.)

**Matching to NLO matrix elements:** only corrects the **first hard radiation**, not the all-orders parton-shower dynamics.

Missing higher-order terms can **in part** be **compensated** for by MC-specific  $\alpha_s$  schemes and **tuned hadronisation parameters**.

But the presence of this ambiguity makes it **difficult** to use present-day MCs as "**precision**" **tools**.



# MC Generators ➤ Next Generation

Slide from A. Hoang (CEPC Workshop, Oct 2020)

- NLL precise parton showers with full coherence and improved models are an important step that needs to be taken (many different aspects, work already ongoing).

e.g. second order kernel

double emission

amplitude evolution (full coherence,  
non-global logs, color reconnection)

Li, Skands '16

Höche Prestel' 14, '15

Forshaw, Holguin, Plätzer '19

Gieseke, Kirchgaesser, Plätzer, Siodmok '19

Martinez, Forshaw, De Angelis, Plätzer,  
Seymour '18

New generation of MCs needed!

→ Definitely possible, community should support it more enthusiastically.

First shower models (Leading Log, Leading Colour) ~ 1980.

40 years later, now at the threshold of the next **major** breakthrough!

# Opportunities & Requirements

**Expect new generation of highly accurate MC models by 2030.**

**Standalone** fixed-order calculations probably rather limited use, e.g. for accuracy beyond NNLO.

For all other cases, expect **gold standard** → (N)NNLO calculations matched and merged with next-generation showers ⊗ post-LHC hadronisation models.

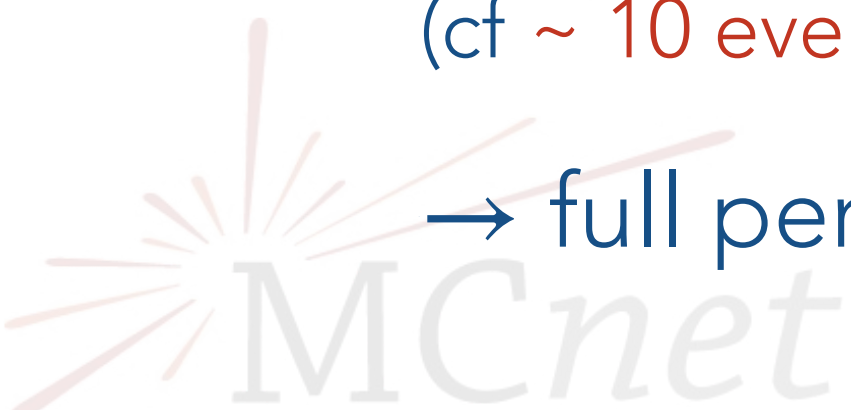
**Disentangling perturbative from non-perturbative corrections. Studies of ILC/FCC-ee/CEPC/... capabilities needed!**

Hadronisation corrections scale differently with  $\sqrt{s}$ :  $(\Lambda/Q)^n$  vs  $\ln^n(Q^2/s)$

High-precision measurements of same set of IRC-safe + sensitive observables for **several different  $\sqrt{s}$**  ? (Studies from LEP 1 vs 2 suffered from low stats off Z pole.)

Good statistics all the way from  $\sqrt{s} = 250 \text{ GeV to } 10 \text{ GeV}$  via ISR from Z pole (cf  $\sim 10 \text{ events / GeV at LEP}$ ); note coverage required for boosted events.

→ full perturbative range + can cross check with B factories @ 10 GeV



# Important to develop a battery of tests and validations

## Need benchmark observables sensitive to **subtle differences beyond LL**

Multi-parton coherence (cf eg arXiv:1402.3186)

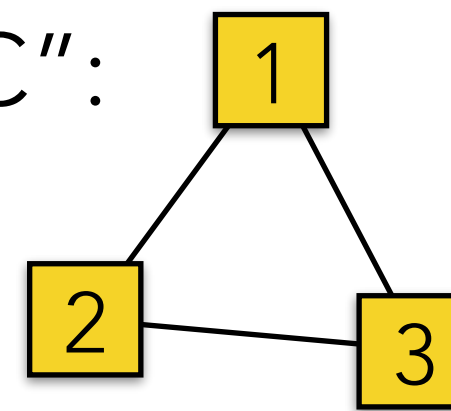
Multi-parton correlations (e.g., **triple-energy correlations** cf eg arXiv:1912.11050)

Subleading  $N_c$  ?

...

E.g.: "Equilateral EEEC":

$$\theta_{12} = \theta_{23} = \theta_{13}$$



+ "Planar EEEC"?



$$\theta_{12} = \theta_{23} = \theta_{13}/2$$

## Huge statistics → can focus on small but "clean" corners of phase space

E.g., "direct"  $n \rightarrow n + 2$  splittings that are not "strongly ordered" ?

## Requirements (?)

Excellent jet substructure resolution

Excellent jet flavour tagging (+  $Z \rightarrow 4b, 4c, 2b2c$ )

Forward coverage, to access low  $\sqrt{s} \sim 10\text{-}20$  GeV via ISR from Z pole?

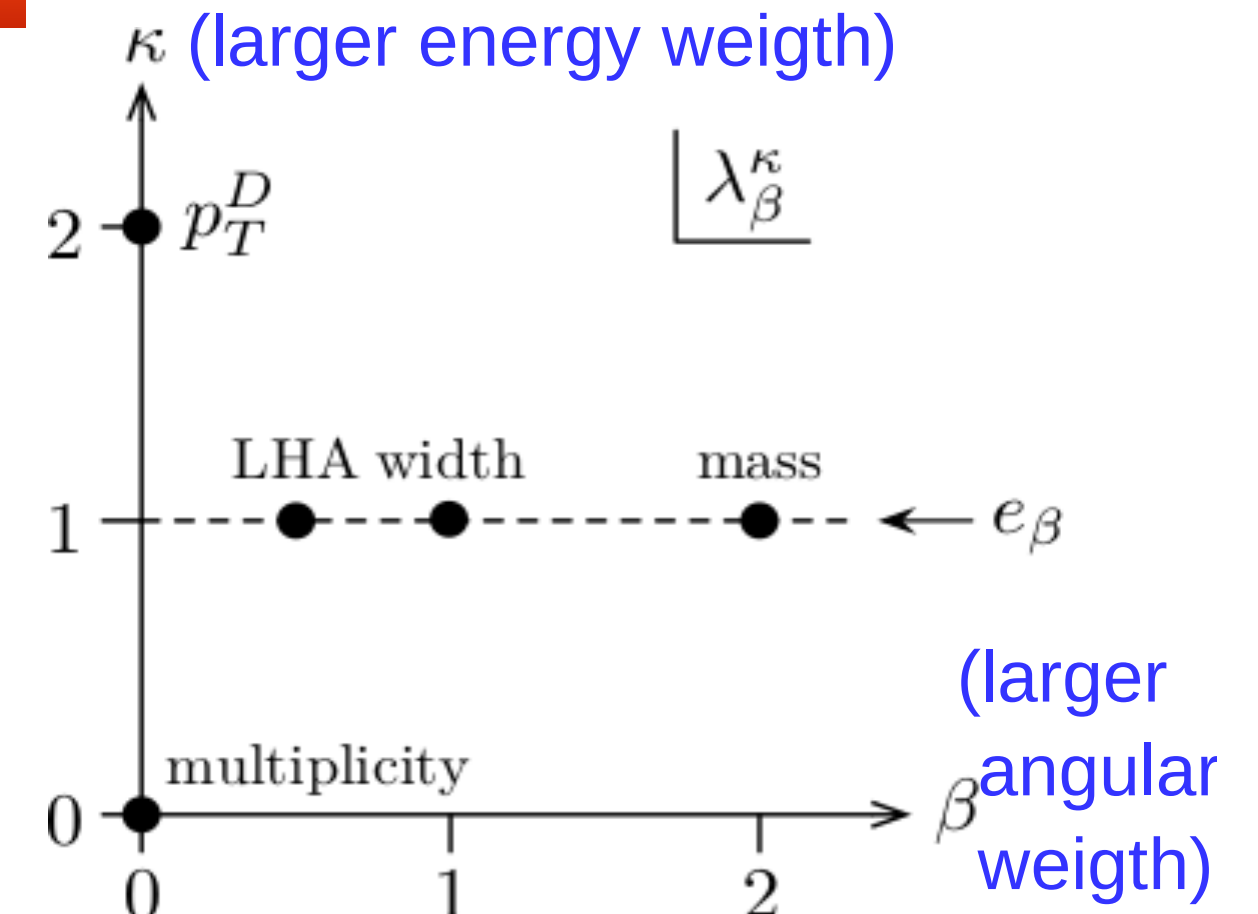
# Ongoing Conundrum – Telling Jets Apart

Slide from D. d'Enterria EPPS update 2019

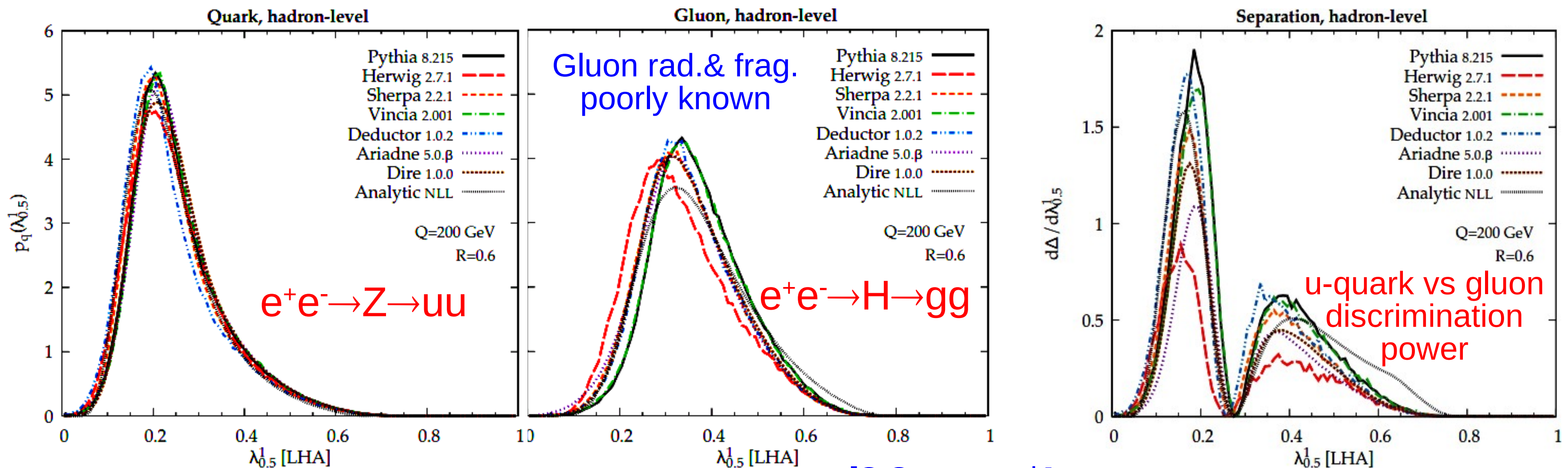
- State-of-the-art jet substructure studies based on **angularities** (normalized  $E^n \times \theta^n$  products)
- **"Sudakov"-safe** variables of **jet constituents**: multiplicity, LHA, width/broadening, mass/thrust, C-parameter,...
- **k=1: IRC-safe** computable ( $N^n\text{LO}+N^n\text{LL}$ ) via **SCET** (but uncertainties from non-pQCD effects)
- MC **parton showers** differ on **gluon** (less so quark) **radiation patterns**:

$$\lambda_{\beta}^{\kappa} = \sum_{i \in \text{jet}} z_i^{\kappa} \theta_i^{\beta},$$

(normalized  $E^n \times \theta^n$  products)



[Larkoski, Salam, Thaler, 13]  
[Larkoski, Thaler, Waalewijn, 14]

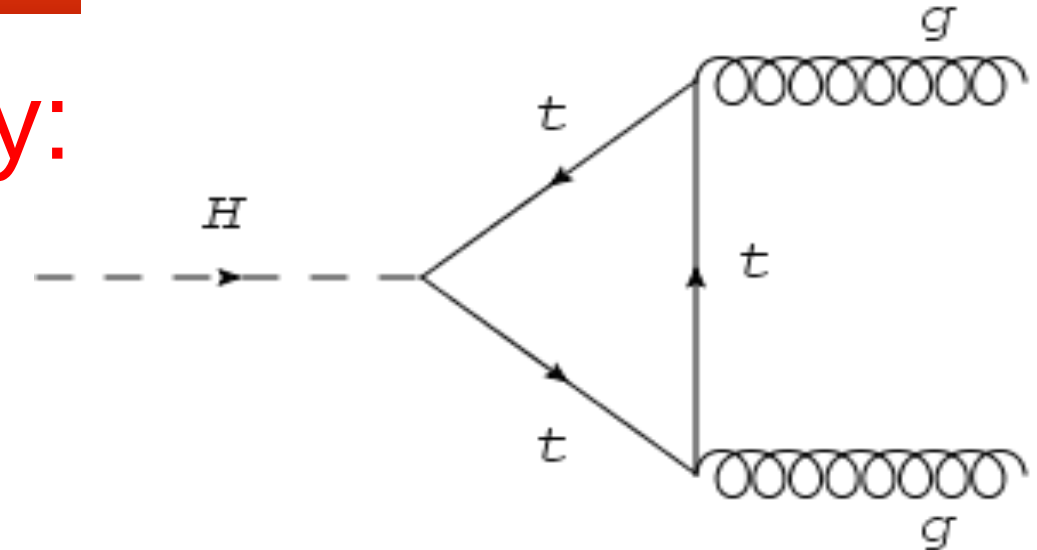


[G.Soyez et al.]

# Higgs Decays to Gluons

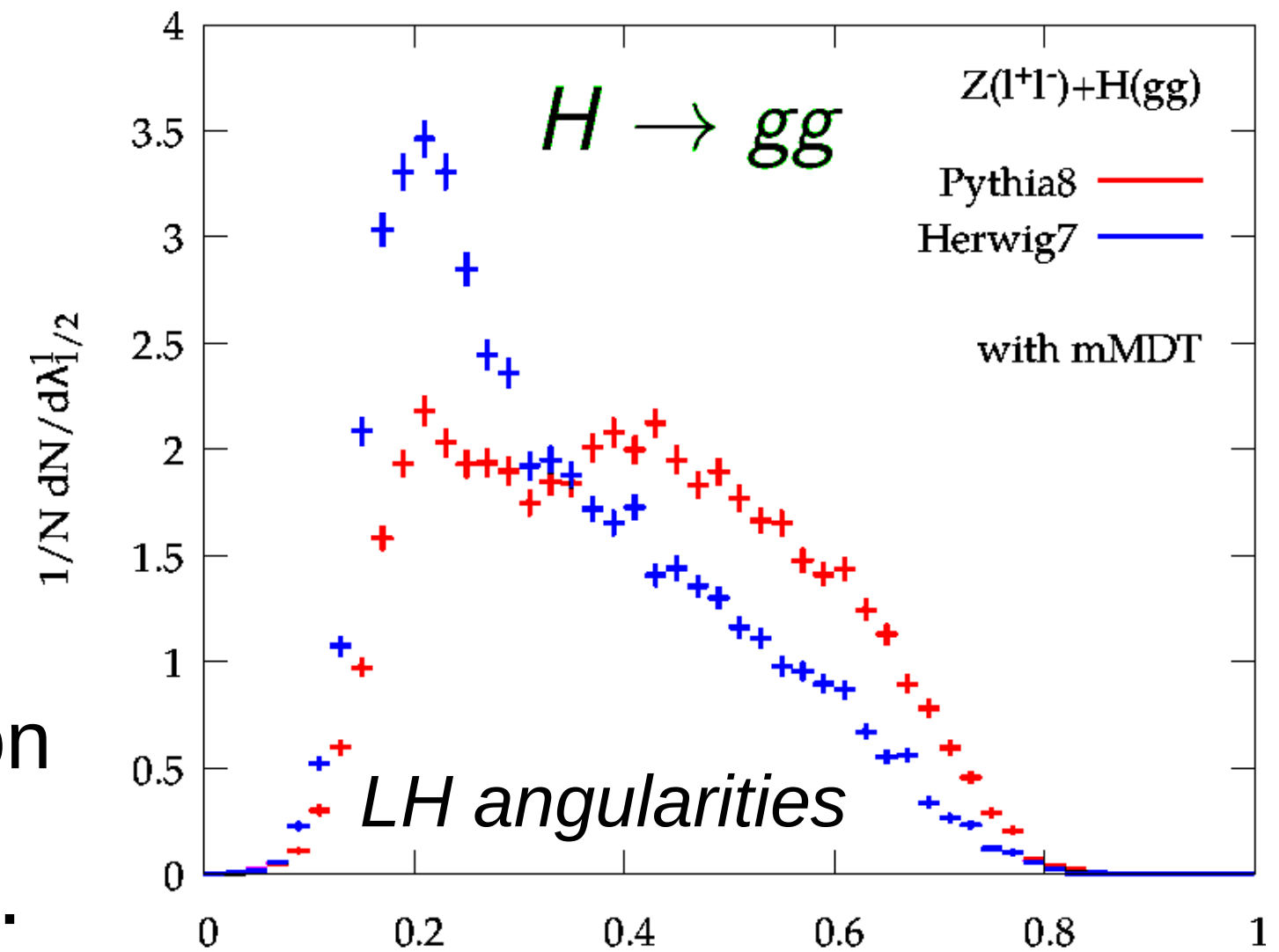
Slide from D. d'Enterria EPPS update 2019

- Exploit FCC-ee  $H(gg)$  as a "pure gluon" factory:  
 $H \rightarrow gg$  (BR~8% accurately known) provides  
 $O(100.000)$  extra-clean digluon events.



