Colour & Precision Top Physics

Peter Skands (Monash University)

Perturbative aspects of top physics

- The top quark mass
- Top quark modelling at colliders
- A new approach to coherence

Non-perturbative aspects of top physics

Collective effects in pp collisions?

Quo Vadis?



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The Top Quark



Heaviest particle in the SM **m**_t ~ 170 GeV/c² ~ m_{Au} Lifetime: 10^{-24} s ($\Gamma_{t} \sim 1.5$ GeV)

Mainly **pair produced** at colliders:

 $qq \rightarrow tt \qquad q\bar{q} \rightarrow tt$

Dominates at LHC

Dominated at Tevatron

Complicated (cascade) decays: $t \to bW^+ \quad \bar{t} \to \bar{b}W^ W \to \{q\bar{q}', \ell\nu\}$ quarks \rightarrow jets b-quarks \rightarrow b-jets

Complex multi-body final states (+ hadronisation) → highly nontrivial to measure mass with high precision (<1%)



The Top Quark Mass



What top quarks look like



The Physics of Hadronic Jets

More than just a (fixed-order perturbative) expansion in $\alpha_{\!s}$

Bremsstrahlung: accelerated particles radiate \leftrightarrow Infinite-order perturbative structures of indefinite particle number \leftrightarrow universal amplitude structures in QFT

Confinement (strong gluon fields) \leftrightarrow Hadronization phase transition \leftrightarrow quantum-classical correspondence. Non-perturbative physics. String dynamics. String breaks.

Hadrons \leftrightarrow Spectroscopy (incl excited and exotic states), attice QCD, (rare) decays, mixing, light nuclei. Hadron beams \rightarrow multiparton interactions, diffraction, ...

Types of Bremsstrahlung Showers

Parton Showers are based on iterated 1→2 splittings

Each **parton** undergoes a sequence of splittings

Exact in limit that **one diagram** dominates: collinear splittings; good starting point for describing jets

Some interference effects can be included via "angular ordering" or "dipole functions" (~partitioned interference terms) (E,p) conservation achieved via (ambiguous) recoil effects

At Monash, we develop an **Antenna Shower**, in which splittings are fundamentally $2 \rightarrow 3$ (+ working on $2 \rightarrow 4...$)

Evolution in terms of colour **dipoles/antennae**

- + Intrinsically coherent (to leading power of $1/N_{C^2} \sim 10\%$)
- + Manifestly Lorentz invariant kinematics with local (E,p) cons.
- (+ Markovian/Invertible: important for future applications)

Includes dipole interference

In limit $\Gamma_t \sim 0$, factorise **production** and **decay**

These stages are **showered** independently (regardless of which type of shower)

Production ISR + FSR shower preserves Breit-Wigner shape

Resonance-Decay FSR shower preserves Breit-Wigner shape

Would modify BW shape.

But expect small effects. Cutoff of perturbative shower $Q_{cut} \sim 1 \text{ GeV}$; $\Gamma_t \sim 1.5 \text{ GeV}$ (in SM); Interference only from scales 1 GeV < Q < 1.5 GeV

► Ignored in narrow-width approximation (eg PYTHIA).

Production showered to Q_{cut} , decay as well.

Default "Pythia" showers not fully coherent for "IF" or "RF" flows

All initial-state partons treated as II. (Some coherence by rapidity ~angular vetos)

All final-state partons treated as FF. (MECs ➤ 1st emission in top decay correct; + b mass corrections for all emissions.)

RF not coherent from **2nd emission** onwards. (So eg Powheg does not help.) Issues for soft wide-angle, recoil effects, and some phase-space effects.

Brooks, Skands, Phys.Rev. D100 (2019) no.7, 076006 <u>ARXIV:1907.08980</u>

Explicit IF and (recently) RF antennae

Based on coherent dipole-antenna patterns, with full t and b mass effects.

Collective recoils for RF emissions: coherent radiation recoils against "crossed" top

+ VINCIA now integrated within PYTHIA 8.301

+ Under development (with H. Brooks, R. Verheyen, C. Preuss)

✓ Interleaved resonance decays ➤ interference between production and decays.
 Matrix-Element Merging & Iterated ME Corrections. (So far it is a pure shower.)
 Automated uncertainty variations (in the same style as internal Pythia 8 ones).
 Electroweak showers, second-order antenna functions, ...

Slide from H. Brooks

Ravasio et al, Eur.Phys.J. C78 (2018) no.6, 458

arXiv:1801.03944

A theoretical study of top-mass measurements at the LHC using NLO+PS generators of increasing accuracy

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"... the very minimal message that can be drawn from our work is that, in order to assess a meaningful theoretical error in top-mass measurements, the use of different shower models, associated with different NLO+PS generators, is mandatory."

Slide from H. Brooks

Brooks, Skands, Phys.Rev. D100 (2019) no.7, 076006 ARXIV:1907.08980

Plot antenna function in top centre of mass frame (b along z):

Antenna function → b-quark DGLAP splitting function in forwards (collienar) direction; coherence results in a suppression in the backwards (wide-angle) direction ➤ narrower b-jets

Matching with POWHEG

Brooks, Skands, Phys.Rev. D100 (2019) no.7, 076006 ARXIV:1907.08980

Slide from H. Brooks

- Use POWHEG v2 (ttdec)¹
 (no need for exact finite width effects)
- Very similar setup to matching with PYTHIA in ².
- Veto hardest emission in production with

Vincia:QmaxMatch = 1

Veto hardest emission in decay with UserHooks interface

PYTHIA 8.301 released. Includes VINCIA with new resonance-final showers Still to come in VINCIA: ME merging, multi-leg MECs, automated uncertainty bands, production-decay interference, electroweak showers, NLO antenna functions,...

Will modify BW shape.

Affects hadronisation in b-jet and may (?) affect $b \rightarrow B$ transition.

May (?) affect hadronic W hadronisation.

Partons from different MPI (or $ee \rightarrow WW$) can be "close" in phase space.

Nature can make use of **non-LC possibilities** to minimise the confinement potentials → "**QCD-inspired**" model in PYTHIA (String Formation Beyond Leading Colour, Christiansen + PS, JHEP 08 (2015) 003), and in various more or less explicit ways informs most other models of CR.

NB: momentum transfer happens due to **ambiguities** in colour space; indirect

LHC has discovered new non-perturbative QCD phenomena in pp, like CMS "ridge" and ALICE strangeness enhancement vs multiplicity These effects do not seem to be explicable solely in terms of CR.

> New paradigm: new non-perturbative **dynamics** (interactions)

New Models:

Lund/NBI: Collective Strings 1: (Swing) + Colour Ropes + String Shoving Monash: Collective Strings 2: (QCD CR) + Dynamic String Tensions + Repulsion Lund: Strings with Spacetime Information + Hadron Rescattering Herwig: Cluster Model with spacetime CR + Dynamic strangeness enhancement Epos: Core/Corona picture with QGP-like thermal effects in core component

Expect additional hadron-level effects of order Λ_{QCD} , beyond "conventional" CR.

Good?

CR is difficult to constrain directly. (Hence we still have a plethora of models.)

But strangeness and baryon enhancements leave **clear smoking-gun traces**.

... which should scale with UE density

Bad?

- Expect additional hadron-level effects of order Λ_{QCD} , beyond "conventional" CR.
- E.g., if strings push on each other, that could exchange momenta of order Λ_{QCD} (per unit rapidity!) between top system and MPI.
- And/or if B_s/B and Λ_b/B rates are affected > modifications to B spectra (+decays)

Irrelevant?

- Like CR, effects **may** primarily affect the "soft bulk" of particle production (~ the UE), (Tips of) **high-pT jets may not be significantly affected**.
- Need collaboration with experimentalists to devise dedicated observables (>tests >constraints) on non-perturbative dynamics in top events (*in situ*).

Top:

- The only **coloured resonance** in SM that **decays before it hadronises**
- Largest Yukawa coupling in the SM (\rightarrow largest mass)
- Important as a window to new physics and as background to new physics

Outlook:

- Aiming for $\Delta m_t/m_t < 1\%$ implies controlling corrections at the 100-MeV level.
- → Accurate physics models (incl. coherence, NLO / ME corrections, etc.)
- → Non-perturbative QCD. Toy models of colour reconnections were ~ sufficient in Tevatron era, but cannot be relied upon to deliver the goods (= exhaustive non-perturbative uncertainties) at sub-100-MeV level.
- LHC itself is providing hard evidence for new non-perturbative phenomena

Need for collaboration with top physics community on *in-situ* measurements to better constrain non-pert. aspects like **strangeness** in top jets, B_s/B, ...

Questions / Discussion ?

NOTE ON DIFFERENT ALPHA(S) CHOICES

SCALE VARIATIONS: HOW BIG?