- Multiple handles to study gluon radiation & g-jet properties:

- Gluon vs. quark via  $H \rightarrow gg$  vs.  $Z \rightarrow qq$   
 (Profit from excellent g,b separation)
- Gluon vs. quark via  $Z \rightarrow bbg$  vs.  $Z \rightarrow qq(g)$   
 (g in one hemisphere recoiling against 2-b-jets in the other).
- Vary  $E_{jet}$  range via ISR:  $e^+e^- \rightarrow Z^*, \gamma^* \rightarrow jj(\gamma)$
- Vary jet radius: small-R down to calo resolution



$\lambda_{1/2}^1$  [G.Soyez et al.]

- Multiple high-precision analyses at hand:

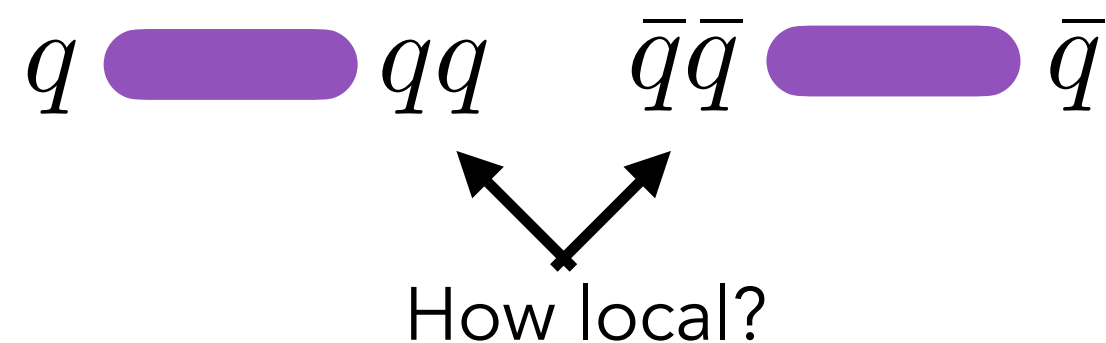
- BSM: Improve  $q/g/Q$  discrimination tools
- pQCD: Check  $N^n$ LO antenna functions. High-precision QCD coupling.
- non-pQCD: Gluon fragmentation: Octet neutralization? (zero-charge gluon jet with rap gaps). Colour reconnection? Glueballs ? Leading  $\eta$ 's, baryons?



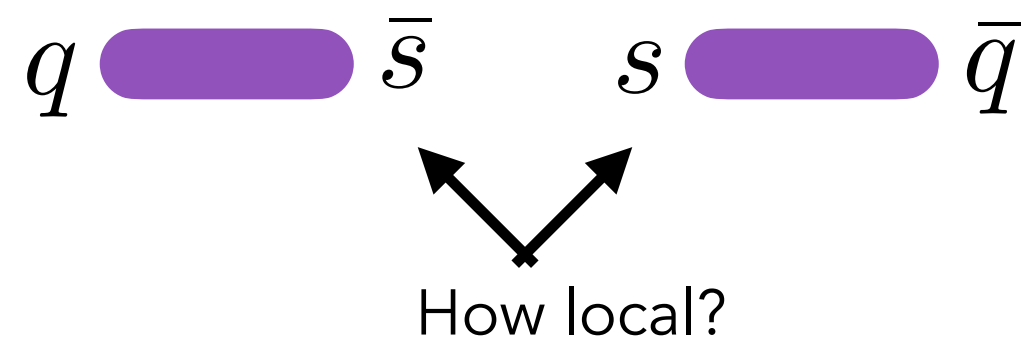
# Hadronisation - Conservation Laws

QCD **conserves** baryon number, strangeness, and momentum

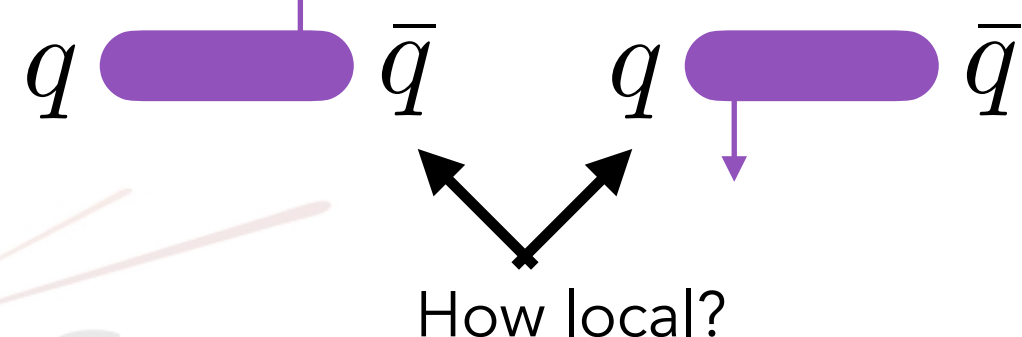
Baryon number



Strangeness



Transverse Momentum



→ **Particle Correlations**

E.g., **how far** from a baryon (or a strange particle) do you have to go before you find an anti-baryon (anti-strange)?

Must be able to tell **which hadrons are which** (strangeness, baryon number, spin) ➤ **PID**

Relative **momentum kicks** of order  $\Lambda_{\text{QCD}}$   
~ **100 MeV** must be well resolved



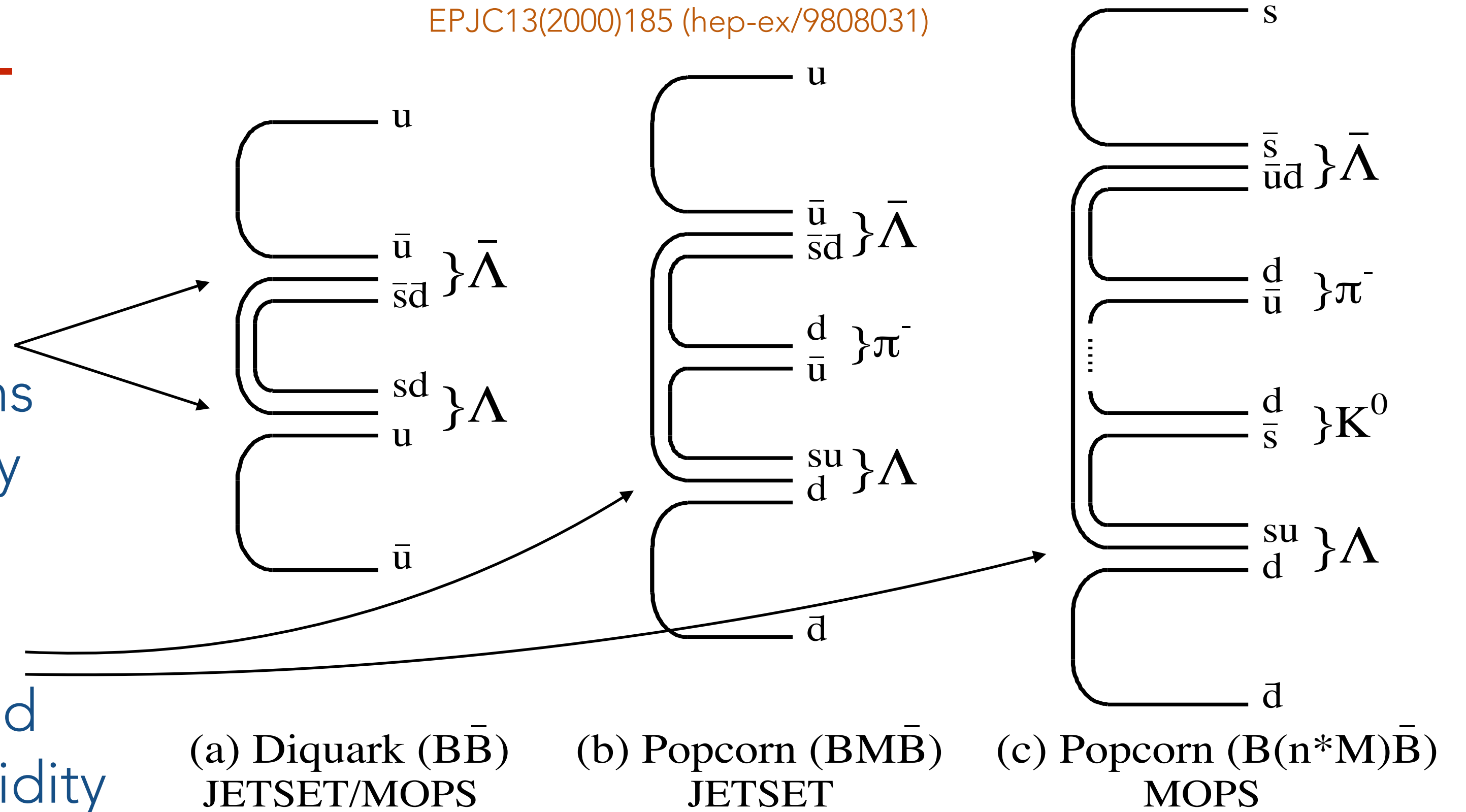
# 1. Baryon Number

Illustration from OPAL,  
EPJC13(2000)185 (hep-ex/9808031)

## Example: Baryon-Antibaryon correlations

**Diquark model:**  
strong correlations  
over short rapidity  
distances

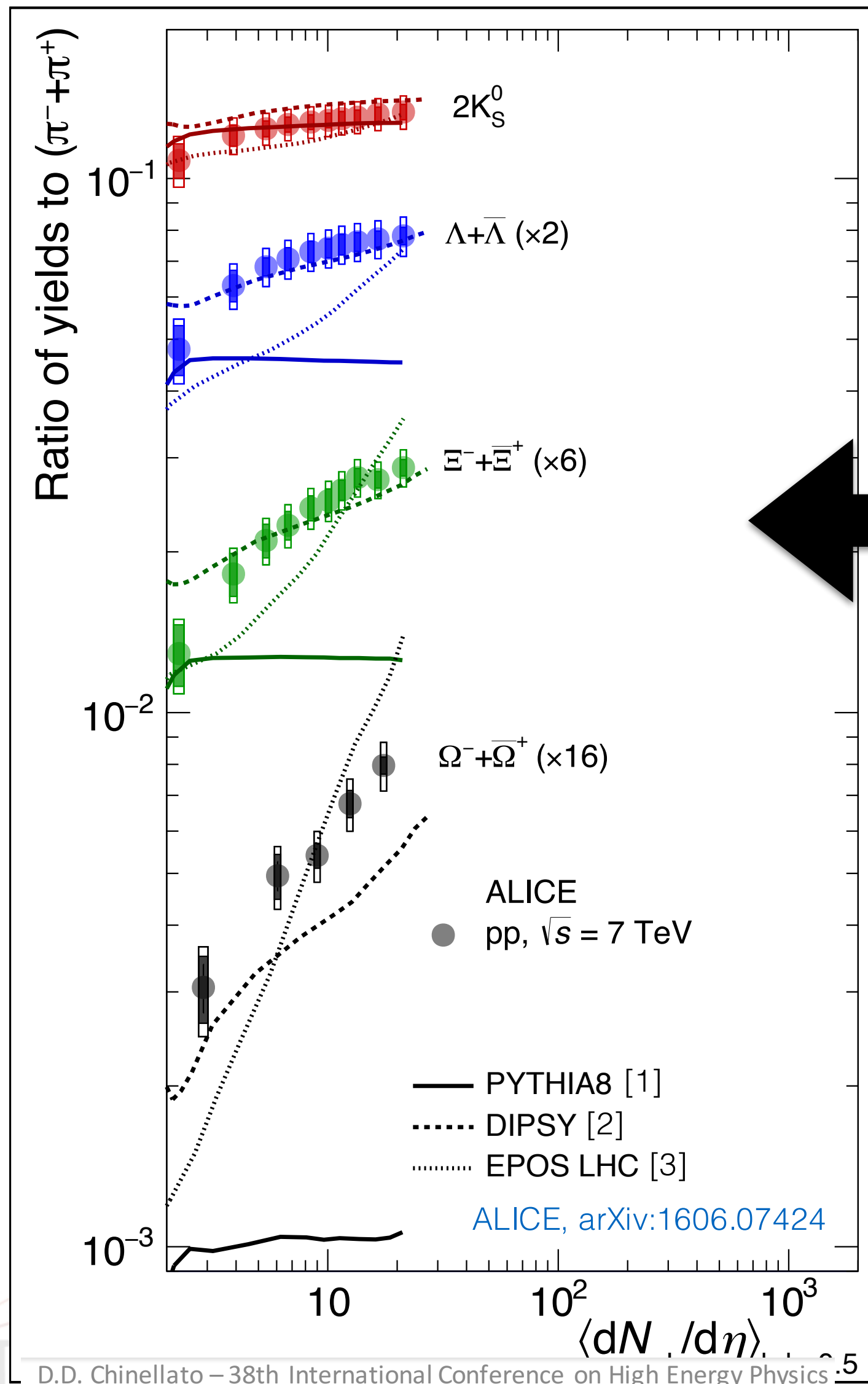
**Popcorn/MOPS:**  
more complex and  
spread-out in rapidity



Both OPAL measurements were **statistics-limited** (OPAL 1993, 1998)

Would reach OPAL systematics at **100 × LEP** (→ 1000 with better detector?)

## 2. Strangeness



**Jet Universality = jets at LHC modelled the same as jets at LEP**

- Same strangeness fractions as at LEP
- Flat lines ! (cf PYTHIA)

**Clear breakdowns of universality of parton hadronization observed at LHC !**

**Baseline vacuum e+e- studies for high-density QCD in small & large systems.**

Is the effect thermal? Or stringy? (or both?)