Scale variations induce 'artificial' terms beyond truncated order in QFT ~ Allow the calculation to float by $(1+O(\alpha_s))$.

Mainstream view:

Regard scale dependence as unphysical / leftover artefact of our mathematical procedure to perform the calculations.

Dependence on it has to vanish in the 'ultimate solution' to QFT

 \rightarrow Terms beyond calculated orders must sum up to at least kill μ dependence

Such variations are thus regarded as a useful indication of the size of uncalculated terms. (Strictly speaking, only a lower bound!)

Typical choice (in fixed-order calculations): $k \sim [0.5, 1, 2]$

Note: In PYTHIA you specify k² TimeShower:renormMultFac SpaceShower:renormMultFac

What do parton showers do?

In principle, LO shower kernels proportional to α_{s}

Naively: do factor-2 variations of μ_{PS} .

There are at least 3 reasons this could be **too** conservative

1. For soft gluon emissions, we know what the NLO term is

→ even if you do not use explicit NLO kernels, you are effectively NLO (in the soft gluon limit) **if** you are coherent and use $\mu_{PS} = (k_{CMW} p_T)$, with 2-loop running and $k_{CMW} \sim 0.65$ (somewhat n_f-dependent). [Though there are many ways to skin that cat; see next slides.] Ignoring this, a **brute-force** scale variation **destroys** the NLO-level agreement.

2. Although hard to quantify, showers typically achieve better-than-LL accuracy by accounting for **further physical effects** like (E,p) conservation

3. We see empirically that (well-tuned) showers tend to stay far inside the envelope spanned by factor-2 variations in **comparison to data**

See e.g., Perugia radHi and radLo variations on mcplots.cern.ch

Poor man's recipe: Use $\sqrt{2}$?

Sure ... but still rather arbitrary

Instead: add compensation term to preserve soft-gluon limit at $O(\alpha_s^2)$ Allowing full factor-2 outside that limit.

Several MCs now implement such compensation terms, at least in context of automated uncertainty bands.

Warning: aggressive definitions can lead to overcompensation / **extremely** optimistic predictions → very small uncertainty bands.

For PYTHIA, we chose a rather conservative definition ➤ larger bands.

$$P'(t,z) = \frac{\alpha_s(kp_{\perp})}{2\pi} \left(1 + (1-\zeta)\frac{\alpha_s(\mu_{\max})}{2\pi}\beta_0 \ln k\right) \frac{P(z)}{t}$$
Kills the compensation outside the soft limit
Small absolute size

$$\zeta = \begin{cases} z & \text{for splittings with a } 1/z \text{ singularity} & \text{of constrained} \\ 1-z & \text{for splittings with a } 1/(1-z) \text{ singularity} \\ \min(z, 1-z) & \text{for splittings with a } 1/(z(1-z)) \text{ singularity} \end{cases}$$

91.2 GeV ee→hadrons 1-Thrust (udsc) 1.4 Theory/Data Pythia 1.2 -- Pythia μ=0.5p_ Pythia µ=2.0p 0.8 0.6 compensation terms 1.4 Theory/Data 1.2 1 0.8 0.6 1.4 (with no compensation terms Theory/Data 1.2 0.8 0.6 0.3 0102 0

S. Mrenna & PS: PRD94(2016)074005; arXiv:1605.08352

of compensation

HOW MANY PARAMETERS TO VARY?

There is of course only a single α_s in nature

But remember we are here just using scale variations as a stand-in for unknown higher-order terms.

ISR and FSR kernels receive different NLO corrections

Physically, ISR also has additional ambiguity tied to the PDF

ISR and FSR have different phase spaces and affect physical observables differently FSR: JET SHAPES, OOC, HEAVY-FLAVOUR PARTON ENERGY LOSS, ...

ISR: RECOILS TO HARD SYSTEM; SOFT ISR INCREASES OVERALL HT. HARD ISR -> NJETS.

I therefore conceive of ISR and FSR variations as separate things

(Yes, there are overlapping cases, most obviously when colour flows from initial to final state, as in ttbar: initial-final antennae, and also for subleading colour effects.)

Not to forget (but not main topics of this talk):

PDFs, functional form of central choices of factorisation and renormalisation scales, nonsingular parameters, subleading colour, local vs global recoils ...

What I would do: **7-point variation** (resources permitting \rightarrow use the automated bands?)

Increasing only ISR

Increasing both ISR and FSR

 \blacksquare More H_T in the events.