**Crucial tests** in  $e^+e^-$ : 2-string systems in  $WW \rightarrow q\bar{q}'q''\bar{q}'''$ , in  $Z \rightarrow qq'\bar{q}\bar{q}'$ , and in "hairpin" gluon jets ( $Z \rightarrow b\bar{b}g$  for  $x_G \sim 1$ )

Requires **good PID** + high statistics

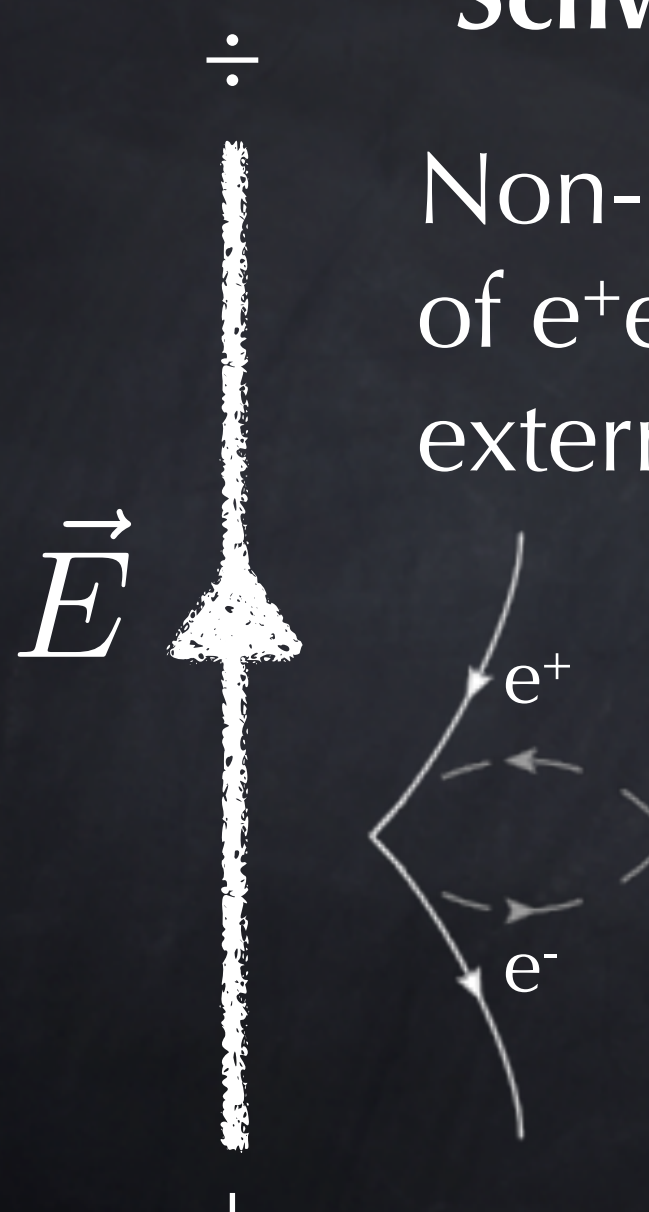
(LEP: total  $\Omega$  rate only known to  $\pm 20\%$ )

# 3. Transverse Momentum

**Schwinger (1951)** J. S. Schwinger, “On gauge invariance and vacuum polarization,” *Phys. Rev.* **82** (1951) 664–679.

Non-perturbative  $e^+e^-$  pair creation in strong external electric field

### Schwinger Effect



Non-perturbative creation of  $e^+e^-$  pairs in a strong external Electric field

Probability from Tunneling Factor

$$\mathcal{P} \propto \exp\left(\frac{-m^2 - p_{\perp}^2}{\kappa/\pi}\right)$$

( $\kappa$  is the string tension equivalent)

Several groups have found **same form for QCD** at successive levels of modeling/approximation

**Generic prediction:**

Neglecting perturbative effects, hadrons produced from a QCD string stretched between a quark and antiquark should have a universal (flavour-independent)  $p_T$  spectrum, with

$$\langle p_{\perp}^2 \rangle_{\text{meson}} \sim 2 \langle p_{\perp}^2 \rangle_{\text{quark}} \sim \frac{2\kappa}{\pi} \sim (0.35 \text{ GeV})^2$$

**So this is an interesting scale!**

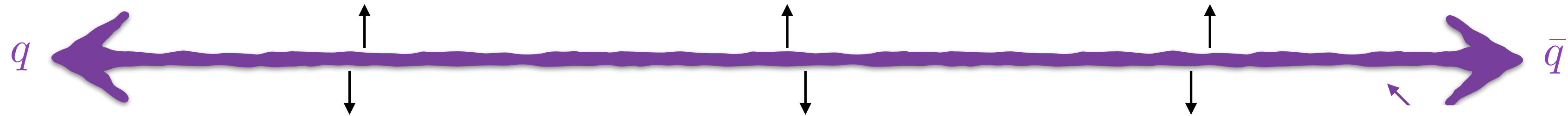
(modified by perturbative effects + hadron decays)

(Not observed experimentally yet, but may happen soon)

G. V. DUNNE, “NEW STRONG-FIELD QED EFFECTS AT ELI: NONPERTURBATIVE VACUUM PAIR PRODUCTION,” *EUR. PHYS. J.* **D55** (2009) 327–340, [0812.3163](https://doi.org/10.1007/s11464-009-0012-1).

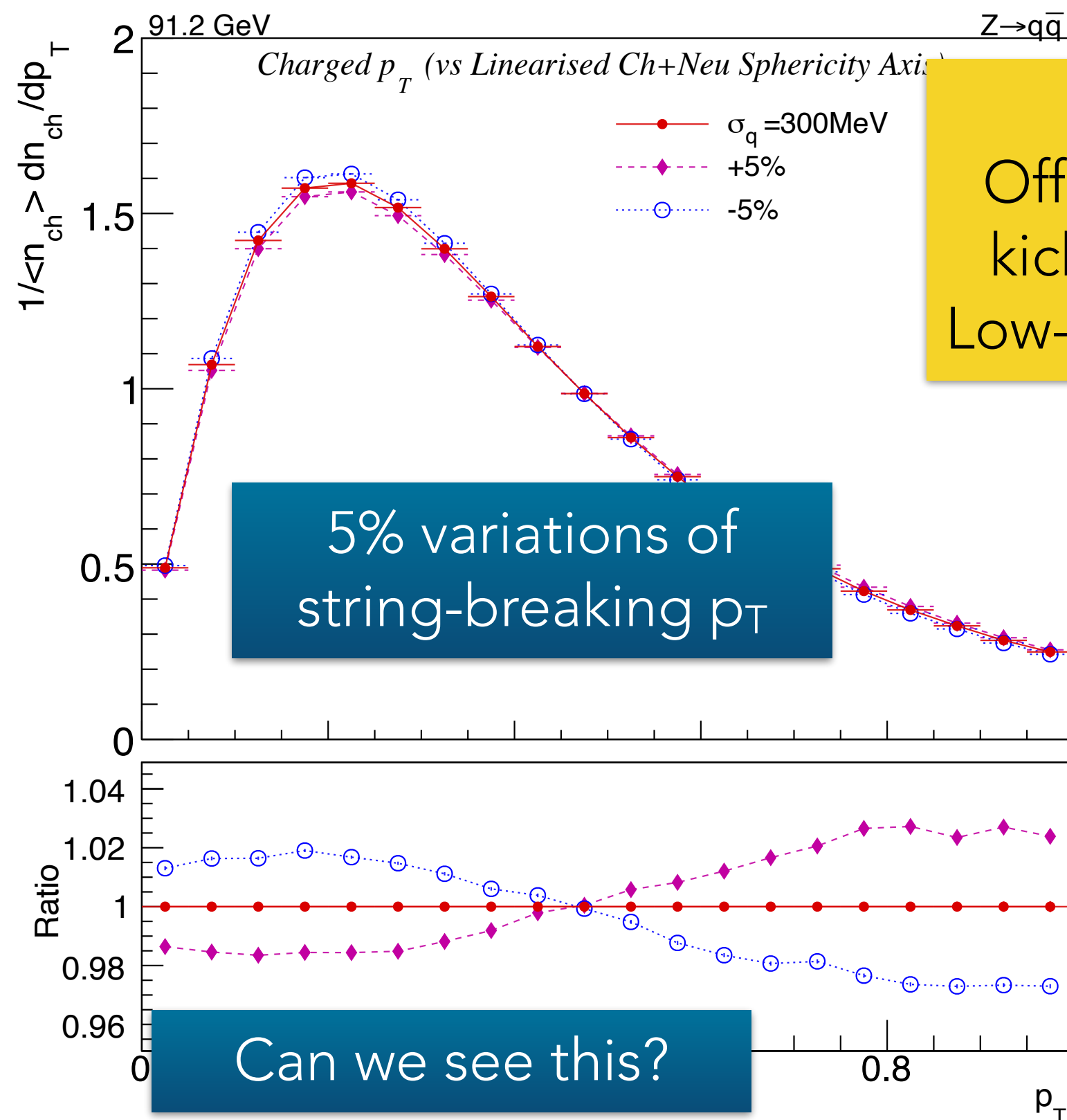
# The kick from a breaking string

Toy Example

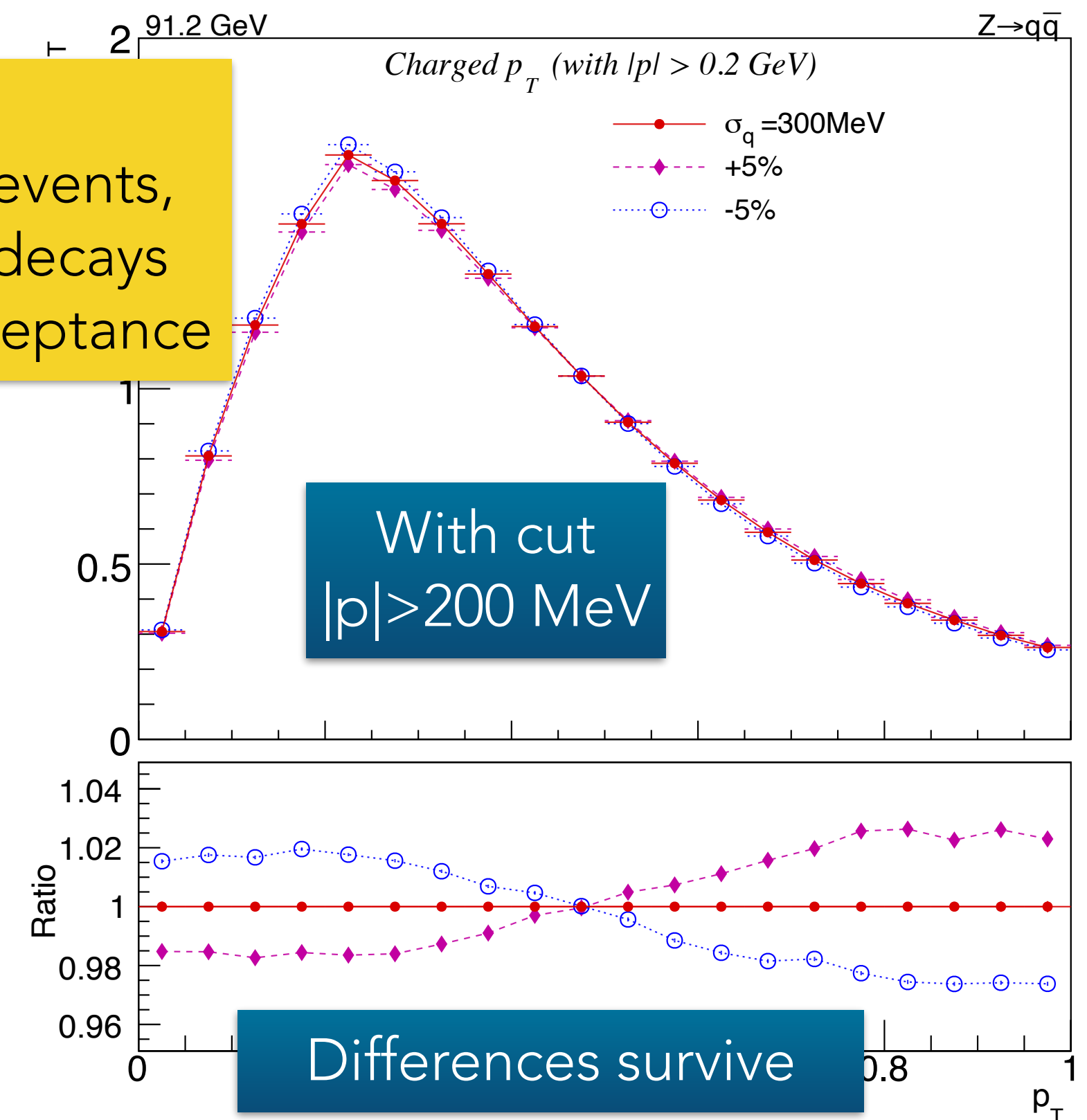


Jet axis, linearised sphericity axis, thrust axis, ...  
Clean up by vetoing 3-jet events, or using jet axes

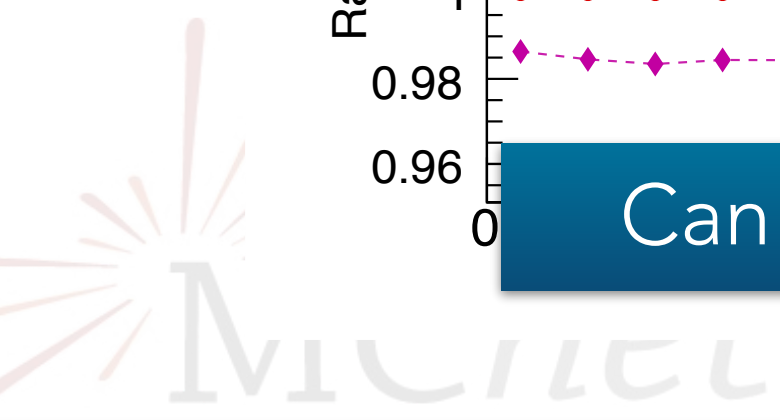
## Hadron $p_T$ spectra, transverse to dominant event axis



**Challenges:**  
Off-axis  $p_T$  in 3-jet events,  
kicks from hadron decays  
Low-momentum acceptance



Perturbatively dominated power-law tail



# Schwinger vs Hawking

## Schwinger vs Hawking?

Hawking radiation: another example of spontaneous pair creation in a strong external field. This one has a horizon  $\longleftrightarrow$  confinement?

**Schwinger Effect**

Non-perturbative creation of  $e^+e^-$  pairs in a strong external Electric field

Probability from Tunneling Factor

$$\mathcal{P} \propto \exp\left(\frac{-m^2 - p_{\perp}^2}{\kappa/\pi}\right)$$

( $\kappa$  is the string tension equivalent)

**Hawking Radiation**

Non-perturbative creation of radiation quanta in a strong gravitational field

HORIZON

Thermal (Boltzmann) Factor

$$\mathcal{P} \propto \exp\left(\frac{-E}{k_B T_H}\right)$$

Linear Energy Exponent

Some empirical success fitting thermal spectra (Tsallis fits) to particle spectra (+ some theoretical motivations)

**Mainly we just see  $\langle p_T \rangle$** ; tail to high  $p_T$  dominated by perturbative power law; need to **measure soft pions**

# Example of recent reexamination of String Basics

## Cornell potential

Potential  $V(r)$  between **static** (lattice) and/or **steady-state** (hadron spectroscopy) colour-anticolour charges:

$$V(r) = -\frac{a}{r} + \kappa r$$

Coulomb part                      String part  
Dominated for  $r \gtrsim 0.2 \text{ fm}$

Lund string model built on the asymptotic large- $r$  linear behaviour

**But intrinsically only a statement about the late-time / long-distance / steady-state situation. Deviations at early times?**

Coulomb effects in the grey area between shower and hadronization?  
**Low- $r$  slope  $> \kappa$  favours “early” production of quark-antiquark pairs?**

+ Pre-steady-state thermal effects from a (rapidly) **expanding string?**

Berges, Floerchinger, and Venugopalan JHEP 04(2018)145)

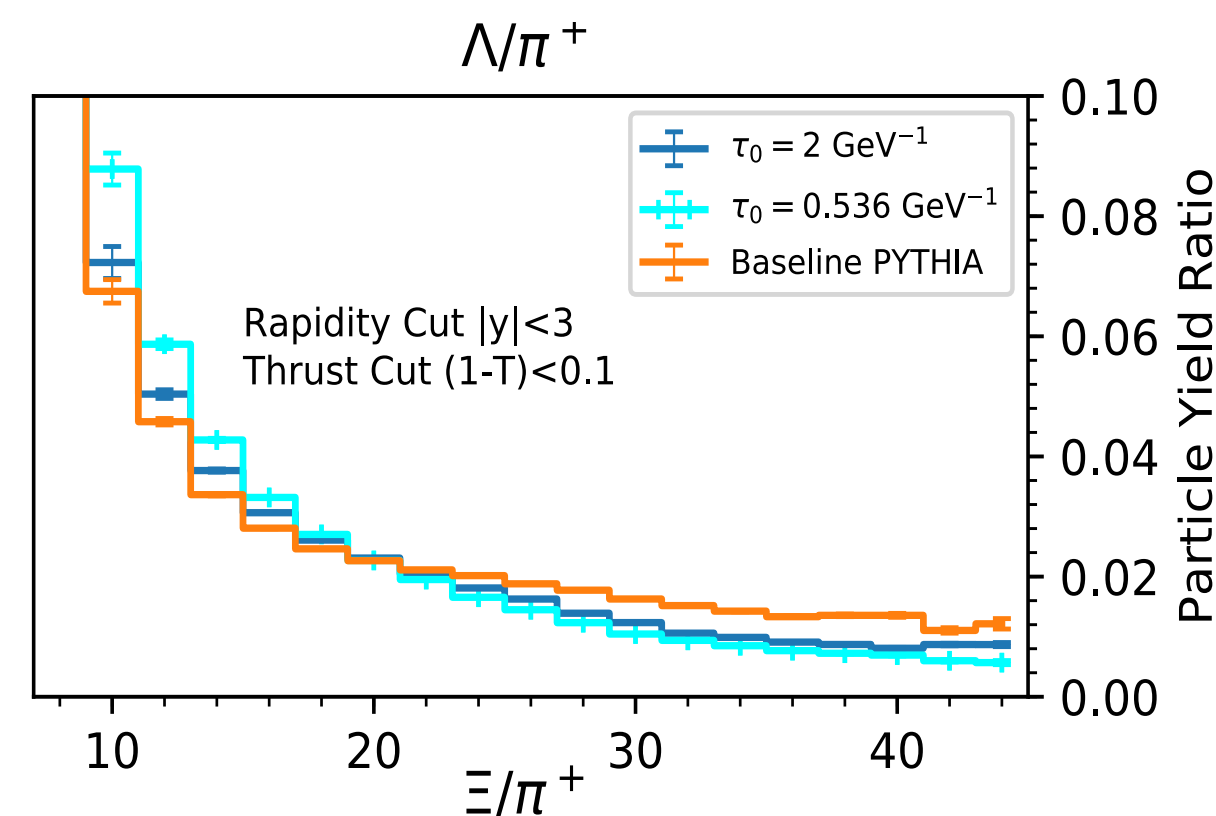
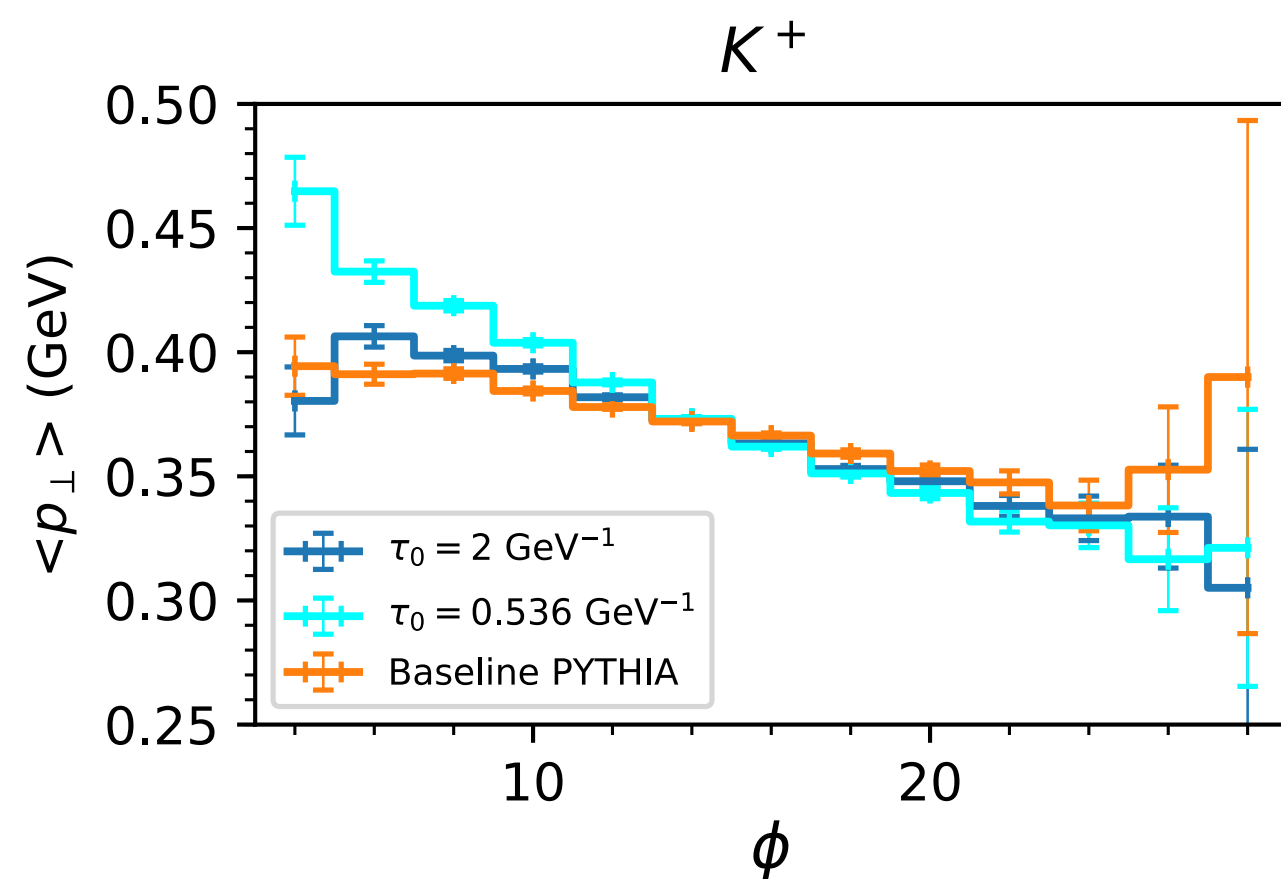
# Example of further questions: String with time-dependent "Cooldown"

N. Hunt-Smith & PS arxiv:[2005.06219](https://arxiv.org/abs/2005.06219)

## Toy model constrained to have same **average string tension**

- ▶ same average  $N_{ch}$  etc ▶ main LEP constraints basically unchanged.

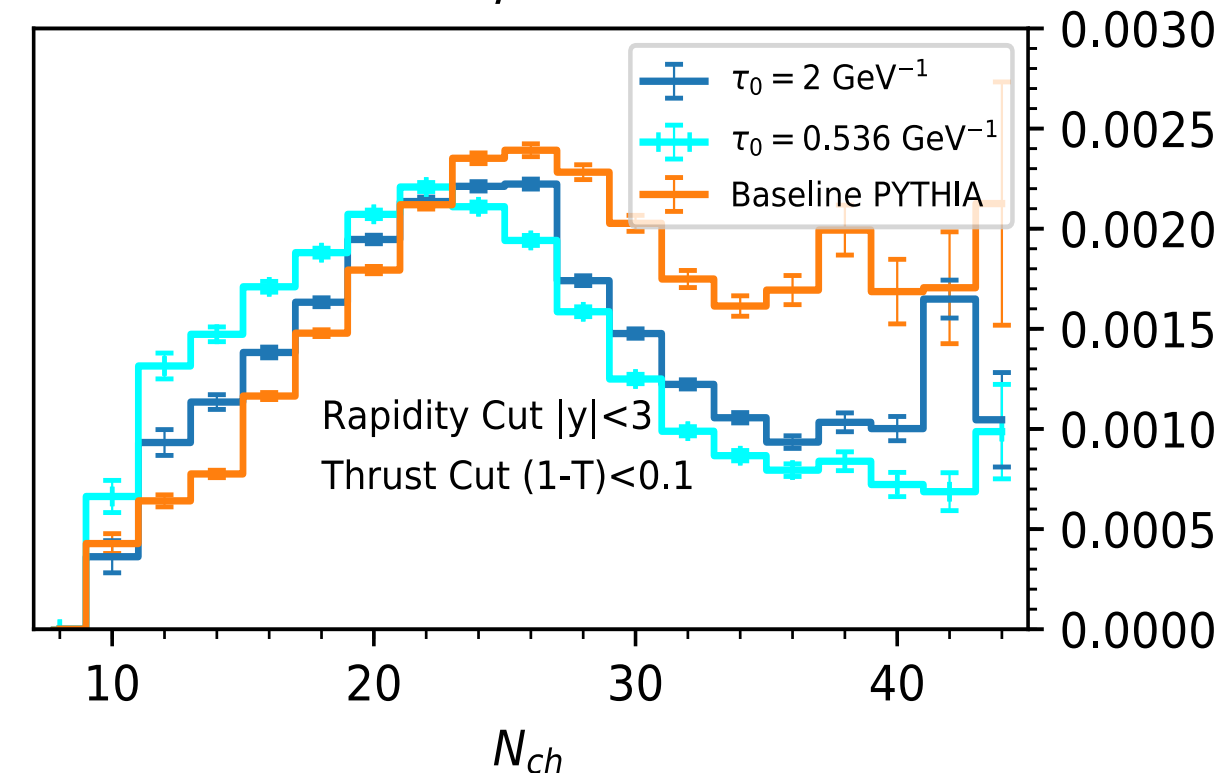
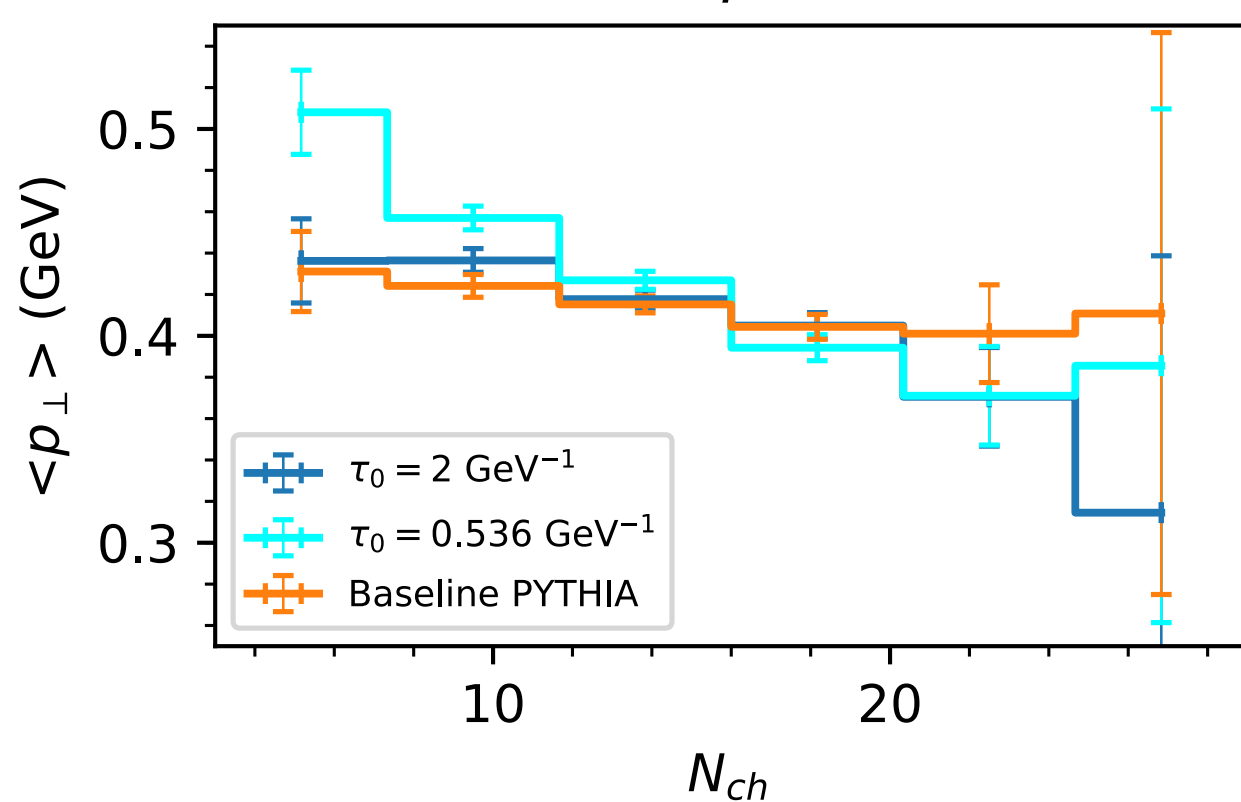
But expect different **fluctuations / correlations**, e.g. with multiplicity  $N_{ch}$ .



- ▶ Want to study (suppressed) tails with very low and very high  $N_{ch}$ .

- ▶ These plots are for LEP-like statistics.

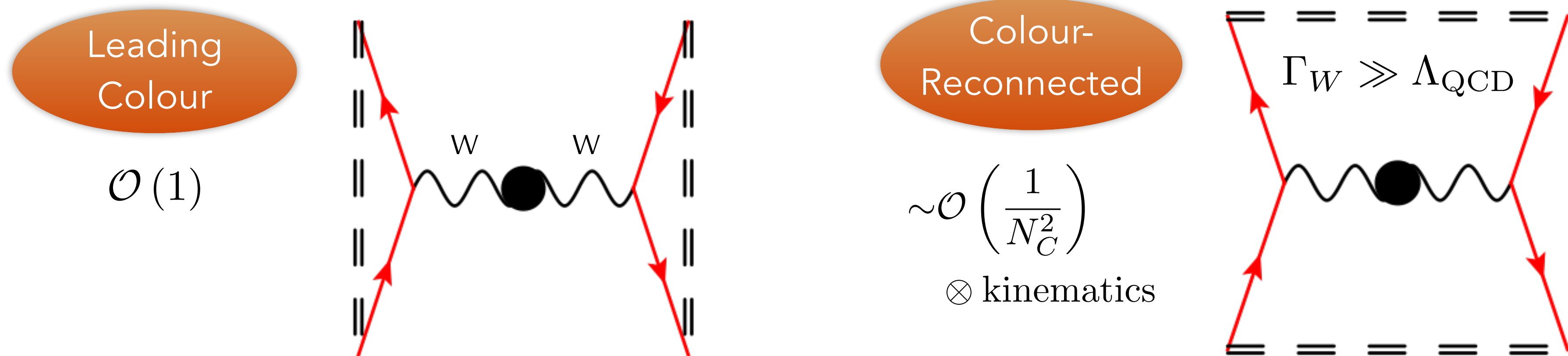
- ▶ Would be **crystal clear** at Giga-Z/ Tera-Z



## At LEP 2: hot topic (by QCD standards): "string drag" effect on $W$ mass

No-CR excluded at 99.5% CL [Phys.Rept. 532 (2013) 119]

But no detailed (differential) information



## Future Lepton Collider: up to 10,000 times more WW

Turn the  $W$  mass problem around?

Use threshold scan + huge sample of **semi-leptonic WW** to measure  $m_W$

➤ input as constraint to make **sensitive measurements of CR in hadronic WW**



## Has become even hotter topic at LHC

Some overviews of recent models:  
[arXiv:1507.02091](https://arxiv.org/abs/1507.02091) , [arXiv:1603.05298](https://arxiv.org/abs/1603.05298)

Related to observed breakdowns of jet universality

Precision **top quark mass** reconstructions.

Follow-up studies now underway at LHC.

Fundamental to understanding & modeling hadronisation

## High-statistics $ee \rightarrow$ other side of story

Also relevant in (hadronic)  $ee \rightarrow tt$ , and  $Z \rightarrow 4$  jets

Little done for CEPC/FCC-ee (ILC?) so far ... (to my knowledge)  
A lot of new models, scope to propose new observables, ...

+ Many related questions I have not touched on, including ...

(see also FCC-ee QCD workshops & writeups)

## Bose-Einstein & Fermi-Dirac Correlations

Identical baryons ( $pp, \Lambda\Lambda$ ) **highly** non-local in string picture

LEP Puzzle: correlations  $\rightarrow$  Fermi-Dirac radius  $\sim 0.1 \text{ fm} \ll r_p$  (both  $pp$  and  $\Lambda\Lambda$ ; multiple expts)

## Spin/helicity correlations in chain of produced hadrons ("screwiness"?)

## Multiply-heavy hadrons,

## Exotics, Nuclei,



## Perturbative QCD: High Precision

Measurements of  $\alpha_s$  with  $\sim$  per-mille  $\delta\alpha_s/\alpha_s$  accuracy

... with work ongoing ...

Stringent tests of new generation of **precision MC models** (higher-order shower kernels, N<sup>n</sup>LO matching & merging, ...)

... major breakthroughs likely in medium term, also supporting LHC accuracy ...

➔ **Needs: fine jet substructure resolution & flavour tagging**

## Interplays with EW & Higgs Physics Goals

Impact of accurate (vs inaccurate) MC predictions

To prepare  $\Leftrightarrow$  Identify & communicate crucial areas.