More OOC loss (from FSR) but also more H_T and more hard ISR jet seeds \rightarrow partial cancellation in N_{jets}?

Increasing only FSR

- More OOC loss (FSR jet broadening), acting on similar number of seed partons (no increase in ISR).
- → Similar H_T

Increasing FSR, Decreasing ISR

- Double counting? Fewer ISR partons, and more smearing of those that remain. (Easy to rule out?)
- Also from theoretical/mathematical point of view, the artificially induced discrepancy is now proportional to $\ln(16) = 2.8$ instead of $\ln(4) = 1.4$.

Note: I would also do splitting-kernel variations (see extra slides)

AUTOMATED SHOWER UNCERTAINTY BANDS/WEIGHTS

Mrenna, Skands Phys.Rev. D94 (2016) 074005

Idea: perform a shower with nominal settings

Ask: what would the probability of obtaining this event have been with **different choices** of μ_R , radiation kernels, ... ?

Easy to calculate **reweighting factors**

Output: **vector of weights** for each event

One for the nominal settings (unity)

+ Alternative weight for each variation

(Note: similar functionality also in Herwig++ and Sherpa; see 1605.08256 1606.08753)

Monash U.

The soft and collinear enhanced (singular) terms in the shower kernels are universal, process-independent

Matrix Elements contain the same information, plus process-specific **non-singular** terms.

The shower singularities dominate for soft and collinear radiation

The process-specific non-singular terms dominate for hard radiation

Suggestion: add nuisance parameter = arbitrary nonsingular term to shower kernels, and **vary** to estimate sensitivity to missing

VINCIA: Giele, Kosower & PS: PRD84(2011)054003; arXiv:1102.2126

PYTHIA 8: S. Mrenna & PS: PRD94(2016)074005; arXiv:<u>1605.08352</u>

ME terms Note: by definition, any fit of such a nuisance parameter would be process-specific

AUTOMATED SHOWER UNCERTAINTY BANDS/WEIGHTS

Mrenna, Skands Phys.Rev. D94 (2016) 074005

The benefits: only a single sample needs to be generated, hadronised, passed through detector simulation, etc.

Can add arbitrarily many (combinations of) variations (if supported by code)

The drawback: effective statistical precision of uncertainty bands computed this way (from varying weights) is always less than that of the central sample (which typically has all weights =

(Note: similar functionality also in Herwig++ and Sherpa; see <u>1605.08256</u> <u>1606.08753</u>)

SETTINGS FOR AUTOMATED 7-POINT VARIATION

7-Point scale variations

Based on factor-2 variations with NLO soft compensation term ON

+ some nonsingular-term variations to estimate sensitivity to process-dependent finite terms (signaling need for further ME

correcti

Pythia Default CR Model

- LC structure of hard process **always preserved** as "backbone" of nonperturbative string topology
- With probability defined by strength parameter, partons from MPI are (or are not) allowed to be added as kinks on this structure
- Decent starting point, but in context of uncertainties even on/off variation does not span space of physical possibilities, even with ERD on/off.

Recommend to include **at least one of** the alternative models

- **OCD-inspired:** allows stochastic sampling of possibilities beyond LC.
 - Qualitatively different from default model
 - Generally still predicts reasonably small effects.
 - Not designed to be extreme: conservative enough as variation?

Gluon-Move etc: More "brute force" changes to topologies, some of which are intentionally designed to be extreme. Can have very large effects.

Skands, Carazza, Rojo, Eur.Phys.J. C74 (2014) no.8, 3024

The Monash tune for heavy flavour [see section 2.3]

Constrained by LEP event shapes (incl b tagged), jet rates + particle rates

➤ Relatively large value of TimeShower:alphaSvalue = 0.1365
 Regarded at least in part as making up for NLO K-factor for ee→3 jets (Pythia only accurate to LO for 3 jets).
 Consistent with 3-flavour Λ_{QCD} ~ 0.35 GeV (since we use 1-loop running)
 Not guaranteed to be universal. LHC studies tend to prefer lower values
 E.g., A14 uses TimeShower:alphaSvalue = 0.129 (could be reinterpreted via CMW to MSbar alphaS(mZ) ~ 0.12 so consistent with world average.)
 (but I would then also change to 2-loop running; would preserve Λ_{QCD} value)

Non-Perturbative b-fragmentation parameter r_b constrained by measured x_B spectra of weakly decaying B hadrons.