+ develop program of non-perturbative constraints targeting EW/H observables

## Nonperturbative QCD: High Resolution

**Confinement** will presumably still be among major unsolved problems

Studies of **Hadronisation** = **Trial by fire** not just for any post-LHC sophisticated MC models, but also for any future systematically improvable approximation (or solution) to full QCD.

+ Precision pQCD (above)  $\implies$  **accurate starting point.**

Reveal *details of final states*  $\Leftrightarrow$  disentangle strangeness, baryons, mass, spin

$\rightarrow$  **Needs: Good PID**

Measure  $\mathcal{O}(\Lambda_{\text{QCD}}) \sim 100 \text{ MeV}$  effects  $\rightarrow$  **Good Momentum Resolution**

**Theory keeps evolving long after beams are switched off  $\blacktriangleright$  Aim high!**

Extra Slides

# Jet (Sub)Structure

## LEP: mainly 45-GeV quark jet fragmentation

Inclusive: gluon FF only appears at NLO

3-jet events. Game of low sensitivity (3<sup>rd</sup> jet) vs low statistics ( $Z \rightarrow bbg$ )  
(Initially only "symmetric" events; compare q vs g jets directly in data)

Naive  $C_A/C_F$  ratios between quarks and gluons verified

Many subtleties. Coherent radiation  $\rightarrow$  no 'independent fragmentation', especially at large angles. Parton-level "gluon" only meaningful at LO.

## ⇒ Quark/gluon separation/tagging

Note: highly relevant interplay with Q/G sep @ LHC & FCC-hh: S/B

Language evolved: Just like "a jet" is inherently ambiguous, "quark-like" or "gluon-like" jets are ambiguous concepts [See Les Houches arXiv:1605.04692](https://arxiv.org/abs/1605.04692)

Define taggers (**adjective**: "q/g-LIKE") using only final-state observables

Optimise tagger(s) using clean (theory) references, like  $X \rightarrow qq$  vs  $X \rightarrow gg$



G. SOYEZ, K. HAMACHER, G. RAUCO, S. TOKAR, Y. SAKAKI

## Handles to split degeneracies

$H \rightarrow gg$  vs  $Z \rightarrow qq$

Can we get a sample of  $H \rightarrow gg$  pure enough for QCD studies?

Requires good  $H \rightarrow gg$  vs  $H \rightarrow bb$ ;

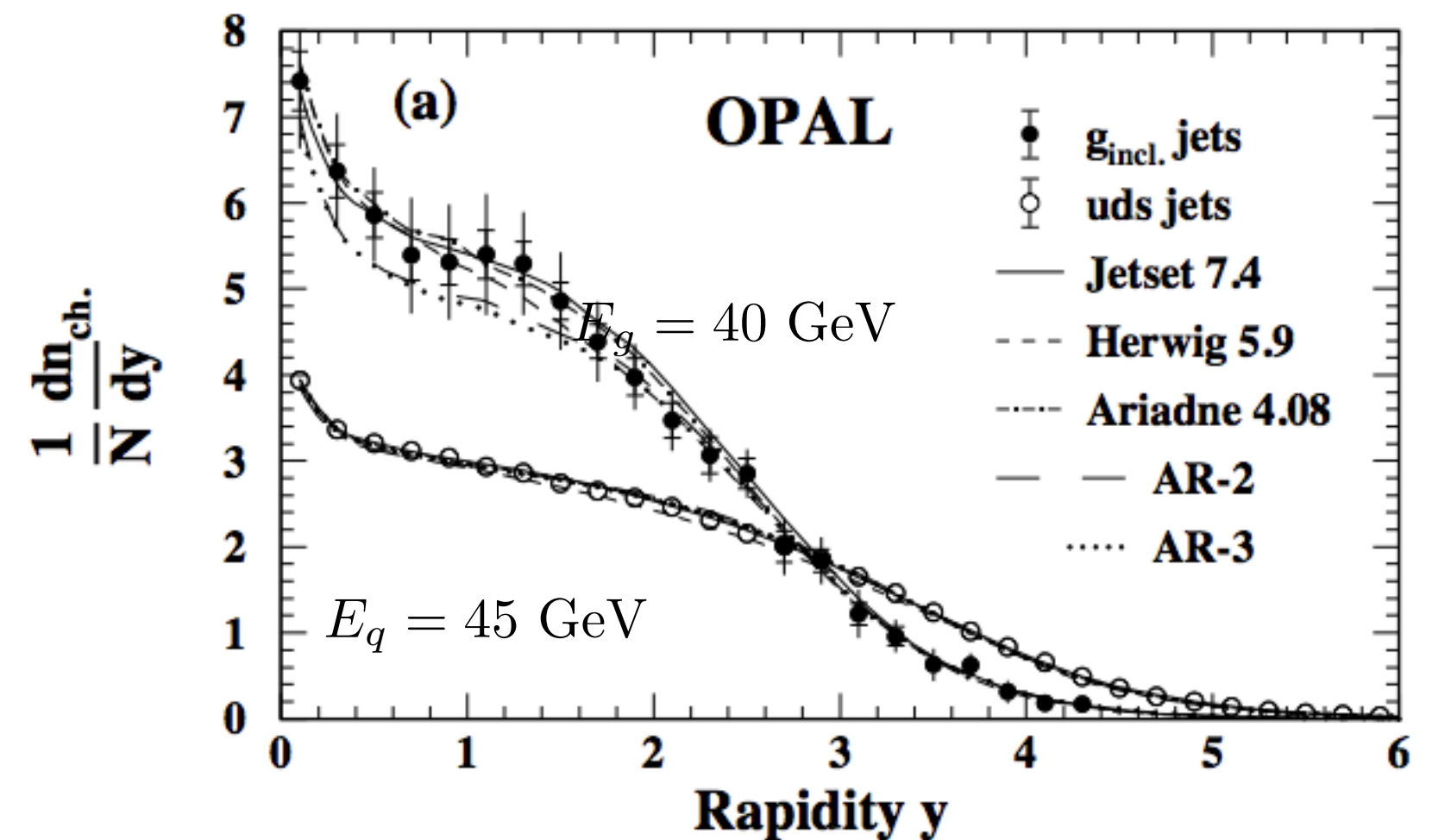
Driven by Higgs studies requirements?

$Z \rightarrow bbg$  vs  $Z \rightarrow qq(g)$

g in one hemisphere recoils against b-jets in other hemisphere: **b tagging**

Study differential shape(s):  $N_{ch}$  (+low-R calo)

( $R \sim 0.1$  also useful for jet substructure)



## Scaling: radiative events $\rightarrow$ Forward Boosted

Scaling is **slow**, logarithmic  $\rightarrow$  prefer large lever arm

$E_{CM} > E_{Belle} \sim 10$  GeV [ **$\sim 10$  events / GeV at LEP**];

Useful benchmarks could be  $E_{CM} \sim 10$  (cross checks with Belle), 20, **30** (geom. mean between Belle and  $m_Z$ ), 45 GeV ( $=m_Z/2$ ) and 80 GeV =  $m_W$

(Also useful for FFs & general scaling studies)

# Unordered Clusterings of 4-Jet Events ( $ee$ $k_T, E$ scheme)

$$\frac{y_{34}}{y_{34} + y_{23}}$$

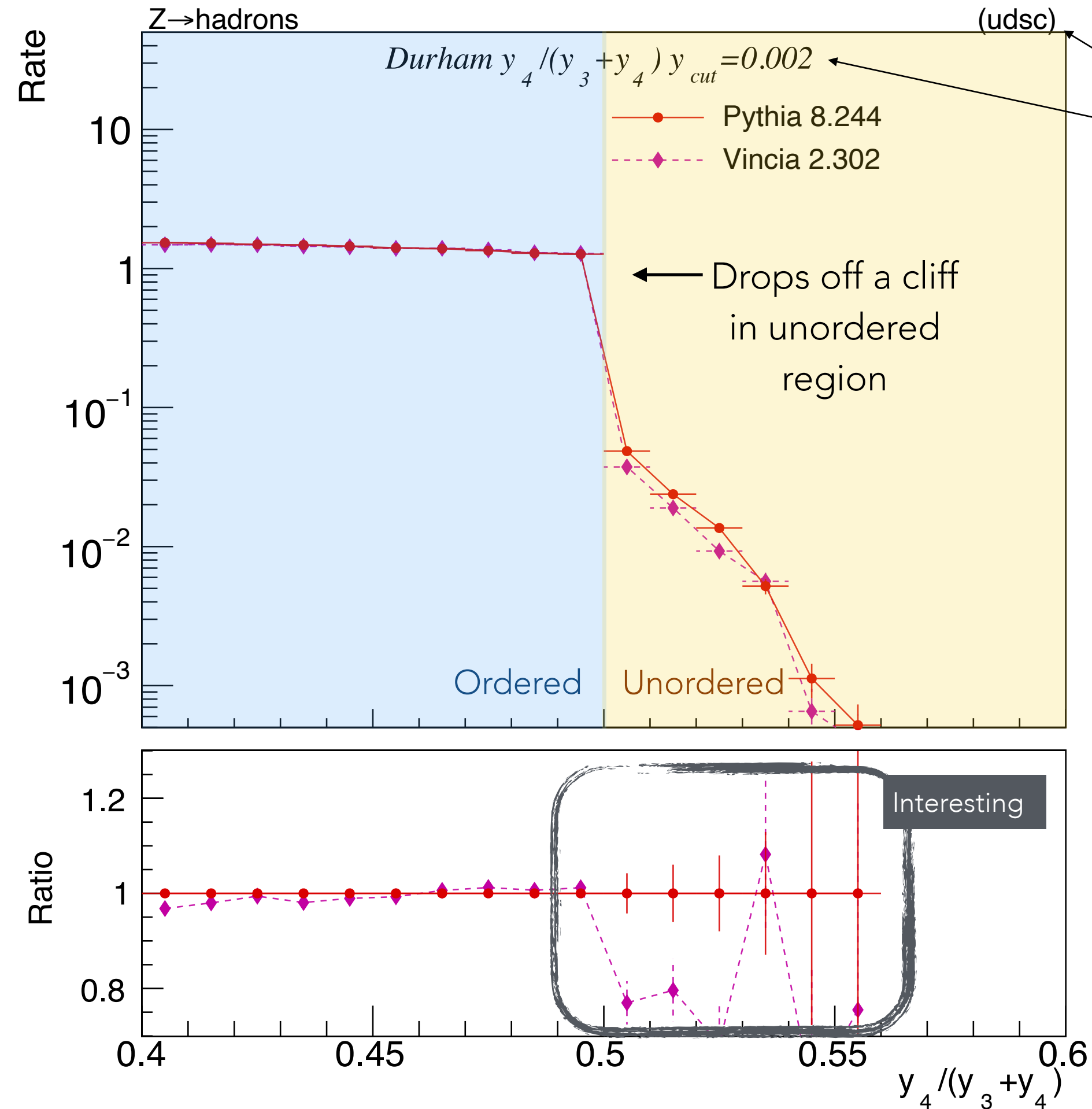
Rate normalised to total 4-jet rate

Off-the-shelf versions of Pythia and Vincia

Very similar results on individual jet rates.

Neither includes direct  $2 \rightarrow 4$ .

$4 \rightarrow 3 \rightarrow 2$



Small  $y_{cut} = 0.002$   
 ( $\leftrightarrow k_{\perp} \sim 4$  GeV) to  
 maximise statistics  
 Excluded  $Z \rightarrow b\bar{b}$  to  
 avoid contamination  
 from B decays  
 4M events ( $\sim$  LEP 1)

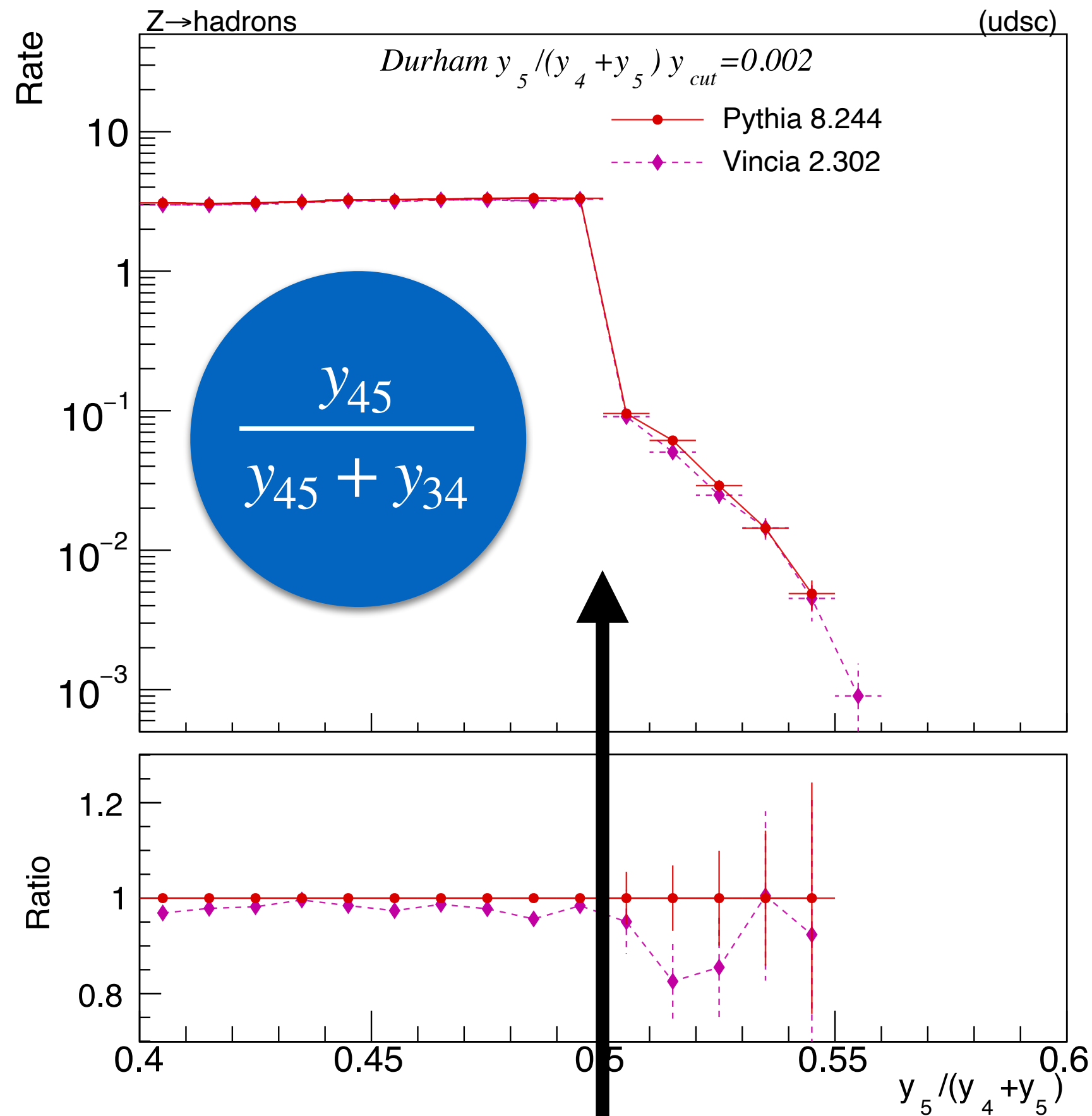
(did not  
 check the  
 "interference"  
 version of this  
 observable  
 here)

Q: could also be done for jet (sub)structure at the **LHC**?



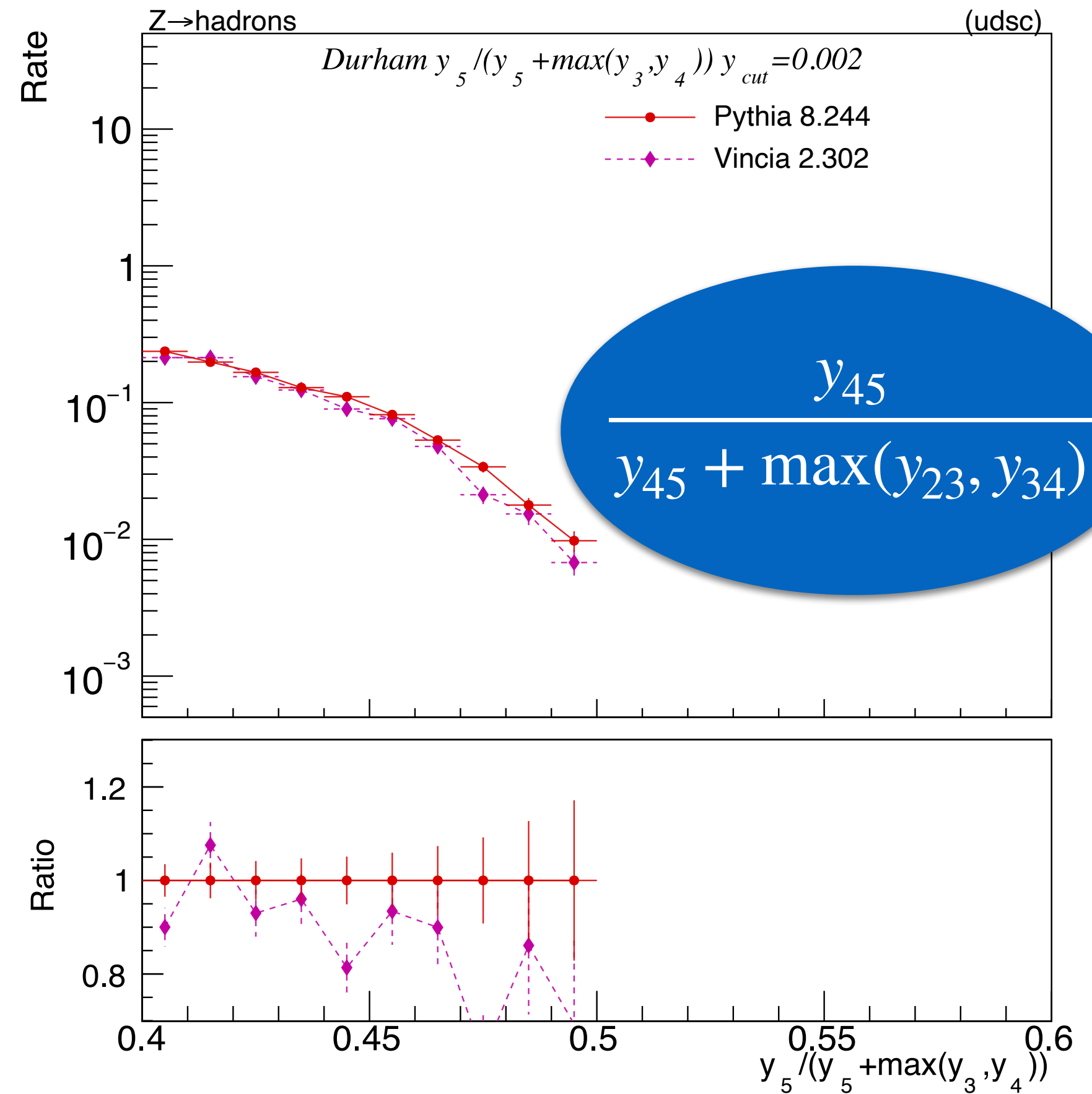
# 5-Jet Events

5 → 4 → 3



Same structure for 3 → 5 as for 2 → 4.  
(→ Combine to increase statistics?)

5 → 4 → 3 → 2



Limited power to probe 2 → 5  
(in this way) but worth an attempt?

# $e^+e^- \rightarrow WW$ : Resonance Decays

## Current MC Treatment ~ Double-Pole Approximation

~ First term in double-pole expansion (cf. Schwinn's talk in yesterday's EW session)

+ Some corrections, e.g., in PYTHIA:

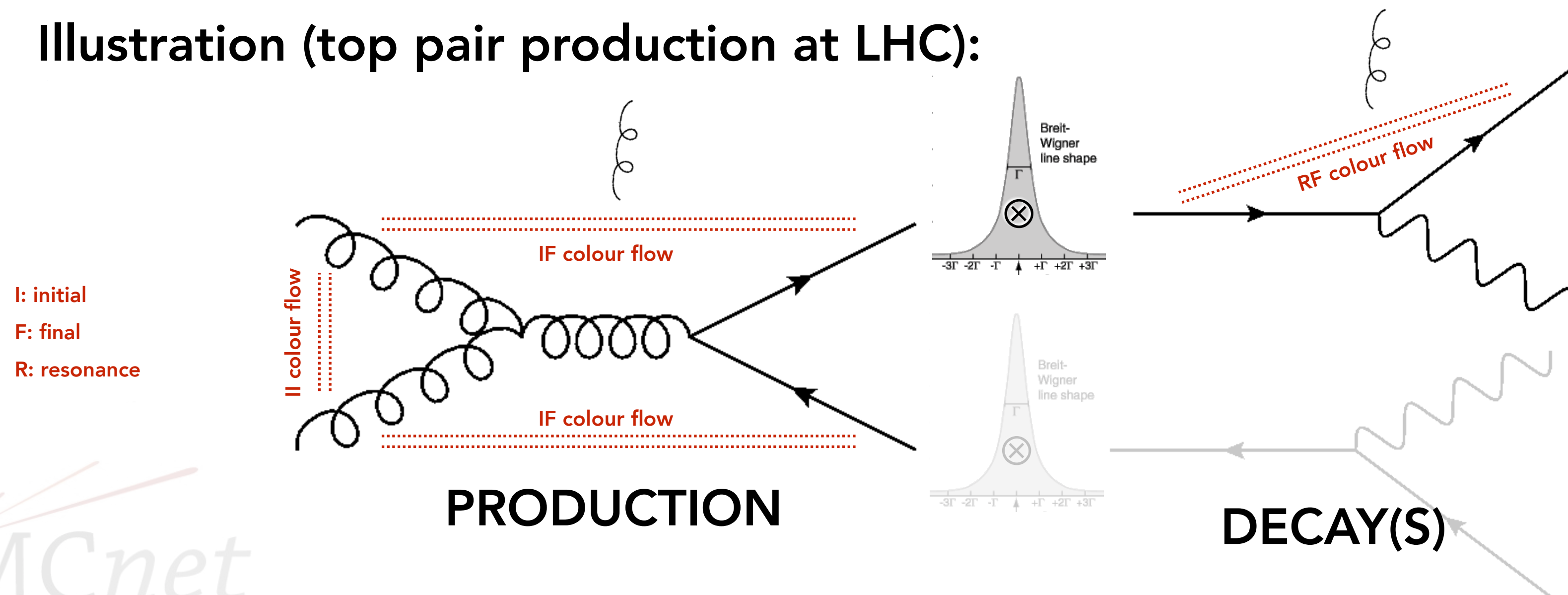
Independent Breit-Wigners for each of the  $W$  bosons, with running widths.

4-fermion ME used to generate correlated kinematics for the  $W$  decays.

Each  $W$  decay treated at NLO + shower accuracy.

No interference / coherence between ISR, and each of the  $W$  decay showers

## Illustration (top pair production at LHC):



# Interleaved Resonance Decays

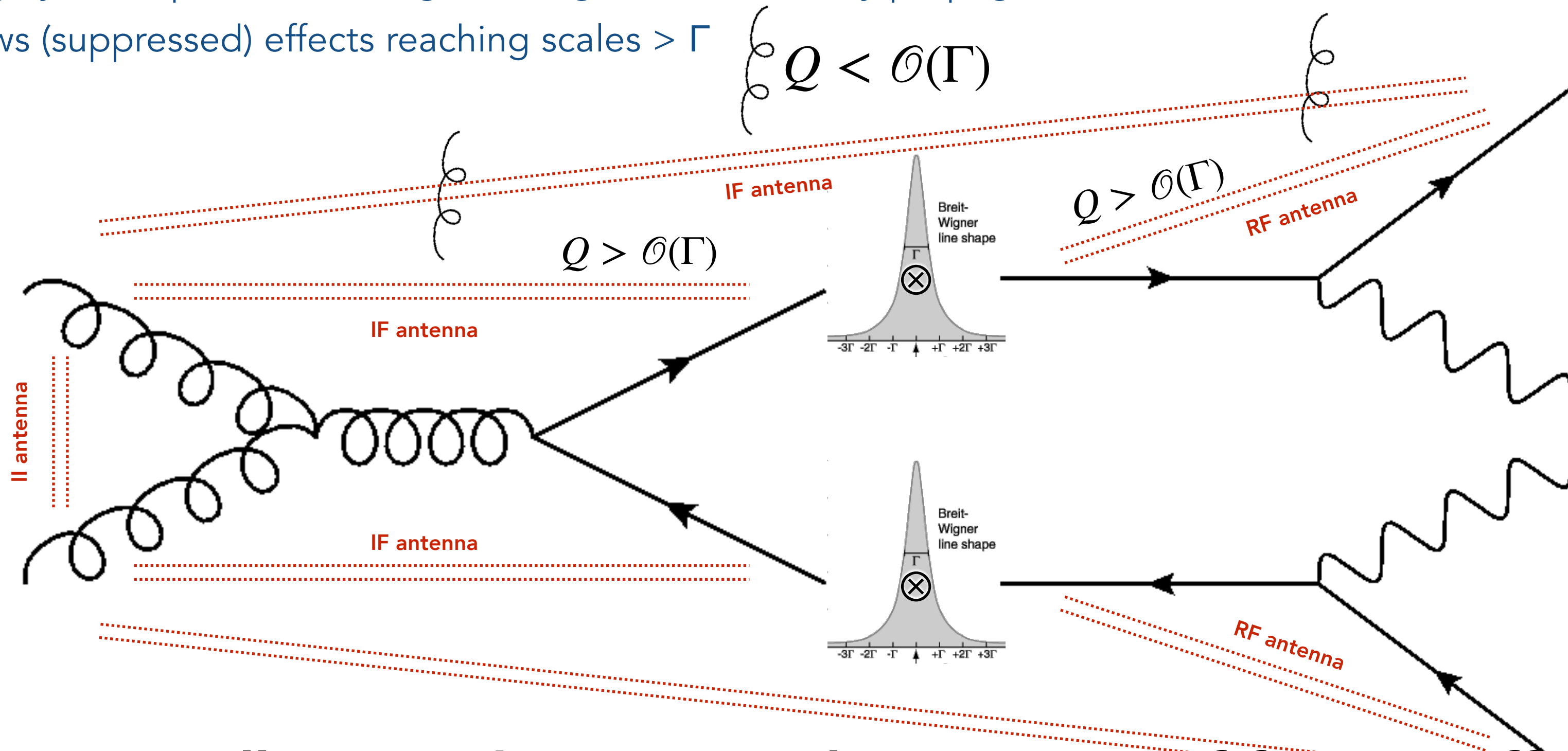
**Decays of unstable resonances introduced in shower evolution at an average scale  $Q \sim \Gamma$**

Cannot act as emitters or recoilers below that scale; only their decay products can do that.

The more off-shell a resonance is, the higher the scale at which it disappears.

Roughly corresponds to strong ordering (as measured by propagator virtualities) in rest of shower.

Allows (suppressed) effects reaching scales  $> \Gamma$   $\left\{ \begin{array}{l} Q < \mathcal{O}(\Gamma) \\ Q > \mathcal{O}(\Gamma) \end{array} \right.$



**Automatically provides a natural treatment of finite- $\Gamma$  effects.**

Expect in next Pythia release (8.304)

# Second-Order Shower Kernels?



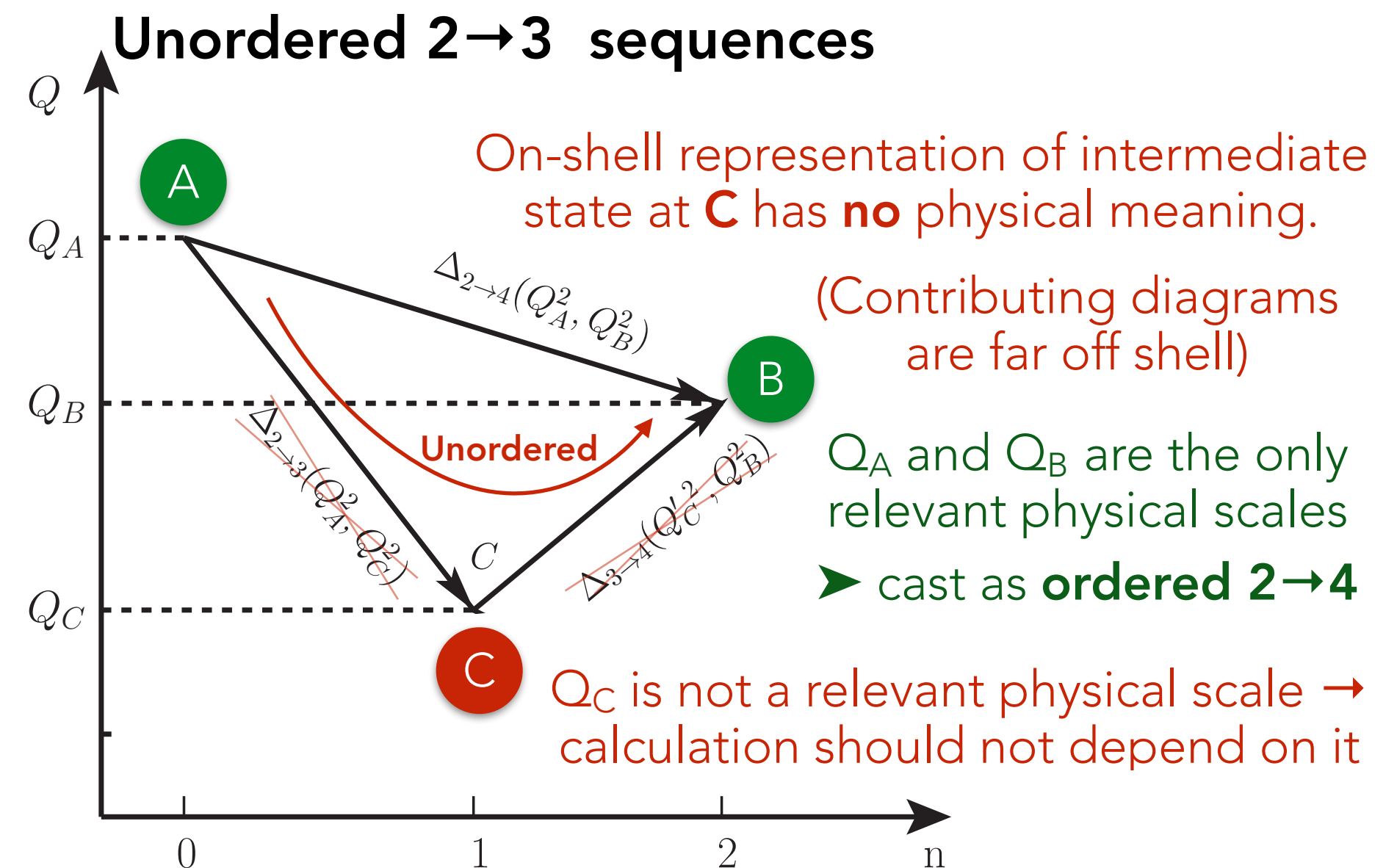
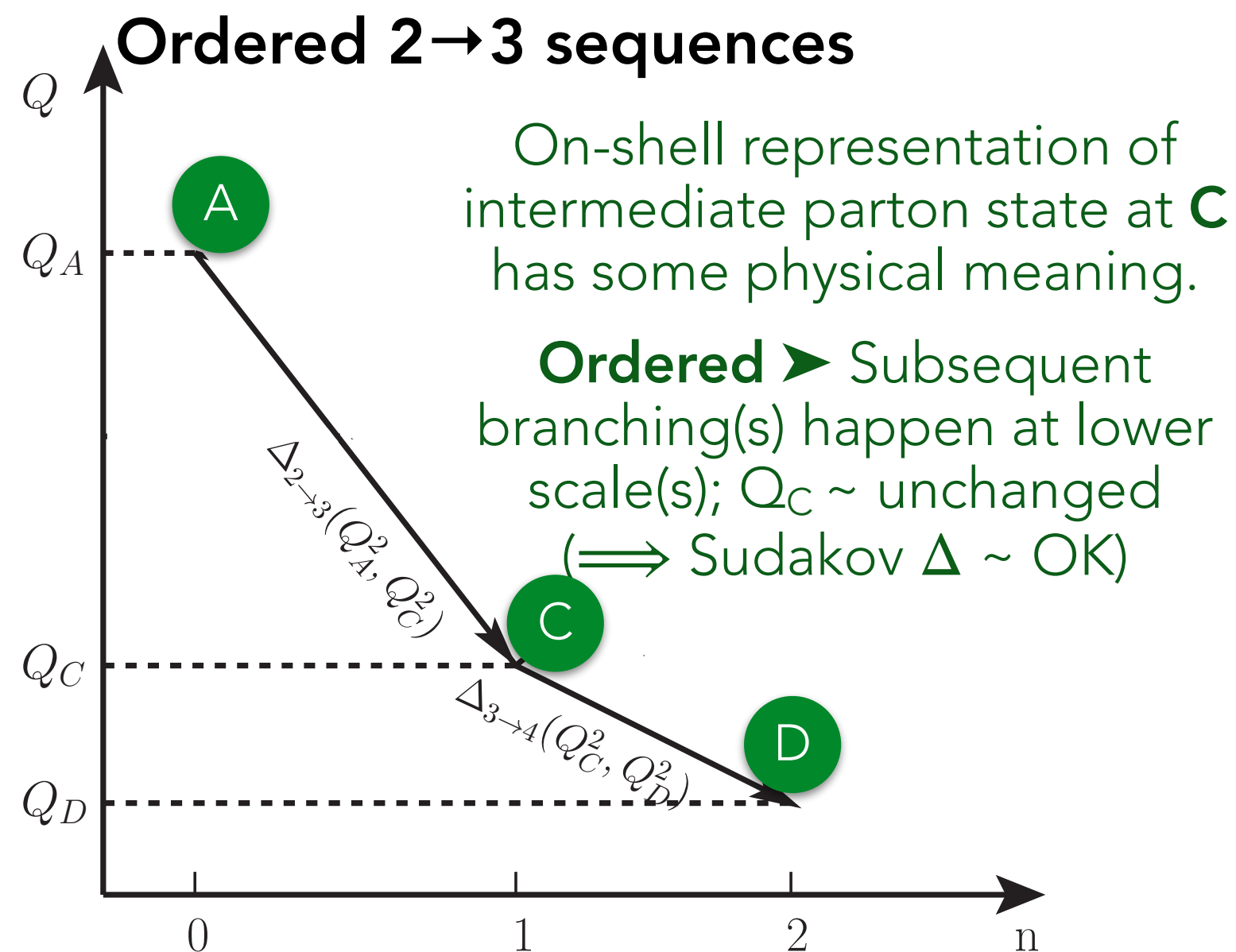
Li & PS, *PLB* 771 (2017) 59 (arXiv:1611.00013) + ongoing work