StringZ:rFactB = 0.88. Unrealistic to constrain to better than 10% without careful studies of correlations with other NP parameters (eg Lund a, b, sigmaPT, and alphaS values), global observables, LEP \leftrightarrow LHC checks, etc. (And even then, there is an a priori theory/modelling uncertainty.)

LEP B Fragmentation

Also note: lower value of $\alpha_s(M_Z) \ge lower 3-jet rate$

> wrong 2- vs 3-jet mixture (relative to data sample)? **Do reweighting?**

Option 1. Keep 1-loop running > lower value of Λ_{QCD}

Different IR limit of shower ➤ retune (all) non-perturbative parameters.

Problem: lower value of $\alpha_s(M_Z) \ge \text{lower 3-jet rate}$. Cannot tune to data that includes 3-jet events (like inclusive x_B) without separate 3-jet correction; do reweighting for 3-jet rate (or NLO merging).

Or: could use x_B from sample of excl 2-jet events (3-jet veto), but I am not aware that such conditional x_B spectra were measured? Could they be?

Or: if your new $\alpha_s(M_Z)$ value describes LHC jet shapes well, could you constrain r_b *in-situ* from $b \rightarrow B$ measurements at LHC?

Option 2. Change to 2-loop running > keep $\Lambda_{QCD} \sim$ unchanged

► Reduced need to retune (though precision would still require retuning) (E.g. VINCIA uses CMW with alphaSvalue = 0.118, 2-loop running, and $\mu_R = 0.8p_T$)

Perturbative stage is important in the context of (re)tuning.

- Hard process + showers + merging: $b(Q_F) \rightarrow b(Q_{cut})$
- Non-perturbative parameters (HAD+MPI+CR): $b(Q_{cut}) \rightarrow B$
- These two components **scale differently**. Non-universal to force the latter to make up for shortcomings in the former.

At LEP, amount of perturbative radiation emitted from *b* can be validated / controlled by 3-jet rate (*in b-tagged events*)

In top events, presumably *b*-jet substructure and/or rate of additional jets "near" the *b*-jet can be used to check if the b is losing the "right" amount of energy from perturbative radiation?

Constrain r_b in-situ? x_B spectra in inclusive b jets?

Lesson from LEP: process-dependent factors (eg NLO 3-jet rate) can affect precision tuning ➤ larger uncertainties if not carefully controlled.

Consider average recoil $|\Delta \vec{p}_W|$, after first and second emission(s).

Recoil after first:

Recoil after second:

(Coherence In Production)

Forward-backwards asymmetry:

$$A_{FB}(\mathcal{O}) = \frac{\frac{\mathrm{d}\sigma}{\mathrm{d}\mathcal{O}}\Big|_{\Delta y > 0} - \frac{\mathrm{d}\sigma}{\mathrm{d}\mathcal{O}}\Big|_{\Delta y < 0}}{\frac{\mathrm{d}\sigma}{\mathrm{d}\mathcal{O}}\Big|_{\Delta y > 0} + \frac{\mathrm{d}\sigma}{\mathrm{d}\mathcal{O}}\Big|_{\Delta y < 0}}$$

Coherent showers include part of the real emission correction that generates a FB asymmetry that becomes negative for large $p_T(t\bar{t})$. [1205.1466]

B-Jet Profiles

VINCIA gives narrower b-jets than Pythia 8

Effect survives MPI + hadronisation

Tentative conclusion: more coherence ~ more wide-angle suppression?

*Also agrees with intuition from dipole language where "top dipole" can be negative

Brooks, Skands, Phys.Rev. D100 (2019) no.7, 076006 ARXIV:1907.08980

Slide from H. Brooks

$p\bar{p} \rightarrow t\bar{t}$ @ 8 TeV: $m_{b_j\ell\nu}$

Monte-Carlo "truth" (parton-level) analysis:

• Assumes we can reconstruct p_{ν} and match correct ℓ, b_j pair.

PYTHIA 8.301 released. Includes VINCIA with new resonance-final showers Not yet recommended for main production runs, but need your feedback.

Still to come in VINCIA: multi-leg MECs, automated uncertainty bands, production-decay interference, electroweak showers, NLO antenna functions,...

Top Mass Profile @ 8 TeV

 $p\bar{p} \rightarrow t\bar{t}$ @ 8 TeV: $m_{b_i\mu}$

(example of a realistic observable)

Full hadron-level analysis: choose pairing for ℓ, b_j that minimise average mass.