## Elements

Iterated dipole-style  $2 \rightarrow 3$  and new "direct  $2 \rightarrow 4$ " branchings populate complementary phase-space regions.

Ordered clustering sequences  $\Rightarrow$  iterated  $2 \rightarrow 3$  (+ virtual corrections  $\sim$  differential K-factors)

Unordered clustering sequences  $\Rightarrow$  direct  $2 \rightarrow 4$  (+ in principle higher  $2 \rightarrow n$ , ignored for now)



**Our approach:** continue to exploit iterated on-shell  $2 \rightarrow 3$  factorisations ...

... but in **unordered region** let  $Q_B$  define evolution scale for double-branching (integrate over  $Q_C$ )

# Second-Order Shower Evolution Equation

Li & PS, *PLB* 771 (2017) 59 (arXiv:1611.00013) + ongoing work

Putting 2→3 and 2→4 together ⇔ evolution equation for dipole-antenna with  $\mathcal{O}(\alpha_s^2)$  kernels:

~ POWHEG inside exponent  
(Hoeche, Krauss, Prestel ~ MC@NLO inside exponent)

Iterated 2→3  
with (finite) one-loop correction

Direct 2→4  
(as sum over "a" and "b" subpaths)

$$\frac{d\Delta(Q_0^2, Q^2)}{dQ^2} = \int d\Phi_{\text{ant}} \left[ \delta(Q^2 - Q^2(\Phi_3)) a_3^0 \right. \\ \left. \times \left( 1 + \frac{a_3^1}{a_3^0} + \sum_{s \in a, b} \int_{\text{ord}} d\Phi_{\text{ant}}^s R_{2 \rightarrow 4} s_3' \right) \Delta(Q_0^2, Q^2) \right. \\ \left. + \sum_{s \in a, b} \int_{\text{unord}} d\Phi_{\text{ant}}^s \delta(Q^2 - Q^2(\Phi_4)) R_{2 \rightarrow 4} s_3 s_3' \Delta(Q_0^2, Q^2) \right]$$

(2→)3→4 antenna function  
(2→)3→4 MEC  
2→4 as explicit product x MEC

Only generates double-unresolved singularities, not single-unresolved

Note: the equation is formally identical to:

$$\frac{d}{dQ^2} \Delta(Q_0^2, Q^2) = \int \frac{d\Phi_3}{d\Phi_2} \delta(Q^2 - Q^2(\Phi_3)) (a_3^0 + a_3^1) \Delta(Q_0^2, Q^2) \\ + \int \frac{d\Phi_4}{d\Phi_2} \delta(Q^2 - Q^2(\Phi_4)) a_4^0 \Delta(Q_0^2, Q^2), \quad (3)$$

poles → poles

But on this form, the pole cancellation happens between the two integrals

Limited manpower but expect this in PYTHIA within the next ~ 2 years.

# Effects of order $\Lambda_{\text{QCD}}$

$p_T$  kicks from hadronisation: Gaussian  $p_T$  distribution with width  $\sim 300$  MeV (+  $\rho$  decays)

Difficult for any hadron to have  $|p| < 300$  MeV.

Can you make a pion stand still?

Non-relativistic pions

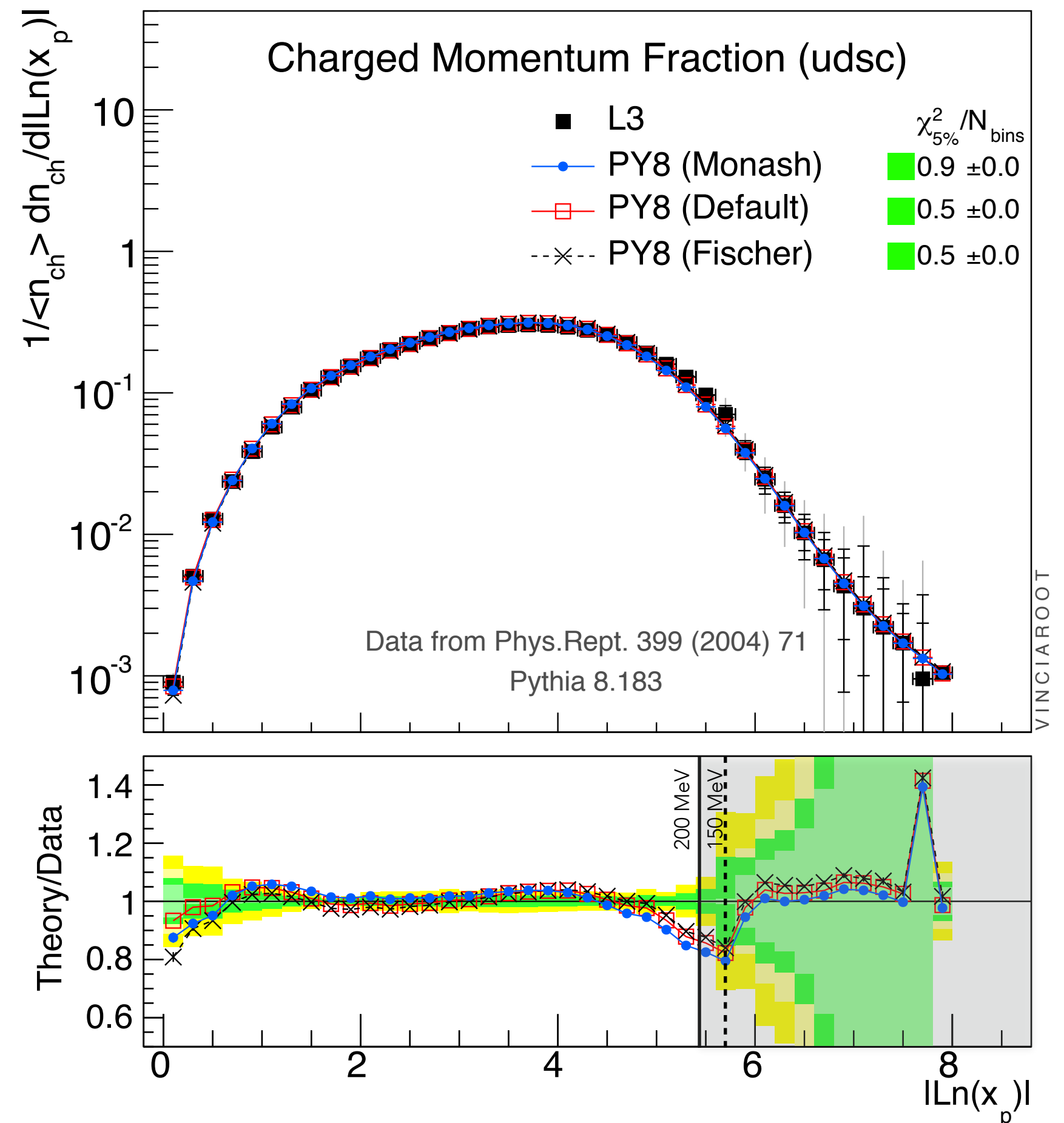
Data from both LEP and LHC indicate softer pion spectrum

Cut at  $|p| = 200$  MeV makes this a bit tough to examine clearly

3 hits down to  $\sim 50$  MeV ?

Special runs / setups with lower thresholds?

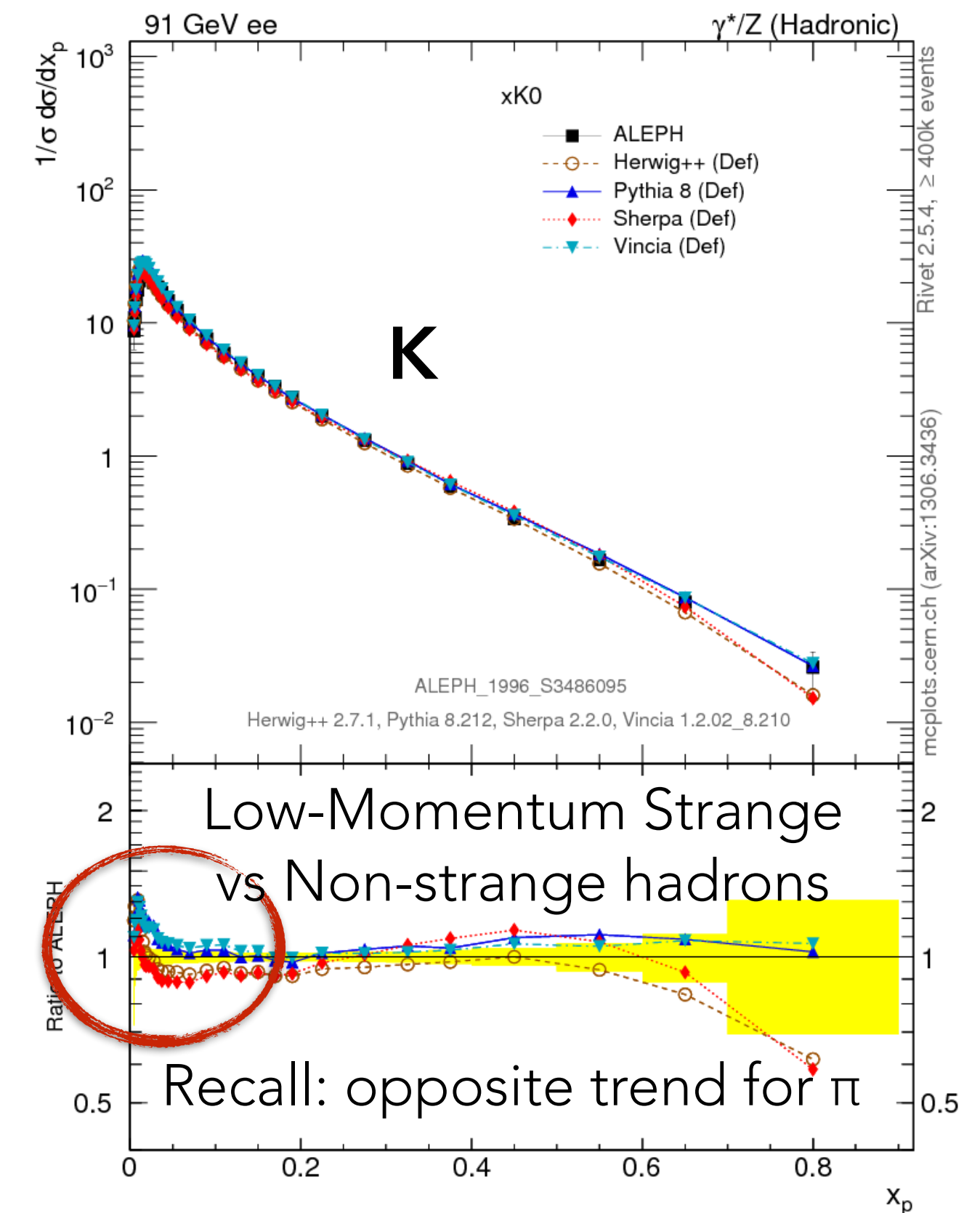
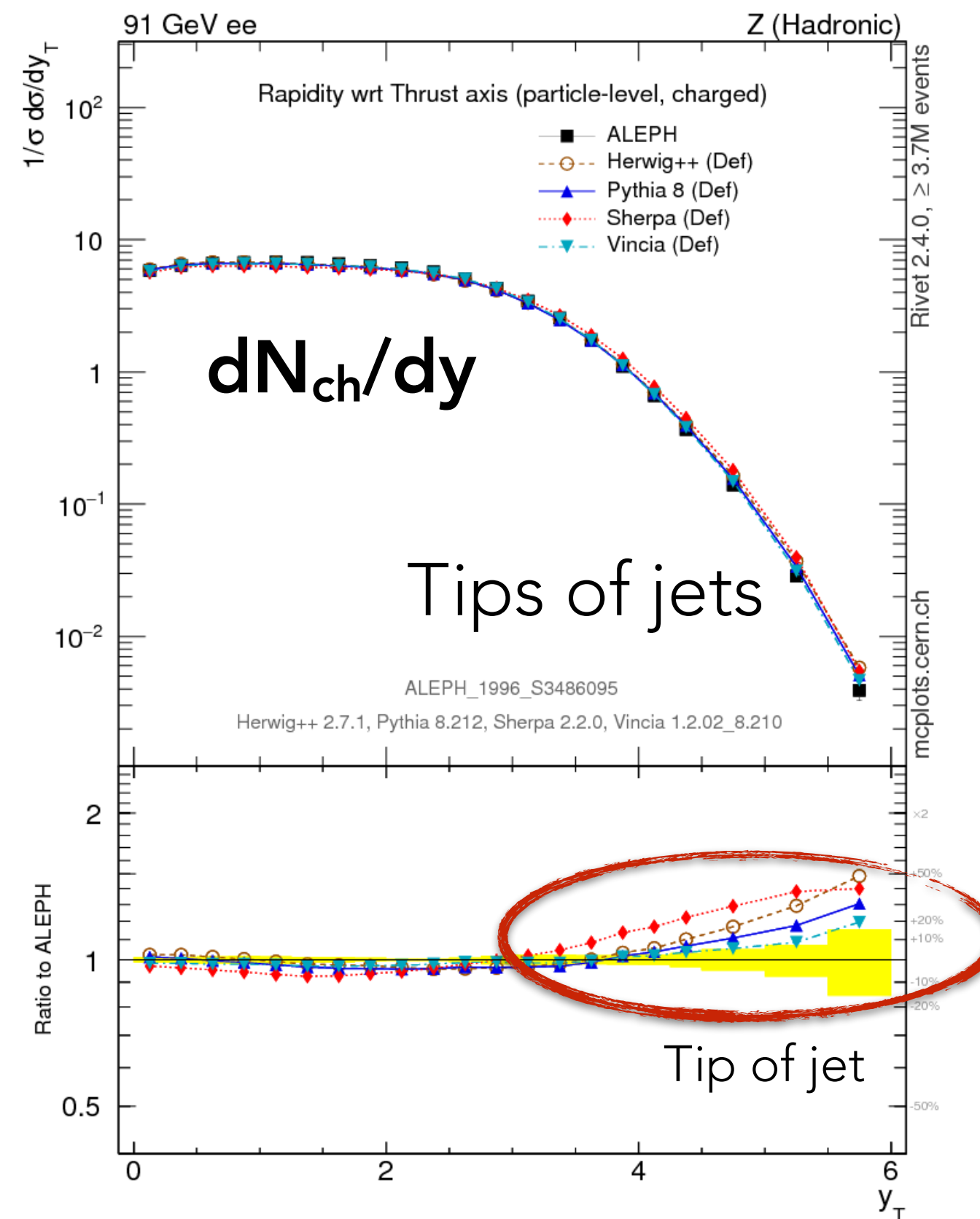
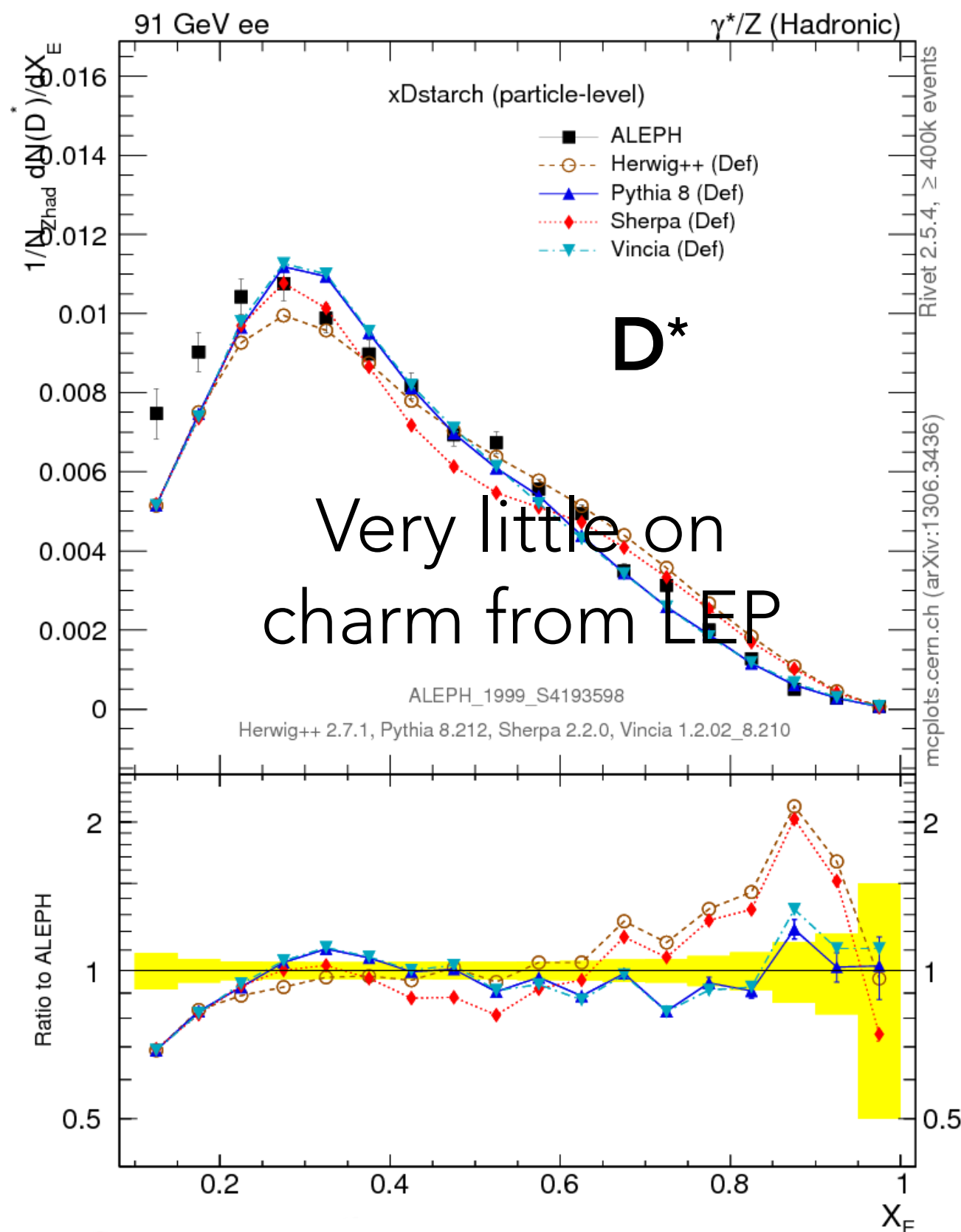
Example from LEP



# Plenty of other interesting **detailed** features

(plots from [mcplots.cern.ch](http://mcplots.cern.ch))

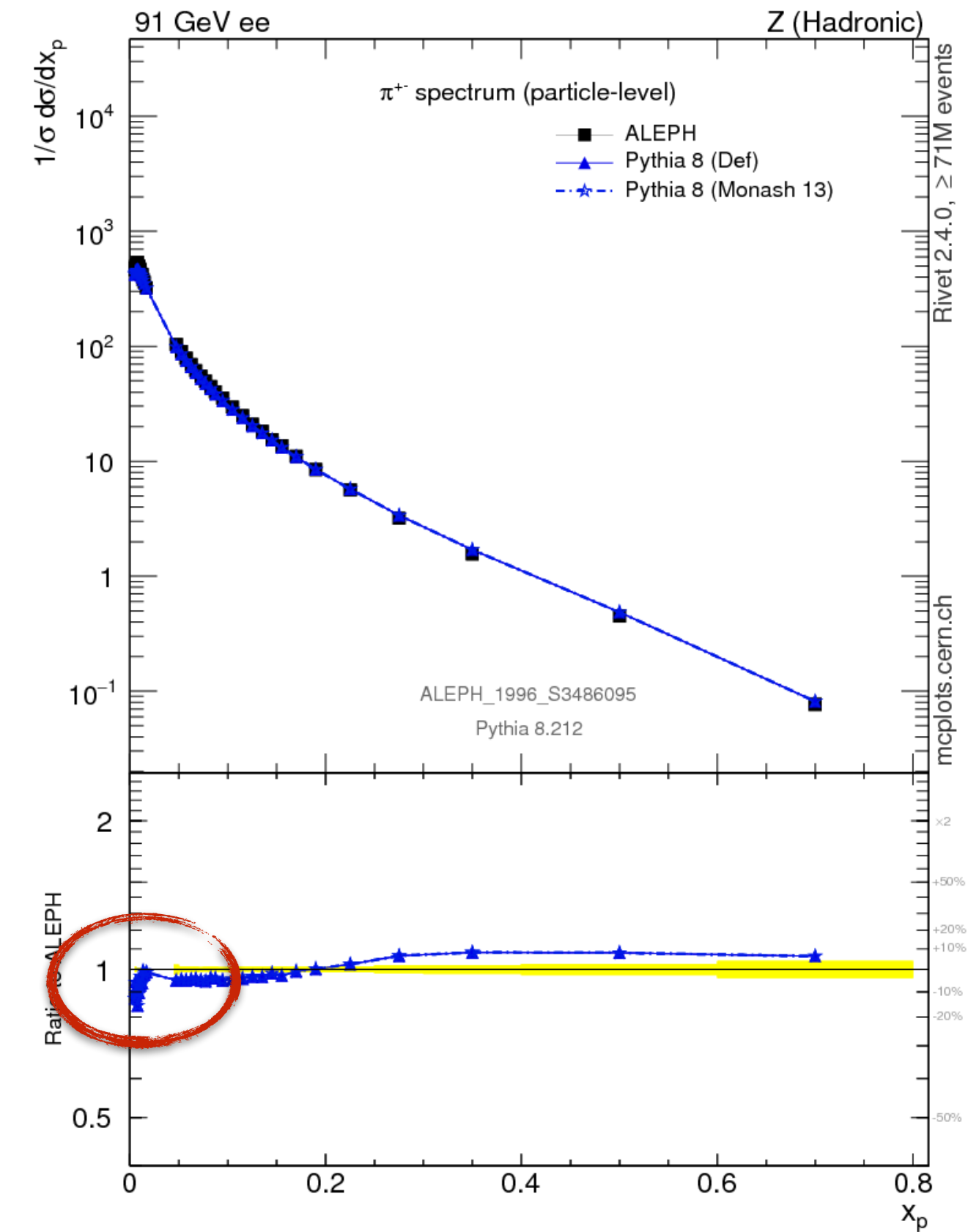
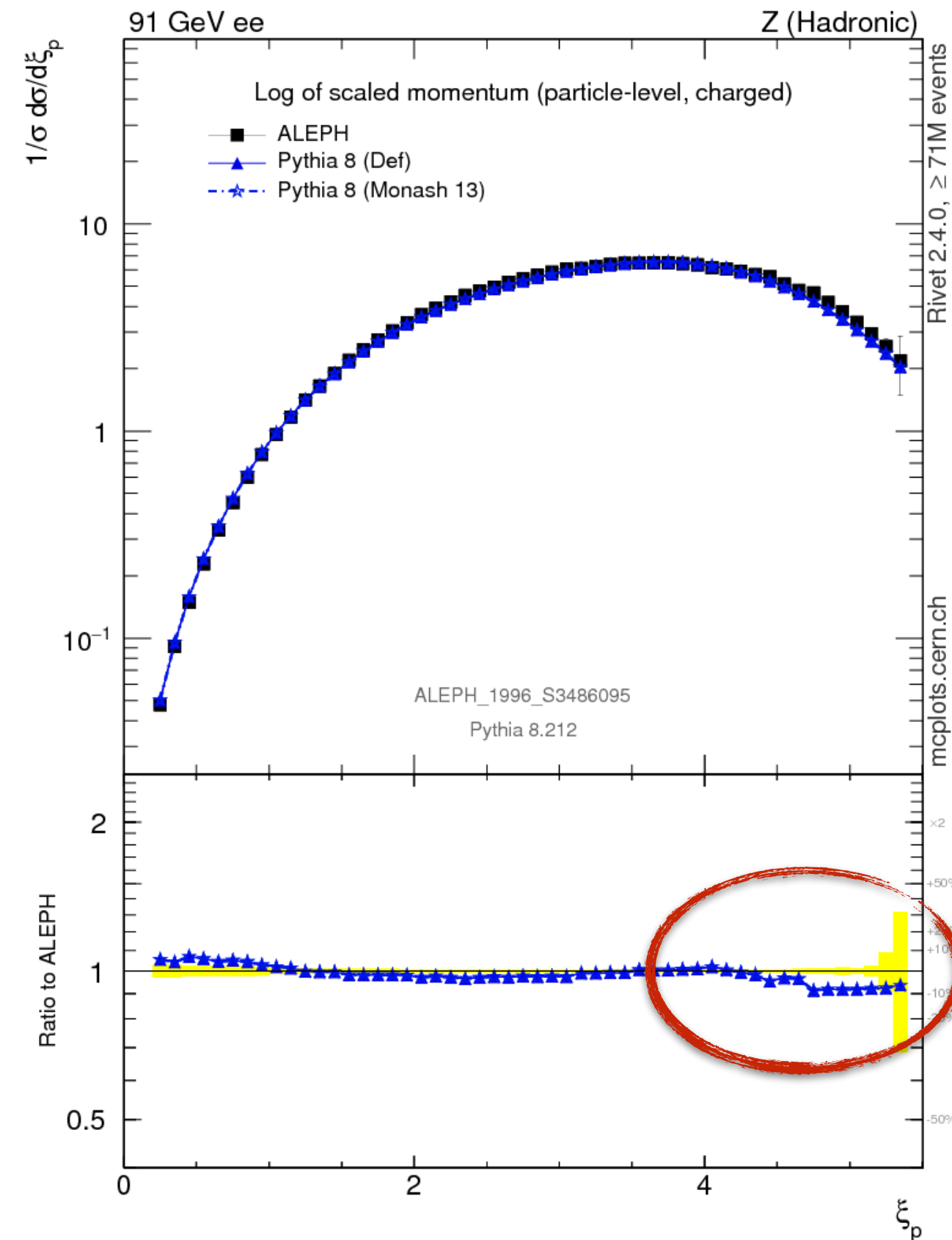
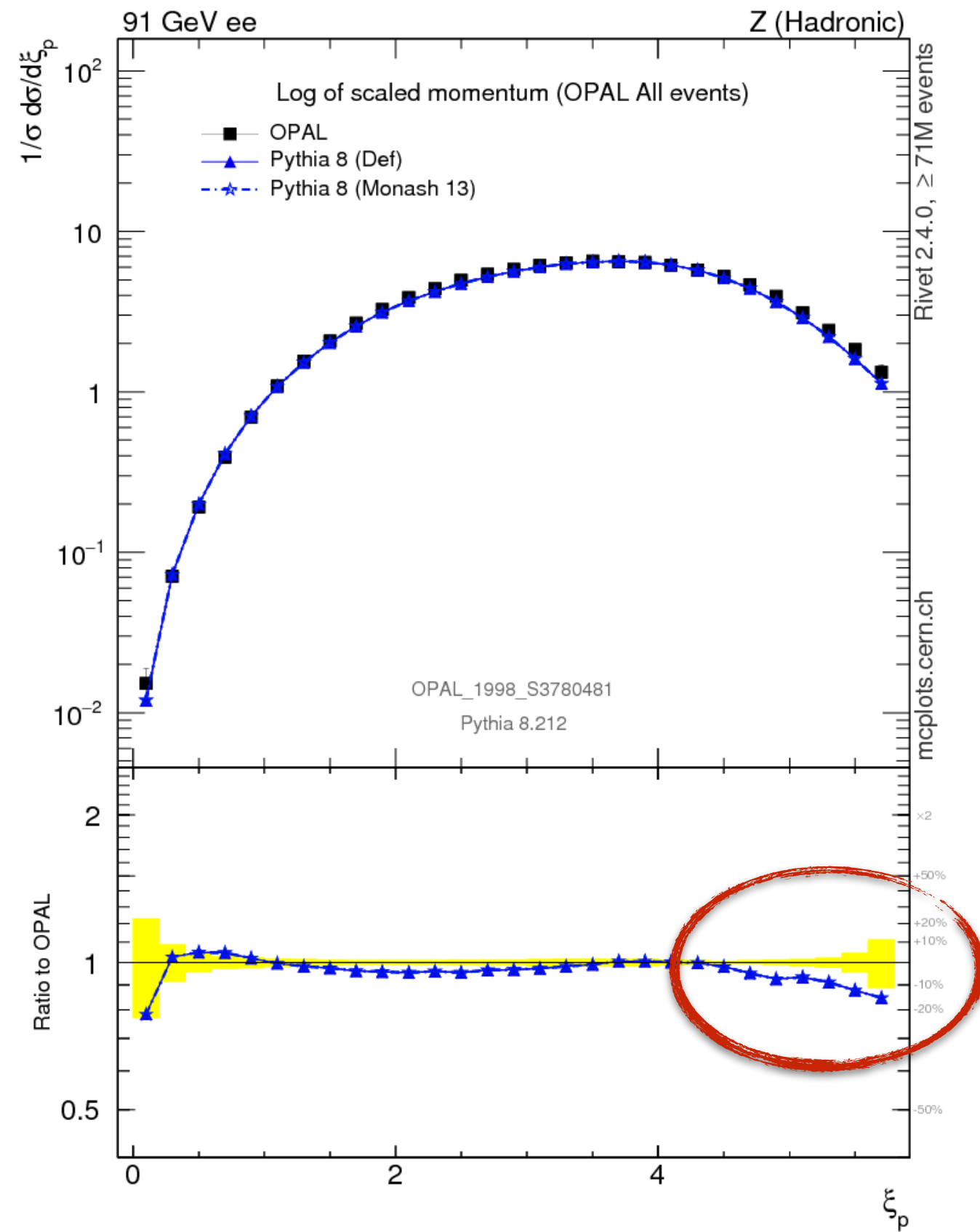
Just a few examples



Capabilities for hadrons from decays ( $\pi^0, \eta, \eta', \rho, \omega, K^*, \phi, \Delta, \Lambda, \Sigma, \Sigma^*, \Xi, \Xi^*, \Omega, \dots$ )

+ **heavy-flavour** hadrons

Very challenging; conflicting measurements from LEP



Point of view A: small effects, and didn't you say toy model anyway?

Point of view B: this illustrates the kinds of things we can examine, with precise measurements

Flavour (in)dependence? (Controlling for feed-down?) Gauss vs Thermal?